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UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF CALIFORNIA

MARCUS R. WILLIAMS,

Plaintiff,

v.

DERRAL G. ADAMS, et al.,

Defendants.

CASE NO. 1:05-cv-00124-AWI-SMS PC

ORDER GRANTING DEFENDANTS’
MOTION FOR SUMMARY JUDGMENT

(Doc. 77)

Order on Motion for Summary Judgment

I. Procedural History

Plaintiff, Marcus R. Williams (“Plaintiff”), is a state prisoner proceeding pro se and in forma pauperis in this civil rights action pursuant to 42 U.S.C. § 1983. Plaintiff filed this action on January 31, 2005. The Court screened Plaintiff’s Second Amended Complaint pursuant to 28 U.S.C. § 1915A and found that it stated a cognizable claim for relief under section 1983 against Defendants Adams, Hansen, Wan, and Beeler (“Defendants”) for denial of exercise in violation of the Eighth Amendment.¹

Defendants filed a motion for summary judgment on December 1, 2008, Plaintiff filed his

¹ On June 4, 2007, Plaintiff’s claim for declaratory relief and Eighth Amendment denial of exercise claim against Defendants Peters and Woodford were dismissed, with prejudice, for failure to state a claim; and Defendants Peters and Woodford were dismissed based on plaintiff’s failure to state a claim upon which relief may be granted against them. (Doc. 34.)

1 opposition on July 1, 2010,² and Defendants filed their reply on September 17, 2010.³ (Docs.
2 77-84, 109-118, 122-125.) For the reasons discussed herein below, Defendants' motion is
3 granted.

4 It is noteworthy that the documents submitted by the parties on this motion total
5 approximately 2,000 pages. Such an onerous submission of documents is not well taken by a
6 Court that is already laboring under limited resources with a nationally recognized, burgeoning
7 caseload. The Court declines to address every piece of paper submitted by the parties and
8 objections thereto, particularly where the parties have not specifically referred to individual pages
9 of evidence.

10 For the most part, references to pagination of specific documents reflect those indicated
11 on the upper right corners of the parties' submissions via the CM/ECF electronic court docketing
12 system. Other pagination methods, when utilized, are identified.

13 Further, the documents submitted by Plaintiff in opposition to this motion are redundant,
14 duplicative, and unnecessarily complicated by the fact that Plaintiff submitted two documents

16 ² Plaintiff was provided with notice of the requirements for opposing a motion for summary judgment by the
17 Court in an order filed on both July 12, 2007 and August 27, 2012. Woods v. Carey, 684 F.3d 934 (9th Cir. 2012)
18 Klinge v. Eikenberry, 849 F.2d 409 (9th Cir. 1988). (Docs. 38, 133.) Plaintiff has not filed a supplemental
19 opposition to Defendants' motion for summary judgment, despite being granted an extension of time to do so. (Doc.
20 136.)

21 Also, Plaintiff may not expand the scope of this litigation via deposition testimony or his opposition to
22 defendants' motion for summary judgment. See Gilmore v. Gates, McDonald & Co., 382 F.3d 1312, 1315 (11th Cir.
2004). This action is only proceeding on Plaintiff's claims under the Eighth Amendment for denial of exercise
23 against Defendants Adams, Hansen, Wan, and Beeler. (Doc. 27.) Consequently, Plaintiff's arguments and
24 suggestions beyond his cognizable claim of denial of exercise in violation of the Eighth Amendment, such as that he
25 somehow has a claim based on being denied adequate access to the law library (*see e.g.* Doc. 113, Plntf. Opp., 51:
26 12-16), are disregarded.

27 ³ Defendants' motion for summary judgment did not include, but repeatedly referenced a document referred
28 to as the "Stowe Decl." (Docs. 77-85.) Defendants subsequently clarified that the Robinson declaration was
mistakenly referred to as that of Stowe and that all references to the Stowe declaration should instead refer to the
Robinson declaration. (Doc. 129.) Further, Defendants submitted a number of exhibits with their Reply instead of
with their initial moving documents. (Doc. 124.) Upon inquiry, Defendants submitted a proof of service showing
that these exhibits were served on Plaintiff on July 21, 2008 (Doc. 131, Ex. A) such that Plaintiff received them in
sufficient time (over a year and a half prior to the date he filed his opposition) to review them and even incorporated
portions of these documents into his opposition (Docs.110:17-70; 111:1-27; 116:15-110; 117:1-75; 118:1-56). (*See*
Doc. 131.) Further, despite lapse of more than adequate time, Plaintiff neither objected nor filed a motion to strike.
See Yamashita v. People of Territory of Guam, 59 F.3d 114, 117 (9th Cir. 1995). Plaintiff has thus waived any
objections and/or arguments against the admissibility of the exhibits submitted in Defendants' Reply and they are
properly considered.

1 with separate sets of attached exhibits; rather than a solitary statement delineating his opposing
2 evidence to dispute the facts Defendants assert as undisputed. One of these documents is entitled
3 “Plaintiff’s Concise Statement of Disputed Facts and Response to Defendants’ Statement of
4 Facts” (“PDF”) (Docs. 113-118) in which Plaintiff admits and disputes the facts Defendants
5 assert as undisputed. However, in the PDF, rather than citing to and relying on specific
6 contradicting evidence attached thereto, Plaintiff refers to the other document he submitted
7 entitled “Plaintiff’s Statement of Facts and Response Declaration” (“PSFR”). (Docs. 109-112.)

8 The statements of fact in the PDF and the PSFR are not numerically correlated and raise
9 different objections/disputes to Defendants’ statements of fact. Further, both the PDF and the
10 PSFR contain exhibits. Unfortunately, the exhibits to the PDF, while similarly identified via
11 Roman Numerals, do not contain the same number of pages per exhibit as the exhibits to the
12 PSFR that bear the same Roman Numeral. Thus, review of an exhibit referenced by Plaintiff had
13 required not only review of the exhibit attached to the PDF, but also that attached to the PSFR,
14 followed by comparison of the individual pages within each. Thus, consideration of Plaintiff’s
15 opposition is unnecessarily convoluted since the PDF and the PSFR both required review, cross-
16 referencing, and extrapolation to ascertain which of the facts Defendants assert is undisputed
17 Plaintiff actually disputes, to identify the evidence upon which Plaintiff relies to attempt to
18 establish a given dispute, and to ascertain whether that evidence is admissible and establishes a
19 dispute.

20 Likewise, review and consideration of Defendants’ reply is also unnecessarily convoluted
21 as they did not respond and raise objections to Plaintiff’s statement of disputed facts in one
22 document. Rather, they submitted three documents: “Defendants’ Evidentiary Objections to
23 Plaintiff’s Exhibits” (“DEOPE”) (Doc. 122); “Defendants’ Responses and Evidentiary
24 Objections to Plaintiff’s Statement of Disputed Facts” (“DR&E”) (Doc. 123); and “Defendants’
25 Reply to Plaintiff’s Concise Statement of Disputed Facts” (“DRPCS”) (Doc. 125). There is no
26 ascertainable basis to necessitate Defendants’ filing of three documents where one would have
27 sufficed and Defendants provide none. A plaintiff’s filing of multiple documents in opposition
28 to a defense statement of undisputed facts does not require a defense reply to follow suit. In the

1 future, the Court strongly encourages defense counsel to consider whether documents submitted
2 on any given motion are clear and concise, or will only serve to burden the Court with
3 unnecessarily segmented, multiple, and redundant filings.

4 This Court has painstakingly waded through all documents submitted addressing this
5 motion, opposition, and reply. All arguments, points and authorities, declarations, depositions,
6 exhibits, statements of undisputed facts and responses thereto, objections, and other papers filed
7 by the parties have been carefully reviewed and considered. Omission of reference to an
8 argument, document, paper, or objection is not to be construed to the effect that this Court did
9 not consider the argument, document, paper, or objection. This Court thoroughly reviewed and
10 considered the evidence submitted, but comments only on that deemed admissible, material, and
11 appropriate.

12 The motion is deemed submitted. Local Rule 230(l).

13 **II. Legal Standards for Motions for Summary Judgment**

14 Any party may move for summary judgment which shall be granted “if the movant shows
15 that there is no genuine dispute as to any material fact and the movant is entitled to judgment as a
16 matter of law.” Fed. R. Civ. P. 56(a); Washington Mutual Inc. v. U.S., 636 F.3d 1207, 1216 (9th
17 Cir. 2011). Each party’s position, whether it be that a fact is disputed or undisputed, must be
18 supported by (1) citing to particular parts of materials in the record, including but not limited to
19 depositions, documents, declarations, or discovery; or (2) showing that the materials cited do not
20 establish the presence or absence of a genuine dispute or that the opposing party cannot produce
21 admissible evidence to support the fact. Fed. R. Civ. P. 56(c)(1). While the Court may consider
22 other materials in the record not cited to by the parties, it is not required to do so. Fed. R. Civ. P.
23 56(c)(3); Carmen v. San Francisco Unified School Dist., 237 F.3d 1026, 1031 (9th Cir. 2001).

24 As the moving party, Defendants bear the initial burden of proving the absence of a
25 genuine dispute of material fact. In re Oracle Corp. Securities Litigation, 627 F.3d 376, 387 (9th
26 Cir. 2010) (*citing* Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986)). Because Plaintiff bears
27 the burden of proof at trial, Defendants need only prove that there is an absence of evidence to
28 support Plaintiff’s case. In re Oracle Corp., 627 F.3d at 387 (*citing* Celotex, 477 U.S. at 326)

1 (quotation marks omitted). If Defendants meet the initial burden, the burden shifts to Plaintiff to
2 designate specific facts demonstrating the existence of genuine issues for trial. Id. (*citing*
3 Celotex, 477 U.S. at 324).

4 In resolving Defendants' motion for summary judgment, all of the evidence must be
5 viewed in the light most favorable to Plaintiff as the non-moving party, Garcia v. County of
6 Merced, 639 F.3d 1206, 1208 (9th Cir. 2011); Hunt v. City of Los Angeles, 638 F.3d 703, 709
7 (9th Cir. 2011), all reasonable inferences must be drawn in his favor, LVRC Holdings LLC v.
8 Brekka, 581 F.3d 1127, 1136 (9th Cir. 2009); Pinard v. Clatskanie School Dist. 6J, 467 F.3d 755,
9 763 (9th Cir. 2006), and his response is treated more indulgently because he is the nonmoving
10 party, Lew, 754 F.3d at 1423. However, Plaintiff must support his opposition with admissible
11 evidence.

12 Verified pleadings and verified oppositions constitute opposing declarations so long as
13 they are based on personal knowledge and they set forth facts admissible in evidence to which
14 the declarant is competent to testify, Moran v. Selig, 447 F.3d 748, 759-60 (9th Cir. 2006); Jones
15 v. Blanas, 393 F.3d 918, 923 (9th Cir. 2004); Lopez v. Smith, 203 F.3d 1122, 1132 n.14 (9th Cir.
16 2000); Johnson v. Meltzer, 134 F.3d 1393, 1399-1400 (9th Cir. 1998); Schroeder v. McDonald,
17 55 F.3d 454, 460 n.11 (9th Cir. 1995); McElyea v. Babbitt, 833 F.2d 196, 197-98 (9th Cir. 1987)
18 (per curiam); Lew, 754 F.2d at 1423, with personal knowledge and competence to testify
19 inferable from the declarations themselves, Barthelemy v. Air Line Pilots Ass'n, 897 F.2d 999,
20 1018 (9th Cir. 1990) (per curiam) (quotation marks omitted); *also* Sea-Land Service, Inc. v.
21 Lozen Intern, LLC, 285 F.3d 808, 819 (9th Cir. 2002). Arguments or contentions set forth in an
22 unverified responding brief, on the other hand, do not constitute evidence. *See* Coverdell v.
23 Dep't of Soc. & Health Servs., 834 F.2d 758, 762 (9th Cir. 1987) (recitation of unsworn facts not
24 evidence). Since unverified, neither his opposition, nor the PDF have any evidentiary value.⁴
25 However, the amended complaint and the PSFR are both admissible since verified. (Docs. 26,

26
27 ⁴ Plaintiff filed a separate response to Defendant's statement of undisputed facts ("DUF") and an
28 opposition, though both were entered in the CM/ECF docket as one document. (Doc. 113 pp. 1-43 and pp. 44-87
respectively.)

1 109.)

2 **III. Evidentiary Objections**

3 **A. Defendants' Objections**

4 In conjunction with their reply, Defendants filed various evidentiary objections. (Docs.
5 122, 123.) In light of the legal standard detailed in the previous section and explanations
6 provided in the following sections, the Court declines to individually address the objections, with
7 the exception of the objections raised in the DEOPE (Doc. 122) to Plaintiff's Exhibit XIII
8 "Deprivation Study of the Effects of Isolation," Exhibit XIV "Medical Records," and Exhibit
9 XVI "Special Master Findings" for relevance and lack of authentication and foundation.⁵

10 **1. Relevance**

11 Given the Court's duty to determine whether there exists a genuine dispute as to any
12 *material* fact, an independent objection to evidence as irrelevant is both unnecessary and
13 unhelpful. *E.g.*, Carden v. Chenega Sec. & Protections Servs., LLC, No. CIV 2:09-1799 WBS
14 CMK, 2011 WL 1807384, at *3 (E.D.Cal. May 10, 2011); Arias v. McHugh, No. CIV 2:09-690
15 WBS GGH, 2010 WL 2511175, at *6 (E.D.Cal. Jun. 17, 2010); Tracchia v. Tilton, No. CIV S-
16 06-2916 GEB KJM P, 2009 WL 3055222, at *3 (E.D.Cal. Sept. 21, 2009); Burch v. Regents of
17 the University of California, 433 F.Supp.2d 1110, 1119 (E.D.Cal. Jun. 5, 2006). Defendants'
18 objections on relevancy grounds are therefore disregarded. The Court strongly encourages
19 Defendants' counsel to reconsider burdening the Court with unnecessary evidentiary objections.

20 **2. Authentication and Foundation**

21 Federal Rule of Evidence 901(a) requires "authentication or identification as a condition
22 precedent to admissibility." A foundation must be laid "by evidence sufficient to support a
23 finding that the matter in question is what its proponent claims" before evidence may be
24 admitted. Fed.R.Evid. 901(a). Unauthenticated documents cannot be considered in a motion for

25
26 ⁵ Defendants also object that these three documents contain inadmissible hearsay. However, such objection
27 need not be reached since these three exhibits address neither whether the lockdowns that restricted Plaintiff's time
28 out of his cell where imposed for safety and security reasons, nor whether the "modified program" that became the
"normal program" provided sufficient out of cell time under the Eighth Amendment – which are the core
questions/issues in this motion.

1 summary judgment, Las Vegas Sands, LLC v. Nehme, 632 F.3d 526, 533 (9th Cir. 2011) (*citing*
2 Orr v. Bank of America, NT & SA, 285 F.3d 764, 773 (9th Cir. 2002)) (quotation marks
3 omitted). Therefore, lack of proper authentication is an appropriate objection where a
4 document's authenticity is genuinely in dispute.

5 An inquiry into authenticity concerns the genuineness of an item of evidence, not its
6 admissibility, Orr, 285 F.3d at 776, and documents may be authenticated by review of their
7 contents if they appear to be sufficiently genuine, Las Vegas Sands, LLC, 632 F.3d at 533 (*citing*
8 Orr, 285 F.3d at 778 n.24) (quotation marks omitted).

