# UNITED STATES DISTRICT COURT

#### EASTERN DISTRICT OF CALIFORNIA

TOMMY RAY WILLIAMS,		1:03-CV-5819 JMD HC
v. MIKE KNOWLES,	Petitioner,	ORDER GRANTING MOTION TO DISMISS PETITION FOR WRIT OF HABEAS CORPUS
	Respondent.	) ) )

Petitioner Tommy Ray Williams ("Petitioner") is a state prisoner proceeding with a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254. He is represented in this action by counsel. This case is before the undersigned pursuant to consent of the parties. (Doc. 3, 7); See 28 U.S.C. § 636. Respondent has renewed its motion to dismiss on the ground that this action is untimely. For the reasons explained, the petition is untimely and Respondent's motion must be granted.

#### PROCEDURAL HISTORY

Petitioner is currently in the custody of the California Department of Corrections pursuant to a judgment of the Tuolumne County Superior Court. The court imposed an indeterminate prison term of fifteen years to life for second degree murder (Cal. Penal Code § 187) and a concurrent term of three years for driving under the influence causing injury (Cal. Vehicle Code § 23153(a)). See 2003 Respondent's Motion to Dismiss ("Resp't Motion"), (Doc. 8, Exh. A).

On May 9, 2002, Petitioner filed a petition for writ of habeas corpus in the Tuolumne County Superior Court. See Resp't Motion, (Doc. 8, Exh. B). This petition appears to raise four grounds for

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relief: (1) ineffective assistance of trial counsel in that counsel, because of fear for her own safety and intimidation resulting from the local publicity, pressured Petitioner to accept a guilty plea rather than go to trial; (2) newly discovered evidence, i.e., an autopsy report of the victim indicating that peritonitis from an improperly placed feeding tube, not blunt head trauma resulting from the collision with Petitioner's vehicle, caused the victim's death; (3) failure of the trial court to sua sponte inquire into the effectiveness of counsel once the court was advised of threats against counsel and Petitioner; and (4) error in imposing a restitution fine without conducting a hearing on Petitioner's ability to pay. Id. On September 19, 2002, the Superior Court denied the petition. See Resp't Motion, (Doc. 8, Exh. C).

On October 3, 2002, Petitioner filed a petition for writ of habeas corpus in the California Court of Appeal. See Resp't Motion, (Doc. 8, Exh. D). On November 14, 2002, the court summarily denied the petition. See Resp't Motion, (Doc. 8, Exh. E).

On December 10, 2002, Petitioner filed a petition for writ of habeas corpus in the California Supreme Court. See Resp't Motion, (Doc. 8, Exh. F). On April 30, 2003, the court summarily denied the petition. See Resp't Motion, (Doc. 8, Exh. G).

Petitioner filed the instant petition before this Court on June 16, 2003. See Petition, (Doc. 1). Appended to the petition are the same legal briefs and arguments Petitioner raised in each of his three state court habeas petitions. Id. On December 3, 2003, the Court granted Respondent's motion to dismiss the petition as untimely. (Doc. 11). Petitioner appealed the Court's order of dismissal to the Ninth Circuit Court of Appeals on December 29, 2003. (Doc. 13).

On October 26, 2004, the Court of Appeals vacated the Court's order of dismissal and remanded the case "for further factual development, and if determined appropriate by the District Court, an evidentiary hearing to determine (1) whether Petitioner satisfied the actual innocence test set forth in Schlup v. Delo, 513 U.S. 298 (1995); (2) whether the statute of limitations began to run

<sup>&</sup>lt;sup>1</sup> Petitioner's fourth claim, i.e., that the state court failed to conduct an "ability to pay" hearing before imposing a state restitution fine, is not derived from the information contained in the case file received by Petitioner on September 5, 2001, nor does it appear to raise a federal question entitling Petitioner to habeas relief. See Estelle v. McGuire, 502 U.S. 62, 67 (1991)("[I]t is not the province of a federal habeas court to reexamine state court determinations on state law questions."). The parties have chosen to address the possible exceptions to the AEDPA's one-year limitation period without distinguishing among the claims.

on August 30, 2001, the date Petitioner discovered the factual predicate for his claims; and (3) whether the statute of limitations should be equitably tolled until August 30, 2001." (Doc. 23).

