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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

ENERGY & ENVIRONMENTAL
INVESTMENTS, LLC; ENERGY &
ENVIRONMENT, INC.; AMIR A.
SARDARI; and NARYSA
SARDARI LUDDY,

Defendants.

Case No. 8:23-cv-00338-FWS-JDE

**FINAL JUDGMENT AS TO
DEFENDANT ENERGY &
ENVIRONMENTAL
INVESTMENTS, LLC [5]**

**FINAL JUDGMENT AS TO DEFENDANT ENERGY &
ENVIRONMENTAL INVESTMENTS, LLC**

The Securities and Exchange Commission having filed a Complaint and Defendant Energy & Environmental Investments, LLC having entered a general appearance; consented to the Court’s jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction); waived

1 findings of fact and conclusions of law; and waived any right to appeal from this
2 Final Judgment:

3 I.

4 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant
5 is permanently restrained and enjoined from violating, directly or indirectly,
6 Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15
7 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5],
8 by using any means or instrumentality of interstate commerce, or of the mails, or
9 of any facility of any national securities exchange, in connection with the purchase
10 or sale of any security:

- 11 (a) to employ any device, scheme, or artifice to defraud;
- 12 (b) to make any untrue statement of a material fact or to omit to state a
13 material fact necessary in order to make the statements made, in the
14 light of the circumstances under which they were made, not
15 misleading; or
- 16 (c) to engage in any act, practice, or course of business which operates or
17 would operate as a fraud or deceit upon any person.

18 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
19 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
20 binds the following who receive actual notice of this Final Judgment by personal
21 service or otherwise: (a) Defendant’s officers, agents, servants, employees, and
22 attorneys; and (b) other persons in active concert or participation with Defendant
23 or with anyone described in (a).

24 II.

25 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED
26 that Defendant is permanently restrained and enjoined from violating Section 17(a)
27 of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77q(a)] in the
28 offer or sale of any security by the use of any means or instruments of

1 transportation or communication in interstate commerce or by use of the mails,
2 directly or indirectly:

- 3 (a) to employ any device, scheme, or artifice to defraud;
- 4 (b) to obtain money or property by means of any untrue statement of a
5 material fact or any omission of a material fact necessary in order to
6 make the statements made, in light of the circumstances under which
7 they were made, not misleading; or
- 8 (c) to engage in any transaction, practice, or course of business which
9 operates or would operate as a fraud or deceit upon the purchaser.

10 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
11 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
12 binds the following who receive actual notice of this Final Judgment by personal
13 service or otherwise: (a) Defendant's officers, agents, servants, employees, and
14 attorneys; and (b) other persons in active concert or participation with Defendant
15 or with anyone described in (a).

16 III.

17 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED
18 that Defendant is liable for disgorgement of \$3,414,836.23, representing net profits
19 gained as a result of the conduct alleged in the Complaint, together with
20 prejudgment interest thereon in the amount of \$977,948.76, and a civil penalty in
21 the amount of \$1,035,909 pursuant to Securities Act Section 20(d) and Exchange
22 Act Section 21(d)(3). Defendant shall satisfy this obligation by paying
23 \$5,428,693.99 to the Securities and Exchange Commission within 30 days.

24 Defendant may transmit payment electronically to the Commission, which
25 will provide detailed ACH transfer/Fedwire instructions upon request. Payment
26 may also be made directly from a bank account via Pay.gov through the SEC
27 website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by
28 certified check, bank cashier's check, or United States postal money order payable

1 to the Securities and Exchange Commission, which shall be delivered or mailed to
2 Enterprise Services Center
3 Accounts Receivable Branch
4 6500 South MacArthur Boulevard
5 Oklahoma City, OK 73169

6 and shall be accompanied by a letter identifying the case title, civil action number,
7 and name of this Court; Energy & Environmental Investments, LLC as a defendant
8 in this action; and specifying that payment is made pursuant to this Final
9 Judgment.

10 Defendant shall simultaneously transmit photocopies of evidence of
11 payment and case identifying information to the Commission's counsel in this
12 action. By making this payment, Defendant relinquishes all legal and equitable
13 right, title, and interest in such funds and no part of the funds shall be returned to
14 Defendant.

15 The Commission may enforce the Court's judgment for disgorgement and
16 prejudgment interest by using all collection procedures authorized by law,
17 including, but not limited to, moving for civil contempt at any time after 30 days
18 following entry of this Final Judgment.

19 The Commission may enforce the Court's judgment for penalties by the use
20 of all collection procedures authorized by law, including the Federal Debt
21 Collection Procedures Act, 28 U.S.C. § 3001 *et seq.*, and moving for civil
22 contempt for the violation of any Court orders issued in this action. Defendant
23 shall pay post judgment interest on any amounts due after 30 days of the entry of
24 this Final Judgment pursuant to 28 U.S.C. § 1961. The Commission shall hold the
25 funds, together with any interest and income earned thereon (collectively, the
26 "Fund"), pending further order of the Court.

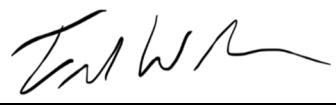
27 The Commission may propose a plan to distribute the Fund subject to the
28 Court's approval. Such a plan may provide that the Fund shall be distributed

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V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

DATED: May 19, 2023



Hon. Fred W. Slaughter
UNITED STATES DISTRICT JUDGE