9 The appearance, contents, and substance of Plaintiff's Exhibit XIV "Medical Records"
10 and Exhibit XVI "Special Master Findings" lead the Court to easily conclude that the documents
11 have been authenticated by their distinctive characteristics and that they are what they appear to
12 be: official prison records of medical care and treatment rendered to Plaintiff and the Special
13 Master's Final Report which issued in the Northern District of California, United States District
14 Court in Madrid v. Tilton, Case No. C90-3094-T.E.H.. *See* Fed. R. Evid. 901(b)(4); Las Vegas
15 Sands, LLC, 632 F.3d at 533; *see also* Abdullah v. CDC, No. CIV S-06-2378 MCE JFM P, 2010
16 WL4813572, at *3 (E.D.Cal. Nov. 19, 2010) (finding an objection for lack of foundation and
17 authentication unavailing where the records were from the plaintiff's prison file and they were
18 created and maintained by prison officials); Sanchez v. Penner, No. CIV S-07-0542 MCE EFB P,
19 2009 WL 3088331, at *5 (E.D.Cal. Sept. 22, 2009) (overruling lack of foundation and proper
20 authentication objections to prison medical records submitted by the plaintiff); Johnson v. Roche,
21 No. CIV S-06-1676 GEB EFB P, 2009 WL 720891, at *6 (E.D.Cal. Mar. 13, 2009) (overruling
22 lack of foundation and proper authentication objections to prison records); Burch, 433 F.Supp.2d
23 at 1119 (overruling objections to the introduction of documentary evidence where the defendants
24 did not actually dispute the authenticity of them and where the plaintiff would be able to
25 authenticate them at trial).

26 If Defendants genuinely disputed the authenticity of these two exhibits, more specific
27 objections could have been made. However, since Defendants' objections were neither specific
28 nor detailed, the bare objection to Plaintiff's use of prison medical records and a Special Master's

1 Final Report in Madrid, for lack of proper authentication is overruled. Fed. R. Evid. 901(b)(4);
2 Las Vegas Sands, LLC, 632 F.3d at 533.

3 Plaintiff's Exhibit XIII "Deprivation Study of the Effects of Isolation" appears to be
4 either an article from a magazine/newspaper, or a self-contained piece of literature such as a
5 pamphlet or small book. The article is not self authenticating, nor does it appear to be excerpted
6 from any official prison records. Plaintiff merely attached this article as an exhibit to the PDF
7 and the PSFR, which does not equate to proper authentication. *See* Beyene v. Coleman Security
8 Services, Inc., 854 F.2d 1179, 1182 (9th Cir. 1988). Defendants' objection to Plaintiff's Exhibit
9 XIII "Deprivation Study of the Effects of Isolation" for lack of proper authentication is sustained.

10 **3. Inadmissible Lay Opinion**

11 Defendants also object to the content of the medical records submitted by Plaintiff (Doc.
12 112, PSFR Ex. XIV, pp. 55-66; Doc. 113-1, PDF Ex., pp. 31-44) arguing both that they do not
13 attribute Plaintiff's complaints of headaches and/or gastro-intestinal upset to a lack of outdoor
14 exercise and that they do not, and cannot, absent supporting expert medical testimony, establish
15 the proximate cause of Plaintiff's medical conditions. (Doc. 124, Def. Reply, 8:8-19.)

16 Persons who do not have medical training do not qualify as expert witnesses such that any
17 opinions they might render as to causation of a medical condition are inadmissible since they
18 cannot be found to be based on reliable principles and methods. Fed. R. Evid.702. Further, lay
19 opinions are admissible only if rationally based on the witness's perception; if helpful to clearly
20 understand testimony or determine a fact in issue; and not based on scientific, technical, or other
21 specialized knowledge within the scope of Rule 702. Fed. R. Evid. 701. Thus, in regards to his
22 medical condition, Plaintiff may only submit his testimony as to the symptoms he personally
23 experienced, but may not offer opinions as to their cause, or as to any diagnosis of a medical
24 condition based on those symptoms. Accordingly, Plaintiff's opinion that the dizziness,
25 headaches, sore joints, and stiff muscles he experienced from January through May of 2005 and
26 his hypertension/high blood pressure likely worsened due to a lack of outdoor exercise (*See* Doc.
27 109, PSFRs 44, 45) are inadmissible. Defendants' objection to Plaintiff's lay opinion as to the
28 cause of his medical conditions is sustained.

1 **B. Plaintiff's Objections**

2 **1. Prison Disciplinary Record**

3 In his opposition, Plaintiff objects under Rules of Evidence 608 and 609 to Defendants'
4 use and reference of his prison disciplinary record. (Doc. 109, PSFR 49; Doc. 113, PDFs 11-14.)
5 Plaintiff argues that his disciplinary record is irrelevant, prejudicial, and not probative since
6 Defendants do not argue that misconduct by Plaintiff caused any of the lockdowns in question.
7 (Id., PSFR 49.) Plaintiff repeatedly argues that he, and others similarly situated as general
8 population ("GP") inmates, should not have been subjected to lockdowns when only a few
9 inmates were involved in a given altercation. (Doc. 109, PSFRs 30, 32, 34-38.) However, as
10 noted by Defendants in their reply, Plaintiff's disciplinary record is relevant to counter Plaintiff's
11 argument that he "was not a threat to the safety and security of the prison and thus, lockdowns
12 served no penological purpose as applied to him." (Doc. 123, Def. Resp. & Obj to PSFR 49, p.
13 16.) Plaintiff's prison disciplinary record contains a number of infractions. When there has been
14 an attack within a prison population, even model prisoners are subjected to changes in
15 programming until the instigators and/or perpetrators are identified and safety/security issues are
16 resolved. The fact that Plaintiff's prison disciplinary records contains a number of infractions is
17 not relevant to this motion and Defendants do not submit it for purposes of Plaintiff's guilt for
18 any such infractions; rather it is relevant to show that it was not unreasonable for prison officials
19 to have subjected Plaintiff to lockdowns imposed on a suspect segment of the prison population
20 of which Plaintiff happened to be a member until investigation had been conducted and the
21 security concerns had abated.

22 Accordingly, Plaintiff's objections to Defendants' use and reference of his prison
23 disciplinary record are overruled.

24 **2. Expert Witness Qualifications**

25 Plaintiff also variously objects that Defendants have not been qualified as expert
26 witnesses such that their declarations should not be admissible as evidentiary support for the
27 present motion. (Doc. 113, PDF, pp. 11, 13.) Defendant Wan's declaration shows that he is
28 currently the Associate Warden for Central Services and Facility C of SATF; that he was the

1 second watch Facility C Program Lieutenant from December 2, 2003 to May, 2004; and was the
2 acting Facility Captain from May 1, 2004 to July 19, 2004, when he was promoted to the Facility
3 C Captain where he served until October 1, 2005. (Doc. 82, Wan Decl., ¶ 2.) These titles and
4 the various accompanying tasks and job duties require a level of knowledge and training which
5 qualifies Defendant Wan as an expert on prison matters. Further, it has been held, that on the
6 issue of deprivation of outdoor exercise subsequent to incidents of prison violence, “. . . prison
7 officials are entitled to ‘ “wide-ranging deference.” ’ ” Noble v. Adams, 646 F.3d 1138, 1143
8 (9th Cir. 2011) (*quoting* Norwood v. Vance, 591 F.3d 1062, 1069 (*quoting* Bell v. Wolfish, 441
9 U.S. 520, 547(1979))). The declarations of Beeler and Robinson contain only factual statements
10 and are devoid of any opinion(s) so as not to require expert qualification.

11 Thus, Plaintiff’s objections that Defendants have not been qualified as expert witnesses
12 such that their declarations should not be admissible as evidentiary support for the present
13 motion are overruled.

14 **IV. Plaintiff’s Opposing Evidence**

15 As previously stated, Plaintiff submitted multiple, voluminous documents in opposition
16 to the current motion. Even so, most of his opposition does not comply with procedural
17 requirements and/or is not admissible for varying reasons.

18 The summary judgment rules apply with equal force to pro se litigants because they
19 “must follow the same rules of procedure that govern other litigants.” King v. Atiyeh, 814 F.2d
20 565, 567 (9th Cir.1987). In fact, in Jacobsen v. Filler, 790 F.2d 1362 (9th Cir.1986), the Court
21 rejected the argument that pro se non-prisoner litigants are entitled to notice from the court
22 regarding the requirements of Rule 56. In so doing, the Court unequivocally stated that “pro se
23 litigants in the ordinary civil case should not be treated more favorably than parties with
24 attorneys of record.” Id. at 1364. Accordingly, although Plaintiff is appearing pro se, he is held
25 to the same standards as any other represented party on a motion for summary judgment. While
26 the Court sympathizes with the difficulties Plaintiff faces in proceeding pro se, it is still
27 Plaintiff’s burden to have pursued his own discovery and to identify evidence on which he relies
28 for the Court.

1 When denying a purportedly undisputed material fact, a party must provide specific
2 “citation to the particular portions of any pleading, affidavit, deposition, interrogatory answer,
3 admission, or other document relied upon in support of that denial.” L.R. 260(b); *see also* F.R.E.
4 56(c)(1)(A). Much of the documentary evidence Plaintiff submitted is not specifically
5 identified/referenced and is insufficient to raise a triable issue of fact. A number of Plaintiff’s
6 references to evidence he relies on to deny defense statements of fact do not include “a citation to
7 the particular portions of any pleading, affidavit, deposition, interrogatory answer, admission, or
8 other document relied upon.” Local Rule 260 and F.R.E. 56(c)(1)(A). Plaintiff’s submissions of
9 multiple paged documents as exhibits with only reference to the exhibit in general, without
10 directing the Court to the specific part of the exhibit relied on, does not suffice to meet his
11 opposing evidentiary burden.

12 Further, Plaintiff’s declaration and/or statements that he lacks sufficient information or
13 knowledge (*see* Doc 113, PDF, pp. 7, 8, 10, 22, 23, 27, 28) does not “set forth specific facts
14 showing that there is a genuine issue for trial” of any given fact. Henderson v. City of Simi
15 Valley, 305 F.3d 1052, 1056 (9th Cir. 2002).

16 **V. Plaintiff’s Cognizable Allegations and Claims in the Second Amended Complaint⁶**

17 Plaintiff alleged that between January 2004 and June 2005, he was denied adequate
18 out-of-cell exercise and confined to his cell for an average of twenty-three and a half to
19 twenty-four (23 ½ - 24) hours a day, in violation of the Eighth Amendment. (Doc. 26, 2nd A/C.)

20 Under federal notice pleading standards, Plaintiff’s allegations were found sufficient to
21 give rise to a claim for relief against defendants Adams, Hansen, Wan, and Beeler. (Doc. 27
22 Screen F&R; Doc. 43, O Adopt.) Plaintiff alleged that these Defendants were employed at the
23 institution in question, looked into the situation complained of, and found that inmates were
24 being provided with adequate exercise -- which Plaintiff alleged was erroneous. Given their
25 positions and titles, Defendants arguably had first hand knowledge of the conditions and the

27 ⁶ This rendition of the factual allegations upon which Plaintiff’s claims against Defendants were found
28 cognizable is presented here for overview purposes only. Undisputed and disputed material facts are discussed
where applicable in the following sections.

1 authority to make corrections. Plaintiff's allegations were accepted as true, Hospital Bldg. Co. v.
2 Rex Hospital Trustees, 425 U.S. 738, 740 (1976), construed in the light most favorable to
3 Plaintiff, and all doubts were resolved in Plaintiff's favor, Jenkins v. McKeithen, 395 U.S. 411,
4 421 (1969). (Doc. 27, Screen F&R; Doc. 43, O Adopt.)

5 **VI. Defendants' Motion for Summary Judgment**

6 Defendants move for summary judgment arguing that:

- 7 (1) Defendant Beeler did not cause the alleged deprivation by denying Plaintiff's
8 inmate appeal (Doc. 78, MSJ, pp. 16-18);
- 9 (2) under the normal program, Plaintiff received six hours out-of-cell time per week,
10 which was not a deprivation of the minimal civilized measures of life's necessities
11 so as to implicate the Eighth Amendment (id., at pp. 7-12);
- 12 (3) Defendants were not deliberately indifferent in assigning the yard schedule or
13 imposing and continuing lockdowns since the legitimate penological purposes of
14 institutional safety and security justified their actions (id., at pp. 12-14); and
- 15 (4) Defendants are entitled to qualified immunity (id., at pp. 14-16).

16 **A. Defendant Beeler – Review of Plaintiff's Inmate Appeal**

17 **1. Undisputed and Disputed Facts**

18 Defendants present evidence to show that Defendant Beeler's duties did not include
19 preparing yard or dayroom schedules, or imposing lockdowns, and that Defendant Beeler's only
20 connection to Plaintiff was review and denial of Plaintiff's inmate appeal. (Doc. 79, DUF 5;
21 Doc. 80, Beeler Decl. ¶ 3; Plaintiff's Deposition ("Plntf. Dep.") 66:25-67:2.) This is sufficient to
22 meet their burden of proving the absence of a genuine dispute of material fact such that the
23 burden shifts to Plaintiff to designate specific facts demonstrating the existence of genuine issues
24 for trial. In re Oracle Corp., 627 F.3d at 387 (*citing Celotex*, 477 U.S. at 324).

25 Plaintiff attempts to demonstrate a dispute of these facts by stating that "in being
26 employed and duly trained by CDCR as Lieutenant, Defendant Beeler had an affirmative duty
27 and responsibility to enforce the laws, regulations, and procedures which govern the actions and
28 activities of prisoners (PSFRs 4, 6) and part of Defendants [sic] Beeler duties and responsibilities

1 consisted of interviewing prisoners regarding administrative 602/appeal grievances: gathering
2 and investigating relevant facts to greivances [sic] filed by prisoners and Plaintiff believes that as
3 part of his duties and responsibilities Beeler meet [sic] with his superiors Defendants Wan (Cpt)
4 and Adams (W) regularly in preparing and enforcing the CSATF yard and dayroom schedules
5 and imposing lockdowns as part of his duties and responsibilities as a supervisor of day to day
6 functions, activities, services, etc. of prisoners. (PSFR 4).” (Doc. 113, PDF 5.)

7 In PSFR 4, Plaintiff states “Defendant D. Beeler has been employed with CDCR from
8 1997 to May 2005 and was at all relevant times to the pending matter employed at CSATF as
9 Lieutenant [sic] responsible for among [sic] things the day to day functions, services, activities of
10 the prison program and for reviewing, investigating and responding to prisoners [sic] 602/appeal
11 grievances See Ex. ‘I’ D.” Exhibit “I” D is a set of interrogatories and responses Plaintiff
12 propounded on Defendant Beeler.

13 In PSFR 6, Plaintiff states that “[a]ll employees of the Department shall be responsible to
14 enforce the laws regulations and procedures which govern the actions and activities of prisoners
15 and of those persons who come into contact with prisoners See Ex. ‘III’.” Exhibit “III” is forty-
16 four (44) pages out of Title 15 of the California Code of Regulations and Plaintiff neither directs
17 the Court to a specific section therein, nor does he show any basis to impose responsibility on
18 prison personnel beyond ensuring that they perform their specific job duties in a manner which
19 comports with applicable laws, regulations, and procedures.

20 **2. Legal Standard**

21 “[A prison] grievance procedure is a procedural right only, it does not confer any
22 substantive right upon the inmates.” Azeez v. DeRobertis, 568 F. Supp. 8, 10 (N.D. Ill. 1982)
23 accord Buckley v. Barlow, 997 F.2d 494, 495 (8th Cir. 1993); see also Ramirez v. Galaza, 334
24 F.3d 850, 860 (9th Cir. 2003) (no liberty interest in processing of appeals because no entitlement
25 to a specific grievance procedure); Massey v. Helman, 259 F.3d 641, 647 (7th Cir. 2001)
26 (existence of grievance procedure confers no liberty interest on prisoner); Mann v. Adams, 855
27 F.2d 639, 640 (9th Cir. 1988). “Hence, it does not give rise to a protected liberty interest
28 requiring the procedural protections envisioned by the Fourteenth Amendment.” Azeez, 568 F.