Respondent filed a renewed Motion to Dismiss on April 18, 2005, asserting once again that the petition was untimely. (Doc. 28). On September 27, 2005, Petitioner's counsel filed an Opposition to Respondent's Motion, supported, inter alia, by a Declaration from Petitioner, prison mail logs, and the case log of trial counsel. (Doc. 37).

The parties' briefs and supporting affidavits concerning Respondent's renewed Motion to Dismiss created a factual dispute regarding the critical question of when Petitioner discovered the contents of the victim's autopsy report. (Doc. 40 at 10). After reviewing the evidence, the Court accepted, under a preponderance of the evidence standard, Petitioner's representation that his counsel did not inform him of the specific contents of the autopsy report. Id. at 11.

Based on the Court's finding that Petitioner did not discover the contents of the autopsy report until September of 2001, the Court found Petitioner had satisfied the requirements for invoking section 2244(d)(1)(D) and the one-year period did not commence to run until September 5, 2001. (Doc. 40 at 12). Alternatively, the Court found that Petitioner was entitled to equitable tolling because, without knowledge of the autopsy report's contents, his inability to obtain his public defender file which included this report—constituted an "extraordinary circumstance" preventing Petitioner from filing his claim. (Doc. 40 at 14). The Court denied Respondent's renewed motion to dismiss. (Doc. 40).

Respondent filed a motion of reconsideration of the Court's order denying the Motion to Dismiss on April 7, 2006. (Doc. 41). The Court denied Respondent's motion for reconsideration on July 19, 2006. (Doc. 43).

Respondent filed an answer to the petition on October 19, 2006. (Doc. 45). Petitioner filed a traverse on December 20, 2006. (Doc. 48).

On August 6, 2008, the Court ordered an evidentiary hearing in order to receive evidence on Petitioner's ineffective assistance of counsel claim. (Doc. 51). The Court conducted the evidentiary hearing on April 29, 2009.

Petitioner filed a post-evidentiary hearing brief on July 27, 2009. (Doc. 64). Respondent

filed its post-evidentiary hearing brief on September 16, 2009. (Doc. 69). In its post-evidentiary brief, Respondent renewed its motion to dismiss based on its statute of limitations defense. (Doc. 69 at 9-11).

At the April 29, 2009 hearing, Petitioner revealed that, contrary to earlier statements made in his sworn affidavit, Petitioner's counsel had discussed the specific contents of the autopsy report with him before he entered his plea. See Transcript at 11. In light of this factual development, on March 3, 2010, the Court directed the parties to provide further briefing on whether Petitioner was either entitled to tolling or excused from the statute of limitations and advised the parties of its intention to reconsider the issue. (Doc. 70). Petitioner filed his supplemental brief on April 22, 2010 and maintained that Petitioner had not "learned of the factual predicate for his claim . . . until he received his client file" on September 4, 2001 so that "[u]nder the principles of equitable and statutory tolling, Mr. William's Petition was timely filed . . . ." (Doc. 73 at 4, 6). Respondent filed its supplemental briefing on June 17, 2010 which again renewed its earlier motion to dismiss contending that Petitioner had: (1) failed to show "newly discovered evidence" necessary for tolling provided under section 2244(d)(1)(d); and (2) failed to demonstrate "extraordinary circumstances" required to justify equitable tolling. (Doc. 77).

### **FACTUAL BACKGROUND**

In June of 1998, Petitioner was driving under the influence of alcohol when his vehicle struck a motorcycle being ridden by the victim. See Transcript at 31; 54. The victim was taken to the hospital and underwent surgery. Id. at 55. After surgery, hospital staff placed a feeding tube in the victim improperly, causing an infection which ultimately led to the victim's death. Id. The victim's autopsy report states that the victim's death was "attributed to peritonitis resulting from an improperly placed feeding tube . . . blunt force head trauma, while significant, would not seem to be sever enough to lead to death as a primary cause." (Doc. 38, Ex. H.). On October 1, 1998, Petitioner entered a plea of guilty to one count of second degree murder and one count of driving under the

<sup>&</sup>lt;sup>2</sup> As directed by the Court, both Petitioner and Respondent also addressed whether Petitioner had satisfied the "actual innocence" test set forth in <u>Schlup v. Delo</u>, 513 U.S. 298 (1995). However, in <u>Lee v. Lampert</u>, 610 F.3d 1125, 1128-1131 (9th Cir. 2010), the Ninth Circuit held there is no "actual innocence" exception to the AEDPA's one-year limitation period.

influence of alcohol or drugs causing injury. Petitioner contends his plea was tainted by his defense counsel's constitutionally ineffective assistance. Specifically, Petitioner contends that his attorney (Ms. Karen Davis) did not advise him of the contents of the autopsy report and did not adequately advise Petitioner regarding his potential defense to the crime of second degree murder.<sup>3</sup>

#### **DISCUSSION**

## I. Limitation Period for Filing a Petition for Writ of Habeas Corpus

On April 24, 1996, Congress enacted the Antiterrorism and Effective Death Penalty Act of 1996 (AEDPA). The AEDPA imposes various requirements on all petitions for writ of habeas corpus filed after the date of its enactment. <u>Lindh v. Murphy</u>, 521 U.S. 320, 326 (1997); <u>Jeffries v. Wood</u>, 114 F.3d 1484, 1499 (9th Cir. 1997) (en banc), cert. denied, 118 S.Ct. 586 (1997). The original petition in this case was filed on June 16, 2003 (Doc. 1), and thus, it is subject to the provisions of the AEDPA.

The AEDPA imposes a one-year period of limitation on petitioners seeking to file a federal petition for writ of habeas corpus. 28 U.S.C. § 2244(d)(1). As amended, section 2244, subdivision (d) reads:

- (1) A 1-year period of limitation shall apply to an application for a writ of habeas corpus by a person in custody pursuant to the judgment of a State court. The limitation period shall run from the latest of--
- (A) the date on which the judgment became final by the conclusion of direct review or the expiration of the time for seeking such review;
- (B) the date on which the impediment to filing an application created by State action in violation of the Constitution or laws of the United States is removed, if the applicant was prevented from filing by such State action;
- (C) the date on which the constitutional right asserted was initially recognized by the Supreme Court, if the right has been newly recognized by the Supreme Court and made retroactively applicable to cases on collateral review; or

<sup>&</sup>lt;sup>3</sup>The Court notes the deficiencies in Ms. Davis' representation raised by Petitioner's ineffective assistance claim. In <u>People v. Roberts</u>, 2 Cal.4th 271, 312, (1992), the California Supreme Court held that "when medical treatment is grossly improper, it may discharge liability for homicide if the maltreatment is the sole cause of death and hence an unforeseeable intervening cause." <u>Id.</u> at 312. When Petitioner's trial counsel was asked how this potential defense would be presented to the jury, she indicated that she had not researched the specifics of the defense because her focus was on the plea agreement. <u>See</u> Transcript at 101-102. Additionally, Ms. Davis indicated that she would not have "changed her presentation of [this] possible defense [to Petitioner] even if she had been provided a medical expert's opinion that stated the hospital had 'committed gross negligence.'" See Transcript at 111.

(D) the date on which the factual predicate of the claim or claims presented could have been discovered through the exercise of due diligence.

(2) The time during which a properly filed application for State post-conviction or other collateral review with respect to the pertinent judgment or claim is pending shall not be counted toward any period of limitation under this subsection.

28 U.S.C. § 2244(d).

In this case, Petitioner was convicted on October 1, 1998 and sentenced on October 23, 1998. See Resp't Motion (Doc. 8, Exh. A). It is uncontested that Petitioner did not challenge his conviction or sentence on direct appeal. See Petitioner's Supplemental Brief (Doc. 73 at p. 5). Therefore the one-year statute commenced to run 60 days later, i.e., on December 22, 1998 and Petitioner's one year AEDPA period expired on December 22, 1999. Since it is undisputed that Petitioner did not file his federal petition until June 16, 2003, the petition is untimely unless statutory or equitable tolling makes it timely. See Patterson v. Stewart, 251 F.3d 1243, 1245 (9th Cir. 2001). As discussed below, the Court finds that neither statutory or equitable tolling appropriate.