1 Supp. at 10; Spencer v. Moore, 638 F. Supp. 315, 316 (E.D. Mo. 1986).

2 Actions in reviewing a prisoner’s administrative appeal cannot serve as the basis for
3 liability under a § 1983 action. Buckley, 997 F.2d at 495. The argument that anyone who knows
4 about a violation of the Constitution, and fails to cure it, has violated the Constitution himself is
5 not correct. “Only persons who cause or participate in the violations are responsible. Ruling
6 against a prisoner on an administrative complaint does not cause or contribute to the violation.”
7 Greeno v. Daley, 414 F.3d 645, 656-57 (7th Cir.2005) accord George v. Smith, 507 F.3d 605,
8 609-10 (7th Cir. 2007); Reed v. McBride, 178 F.3d 849, 851-52 (7th Cir.1999); Vance v. Peters,
9 97 F.3d 987, 992-93 (7th Cir.1996).

10 Further, when resolving a claim under the Eighth Amendment against individual
11 defendants, causation must be resolved via “a very individualized approach which accounts for
12 the duties, discretion, and means of each defendant.” Leer v. Murphy, 844 F.2d 628, 633-34 (9th
13 Cir. 1988) *citing with approval* Williams v. Bennett, 689 F.2d 1370, 1384 (11th Cir. 1982)
14 (“There can be no duty, the breach of which is actionable, to do that which is beyond the power,
15 authority, or means of the charged party. One may be callously indifferent to the fate of prisoners
16 and yet not be liable for their injuries. Those whose callous indifference results in liability are
17 those under a duty -- possessed of authority and means -- to prevent the injury.”)

18 **3. Discussion**

19 As stated above, Defendants submitted evidence that shows Defendant Beeler’s duties did
20 not include preparing yard or dayroom schedules or imposing lockdowns and that Defendant
21 Beeler’s only connection to Plaintiff was the review and denial of Plaintiff’s inmate appeal.
22 (Doc. 79, DUF 5; Doc. 80, Beeler Decl.¶ 3; Plntf. Dep. 66:25-67:2.) This is sufficient to meet
23 Defendants burden to shift the burden to Plaintiff to establish that a genuine issue as to any
24 material fact actually does exist. *See* Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475
25 U.S. 574, 586 (1986).

26 The evidence Plaintiff submitted is based on his *belief* that: Defendant Beeler’s duties
27 included meeting with Defendants Wan and Adams to prepare and enforce lockdowns and yard
28 and dayroom schedules (Doc. 113, PDF 3); Defendant Beeler’s mere employment with CDCR

1 for an extended period of years made him responsible for day to day functions, services, activities
2 of the prison program (Doc. 109, PSFR 4); and just by being a CDCR employee, Defendant
3 Beeler was responsible for enforcing the laws regulations and procedures which govern the
4 actions and activities of prisoners and of those persons who come into contact with prisoners (id.,
5 at PSFR 6). However, Plaintiff's mere belief in these statements does not make them true,
6 particularly where Plaintiff has not shown a basis to have personal knowledge of Defendant
7 Beeler's job duties and responsibilities to make his opinions admissible. F.R.E. 56 (c)(4).

8 The documentary evidence Plaintiff submitted is also insufficient to raise a triable issue
9 of fact. Plaintiff's references to the evidence he relies on in opposition to the defense statements
10 of fact do not include "a citation to the particular portions of any pleading, affidavit, deposition,
11 interrogatory answer, admission, or other document relied upon." Local Rule 260 and F.R.E.
12 56(c)(1(A). A plaintiff's submissions of multiple paged documents as exhibits with only
13 reference to the exhibit in general, without specifically directing the Court to the part of the
14 exhibit relied on, does not suffice to meet an opposing evidentiary burden.

15 Additionally, while the Court declines to read all of the pages Plaintiff submitted as
16 exhibits (i.e. forty-five (45) pages of Title 15 were attached as Exhibit III, referenced in PSFR 6),
17 the evidence referenced in PSFR 4 (Exhibit I, D) was reviewed and found not only to be
18 incomplete (lacking page 5), but the interrogatory responses therein that addressed Defendant
19 Beeler's job duties do not support Plaintiff's assertions in PSFR 4.⁷ The interrogatory responses
20 did not show that Defendant Beeler was responsible for "the day to day functions, services,
21 activities of the prison program" and there is no information in those responses suggesting that
22 Defendant Beeler's duties required him to "meet [sic] with his superiors Defendants Wan (Cpt)
23 and Adams (W) regularly in preparing and enforcing the CSATF yard and dayroom schedules
24 and imposing lockdowns as part of his duties and responsibilities as a supervisor of day to day
25 functions, activities, services, etc. of prisoners" as Plaintiff asserts.

26
27
28 ⁷ Though not required, the Court *may* consider materials in the record not specifically cited to by the parties.
Fed. R. Civ. P. 56(c)(3); Carmen, 237 F.3d at 1031.

1 Plaintiff fails to meet his burden to establish that Defendant Beeler had any responsibility
2 for scheduling yard and/or dayroom schedules and/or imposition of lockdowns. Defendant
3 Beeler’s only involvement in the issues raised in this case was in the review and handling of
4 Plaintiff’s inmate appeals thereon -- which does not provide a basis for liability in an action
5 under § 1983.

6 Defendants have met their burden of proving that no triable issue of fact exists as to
7 Defendant Beeler’s involvement in the allegations in this case. Since Plaintiff fails to
8 demonstrate the existence of genuine issues of material dispute as to Defendant Beeler’s
9 involvement in this case, Defendant Beeler is entitled to summary judgment on Plaintiff’s claims
10 against him.

11 **B. Eighth Amendment – Exercise/Cell Confinement**

12 **1. Legal Standard**

13 “[W]hile conditions of confinement may be, and often are, restrictive and harsh, they
14 ‘must not involve the wanton and unnecessary infliction of pain.’” Morgan v. Morgensen, 465
15 F.3d 1041, 1045 (9th Cir. 2006) (*quoting Rhodes v. Chapman*, 452 U.S. 337, 347 (1981)). The
16 Eighth Amendment, which protects prisoners from inhumane conditions of confinement, Farmer
17 v. Brennan, 511 U.S. 825, 833 (1994), is violated when prison officials act with deliberate
18 indifference to a substantial risk of harm to an inmate’s health or safety, *e.g.*, Farmer, 511 U.S. at
19 828; Thomas v. Ponder, 611 F.3d 1144, 1151-52 (9th Cir. 2010); Richardson v. Runnels, 594
20 F.3d 666, 672 (9th Cir. 2010).

21 Two requirements must be met to show an Eighth Amendment violation. Farmer, 511
22 U.S. at 834. First, the deprivation must be, objectively, sufficiently serious. Id. (quotation marks
23 and citation omitted). The objective component is contextual and responsive to contemporary
24 standards of decency. Hudson v. McMillian, 503 U.S. 1, 8 (1992). “Extreme deprivations are
25 required to make out an Eighth Amendment conditions-of-confinement claim.” Id., 503 U.S. at
26 9. “Because routine discomfort is part of the penalty that criminal offenders pay for their
27 offenses against society, only those deprivations denying the minimal civilized measure of life’s
28 necessities are sufficiently grave to form the basis of an Eighth Amendment violation.” Id.

1 (quotation marks and citations omitted).

2 Second, prison officials must have a sufficiently culpable state of mind, which for claims
3 regarding conditions of confinement is deliberate indifference. Farmer, 511 U.S. at 834
4 (quotation marks omitted). Prison officials act with deliberate indifference when they know of
5 and disregard an excessive risk to inmate health or safety. Farmer, 511 U.S. at 837 (quotation
6 marks omitted). Thus, prison officials may be held liable under the Eighth Amendment for
7 denying humane conditions of confinement only if they know that inmates face a substantial risk
8 of harm and they disregard that risk by failing to take reasonable measures to abate it. Id. at 847
9 (quotation marks omitted).

10 Inmates have a constitutional right to exercise and the denial of out-of-cell exercise for an
11 extended period of time is sufficiently serious to state a claim under the Eighth Amendment.
12 Thomas, 611 F.3d at 1151-52. There is no bright line in terms of how many hours of out-of-cell
13 exercise per week satisfy the Constitution. *See* Noble, 646 F.3d at 1139-43 (qualified immunity
14 applies as it is not established that no outdoor exercise or other privileges for approximately
15 fifteen months due to emergency circumstances constituted a constitutional violation: “it was not
16 clearly established in 2002 — nor is it established yet — precisely how, according to the
17 Constitution, or when a prison facility housing problem inmates must return to normal
18 operations”); Hebbe v. Pliler, 627 F.3d 338, 343-44 (9th Cir. 2010) (inmate impermissibly
19 required to choose between exercise and law library access during the eight hours a week
20 permitted out of his cell); Thomas, 611 F.3d at 1151-52 (no out-of-cell exercise for thirteen
21 months created “substantial risk of serious harm” to inmate); Pierce v. County of Orange, 526
22 F.3d 1190, 1211-13 (9th Cir. 2008) (at least two days a week for at least two hours total per week
23 provided sufficient exercise); LeMaire v. Maass, 12 F.3d 1444, 1457-58 (9th Cir. 1993) (no out-
24 of-cell exercise for most of a five-year period is an objectively serious deprivation); Allen v.
25 Sakai, 48 F.3d 1082, 1087 (9th Cir. 1994) (in-cell confinement for almost twenty-four hours a
26 day and forty-five minutes of outside exercise per week for a six-week period is an objectively
27 serious deprivation); Spain v. Proconier, 600 F.2d 189, 199 (9th Cir. 1979) (fewer than five hours
28 of exercise per week and no outdoor exercise for some inmates over a period of years is a

1 constitutional violation). Short-term, temporary deprivations of exercise without medical effects
2 are not sufficiently serious to support an Eighth Amendment claim, Thomas, 611 F.3d at 1155;
3 Norwood, 591 F.3d at 1070; May v. Baldwin, 109 F.3d 557, 565 (9th Cir. 1997); Allen, 48 F.3d
4 at 1088, but the deprivation of exercise for a period of six weeks can support a claim, Allen, 48
5 F.3d at 1088.

6 These standards apply to the multiple factual scenarios in this case which will be
7 addressed in the following order: Modified/Normal Program; Lockdowns Generally; Specific
8 Lockdowns (15); and Cumulative Effect (of individual lockdowns).⁸ A preliminary discussion of
9 the general background regarding the parties and the facility involved is helpful.

10 **2. General Background**

11 **a. The Parties**

12 At all relevant times, Defendant Adams was employed as the Warden of SATF;
13 Defendant Hansen was employed as Associate Warden of SATF; Defendant Wan was employed
14 as the second watch Facility C Program Lieutenant from December 3, 2003, to May 1, 2004,
15 acting Facility C Captain from May 1, 2004 until July 19, 2004, when he was promoted to the
16 Facility C Captain, and served as Facility C Captain until October 1, 2005; and Defendant Beeler
17 was employed as a correctional officer with the rank of Lieutenant, Facility D, at SATF.

18 Plaintiff is a level four prisoner who arrived at SATF on January 13, 2004. At all relevant
19 times, Plaintiff was a GP inmate, confined at SATF, in Facility IV-C, Building C-7, with a
20 cell-mate. On January 29, 2004, Plaintiff was classified and cleared for yard. On June 4, 2005,
21 Plaintiff was removed from SATF GP after he stabbed two correctional officers with a sharpened
22 piece of metal. Plaintiff has had approximately twenty rules violations, including approximately
23 three for possession of weapons; three for possession of contraband; three for assault on fellow
24

25 ⁸ From hereon, DUFs (Doc. 79) which were not brought into dispute by Plaintiff through the submission of
26 admissible evidence are generally stated. It is noteworthy that a considerable number of Plaintiff's attempts to raise
27 disputes rely on either Plaintiff's declaration that he lacks information and belief, or on exhibits with multiple pages
28 without directing the court to a specific page and/or item. As previously stated, Plaintiff's lack of information or
knowledge does not establish an issue of fact and the Court need not consider multiple paged exhibits where the
submitting party fails to cite to the specific portion relied on. Where applicable, adequately raised, and addressing a
material issue of fact, Plaintiff's opposing objections, specific evidence, and argument are discussed.

1 inmates; and two for assault on staff and he has been placed in the Security Housing Unit
2 (“SHU”) four times: in 1996—assault on an inmate; in 1999—assault on staff; in 2003—possession
3 of a weapon; and in 2005—assault on staff.

4 **b. SATF Facility IV-C**

5 SATF is a medium-maximum security state prison. It consists of seven Facilities,
6 (designated A-G), divided into four Complexes (designated I-IV), as follows: Complex I
7 consists of Facility A and Facility B, which are Level II (medium, dormitory setting) housing
8 facilities. Facility A is designed for sensitive needs (protective custody). Complex II consists of
9 Facility C, which is a Level IV, maximum-security (180° design) facility. It is called a “180°
10 Facility” because a guard standing in front of the buildings can see all buildings at once in a 180°
11 arc. Plaintiff was housed in Facility C. Complex III consists of Facility D, a Level IV
12 maximum-security (270° design) facility and Facility E, a Level III maximum-security (270°
13 design) facility. Both Facilities D and E are designed to house the sensitive needs (protective
14 custody) inmates. Complex IV consists of Facility F and Facility G, which are Level II substance
15 abuse treatment facilities (dormitory setting).

16 Facility C is the only Level IV maximum-security facility that houses Level IV GP
17 inmates. Facility C consists of eight housing buildings, designated C-1 through C-8. Plaintiff
18 was housed in Building C-7. Buildings C-1 through C-4 are separated by a wall from buildings
19 C-5 through C-8. Each group of four housing buildings are arranged in the 180° design.

20 From 2004 to 2005, approximately 1200 inmates were confined in Facility C. Buildings
21 C-1 to C-8 housed 1000 GP inmates. The gymnasium housed 200 Level II inmates.

22 Each housing building is divided in three sections of cells (A, B, and C) arranged
23 in 180°, with two tiers. In Building C-7, all three sections consist of 120-128 prisoners. Facility
24 C has two large recreation exercise yards, which are divided by a wall. Recreation Exercise Yard
25 #1 (Lower Yard) is for the inmates who are housed in Buildings C-1 through C-4. Recreation
26 Exercise Yard # 2 (Upper Yard) is for the inmates who are housed in Buildings C-5 through C-8.
27 These two large recreation yards both contain: a basketball court with two baskets, a baseball
28 diamond, an area to play soccer with two soccer goals, a sand volleyball court and net, a handball

1 court, a running track, four sets of chin-up and dip bars, several tables with seats, drinking
2 fountains, urinals, toilets, and sinks. Each housing building has a small, walled area, in a wedge
3 shape of approximately 2400 square feet. These small yards have no recreation equipment and
4 accommodate only 10 to 20 prisoners at a time. They were intended for SHU inmates, in the
5 event Facility C is converted to a SHU facility. Facility C has not been converted to a SHU
6 facility, so these yards have never been used for SHU inmate exercise.

7 During a lockdown, inmates were not permitted outdoor exercise in the main yard, the
8 small yards, or in any other area of SATF, because the risk of violence and injury was too great
9 to permit it. During a lockdown, the small exercise yard could not be used in lieu of the main
10 yard, because devoting custodial staff to escorting and supervision of inmates released to the
11 small yards 10 to 20 at a time would have impeded and delayed investigations identifying
12 instigators of the violence, thereby lengthening the time that all Facility C inmates would be
13 deprived of exercise on the main yard.