## A. Tolling Pursuant to 28 U.S.C. § 2244(d)(1)(D)

Following the evidentiary hearing, Petitioner maintains that the one-year period did not commence to run until he received the autopsy report, which was sent by the Public Defender's office on August 30, 2001, and received by Petitioner on September 5, 2001. See Petitioner's Supplemental Brief, (Doc. 73 at 1). More specifically he claims he is entitled to tolling under section 2244(d)(1)(D) because he did not discover the autopsy report's finding and contents until that date. Once again, Respondent does not challenge the fact that Petitioner received his trial court file at that time; rather, Respondent maintains that Petitioner already knew of the contents of the autopsy report because his trial attorney had explained this to him before he entered his plea.

28 U.S.C. section 2244(d)(1) provides as follows:

- (1) A 1-year period of limitation shall apply to an application for a writ of habeas corpus by a person in custody pursuant to the judgment of a State court. The limitation period shall run from the latest of . . .
- (D) the date on which the factual predicate of the claim or claims presented could have been discovered through the exercise of due diligence.

Thus, under section 2244(d)(1)(D), the one-year limitation period starts on the date when "the

factual predicate of the claim or claims presented could have been discovered through the exercise of due diligence." Hasan v. Galaza, 254 F.3d 1150, 1154, fn. 3 (9th Cir. 2001) (*quoting* Owens v. Boyd, 235 F.3d 356, 359 (7th Cir. 2000). Due diligence does not require "the maximum feasible diligence," but it does require reasonable diligence in the circumstances. Schlueter v. Varner, 384 F.3d 69, 74 (3d Cir. 2004) (*quoting* Moore v. Knight, 368 F.3d 936, 940 (7th Cir. 2004); see Wims v. United States, 225 F.3d 186, 190, fn. 4 (2d Cir. 2000).

It is not necessary for a petitioner to understand the legal significance of the facts; rather, the clock starts when a petitioner understands the facts which constitute reasonable grounds for asserting all elements of a claim in good faith. Hasan, 254 F.3d at 1154 fn. 3; Owens, 235 F.3d at 359 ("Time begins when the prisoner knows (or through diligence could discover) the important facts, not when the prisoner recognized their legal significance."); see also Ramirez v. Carter, 174 Fed.Appx. 415, 416 (9th Cir. 2006) (reviewing case where Petitioner was aware of potential witnesses but failed to investigate their specific statements, and stating that Petitioner had "not explain[ed] why he could not have obtained this evidence years before."); see also Flanagan v. Johnson, 154 F.3d 196, 199 (5th Cir. 1998) (stating "Section 2244(d)(1)(D) does not convey a statutory right to an extended delay, . . . while a habeas petitioner gathers every possible scrap of evidence that might, by negative implication, support his claim."). In order to claim the benefit of tolling in this case, it is Petitioner's burden to establish it. Smith v. Duncan, 297 F.3d 809, 814 (9th Cir. 2002).

In the Court's order of March 29, 2006, denying Respondent's previous motion to dismiss, this Court accepted under a preponderance of evidence standard, that based on Petitioner's sworn statements, his counsel had not informed him of the specific contents of the autopsy report. (Doc. 40 at 11.) Petitioner had previously stated:

At no time prior to my plea or before I received my file from the public defender's office on September 4, 2001 did Ms. Davis tell me that the victim Mr. Aubrey had died as a result of the hospital's negligence and not as a result of the accident. I was never shown a copy of the autopsy report or **given a description of its contents**.

I accepted this [plea] offer not knowing that the accident had caused the death of Mr. Aubrey or that he had died as a result of an improperly placed feeding tube.

<u>See</u> Petitioner's Declaration in support of Opposition to Renewed Motion to Dismiss, (Doc. 38 at 1-2) (emphasis added).

relieved by the court as their attorney . . .

MR. RILEY: At the very least he saw a copy of this autopsy report through the window?

MS. DAVIS: Absolutely.

See Transcript at 63.