14 **3. Modified/Normal Program**

15 Before 1998, it was common to have all housing buildings in a facility released to yard at
16 the same time. However, the number of large scale inmate riots, violence perpetrated against
17 prison staff, and other violent incidents increased, making this practice too dangerous to
18 continue. When they attempted to intervene in violent incidents, staff were subjected to
19 intimidation, harassment, and at times, assault. Allowing all SATF Facility C inmates
20 simultaneous access to the exercise yard resulted in the untenably dangerous situation of having
21 1,200 prisoners out of their cells at once. It also allowed warring gangs to be simultaneously
22 present in the yard and almost certainly resulted in violence and/or riots. For the safety of the
23 prisoners and the staff, the practice of releasing all units in a facility to the yard at the same time
24 was stopped.

25 Subsequently, inmates were released to the exercise yard for recreation by housing
26 building and cell fed which initially was called “modified program.” Because each housing
27 building consists of approximately 128 inmates, and because known enemies and warring gangs
28 are not housed in the same building, the modified program resulted in a much safer yard for both

1 prisoners and staff. This modified program went into effect after the murder of an inmate on
2 March 27, 2003 by two gang members and was the normal program of operation (“Normal
3 Program”) in the GP during the time Plaintiff was at SATF – January 13, 2004 to June 5, 2005.

4 Under Normal Program, GP inmates in Facility C were allowed to attend work and
5 educational programs (if assigned); had regular visiting, canteen and telephone privileges;
6 attended religious services; and had access to the law library and the day-room. Also, these
7 inmates were released to the exercise yard and day-room for recreation by housing building
8 according to an established yard and dayroom schedule.

9 The established yard and day-room schedule provided two days a week for yard and one
10 day a week for day-room for inmates classified as A2B. Inmates who were “Involuntarily
11 Unassigned” for work or training under Cal. Code Reg. tit. 15, section 3044(b)(3) were classified
12 as A2B. Inmates who had work or training assignments under Cal. Code Reg. tit. 15, section
13 3044(b)(2) were classified as A1A. A2B inmates did not get yard or day-room on the weekends
14 or holidays because A1A inmates had weekday jobs such that they could not use the day-room or
15 yard during the week. Another reason the A2B inmates did not have yard and day-room on
16 weekends and holidays was that Facility C had less staffing (by at least ten officers) on weekends
17 and holidays.

18 During Normal Program, A2B inmates received five hours a week yard-time, (two and
19 a-half hours, two days a week) and one hour a week day-room, for a total of six hours of
20 out-of-cell time each week, not including religious services, law library, and telephone use.

21 Yard and day-room sessions were divided between morning and afternoon sessions. Yard
22 and day-room schedules were drawn up to allocate these sessions among the various housing
23 buildings. For example, housing Building C-7 could be allocated morning yard on Tuesdays,
24 afternoon yard on Thursdays, and afternoon day-room on Fridays. The written schedules were
25 sometimes interrupted by lockdowns, fog or other inclement weather, incidents on the yard,
26 emergency counts, or other safety considerations. The written yard schedules were frequently
27 updated and were not archived. The most accurate way of tracking an inmate’s actual yard and
28 day-room time was by the C-7 Housing Unit Officer Logbook (Yard Log) and the C-7 Control

1 Booth Officer Logbook (Control Booth Log). These logbooks recorded the days and hours that
2 each housing building was released to, and recalled from, yard or day-room.

3 Normal Program, under which Plaintiff and similarly classified inmates received five
4 hours a week yard-time, (two and a-half hours, two days a week) and one hour a week day-room,
5 for a total of six hours of out-of-cell time each week, not including religious services, law library,
6 and telephone must be examined under both the objective and subjective elements of a claim for
7 deprivation of exercise in violation of the Eighth Amendment.

8 **a. Objective Element - Sufficiently Grave Condition**

9 As previously stated, there is no bright line in terms of how many hours of out-of-cell
10 exercise per week satisfy the Constitution. However, some guidance can be gleaned from
11 various cases in this Circuit. *See Noble*, 646 F.3d 1138 (qualified immunity granted where no
12 outdoor exercise or other privileges for approximately fifteen months during and after a declared
13 state of emergency in response to a major riot); *Hebbe*, 627 F.3d at 343-44 (inmate
14 impermissibly required to choose between exercise and law library access during the eight hours
15 a week permitted out of his cell); *Thomas*, 611 F.3d at 1151-52 (no out-of-cell exercise for
16 thirteen months and twenty-five days found sufficiently serious to constitute a valid claim under
17 the Eighth Amendment); *Pierce*, 526 F.3d at 1211-13 (holding that ninety minutes of exercise per
18 week is not sufficient for inmates in administrative segregation who spend the bulk of their time
19 inside their cells, but upholding (as corrective of that violation) the lower court's order requiring
20 jail officials to allow those inmates to exercise at least two days a week for at least two hours
21 total per week); *Lopez*, 203 F.3d at 1132-33 (six week prohibition on outdoor exercise was
22 sufficiently serious to meet the objective requirement of an Eighth Amendment claim); *May*, 109
23 F.3d at 565-66 (twenty-one day denial of outdoor exercise while inmate in disciplinary
24 segregated housing, without medical effects, was not a substantial deprivation of exercise);
25 *Allen*, 48 F.3d at 1087 (in-cell confinement for almost twenty-four hours a day and forty-five
26 minutes of outside exercise per week for a six-week period is an objectively serious deprivation);
27 *LeMaire*, 12 F.3d at 1457-58 (no out-of-cell exercise for most of a five-year period is an
28 objectively serious deprivation); *Spain*, 600 F.2d at 199 (fewer than five hours of exercise per

1 week and no outdoor exercise for some inmates over a period of years is a constitutional
2 violation).

3 Further, “other courts have held that detainees who are held for more than a short time
4 and spend the bulk of their time inside their cells are ordinarily entitled to daily exercise, or five
5 to seven hours of exercise per week, outside their cells.” Pierce, 526 F.3d at 1212 (*citing*
6 Campbell v. Cauthron, 623 F.2d 503, 507 (8th Cir.1980) (holding that pre-trial detainees are
7 generally entitled to one hour of exercise outside their cells daily if they spend more than sixteen
8 hours in their cells); Housely v. Dodson, 41 F.3d 597, 599 (10th Cir. 1994) (overruled on other
9 grounds by Tucker v. Graves, 107 F.3d 881 (10th Cir. 1997) (“ ‘a failure to provide inmates
10 (confined for more than a very short period . . .) with the opportunity for at least five hours a
11 week of exercise outside the cell raises serious constitutional questions’ ”) (*quoting* Davenport v.
12 DeRobertis, 844 F.2d 1310, 1315 (7th Cir.1988) (addressing inmates in segregated housing)).

13 **(1) In General**

14 When compared to the circumstances in the cases just delineated, Normal Programming,
15 which was implemented to allow general population inmates classified like Plaintiff (A2B) to be
16 out of their cells at least six hours per week: five hours a week (divided evenly between two
17 days) for outdoor yard and one hour a week for dayroom activities (*see* Doc. 79, DUF 25), is not,
18 in and of itself, a sufficiently grave condition to meet the objective element of a claim under the
19 Eight Amendment. Defendants’ evidence shows that, as of the time that Plaintiff was confined
20 in SATF, Normal Programming allowed Plaintiff to be out of his cell at least six hours per week:
21 five hours a week (divided evenly between two days) for outdoor yard and one hour a week for
22 dayroom activities. (*See* Doc. 79, DUF 25.) This meets Defendants’ burden on the issue of
23 Normal Programming sufficiently to shift the burden to Plaintiff. Plaintiff argues that the
24 conditions of confinement he experienced did not equate to those outlined under Normal
25 Program and that Normal Program did not allow him the time out of his cell that he was entitled
26 to under California Code of Regulations section 3343(h).

27 **(2) As Experienced by Plaintiff**

28 Plaintiff generally alleges in the 2nd AC that he was confined to his cell 23-1/2 to 24

1 hours a day. (Doc. 26, 2nd AC, pp. 5-6.)

2 Plaintiff previously stated he did not record or even keep general track of lockdowns or
3 yard time. (Doc. 79, DUF 70, *citing* Plntf. Depo 35:2-23.) In contrast, Plaintiff now asserts that
4 he kept “mental notes and distinctly recall[s] that [he] was not afforded the minimum amount of
5 outdoor exercise/recreation of at least one hour a day, or two hours every other day for a
6 minimum of eight hours a week or the three times a week for a minimum of ten hours a week.”
7 (Doc. 113, PDF 70, *citing* PSFR 19.) This statement is not, in and of itself, evidence since
8 Plaintiff did not verify the PDF.⁹ (*Id.*) Further, in PSFR 19, Plaintiff states that the modified
9 program and rotating yard schedule denied him the “minimum required outdoor exercise/yard
10 time of one (1) hour a day, two (2) hours every other day for a minimum of eight (8) hours a
11 week, or three (3) times a week for a minimum of ten (10) hours a week¹⁰ and [that he] was
12 subject to extended and excessive lockdowns” and that the PSRs “give a brief but not complete
13 account of some, but not all [of] lockdowns.” Plaintiff then cites to Exhibit VIII, which is the
14 PSRs which, as attached to the PSFR, is found at pages 40-50 of Doc. 109-2, all of Doc. 109-3,
15 all of Doc. 110, and pages 1-14 of Doc. 110-1; and, as attached to the PDF, is found at pages
16 162-200 of Doc. 114, pages 1-100 of Doc. 115, and pages 1-12 of Doc. 116. Plaintiff provides
17 neither an explanation, nor further evidence to show that Exhibit VIII is incomplete or to show
18 inaccuracies in either the set of PSRs submitted by Defendants (Docs. 82-3 through 82-5), or
19 Associate Warden Wan’s abstract or summary of the C-7 Yard and C-7 Control Log. Thus, PDF
20 70, based on PSFR 19, does not raise a dispute or otherwise show that Plaintiff’s purely mental
21 recollection is sufficient to dispute Defendants’ evidence quantifying the time Plaintiff was
22 confined to his cell on a given week.

23 Further, Plaintiff’s contention that he was confined to his cell “on average” 23-1/2 to 24
24 hours a day is not based on any numeric calculation, but is just a “guesstimate.” (Doc. 79, DUF

25
26 ⁹ Even if Plaintiff verified the PDF, his contradictory deposition testimony on this point would prevail.
27 Block v. City of Los Angeles, 253 F.3d 410, 419, n. 2, (9th Cir. 2001) *ref.* Radobenko v. Automated Equip. Corp.,
520 F.2d 540, 544 (9th Cir. 1975).

28 ¹⁰ As discussed in the following section, this is the minimum out of cell time for SHU inmates as provided
by C.C.R. § 3343(h), but is inapplicable here since Plaintiff was a GP inmate.

1 71, *citing* Plntf. Depo 50:2-25; 51:1-23.) Plaintiff attempts to dispute this fact by admitting that
2 this statement “is not based on any mathematical calculations,” but arguing that “the record
3 supports that [he] was not provided, or even scheduled to receive the minimum required mandate
4 of outdoor exercise/recreation of one hour a day, or two hours every other day for a minimum of
5 eight hours a week or the three hours a week for a minimum of ten hours, and as such by
6 reasonable deductions this would demonstrate that [he] was confined to [his] cell for about 23 ½
7 to 24 hours a day regularly for extended periods from January 2004 to May 2005.”¹¹ (Doc. 113,
8 PDF 71, pp. 41-42, *citing* PSFRs 11, 19, 20, 21.) As just previously discussed, PSFR 19 is
9 insufficient to create a dispute of fact. *See supra* p. 24.

10 PSFR 11 does not state anything regarding the length of time Plaintiff was confined to his
11 cell; rather in it Plaintiff states that he filed several inmate appeals regarding being confined to
12 his cell and references “Ex. ‘XVI’ A&B.” Neither the copy of Ex. XVI attached to the PDF, nor
13 that attached to the PSFR, contain subsections labeled “A,” “B,” or “A&B.” Ex XVI to the PDF
14 and the PSFR contain copies of the minutes of meetings between staff and inmates regarding
15 programming. (Doc. 112, Ex. XVI to PDF, pp. 97-105, Doc. 113-1, Ex. XVI to PSFR, pp. 125-
16 134.) Neither of the sets of documents submitted as Ex. XVI, nor PSFR 11 show that Plaintiff’s
17 contention that he was confined to his cell “on average” 23 ½ to 24 hours a day is based on a
18 numeric calculation or a contemporaneous recording of events. Thus, Plaintiff does not raise a
19 dispute to the fact that his contention that he was confined to his cell “on average” 23 ½ to 24
20 hours a day is nothing more than a “guestimate” as submitted by Defendants in DUF 71.

21 PSFR 20 states that “Daily log reports for H.U. 7 show that from January 2004 to May
22 2005 that [sic] while Plaintiff was housed at STAF for approximately five hundred and eight
23 (508) day [sic] was confined to [his] cell for approximately four hundred and forty [sic] seven
24 (447) days.” (Doc. 109, PSFR 20, *citing* “Ex. ‘IX.’”) This statement neither explains how the
25 records attached as “Ex. ‘IX’” “show” the amount of days Plaintiff was confined to his cell, nor
26 any basis thereon for Plaintiff to assert such a fact. Ex. IX to the PDF is found at pages 15-70 of
27

28 ¹¹ Once again, Plaintiff errantly relies on the exercise schedules set by C.C.R. § 3343(h) which is applicable to SHU inmates.

1 Doc. 110-1, pages 1-55 of Doc. 110-2, pages 1-75 of Doc. 111, and pages 1-27 of Doc. 111-1.
2 Ex. IX to the PSFR is found at pages 13-101 of Doc. 116, pages 1-75 of Doc. 117, and pages 1-
3 56 of Doc. 118. PSFR 20 does not raise a dispute to DUF 71 as, despite the volume of
4 documents referred to therein, Plaintiff fails to cite to particular portions of the documents that
5 support his opposition, and does not set forth arguments explaining how the documents
6 submitted as Ex. IX supports the arguments and allegations made in his brief. *See Carmen*, 237
7 F.3d at 1029. Further, though the PSFR is verified, Plaintiff fails to show that PSFR 20 is made
8 on his personal knowledge, or to give any explanation to cause the blanket submission of the
9 documents in Ex. IX and his summary statement in PSFR 20 to supercede his deposition
10 testimony upon which DUF 71 is based.

11 In PSFR 21, Plaintiff provides a chronology of the dates he was confined to his cell and
12 cites to Exhibit X – which as attached to the PSFR, is found at pages 28-48 of Doc. 111-1, and is
13 attached to the PDF at pages 57-76 of Doc. 118. In PSFR 21, Plaintiff states that his cell
14 confinement included, but was not limited to the segments of time he listed, but he once again
15 neither explains how the dates are incomplete, nor contradicts the dates and explanations
16 provided in support of this motion via Defendant Wan’s declaration and exhibits which are found
17 at Docs. 82 through 82-6. (Doc. 109, PSFR 21, p. 7.)

18 Thus, Plaintiff fails to meet his burden as he does not establish by admissible evidence
19 that (aside from lockdowns which will be subsequently discussed) he did not receive time out of
20 cell as scheduled under Normal Program. Accordingly, Normal Program as experienced by
21 Plaintiff did not present a sufficiently grave condition to meet the objective element of a claim
22 under the Eight Amendment and Defendants are entitled to summary judgment on this aspect of
23 Plaintiff’s claims against them.

24 **(3) C.C.R. Sect. 3343(h)**

25 In his opposition, Plaintiff repeatedly states that he did not receive ten hours a week of
26 outside exercise and/or recreation yard. (Doc. 109, PSFR 13, p. 5; Doc. 113, PDF, pp. 12, 16-18,
27 41-42.) Plaintiff apparently bases such assertions on California Code of Regulations section
28 3343(h) which provides that “[i]nmates assigned to special purpose segregation housing” (i.e.

1 SHU, or ASU) are to receive “a minimum of one hour per day, five days a week, of exercise
2 outside their rooms or cells unless security and safety considerations preclude such activity.
3 When special purpose segregated housing units are equipped with their own recreation yard, the
4 yard periods may substitute for other out of cell exercise periods, providing the opportunity for
5 use of the yard is available at least three days per week for a total of not less than 10 hours a
6 week.” Section 3343(h) clearly states that it applies to SHU inmates, not GP inmates.

7 SATF’s C housing buildings have a small, walled, wedge shaped area which were
8 intended for use by SHU inmates if Facility C was converted to a SHU facility. (Doc. 79, DUF
9 20, p. 5.) Yet Facility C has not been converted to a SHU facility, so these yards have never been
10 used for SHU inmate exercise. (*Id.*) However, even if such yards were used for SHU inmate
11 exercise, it is of no consequence since Plaintiff admits that, at all relevant times, he was a general
12 population inmate. (Doc. 79, DUF 9; Doc. 113, PDF 9, p. 4, *citing* PSFRs 8, 9.) Thus, since
13 housed in the general population and not in segregated housing, the requirements of section
14 3343(h) did not apply to Plaintiff. Even if applicable, section 3343(h) is not a rigid absolute as it
15 provides that the hourly requirements for exercise outside of cells for segregated housing inmates
16 applied “unless security and safety considerations preclude such activity.” Accordingly,
17 Plaintiff’s arguments that Defendants were remiss for not providing him with a minimum amount
18 of hours out of his cell every week fail to establish a sufficiently grave condition to meet the
19 objective element of a claim under the Eight Amendment.

20 Normal Program, and it’s application to Plaintiff under which he and similarly classified
21 inmates were scheduled to receive five hours a week yard-time (two and a-half hours, two days a
22 week) and one hour a week day-room, for a total of six hours of out-of-cell time each week, not
23 including religious services, law library, and telephone does not constitute a sufficiently serious
24 deprivation of Plaintiff’s rights under the Eighth Amendment to meet the objective element of his
25 claim.

26 **b. Subjective Element - Deliberate Indifference**

27 However, limited to the resolution of this motion, even if it is assumed without deciding
28 that the deprivation at issue was sufficiently grave to satisfy the objective element of an Eighth

1 Amendment claim, the subjective element of Plaintiff's claim is not met.

2 Lawful incarceration brings about the necessary withdrawal or limitation of many
3 privileges and rights. Bell, 441 U.S. at 545-46 (citation and quotation marks omitted); *also*
4 Hudson v. Palmer, 468 U.S. 517, 524 (1984). It is well-established that the problems that arise in
5 the day-to-day operation of a corrections facility are not susceptible of easy solutions and prison
6 administrators should be accorded wide-ranging deference in the adoption and execution of
7 policies and practices that in their judgment are needed to preserve internal order and discipline
8 and maintain institutional security. Bell, 441 U.S. at 545-46; *also* Whitley v. Albers, 475 U.S.
9 312, 321-22 (1986); Rhodes, 452 U.S. at 348-51; Noble, 646 F.3d at 1143; Norwood, 591 F.3d at
10 1066.

11 A prisoner's right to outdoor exercise is neither absolute and infeasible nor does it
12 trump all other considerations. Norwood, 591 F.3d at 1068. Prison officials have a duty to
13 ensure the safety and security of inmates and staff and this imperative must be balanced against
14 other legal obligations, including outdoor exercise. Id. at 1069. Prison officials have a right and
15 a duty to take the necessary steps to reestablish order in a prison when such order is lost, id., and
16 they are entitled to wide-ranging deference in their discharge of this responsibility, so long as that
17 deference does not manifest deliberate indifference or an intent to inflict harm, Noble, 646 F.3d
18 at 1143.

19 Defendants' evidence shows that Normal Program was implemented out of safety and
20 security concerns which arose from a number of large scale riots and violent incidents against
21 both staff and inmates and culminated in an inmate's murder. (Doc. 79, DUF 22.) The Court is
22 not in the position to lightly second-guess the expert judgment needed to make these decisions,
23 Norwood, 591 F.3d at 1069, or otherwise micromanage prisons, Noble, 646 F.3d at 1143, 1147.

24 As previously discussed, *see supra* Part VI.B.3., Defendants evidence shows that, before
25 1998, when all housing buildings in a facility were released to yard at the same time
26 (approximately 1,200 inmates), there were a number of large scale inmate riots, violence
27 perpetrated against the staff, and other violent incidents increased which made this practice too
28 dangerous to continue. (Doc. 79, DUF 22.) This practice allowed warring gangs to be present in

1 the yard at the same time which almost certainly resulted in violence, or a riot. (Id.) Staff who
2 attempted to intervene in violent incidents were subject to intimidation, harassment, and at times,
3 assault. (Id.) Normal Program was implemented for the safety of the prisoners and the staff
4 subsequent to the murder of an inmate on March 27, 2003 by two gang members. (Id.)

5 Plaintiff objects to Defendants' evidence arguing that they have not been qualified as
6 expert witnesses, which as previously discussed, is overruled. *See supra* Part III.B.2.. Plaintiff
7 also argues that, "before any prisoner is cleared for or placed in G.P. all prisoners are subject to a
8 stringent and thorough review before a Initial Classification Committee (I.C.C.) for review and
9 consideration of the prisoners [sic] Central File and review and consideration of any and all
10 security, or safety concerns." (Doc. 113, PDF 22, *citing* PSFR 8.) In PSFR 8, Plaintiff states that
11 "[t]he Classification Committee must assign a custodial classification to each prisoner in
12 accordance with custody classification proscribed by the Department" and that "the senior
13 custodial officer . . . may temporarily increase the custody classification of a prisoner at any time
14 . . . necessary to protect the safety and good order of the institution." (Doc. 109, PSFR 8.)
15 Plaintiff further states that this increase is "subject to classification review at the next regular
16 meeting per C.C.R. 3272 and that at all times [he] was assigned to General Population (G.P.)
17 with no known enemies housed on the same yard or same housing unit (H.U.s). . . ." (Id.) In
18 PSFR 8, Plaintiff cites to "Ex 'IV' (a) & C.C.R. 3378." (Id.) Exhibit IV to the PSFR contains no
19 documents. (Doc. 109-2, p. 3.) Exhibit IV to the PDF contains a copy of Plaintiff's
20 Classification Review Form which, contrary to Plaintiff's assertion, actually notes that Plaintiff
21 had known enemies and does not indicate that none of Plaintiff's known enemies were housed on
22 the same yard or same housing unit. (Doc. 114, p. 102, ("Enemies: Yes, Noted on CDC 812.").)
23 While a copy of C.C.R. § 3378 is contained in Exhibit III to the PSFR, it is more than two pages
24 of single spaced, double columned, small font material addressing "Documentation of Critical
25 Case Information." (Doc. 109-1, PSFR Ex. III, pp. 15-17.) Plaintiff does not indicate his basis
26 for asserting, and the Court is unable to ascertain any basis to find, that C.C.R. § 3378 disproves
27 the facts asserted by Defendants' underlying the implementation of Normal Program (DUF 22).

28 Defendants have met their burden to show that Normal Program was implemented due to

1 safety and security concerns and not in deliberate indifference in violation of the Eighth
2 Amendment. Plaintiff has not met his burden to establish the contrary such that Defendants are
3 entitled to summary judgment on this aspect of Plaintiff's claims against them.

4 **4. Lockdowns – General Information**

5 The suspension of normal operations of a prison is commonly called a “lockdown,”
6 because all, or a portion, of the inmate population are confined to their cells. (Doc. 79, DUF 27.)
7 When an institution is placed on lockdown, status reports are issued and circulated which outline
8 information concerning the plan of operation for that day, or the next few days. (Id.) These
9 reports are called Program Status Reports (“PSRs”) and include notification to both staff and
10 inmates. (Id.) These PSRs are generated until the time that the institution, or the specific
11 facility, that is on lockdown returns to Normal Program. (Id.)

12 Between January 29, 2004 through June 4, 2005, PSRs were issued for C-Facility at
13 SATF. (Id., at DUF 28.) The PSRs identify what type of program is available to the inmates,
14 note the event that precipitated the lockdown or program modification, and identify what areas
15 and inmates are affected. (Id.) The PSRs also specify whether inmates must be escorted and
16 whether they have access to the day room, recreation yard, canteen, visits, and phone calls. (Id.)

17 Plaintiff attempts to oppose this fact (DUF 28) by arguing that the PSRs were not issued
18 to GP inmates who were generally not notified of program changes and that various activities
19 would be cancelled, suspended, or terminated without notice. (Doc. 113, PDF 28 *citing* PSFR 53
20 *citing* PSFR 13 -- which states Plaintiff filed an administrative appeal (602) that not being
21 afforded at least ten hours out of his cell per week created a hostile and frustrating living
22 environment, referencing Ex. V, A & B at Doc. 114, pp. 104-155.) This evidence does not raise
23 a dispute of fact as Defendants' statement of fact states neither that PSRs were distributed to GP
24 inmates, nor that they were notified of program changes. (Doc. 79, DUF 28.)

25 Further, Plaintiff argues that the PSRs were inaccurate since he was subjected to cell
26 feeding during all relevant times. (Doc. 113, PDF 28 *citing* PSFR 40 which states a number of
27 changes to rules within the California Code of Regulations that have gone into effect since 1995
28 *citing* a copy of various code sections submitted in Ex. III in Doc. 114, pp. 32-99 and Doc. 109,

1 p. 8 through Doc. 109-2, p. 2.) DUF 28 does indicate that Plaintiff was not subjected to cell
2 feeding, so Plaintiff's response in that vein is misdirected. Further, PDF 28 and PSFR 40 do not
3 raise a triable issue of fact since, even if one of the code sections Plaintiff lists required he not be
4 subjected to cell feeding, "a violation of state . . . law can serve as the basis of a [S]ection 1983
5 action '[w]here the violation of state law causes the deprivation of rights protected by the
6 Constitution.'" Hallstrom v. City of Garden City, 991 F.2d 1473, 1482 (9th Cir. 1993) (*quoting*
7 Draper v. Coombs, 792 F.2d 915, 921 (9th Cir.1986) (*quoting* Wirth v. Surles, 562 F.2d 319, 322
8 (4th Cir.1977), cert. denied, 435 U.S. 933 (1978))). In other words, where violation of state law
9 does not cause a deprivation of rights protected by the Constitution, a mere violation of that state
10 law is not, in and of itself, actionable under Section 1983 for violation of a federal constitutional
11 right.

12 When a disturbance or an incident of violence occurs, the first actions taken are those
13 necessary to end the disturbance and to provide medical treatment to those who are injured. (Id.,
14 at DUF 29.) Administrative staff, including, but not limited to, the Warden, the Administrative
15 Officer of the Day, the Captain and the Regional Administrator are notified. (Id.) All necessary
16 paperwork is then completed, which may include documentation necessary to confine inmates to
17 administrative segregation, as well as reports of the staff observing or involved in the control of
18 the incident. (Id.)

19 After a lockdown is imposed, an investigation of the incident(s) is initiated to determine
20 the cause of the disturbance and to assist in determining whether it is safe to release inmates from
21 lockdown status. (Id., at DUF 30.)

22 Plaintiff attempts to oppose this fact (DUF 30) by arguing that all "C" Facility GP
23 inmates were locked-down, regardless of the incident or disturbance even though "all incidents
24 and or disturbances at SATF "C" Facility were limited to single unit housing buildings and were
25 isolated and spontaneous. (Doc. 113, PDF 30, *citing* Doc. 109, PSFRs 19, 21.) As previously
26 discussed, neither PSFR 19 nor 21 are sufficient to create a factual dispute. *See supra* Part
27 VI.B.3.a.(2). Citing PSFR 54, Plaintiff also argues that the lockdowns imposed at SATF "C"
28 Facility from January 2004 to May 2005 were an over-exaggerated response by Defendants.

1 (Doc. 113, PDF 30, p. 22.) However, PSFR 54 also does not create a factual dispute as it merely
2 reiterates this statement, refers generally to cases Plaintiff cited in his opposing memorandum of
3 points and authorities, and does not contain any admissible evidence. (Doc. 109, PSFR 54, p.
4 17.)

5 All inmates in the area in which the lockdown is imposed are interviewed. (Id., at DUF
6 31.) All cells and common areas are searched. (Id.) Members of the Men’s Advisory Council
7 (MAC reps.-- elected inmate representatives) are interviewed to both develop and substantiate
8 information. (Id.) Central files are reviewed to verify information. (Id.) When the investigation
9 is complete, it is the responsibility of the Captain to develop a plan for releasing inmates from
10 lockdown status. (Id.) Plaintiff does not specifically contradict DUF 31, but rather states that he
11 was not personally among those interviewed before inmates were released from lockdowns.
12 (Doc. 113, PDF 31.) The fact that Plaintiff was not interviewed does not amount to a factual
13 dispute, particularly since Defendants’ evidence does not indicate that every inmate was
14 interviewed, but rather that MAC reps were interviewed and Plaintiff does not submit any
15 evidence that he was a MAC rep. Further, while Plaintiff cites to PSFR 51 as supportive of PDF
16 31, PSFR 51 does not contain or refer to any evidence. PSFR 51 states that interviews were not
17 conducted with prisoners effected by the lockdowns prior to release or reinstatement of limited
18 services and states that “[t]hreat assessments and interviews were conducted only when there was
19 an incident, or alleged planned attack on staff” (emphasis in original). Plaintiff neither asserts,
20 nor submits any evidence to show that he was a MAC rep who should have been interviewed.
21 Rather, his statement in PSFR 51 is consistent with Defendants’ DUF 31 and does not create a
22 dispute of fact.

23 Two officers require at least thirty minutes to thoroughly search one cell. (Id., at DUF
24 32.) While it may take anywhere from 15 to 20 days to complete a search of Facility C, this time
25 may be significantly extended if during the search critical information is discovered necessitating
26 further searches. (Id.)

27 In PDF 32, Plaintiff attempts to dispute that it takes thirty minutes to search a cell -- DUF
28

1 32. Therein, Plaintiff cites to PSFR 11 and “3190(c).” In PSFR 11, Plaintiff does not state
2 anything regarding the length of time required to search a cell; rather, in it, Plaintiff states that he
3 filed several inmate appeals regarding being confined to his cell and references “Ex. ‘XVI’
4 A&B.” As previously noted, *see supra* Part VI.B.3.a.(2), neither the copy of Ex. XVI attached to
5 the PDF, nor that attached to the PSFR, contain subsections labeled “A,” “B,” or “A&B.” Ex
6 XVI contains copies of the minutes of meetings between staff and inmates regarding
7 programming. (Doc. 112, Ex. XVI to PDF, pp. 97-105, Doc. 113-1, Ex. XVI to PSFR, pp. 125-
8 134.) Section 3190(c) of Title 15 merely states that “the combined volume of state-issued and
9 allowable personal property items shall not exceed six cubic feet. . . .” It does not address the
10 length of time needed to adequately search a cell. Neither of the copies submitted as Ex. XVI,
11 nor PSFR 11 show any basis to dispute that it takes at least thirty (30) minutes to thoroughly
12 search one cell or from 15 to 20 days to search Facility C as submitted by Defendants in DUF 32.