Attempting to discredit Ms. Davis' testimony, Petitioner contends that Ms. Davis initially testified she was only "pretty sure" she read the autopsy report to Petitioner and that her later more certain testimony on re-direct regarding what she had disclosed to Petitioner was contradicted by her earlier equivocal testimony. See Petitioner's Post Hearing Brief, (Doc. 64 at 5). Additionally, Petitioner argues that the absence of any notes of this discussion disclosing the contents of the autopsy report in Ms. Davis' case logs further supports his contentions that he was not informed of the contents of the report. Id. at 4. Petitioner's contentions are unavailing.

Even assuming arguendo that Ms. Davis' testimony lacked credibility, Petitioner has failed to explain his apparent change in position evidenced by his own testimony. "[T]o have the factual predicate for a habeas petition based on ineffective assistance of counsel, a petitioner must have discovered (or with the exercise of due diligence could have discovered) facts suggesting both unreasonable performance *and* resulting prejudice." Hasan, 254 F.3d at 1154 (italics in original). Here, dispelling any previous ambiguity, Petitioner's testimony indicated he was aware of the contents of the autopsy report prior to the trial court entering its judgement on October 23, 1998. However, Petitioner does not explain why, once armed with these facts, he could not have additionally determined any insufficient performance by his trial counsel and the prejudice therefrom before the limitations period expired. Moreover, given his testimony, Petitioner has failed to demonstrate any "newly discovered" evidence once he obtained receipt of his file in September of 2001.

In Hasan, the petitioner alleged that his trial counsel, aware of potential juror tampering, failed to seek a continuance to investigate. Hasan, 254 F.3d at 1152-53. Had he investigated, counsel would have discovered that a juror was in a long-term romantic relationship with one of the prosecution's witnesses. Id. The petitioner did not discover the relationship until many years after trial. Id. At that point, the petitioner had grounds to assert prejudice from his attorney's failure to

investigate that he had not had previously. Id. at 1154. The facts of this case are distinguishable from Hasan because Petitioner alleges no facts essential to his ineffective assistance claim which he was not already aware of well before the limitations period ended. Accordingly, Petitioner is not entitled to a later limitations period start-date based on newly-discovered evidence.

## **B.** Equitable Tolling

Petitioner also maintains that the one-year period should be equitably tolled until he received his entire trial court file on September 5, 2001. See Petitioner's Supplemental Brief, (Doc. 73 at 1-3).

The one-year limitation period of § 2244 is subject to equitable tolling where the petitioner has been diligent, and extraordinary circumstances have prevented the petitioner from filing a timely petition. Holland v. Florida, --- U.S. ----, 130 S.Ct. 2549, 2560, 177 L.Ed.2d 130 (2010). "[T]he threshold necessary to trigger equitable tolling [under AEDPA] is very high, lest the exceptions swallow the rule." Spitsyn v. Moore, 345 F.3d 796, 799 (9th Cir. 2003) (quoting Miranda v. Castro, 292 F.3d 1063, 1066 (9th Cir. 2002)).

Equitable tolling is available only when "extraordinary circumstances beyond a prisoner's control make it impossible to file the petition on time." Stillman v. LaMarque, 319 F.3d 1199, 1202 (9th Cir. 2003). "Extraordinary circumstances exist when . . . wrongful conduct prevents a prisoner from filing." Id. (internal quotation marks omitted). Equitable tolling has been applied, for example, where the prison library was inadequate, Whalem/Hunt v. Early, 233 F.3d 1146, 1148 (9th Cir. 2000) (en banc); where the prisoner was denied access to his files, Lott v. Mueller, 304 F.3d 918, 925 (9th Cir.2002); and where an attorney's egregious misconduct prevented timely filing, Spitsyn v. Moore, 345 F.3d 796, 801 (9th Cir. 2003); see Calderon v. United States Dist. Court, 163 F.3d 530 (9th Cir.1998) (en banc) (petitioner entitled to equitable tolling where petitioner's counsel withdrew and left replacement counsel with unusable work product that made timely filing impossible).