13 If the violence is gang related or racially motivated, the lockdown may be limited to a
14 particular ethnic group, housing building, or gang. (*Id.*, at DUF 33.) When possible, lockdowns
15 were narrowly applied to the particular gang, racial group, or housing building causing the
16 violence. (*Id.*)

17 Plaintiff attempts to dispute that lockdowns were imposed narrowly to particular gangs,
18 racial groups, or housing unit (DUF 33) by arguing: that Defendants imposed highly restrictive
19 modified program upon all GP inmates even after Defendants had identified particular groups of
20 prisoners who were disruptive or involved in a particular incident; that implementation of the
21 modified program, which was Normal Program when Plaintiff was housed at SATF, was based
22 on an “out dated incident that Defendants identified as involving a specific group of prisoners;”
23 that it was common for Defendants to “impose modifications and/or lockdowns upon the entire
24 GP prisoners even where and when they identified specific groups and even where and when
25 Defendants knew that the incident or disruption was limited to, or involved, a specific housing
26 unit/building.” (Doc. 113, PDF 33 *citing* PSFRs 19, 21.) PDF 33 does not contain any evidence
27 to support these assertions by Plaintiff and, as previously discussed, PSFRs 19 and 21 are
28

1 insufficient to create a dispute of fact. *See supra* Part VI.B.3.a.(2).

2 The investigative process, and the process of releasing inmates from a lockdown, can
3 include individual and group interviews with all inmates. (*Id.*, at DUF 34.) It can also include
4 the release of inmate “representatives” for the purpose of allowing them to speak with other
5 inmates about the lockdown and any ongoing disputes between various ethnic groups. (*Id.*)
6 Other efforts may include attempts to mediate any underlying dispute among the inmate groups.
7 (*Id.*)

8 Plaintiff attempts to dispute DUF 34 by arguing that the lockdowns imposed during the
9 times in dispute in this action and Defendants’ investigation measures of the entire GP
10 population were an exaggerated response to incidents since Defendants “knew that the incident
11 involved a specific individual/group/housing unit.” (Doc. 113, PDF 34, *citing* PSFR 54.) PDF
12 34 does not contain any evidence to support this assertion by Plaintiff and, as previously
13 discussed in this section, PSFR 54 is insufficient to create a dispute of fact as it does not contain
14 any admissible evidence.

15 During the lockdown, in addition to being occupied with efforts to investigate and
16 identify the instigators of the violence, custodial staff have to conduct a greater-than-usual
17 number of searches for weapons and other contraband and have to escort inmates to showers and
18 the medical clinic, which was not required under ordinary conditions. (*Id.*, at DUF 35.)

19 Plaintiff states that he disputes DUF 35 and argues that Defendants “do not produce any
20 documentation to show or support that the incident identified during relevant times extended, or
21 involved other housing units/buildings or the whole GP which would justify imposing
22 institutional lockdowns or require investigation of the entire GP” (Doc. 113, PDF 35.)
23 However, Defendants submit Defendant Wan’s declaration which specifically states this
24 paragraph verbatim. (Doc. 82, Wan Decl., ¶ 32.) Plaintiff does not submit any evidence to
25 contradict this statement to raise a dispute as to this statement of fact.

26 **5. Specific Lockdowns**

27 **a. Defendants’ Facts**

1 Defendants submitted evidence which shows that even though there were multiple
2 lockdowns during Plaintiff's tenure at SATF, the longest single lockdown lasted twenty days –
3 from July 7, 2004 through July 26, 2004. (Doc. 82-1, Attach. #1 to Wan Decl.) In May, 109
4 F.3d at 565-66, the Ninth Circuit held that a twenty-one day denial of outdoor exercise to an
5 inmate, who was housed in disciplinary segregated housing, who did not have medical effects,
6 did not amount to a substantial deprivation of exercise.

7 Defendants' evidence further shows that Plaintiff was housed with a cell-mate in the
8 general population at SATF – not segregated housing. (Doc. 79, DUFs 8-10.) Inmates in
9 segregated housing do not get yard or day-room with other inmates, do not have cell-mates, do
10 not have radio or television in their cells, and thus have much less social contact and more
11 sensory deprivation than general population inmates. (*Id.*, DUF 72, *citing* Wan Decl. ¶ 36.)

12 **b. Plaintiff's Opposition**

13 Plaintiff does not submit any evidence to address the actual length of any given
14 lockdown; rather, as previously discussed, he contends “that [he] was confined to [his] cell for
15 about 23 ½ to 24 hours a day regularly for extended periods from January 2004 to May 2005.”
16 (Doc. 113, PDFs 70, 71, *citing* PSFRs 11, 19, 20, 21.) However, as previously discussed, PDFs
17 70 and 71 and PSFRs 11, 19, 20, and 21 are insufficient to create a factual dispute. *See supra*
18 Part VI.B.3.a.(2).

19 Plaintiff admits that he was housed with a cell-mate in the general population at SATF –
20 not segregated housing. (Doc. 113, PDFs 8-10.) While Plaintiff also admits that segregated
21 housing unit prisoners do not get dayroom by themselves or with other prisoners, he attempts to
22 dispute the remainder of DUF 72. (Doc. 113, PDF 72 *citing* PSFRs 28, 29, 31.)

23 In PSFR 28, Plaintiff states that it is standard state policy that “ASU/SHU prisoners are
24 allowed yard, visitations, state clothing, reading material, legal work, meals in their cells,
25 required to accept cell-mates, canteen, appliances, law library, etc., contrary to what Defendant(s)
26 claim.” (Doc. 109, PSFR 28 *citing* “Ex. ‘III’ 3044(g), 3190(j)(3), 3335, 3343.”) Exhibit III to
27 the PDF is found at Doc. 114, pp. 32-99, Exhibit III to the PSFR is found at Doc. 109-1, p. 8
28

1 through Doc. 109-2, p. 2. Both Exhibit IIIs contain copies of various sections of the California
2 Code of Regulations. Section 3044(g) addresses SHU housing and notes that such inmates are
3 not to receive family visitation, that yard access for SHU inmates is limited by local
4 institution/facility security needs and that they are to have “no access to any other recreational or
5 entertainment activities,” and that they are to receive only one-fourth the maximum monthly
6 canteen draw. Section 3190(j)(3) provides that SHU inmates may possess or acquire one
7 television or one radio or one television/radio combination unit. Section 3335 appears
8 inapplicable as it addresses Administrative Segregation and Plaintiff does not indicate which of
9 the fifteen subsections of this code section he feels applies to dispute DUF 72. While Plaintiff
10 underlined subsection (c) of section 3335, that subsection only addresses the requirement that an
11 inmate’s placement in segregation shall be reviewed within ten days by the ICC. Section 5343
12 addresses conditions of Administrative Segregation housing, which appear to be much less
13 restrictive than the conditions in segregated housing for disciplinary reasons. Overall, the code
14 sections Plaintiff cites and submits appear to show that inmates in Administrative Segregation
15 are subjected to less restrictive housing conditions than those in disciplinary segregated housing.
16 Yet they do not show either differences or similarities between conditions in the general
17 population and segregated housing units. As such, PSFR 28 does not raise a triable dispute as to
18 DUF 72.

19 In PSFR 29, Plaintiff states that “refusing to accept a cellmate and assigned housing will
20 result in a written Rule Violation Report (RVR) and disciplinary actions.” (Doc. 109, PSFR 29,
21 *citing* “Ex. ‘III’ 3315(5)(M)(N), 3269, 3269.1.”) Section 3315 defines what activities qualify as
22 serious rule violations. Subsections (5)(M) and (5)(N) of this section address violations for
23 refusing to accept assigned housing and or to accept an inmate housing assignment of subsection
24 3005(c). However, Plaintiff does not provide any basis to infer subsections (M) and (N) of
25 subsection (5) apply to inmates in segregated housing and such extension is not logically made
26 particularly in light of the fact that subsection (L) of subsection (5) specifically states that it
27 applies to “inmates placed in ASU, SHU, PSU,” whereas subsections (M) and (N) contain no
28

1 such characterizations. Section 3269 addresses inmate housing assignments and contains a
2 number of subsections – specifically which one Plaintiff relies on is unstated and so unknown.
3 However, 3269(c) states “. . . [u]nless approved for single cell assignment, an inmate in ASU or
4 SHU is expected to share a cell with another inmate.” While section 3269(c) appears to require
5 inmates in ASU or SHU to cell with another inmate, Plaintiff does not submit any evidence to
6 show that all inmates in ASU or SHU have cell-mates as opposed to inmates in the general
7 population. Section 3269.1 does not appear applicable to the differences in housing conditions
8 for general population inmates as opposed to inmates housed in ASU or SHU as it relates to
9 integrated housing and subsection (e) therein states, among other things, that an inmate who
10 “refuses to be housed in appropriately determined housing, . . . shall be subject to the disciplinary
11 process . . . and shall be considered . . . for placement in more restrictive housing such as an ASU
12 or a SHU.” Thus, PSFR 29 does not raise a triable dispute as to DUF 72.

13 In PSFR 31, Plaintiff asserts that ASU and SHU inmates are “often cleared for and
14 approved for controlled compatibility group yards” and that “Plaintiff/Williams has been
15 cleared/approved for group yard, outdoor exercise/recreation after being placed in ASU and
16 SHU” while housed at facilities other than SATF. (Doc. 109, PSFR 31, *citing* “Ex.s ‘XII’ & ‘IV’
17 128,” (emphasis in original).) Exhibit XII to the PDF is found at page 134 of Doc. 113 through
18 page 8 of Doc. 113-1. Exhibit XII to the PSFR is found at pages 17 through 31 of Doc. 112.
19 Exhibit XII is the chronological history of Plaintiff’s transfers among state facilities. While this
20 document shows that Plaintiff has been housed at a variety of California prison facilities, it does
21 not show that the conditions under which Plaintiff may have been cleared and approved for group
22 yards at other facilities while he was housed in ASU or SHU, should or would apply to housing
23 of ASU and SHU inmates at SATF. As previously discussed, *see supra* Part VI.B.3.b., Exhibit
24 IV to the PSFR does not contain any documents to review and that same exhibit to the PDF
25 contains Plaintiff’s classification sheet. The Court is unable to ascertain, and Plaintiff does not
26 provide any information and/or indication as to how he believes his classification sheet from his
27 reception at SATF provides, a basis of dispute for DUF 72.

1 Thus, Plaintiff fails to raise a triable dispute as to DUF 72.

2 Further, while Plaintiff argues that Defendants' failure to provide him with ten hours a
3 week¹² of outdoor exercise caused him "to suffer extended and excessive cell confinement
4 headaches, dizziness, stiff/sore joints/muscles, etc." (e.g. Doc. 113, Opp. P&A, 60:21-28, *citing*
5 PSFR 44), as previously discussed, Plaintiff is not a medical expert and cannot testify as to the
6 causation of his medical conditions such that PSFRs 44 and 45, in Doc. 109, are inadmissible.
7 *See supra* Part III.A.3. Thus, Plaintiff fails to establish that any of the individual lockdowns he
8 was subjected to caused medical sequela.

9 Accordingly, since the individual segments of lockdown time were relatively brief and
10 without medical effect, none of them, individually, equate to a sufficiently serious deprivation to
11 meet the objective element of Plaintiff's claim of being deprived of exercise in violation of the
12 Eighth Amendment.

13 Next, the lockdowns which Plaintiff was subjected to while he was housed at SATF, from
14 January 2004 through June 2005, must be reviewed for cumulative effect.

15 **6. Cumulative Effect of Lockdowns**

16 The evidence presented by Defendants regarding the specific dates that Plaintiff, and
17 inmates like him, were on lockdown are reviewed and specific opposition raised by Plaintiff to
18 individual lockdowns is next reviewed. However, as previously discussed, neither Plaintiff's
19 "mental notes" nor "guesstimate" that he was confined to his cell on average 23-1/2 to 24 hours a
20 day while at SATF meet his burden to establish a triable issue of fact (*see supra* Part VI., B., 3.,
21 a., (2)) and they need not be considered in this segment of discussion.

22 **a. Defendants' Facts**

23 Only those lockdowns affecting Black inmates in building C-7 affected Plaintiff. (Doc.
24 79, DUF 36.)

25 **b. Plaintiff's Opposition**

26
27 ¹² As previously discussed, since he was a general population inmate, the requirement of ten hours of
28 exercise per week did not apply to Plaintiff. *See supra* Part VI.B.3.a.(3).

1 Plaintiff argues that the entire GP “C” Facility was directly and indirectly affected by all
2 lockdowns during the time frames at issue in this action. (Doc. 113, PDF 36, *citing* PDF 34,
3 Doc. 109, PSFRs 19, 20, 21.) In PDF 36, Plaintiff states that he disputes DUF 36. However,
4 PDF 36 does not present any admissible evidence and relies on PDF 34 and PSFRs 19, 20, and
5 21. PDF 34 relies on PSFR 54 which, as previously discussed, is insufficient to raise a dispute of
6 fact, *see supra* Part VI.B.4, as are PSFRs 19, 20, and 21, *see supra* Part VI.B.3.a.(2).

7 Further, Plaintiff ignores the fact that Defendants cite Plaintiff’s own deposition
8 testimony in support of the fact that he was only affected by lockdowns of black inmates in
9 building C-7. (Doc. 79, DUF 36, p. 9 *citing* Pl.’s Dep. 48:10-25; 49:1-20 attached to Doc. 83 as
10 Ex. A to Douglas’ Decl.) Plaintiff’s declaration does not take precedence over his responses in
11 deposition as “[a] party cannot create a genuine issue of material fact to survive summary
12 judgment by contradicting his earlier version of the facts.” Block, 253 F.3d at 419, n. 2, *ref.*
13 Radobenko, 520 F.2d at 544.

14 **c. Specific Lockdowns**

15 The lockdowns that affected Black inmates in building C-7 (i.e. Plaintiff) between
16 January 29, 2004 and June 4, 2005, are discussed below. (Doc. 79, DUF 36.)

17 **(1) February 12 & 17, 2004**

18 February 12. The Upper Yard was locked down due to Code 1 -- mutual combat. (Id., at
19 DUF 37.)

20 February 17. Razors were lost in gym and gym searches were conducted. (Id., at DUF
21 38.)

22 **(2) March 2, 9, & 14-30, 2004**

23 March 2. No yard was allowed due to searches. (Id., at DUF 39.)

24 March 9. Facility C locked down because a kite was found; search yard and cells. (Id., at
25 DUF 40).

26 March 14 through March 30. An anonymous note was found stating that members of the
27 Black inmate population were planning an assault on staff. (Id., at DUF 41.) An investigation
28

1 was conducted and the identities of the inmates planning the assault were discovered. (Id.) A
2 subsequent search of the identified inmates' cells resulted in the discovery of an inmate-
3 manufactured deadly weapon. (Id.) As a result of this discovery, a search was conducted of all
4 black inmate cells followed by a threat assessment to determine if there continues to be a threat
5 to the safety of staff. (Id.) Additionally, during these subsequent searches on March 16, 2004,
6 another inmate-manufactured deadly weapon was discovered. (Id.) Effective March 16, 2004,
7 all members of the black inmate population were placed on lockdown pending completion of
8 searches and a threat assessment. (Id.)

9 During this lockdown, staff did the following: (Id., at DUFs 42, 43.)¹³

10 March 18. Staff meeting; cell searches.

11 March 20. Grid searches.

12 March 21. Code 2, B yard all staff assist.

13 March 23. C-7 cell searches.

14 March 25. Common area searches.

15 March 26. Cell searches.

16 March 29. End lockdown. Day-room available.

17 March 30. No Yard. Code 1 C-5 Cell fight.

18 **(a) Plaintiff's Opposition**

19 Plaintiff argues that the days which are not accounted for (i.e. the 19th, 22nd, 24th, 27th,
20 and 28th) show that Defendants delayed efforts to lift lockdowns unnecessarily and extended
21 lockdowns for all inmates even with specific information identifying particular inmates who
22 planned or were involved in an incident. (Doc. 113, PDF 42, pp. 27-28 *citing* PSFRs 20, 21.)
23 However, as previously discussed, PSFRs 20 and 21 do not raise a dispute of fact. *See supra* Part
24 VI.B.3.a.(2).

25
26 ¹³ Where, as here, a DUF lists various dates and occurrences, the citation to the DUF will be given after the
27 first line indicating that dates and occurrences are to follow; rather than repeatedly citing the DUF at the end of each
28 date line – i.e. the evidence showing prison staff activities on March 18th through March 30th are found at DUFs 42
and 43 of Doc. 79.

1 inmates in C-5, C-6, C-7, and C-8 such that those four housing units should not have been
2 locked-down when deadly weapons were found among black inmates housed in C-6.

3 **(5) July 7 - July 25, 2004**

4 July 7 through July 25, 2004. On July 7, 2004, security maintenance was done on all
5 Facility C inmate cells because it was discovered that inmates were removing their cell door
6 handles and hiding contraband in the cavity behind the door handles. (Doc. 79, DUF 49.) In
7 addition, it was discovered that the inmates made weapons cut from the metal plate attached to
8 the cell door handle. (Id.) Security maintenance was done to remove the cell door handles,
9 check the cavity, reattach door handles, and grind out the center of the attaching screws so that
10 the screws could no longer be removed. (Id.) All inmates on Facility C were placed on
11 lockdown until the completion of the security maintenance and search of Facility C. (Id.)

12 During this lockdown, while the doors were being fixed, the staff did the following: (Id.,
13 at DUF 50.)

14 July 8. Cell searches.

15 July 10. Staff meeting.

16 July 10 - 13. Cell searches.

17 July 15. Cell searches.

18 July 16. Cell searches and alarm checks.

19 July 17 - 18. Alarm checks.

20 July 19. Cell searches.

21 July 21 - 23. Cell searches.

22 July 25. Cell searches.

23 July 26. Vector control in building.

24 July 29. Day-room canceled – fresh paint (painter in building).

25 **(a) Plaintiff's Opposition**

26 Plaintiff again argues that the unaccounted for days in this time frame (i.e. July 9th, 14th,
27 and 20th) show that Defendants unnecessarily delayed lifting the lockdowns. (Doc. 113, PDF 50,
28

1 pp. 30-31.) However, PDF 50 relies on PSFR 21 which, as previously discussed, *see supra* Part
2 VI.B.3.a.(2), does not raise a dispute of fact.

3 Plaintiff also argues that Defendants “conducted unnecessary alarm checks without a
4 showing that alarms were faulty, malfunctioning, or needed to be checked, or repaired which
5 prolonged lockdowns.” (*Id.*) However, this argument fails as it is both unsupported by evidence
6 and premised on the illogical assumption that alarms need not be inspected unless there is some
7 notice that they are not working properly – i.e. had not gone off when supposed to – which would
8 illogically require awaiting a precipitating security breach before conducting maintenance.

9 **(6) August & September, 2004**

10 There were no lockdowns affecting Plaintiff in August and September of 2004. (Doc. 79,
11 DUF 51.)

12 **(7) October 19 & 29, 2004**

13 October 19. No yard. Staff to Gym for searches and cell searches. (*Id.*, at DUF 52.)

14 October 29. Power outage; 1st watch status. (*Id.*, at DUF 53.)

15 **(8) November & December, 2004**

16 There were no lockdowns affecting Plaintiff in November and December of 2004. (*Id.*, at
17 DUF 54.)

18 **(a) Plaintiff’s Opposition**

19 Plaintiff disputes DUF 54 by stating that there were several periods of cell confinement
20 and lockdowns between October 2004 and February 2005. (Doc. 113, PDF 54 *citing* Doc. 109,
21 PSFRs 20, 21.) As previously discussed, PSFRs 20 and 21 do not raise a dispute of fact as they
22 rely on Exhibits IX and X to both the PDF and the PSFR and Plaintiff fails both to cite to specific
23 pages therein and to provide any explanation as to how he extrapolated the facts he asserts
24 from those voluminous exhibits. *See supra* Part IV.B.3.a.(2).

25 **(9) January 12-26, 2005**

26 January 12 through January 26, 2005. On January 10, 2005, the murder of a correctional
27 officer occurred at the California Institute for Men, so a complete search of each housing
28

1 buildings and common area on Facility C was conducted. (Doc. 79, DUF 55.) The search was
2 conducted for the safety and security of Facility C. (Id.)

3 During this lockdown staff did the following: (Id., at DUF 56.)

4 January 11. MAC reps out to notify building regarding program.

5 January 12. Gym search.

6 January 13-14. Cell searches.

7 January 15. Searches. Cell Searches B Section.

8 January 16-18. Cell searches.

9 January 19. Staff meeting; searches.

10 January 20-26. Searches.

11 **(a) Plaintiff's Opposition**

12 Plaintiff admits the facts Defendants assert in DUFs 55 and 56, but requests that judicial
13 notice be taken of the facts that Defendants did not offer any evidence to show that a murder of a
14 correctional officer at another facility caused a safety and security issue at SATF and argues that
15 this is an example that Defendants acted without proper authorization to impose an emergency
16 lockdown “disregarding state policy in an abuse of authority.” (Doc. 113, PDF 55, pp. 32-33
17 *citing* PSFR 54.) “A judicially noticed fact must be one not subject to reasonable dispute in that
18 it is either (1) generally known within the territorial jurisdiction of the trial court or (2) capable of
19 accurate and ready determination by resort to sources whose accuracy cannot reasonably be
20 questioned.” Fed. R. Evid. 201(b). Whether sufficient evidence was submitted to establish a fact
21 as undisputed is not something which can be judicially noticed. Plaintiff’s request for judicial
22 notice is denied.

23 While denied, Plaintiff’s request for judicial notice can be construed as an objection that
24 Defendants have not met their burden of supplying sufficient evidence to establish that the
25 murder of a correctional officer at another facility created a safety and security issue at SATF.
26 Yet, the evidence submitted by Defendants is sufficient in that Defendant Wan’s declaration
27 states that: the “[l]ockdowns affecting [Plaintiff during the period of time at issue in this action]
28

1 arose from significant security threats;” “one lockdown was in order to conduct weapons
2 searches after a peace officer was murdered at another institution;” and “[s]afety of staff and
3 inmates was the motive for the lockdowns which arose from [this] security threat[.]” (Doc. 82,
4 Wan Dec., ¶ 33.) Given the “wide-ranging deference” prison officials are entitled to in matters
5 of lockdowns based on safety and security issues and the lack of manifestation of either
6 deliberate indifference or an intent to inflict harm, this is sufficient evidence to establish the facts
7 in DUF 55. Noble, 646 F.3d at 1142-43. Defendant Wan’s declaration may be considered in
8 support of DUF 55 despite the lack of reference. Fed. R. Civ. P. 56(c)(3); Carmen, 237 F.3d at
9 1031. Further, PSFR 54 does not submit any evidence upon which to establish a dispute of DUF
10 55 since it contains nothing more than argument and merely refers to case law cited in Plaintiff’s
11 opposition. (Doc. 109, PSFR 54, p. 17.)

12 **(10) February 1-8, 2005**

13 On February 1, 2005, an inmate-manufactured weapon was discovered in the possession
14 of a MAC rep. (Doc. 79, DUF 57.) As a result of this discovery, an additional search was
15 conducted on all Facility C housing buildings for any additional weapons -- which yielded six
16 inmate-manufactured weapons. (Id.)

17 During this lockdown, staff did the following: (Id., at DUF 58.)

18 February 2. Staff meeting.

19 February 3 -5. Searches.

20 February 5 - 6. Staff meeting.

21 February 7. Searches.

22 February 8. Handcuffs missing; searches.

23 **(a) Plaintiff’s Opposition**

24 While Plaintiff states that he is without sufficient information to admit or deny DUF 58,
25 he challenges and disputes both the “high number of staff meetings as excessive and point[s] out
26 that the all day meetings only serve to extend the un-necessary, unauthorized lockdown.” (Doc.
27 113, PDF 58, p. 34, *citing* PSFR 21.) As previously discussed, PSFR 21 does not raise a dispute
28 of fact as it relies on Exhibit X to both the PDF and the PSFR, and Plaintiff fails both to cite to

1 specific pages therein and to provide any explanation as to how the he extrapolated the facts he
2 asserts from either of those voluminous exhibits. *See supra* Part VI.B.3.a.(2).

3 **(11) February 17 - March 3, 2005**

4 February 17 to March 3, 2005. On February 17, confidential information was received
5 indicating a threat to several staff members on Facility C. (Doc. 79, DUF 59.) Due to the nature
6 of this information, Facility C was placed on lockdown status until the completion of a threat
7 assessment to determine validity of this information. (*Id.*)

8 During this lockdown, staff did the following: (*Id.*, at DUF 60.)

9 February 18. Planned assaults on staff. Staff meeting.

10 February 19. MAC reps out regarding lockdown. Search program area.

11 February 21. Interview inmates and sweep.

12 February 22. Threat assessment interviews. Gym search.

13 February 23. Searches. Staff Meeting.

14 February 24-28. Searches.

15 March 1-2. Searches.

16 March 3. Lockdown ends. Staff meeting regarding release of lockdown.

17 **(a) Plaintiff's Opposition**

18 Plaintiff admits that he is without sufficient information to admit or deny DUF 60, but
19 points out that Defendants do not identify what areas, units, buildings, and the like were being
20 searched and/or how time was utilized and argues that the lockdown was prolonged since
21 February 20th was not accounted for. (Doc. 113, PDF 60, pp. 35-36, *citing* PSFR 55.) PSFR 55
22 does not raise a factual dispute as it does not contain any admissible evidence; rather in it
23 Plaintiff argues that Normal Programming and lockdowns extended for periods of time beyond
24 the times allowed by standard state policy, citing "Ex III 3383." (Doc. 109, PSFR 55, pp. 17-18.)
25 As previously discussed, *see supra* Parts VI.B.3.b.; 5.b., the documents contained in both Ex. III
26 to the PDF and to the PSFR are copies of various sections of the California Codes of
27 Regulations. (Doc. 114, PDF Ex. III, pp. 32-99; Doc. 109-1, pp. 8-50; Doc. 109-2, pp. 1-2.)
28 Regardless, assuming that by "Ex. III 338" Plaintiff was citing to C.C.R. § 338, that code section

1 does not establish a factual dispute as it does not place a maximum on lockdown conditions and
2 even if it did, violation of a state statute does not, in and of itself, constitute a violation of federal
3 constitutional rights. *See Hallstrom*, 991 F.2d at 1482.

4 **(12) March 18 - 21, 2005**

5 March 18 through March 21, 2005. On Thursday, March 17, 2005, the discovery of
6 contraband was made on Facility C, to wit: inmate-manufactured weapons, suspected narcotics,
7 and a cellular telephone with charger. (Doc. 79, DUF 61.) Facility C was on lockdown pending
8 an investigation into the discovered contraband by the Investigative Services Unit. (*Id.*)

9 During this lockdown staff did the following: (*Id.*, at DUF 62.)

10 March 18. Staff meeting; searches.

11 March 19. Staff meeting.

12 March 20. Staff meeting. Search Gym; MAC reps out.

13 March 21. Searches.

14 **(a) Plaintiff's Opposition**

15 Plaintiff admits that he is without sufficient information to admit or deny any discovery of
16 contraband, but he states that this was “an isolated incident” which “did not involve the entire
17 Facility C GP” and that he, personally, “was not said to have been involved, or associated with
18 any of the prisoners in possession of any contraband.” (Doc. 113, PDF 61, p. 36, *citing* PSFRs
19 19, 27.)

20 For the same reasons previously discussed, PSFR 19 does not raise a factual dispute to
21 DUF 61 since Plaintiff provides neither an explanation, nor further evidence to show that Exhibit
22 VIII is incomplete, or to show that the set of PSRs submitted by Defendants (Docs. 82-3 through
23 82-5) and/or that Associate Warden Wan’s abstract or summary of the C-7 Yard and C-7 Control
24 Logs were inaccurate. *See supra* Part VI.B.3.a.(2). Thus, PSFR 19 does not raise a dispute as to
25 DUF 61.

26 PSFR 27 states that Defendants authorized and enforced a plan of operations that “denied
27 GP prisoners less program to yard and recreational activities than [sic] those prisoners placed in
28 administrative segregation units (ASUs) and, or [sic] security housing units (SHUs).” (Doc. 109,

1 PSFR 27, pp. 8-9, *citing* “‘Ex. XI’ D Admission.”) Whether GP prisoners received more or less
2 “program to yard and recreational activities” than those in ASUs or SHUs appears immaterial to
3 a lockdown due to discovery of contraband and Plaintiff provides no explanation to the contrary.
4 Further, Plaintiff does not identify which admission from the multiple sets contained in both Ex.
5 XI to the PSFR (Doc. 111-1, pp. 49-75; Doc. 112, pp. 1-16) and Ex. XI to the PDF (Doc. 113,
6 pp. 92-133) he relies on. Thus, PSFR 27 also does not raise a dispute as to DUF 61.

7 Further, while Plaintiff admits that he is without sufficient information to admit or deny
8 what was done during this lockdown, he disputes and contests whether “the searching of the gym
9 Level III prisoners is justified in [sic] extended Level IV prisoners” and argues it is a problem of
10 prison overcrowding. (Doc. 113, PDF 62, pp. 36-37, *citing* PSFR 48.) PSFR 48 states that the
11 number of prisons has grown and “given rise to a serious problem with overcrowding” resulting
12 in a negative impact on “all aspects of prison functions, operations, services, programs, etc.,” but
13 that this does not excuse “substandard treatment and, or [sic] care of prisoners by prison
14 officials.” (Doc. 109, PSFR 48, p. 15, *citing* “Ex. ‘XV’ Special Masters [sic] Report.”) Ex. XV
15 to the PDF is found at Doc. 113-1, pp. 43-71. Ex. XV to the PSFR is found at Doc. 112, pp. 67-
16 96. PSFR 48 fails to refer to specific pages within the Special Master’s Report that address the
17 facts in DUF 62 and fails to show any basis upon which the Special Master’s Report applies to
18 the activities by prison staff during the March 2005 lockdown. Thus, the PSFR does not raise a
19 dispute as to DUF 62.

20 **(13) April 12, 2005**

21 April 12. No yard due to training drills; mock yard incident. (Doc. 79, DUF 63.)

22 **(a) Plaintiff’s Opposition**

23 Plaintiff argues that it was inexcusable for Defendants to conduct training drills during
24 the limited time that prisoners have access to yard and that they are only justified to prohibit yard
25 access when a legitimate penological interest and an actual emergency exists. (Doc. 113, PDF
26 63, p. 37, *citing* PSFR 54.) PSFR 54 does not create a factual dispute to DUF 63 since, as
27 previously stated, *see supra* Part VI.B.4., it merely reiterates this statement, refers generally to
28 case law Plaintiff cited in his opposing memorandum of points and authorities, and does not

1 contain any admissible evidence. (Doc. 109, PSFR 54, p. 17.)

2 **(14) April 13 - 19, 2005**

3 April 14 through April 19, 2005. On April 13, 2005, an anonymous “kite” (inmate note)
4 was received stating that Southern Hispanic inmates in housing building C-2 were planning to
5 assault a named correctional officer for an unknown reason. (Doc. 79, DUF 64.) The note also
6 stated that the involved inmates were in possession of controlled substances and named the
7 inmates by housing and aliases. (Id.) Facility C was placed on lockdown pending completion of
8 an Investigative Service Unit (I.S.U.) threat assessment into the validity of the threat against this
9 correctional officer. (Id.) The I.S.U. conducted interviews of all inmates on Facility C. (Id.)