Petitioner claims that the delay encountered in receiving the autopsy report contained in his file warrants this Court's equitable tolling of the limitations period. See Petitioner's Supplemental Brief (Doc 73 at 1-4). However, in each of the cases in which equitable tolling has been applied the alleged wrongful conduct actually prevented the prisoner from preparing or filing a timely petition.

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receiving the file does not explain Petitioner's delay in filing. Though lack of access to legal materials may be a sufficient basis for equitable tolling, such is not the case here.<sup>4</sup> All of the grounds which formed the basis of his claims were known to Petitioner at the time that he entered his plea. Petitioner provides no acceptable explanation for why he waited over two years from the date his conviction became final to file his first state post-conviction habeas petition.<sup>5</sup> Because Petitioner has failed to show "some extraordinary circumstance that stood in his way," the Court concludes Petitioner is not entitled to equitable tolling. See Espinoza-Matthews, 432 F.3d 1021 1026, n. 5 (9th Cir. 2005).

As discussed above, Petitioner is not entitled to a later limitations start-date under either section 2244(d)(1)(D) or as the result of equitable tolling. Thus the Court finds the one-year AEDPA period commenced on December 22, 1998 and expired on December 22, 1999, well before Petitioner filed his first state petition on May 9, 2002. As a result, Petitioner is also not entitled to statutory tolling on the basis of any petitions filed in state court. See Green v. White, 223 F.3d 1001, 1003 (9th Cir. 2000) (holding a petitioner is not entitled to tolling where the limitations period has already run before a state habeas petitioner is filed); accord Jiminez v. Rice, 276 F.3d 478, 482 (9th Cir. 2001). Accordingly the petition must be dismissed as untimely.

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<sup>&</sup>lt;sup>4</sup>The instant case is distinguishable from those cases finding the denial of access to legal materials warranted equitable tolling. See Espinoza-Matthews v. California, 432 F.3d 1021 (9th Cir. 2005); see also Lott v. Mueller, 304 F.3d 918 (9th Cir. 2002). In Espinoza-Matthews, the petitioner was placed in Administrative Segregation for his own protection and denied access to his legal files for nearly a year. Espinoza-Matthews at 1023, 1027. In Lott, the Ninth Circuit concluded that, if established, denying a prisoner access to his legal files during two temporary transfers which lasted eight-day days would "appear to satisfy the 'extraordinary circumstances' requirement for equitable tolling." However here, Petitioner does not argue complete access to his working legal files was interrupted. Instead he contends he was not made aware of the contents of an autopsy report, (located in his files), a contention which (as discussed above) is controverted by Petitioner's testimony.

<sup>&</sup>lt;sup>5</sup>The Court's decision should not be read as precluding circumstances where an attorney's bad advice in combination with other factors, such as a delay in receiving the defendant's court file, might yield the "extraordinary" impediment needed to support equitable tolling. See Whalem/Hunt v. Early, 233 F.3d 1146, 1148 (9th Cir. 2000) (per curiam) (finding equitable tolling determinations "highly fact-dependent"); see also Spitsyn v. Moore, 345 F.3d 796, 801 (9th Cir. 2003) (holding "where an attorney's misconduct is sufficiently egregious, it may constitute an 'extraordinary circumstance' warranting equitable tolling of AEDPA's statute of limitations); but see Jurado v. Burt, 337 F.3d 638 (6th Cir. 2003) (refusing to apply the doctrine of equitable tolling when the reason for a late filing was bad advice from an attorney). However, in light of the above analysis the required extraordinary circumstance simply did not exist.

1	CONCLUSION	
2	For the reasons set forth above, Respondent's renewed motion to dismiss is granted.	
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4	ORDER	
5	Accordingly, IT IS HEREBY ORDERED:	
6	1) Respondent's motion to dismiss is GRANTED;	
7	2) The petition for writ of habeas corpus is DISMISSED with prejudice for violating th	
8	statute of limitations pursuant to 28 U.S.C. § 2244(d)(1);	
9	3) The Clerk of Court is DIRECTED to enter judgment for Respondent.	
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12	IT IS SO ORDERED.	
13	Dated: January 13, 2011 /s/ John M. Dixon UNITED STATES MAGISTRATE JUDGE	
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