10 The Building C-7 logbook entries do not reflect the ISU’s interviews or investigations
11 conducted during this lockdown period. (Id., at DUF 65.) The ISU was working throughout the
12 lockdown period, holding inmate interviews in all housing buildings, which is not reflected in the
13 C-7 logbooks. (Id.)

14 During this lockdown the C-7 correctional officer staff did the following: (Id., at DUF
15 66.)

16 April 17. Threat assessment team in building C-7.

17 April 19. Lockdown ends.

18 **(a) Plaintiff’s Opposition**

19 While Plaintiff once again admits he is without sufficient information to admit or deny
20 whether a “kite” was received regarding an alleged planned attack on a correctional officer and/or
21 whether prisoners were in possession of a controlled substance, he argues that this situation did
22 not involve the entire Facility C GP and that the deprivation suffered by inmates during
23 lockdown is as serious as a threat to staff. (Doc. 113, PDF 64, pp. 37-38, *citing* PSFRs 22, 54.)

24 PSFR 22 states that Defendants “acknowledge and admit that extended and excessive
25 lockdowns/cell confinement creates an extremely stressful, unhealthy and hostile living
26 environment.” (Doc. 109, PSFR 22, p. 8, *citing* “Ex ‘XI’ A, B, C, D Admissions.”) In and of
27 itself, an acknowledgment and/or admission that extended and excessive lockdowns/cell
28 confinement creates an extremely stressful, unhealthy and hostile living environment is not

1 evidence that the deprivation suffered by inmates from the five day lockdown in April was as
2 serious as the precipitating threat to staff. “Ex. ‘XI’ A, B, C, D Admissions” is a multi-paged
3 document (found as PSFR Ex. XI at Doc. 111-1, pp. 49-75 and Doc. 112, pp. 1-16; and found as
4 PDF Ex. XI at Doc. 113, pp. 92-133) that shows defense admissions that extended and excessive
5 cell confinement does create a stressful, unhealthy, and hostile living environment. However,
6 Ex. XI to both the PDF and the PSFR shows neither that five days amounts to extended and
7 excessive cell confinement, nor that any deprivation sustained by inmates in a five day lockdown
8 is as serious as a threat to staff. Thus, PSFR 22 does not create a factual dispute to DUF 64.

9 PSFR 54 also does not create a factual dispute to DUF 64 since, as previously stated, *see*
10 supra Part VI.B.4., it merely reiterates this statement and refers generally to cases Plaintiff cited
11 in his opposing memorandum of points and authorities and does not contain any admissible
12 evidence. (Doc. 109, PSFR 54, p. 17.) Plaintiff also argues that since DUF 64 states that the
13 “kite” identified a specific group, the names, aliases, and housing units/building C-2, it “shows
14 that this was an isolated incident which [Plaintiff] had absolutely no knowledge of, or association
15 with.” (Doc. 113, PDF 64, p. 38.) However, Plaintiff does not submit any evidence to support
16 this assertion and the PDF is not verified such that Plaintiff’s mere statement therein does not
17 equate to evidence to establish a factual dispute. Further, even though Plaintiff may not have
18 been personally implicated, he does not submit any evidence to show that it was unreasonable, or
19 excessive, for prison officials to lock down Facility C for investigation when they received a
20 “kite” advising of a planned assault of a correctional officer and Plaintiff’s mere assertion that
21 the lockdown was not “narrowly applied” is not admissible evidence. Thus, Plaintiff does not
22 establish a factual dispute to DUF 64.

23 Plaintiff also admits that he is without sufficient information to admit or deny staff
24 activity during this lockdown. (Doc. 113, PDF 65, pp. 38-39.) However, he argues that the
25 absence of any such entries establishes that “Defendants do not, or did not limit, or narrowly
26 apply the extended and excessive lockdowns complained, from January 2004 to May 2005.” (*Id.*,
27 *citing* PSFR 20.) In PSFR 20, Plaintiff states that he was confined to his cell for approximately
28 four hundred forty-seven (447) days out of the approximately five hundred eight (508) days he

1 was housed at SATF from January 2004 through May of 2005. (Doc. 109, PSFR 20, p. 7, *citing*
2 “Ex. IX.”) As previously discussed, *see supra* Part VI.B.3.a.(2), Exhibit IX to the PDF is a
3 voluminous stack of papers identified by Plaintiff as “Daily Activities Logbook” which is slightly
4 over an inch thick. (Doc. 116, pp. 13-101; Doc. 117, pp. 1-75; Doc. 118, pp. 1-56.) The same
5 description applies to Exhibit IX to the PSFR. (Doc. 110-1, pp. 15-70; Doc. 110-2, pp. 1-55;
6 Doc. 111, pp. 1-75; Doc. 111-1, pp. 1-27.) Plaintiff neither cites to a specific page within either
7 Exhibit IX to the PDF or the PSFR that he relies on, nor explains how these stacks of documents
8 support his assertion that “Defendants do not, or did not limit, or narrowly apply the extended
9 and excessive lockdowns complained, from January 2004 to May 2005.” (Doc. 113, PDF 65, pp.
10 38-39.) Thus, Plaintiff does not create a dispute of fact as to DUF 65.

11 Plaintiff admits that he is without sufficient knowledge to admit or deny staff activities
12 during this lockdown, but he admits and requests judicial notice be taken that the records show
13 that he was denied yard and confined to his cell from April 9 to April 21, 2005. (Doc. 113, PDF
14 66, p. 39, *citing* PSFRs 20, 21.) As previously stated, “[a] judicially noticed fact must be one not
15 subject to reasonable dispute in that it is either (1) generally known within the territorial
16 jurisdiction of the trial court or (2) capable of accurate and ready determination by resort to
17 sources whose accuracy cannot reasonably be questioned.” Fed. R. Evid. 201(b). Whether
18 sufficient evidence was submitted to establish a fact as undisputed is not something which can be
19 judicially noticed. Plaintiff’s request for judicial notice is denied.

20 Again, as previously discussed, *see supra* Part VI.B.3.a.(2), in PSFR 20 Plaintiff states
21 that he was confined to his cell for approximately four hundred forty-seven (447) days out of the
22 approximately five hundred eight (508) days he was housed at SATF from January 2004 through
23 May of 2005. (Doc. 109, PSFR 20, p. 7, *citing* “Ex. IX.”) The size of Exhibit IX to the PDF and
24 the PSFR was discussed earlier in this section. Plaintiff neither cites to a specific document
25 within either Exhibit IX to the PDF or the PSFR that he relies on, nor explains how these stacks
26 of documents support his assertion that “Defendants do not, or did not limit, or narrowly apply
27 the extended and excessive lockdowns complained, from January 2004 to May 2005.” (Doc.
28 113, PDF 65, pp. 38-39.) PSFR 20 does not establish a factual dispute. *See supra* Part

1 VI.B.3.a.(2).

2 As also previously discussed, *see supra* Part VI.B.3.a.(2), in PSFR 21, Plaintiff provides a
3 chronology of the dates he was confined to his cell and cites to Exhibit X -- which is attached to
4 the PSFR at pages 28-48 of Doc. 111-1 and is attached to the PDF at pages 57-76 of Doc. 118.
5 In PSFR 21, Plaintiff states that his cell confinement included, but was not limited to the
6 segments of time he listed, but he once again neither explains how his dates, or the documents in
7 Exhibit X, are incomplete, nor contradicts the dates and explanations provided by Defendants via
8 Wan's declaration and attached exhibits which are found at Docs. 82 through 82-6. Further,
9 since Plaintiff admits that he lacks sufficient knowledge to admit or deny staff activity during this
10 lockdown he cannot create a dispute of fact via PDF 66.

11 Finally, Plaintiff argues that since Defendants did not account for what occurred on April
12 14th, 15th, 16th, and 18th it shows that they did not narrowly apply the "extended and excessive
13 lockdowns" complained of for the relevant time. However, failure to account for four days of
14 activities during a lockdown precipitated by a "kite" informing of an impending attack on
15 correctional staff does not make the lockdown extended and/or excessive. DUF 66 is properly
16 deemed undisputed.

17 **(15) May 27 - 31, 2005**

18 May 27 through May 31, 2005. Facility C was placed on lockdown effective May 27,
19 2005, due to a possible threat to staff. A threat assessment was conducted into the validity of the
20 allegations, with negative results. (Doc. 79, DUF 67.)

21 During this lockdown the staff did the following: (*Id.*, at DUF 68.)

22 May 27. Staff meeting. MAC reps out.

23 May 28. Staff meeting.

24 May 29. Threat assessment team interviews 20+ inmates.

25 May 30 - 31. Searches.

26 **(a) Plaintiff's Opposition**

27 Plaintiff admits that he lacks sufficient information to admit or deny staff activity during
28 this lockdown, but states that he was confined to his cell from May 18 to May 31, 2005. (Doc.

1 113, PDF 68, p. 40, *citing* PSFRs 20, 21.) As previously discussed, PSFRs 20 and 21 do not
2 raise a triable issue of fact sufficient to dispute DUF 68. *See supra* Part VI.B.3.a.(2). Plaintiff
3 did not submit any evidence to support his assertion that he was confined to his cell from May 18
4 through May 26.

5 **(16) June, 2005**

6 On Saturday, June 4, 2005, at approximately 09:40 hours, Plaintiff stabbed two
7 Correctional Officers. (Doc. 79, DUF 69.)

8 **(a) Plaintiff's Opposition**

9 Plaintiff objects that DUF 69 is irrelevant and inadmissible pursuant to Federal Rules of
10 Evidence 608 and 609. (Doc. 113, PDF 69, p. 41, *citing* PSFR 49.) As previously discussed, *see*
11 *supra* Part III.B.1., Plaintiff's objection is overruled as his prison disciplinary record is relevant to
12 the defense of any argument by Plaintiff that he was not a threat to the safety and security of the
13 prison such that, as applied to him, lockdowns did not serve a penological purpose. (Doc. 123,
14 Def. Resp. & Obj to PSFR 49, p. 16.) Further, this infraction by Plaintiff precipitated his
15 reassignment out of the GP at SATF.

16 **b. Discussion**

17 **(1) Objective Element - Sufficiently Grave Condition**

18 Plaintiff arrived at SATF on January 13, 2004 where he was cleared for general
19 population yard from January 29, 2004 through June 4, 2005 – a total duration of just over
20 sixteen months. (Doc. 79, DUFs 10, 11.) During this time, there were numerous lockdowns that
21 affected Plaintiff (*id.*, at DUFs 37-68.) Even without taking Plaintiff's evidence and/or
22 opposition into account, there were only four of the sixteen months in question which were not
23 interrupted by lockdown(s). Therefore, limited purely to the resolution of this motion, the Court
24 assumes, without deciding, that the deprivation of out of cell time experienced by Plaintiff was
25 sufficiently grave to satisfy the objective element of an Eighth Amendment claim.

26 **(2) Subjective Element - Deliberate Indifference**

27 Defendants submitted evidence to show that:

28 (1) the lockdowns which affected Plaintiff arose from significant security threats ti

1 staff received via confidential communications from inmates (Doc. 79, DUFs 41,
2 59, 64, 67), discovery of inmate-manufactured weapons (id., at DUFs 47, 57, 61),
3 searches for weapons subsequent to a peace officer's murder at another facility
4 (id., at DUF 55), and for security maintenance to repair vandalism to cell-doors by
5 inmates (id., at DUFs 49, 50);

6 (2) when possible, lockdowns were narrowly applied to the particular gang, racial
7 group, or housing unit which caused a given incident (id., at DUF 33);

8 (3) during lockdowns, threat levels were assessed by conducting searches and
9 interviewing inmates (id., at DUFs 42, 48, 50, 56, 58, 60, 62, 65, 66, 68);

10 (4) when searches were needed, searching a cell took two officers at least twenty
11 minutes with a total of fifteen to twenty days to search all of the cells in Plaintiff's
12 housing unit – Facility C (id., at DUF 32);

13 (5) many of the searches during lockdowns uncovered inmate-manufactured weapons
14 (id., at DUFs 41, 47, 57, 59);

15 (6) after a lockdown is imposed, an investigation of the incident(s) is initiated to
16 determine the cause of the disturbance and to ascertain when inmates might safely
17 be released from lockdown (id., at DUF 30);

18 (7) all inmates in the area in which the lockdown is imposed are interviewed; all cells
19 and common areas are searched; members of the Men's Advisory Council (MAC
20 reps -- elected inmate representatives) are interviewed to both develop and
21 substantiate information; central files are reviewed to verify information; when
22 the investigation is complete, it is the responsibility of the Captain to develop a
23 plan for releasing inmates from lockdown status (id., at DUF 31); and

24 (8) Plaintiff was not necessarily a peaceful inmate, as evidenced by his disciplinary
25 record, which made it reasonable to include him in lockdowns with similar
26 inmates (id., at DUFs 12, 13, 14).

27 These statements of fact meet Defendants' burden to show that they were not deliberately
28 indifferent in their imposition of lockdowns as all lockdowns during the time in question

1 (February of 2004 through June of 2005) were imposed in response to safety and security threats,
2 that during lockdowns investigations and searches were conducted, that searches which resulted
3 in confiscation of weapons made Facility C safer such that lockdowns were necessary and
4 reasonable, and that inmates were released from lockdown status once it was determined safe to
5 do so.

6 Plaintiff's efforts to raise a triable issue of material fact on this point fall short. Plaintiff
7 does not submit admissible evidence to show that he was subjected to lockdowns that were
8 implemented and extended in deliberate indifference to his rights under the Eighth Amendment.
9 For example, Plaintiff attempts to oppose DUF 21 (asserting that if inmates were escorted to and
10 allowed to use small exercise during lockdowns, investigatory efforts to identify the instigators of
11 a given incident would be delayed thereby lengthening the time of deprivation of all inmates
12 from the main yard (Doc. 79, DUF 21)) by arguing that Defendants "knew, or very well should
13 have know 'who' participated, or was planning to participate in any incident, or attack" and that
14 once the participants are identified, they "are immediately removed" from the general population
15 and that the "extended and excessive lockdowns imposed by Defendants [] were unnecessary and
16 an over-exaggerated response to a handful of isolated and,or [sic] spontaneous incidents (most of
17 which were outdated) where Defendants knew or very well should have known of the
18 participants (person or partys [sic]) involved in any actual or planned misconduct, or attacks as
19 noted in the program status reports." (Doc. 113, PDF 21, *citing* PSFRs 20, 34.) PSFRs 20 and
20 34 are the only efforts Plaintiff makes to submit evidence of these assertions.

21 As previously discussed PSFR 20 is insufficient to raise a triable issue of fact. *See supra*
22 Part VI.B.3.a.(2). In PSFR 34 Plaintiff argues that it is unfair for GP inmates to remain on
23 lockdown while instigating inmates are "placed in ASU or SHU and are where they receive
24 regular and,or [sic] adequate yard, outdoor exercise/recreation, fresh air, direct sunlight, group
25 interaction/activities, etc." (Doc. 109, PSFR 34, *citing* "Ex. 'III' CCR 3330 and Ex XIII.") As
26 found in both Ex. III to the PDF and the PSFR, CCR 3330 provides the parameters applicable to
27 disciplinary detention – including, as previously discussed, the requirement for ten hours out of
28 cell each week – which do not apply to Plaintiff since he was a GP inmate. *See supra* Part

1 VI.B.3.a.(3). Ex. XIII to the PDF and the PSFR contain the article “Deprivation Study on the
2 Effects of Isolation,” which, as previously discussed, *see supra* Part III.A.2., is inadmissible since
3 Defendants’ objection for lack of proper authentication is sustained. Further, Plaintiff thwarts his
4 own assertions in PSFR 34 through his statements that he lacks sufficient information or
5 knowledge to admit or deny the reasons for the lockdowns, or the efforts taken by prison officials
6 during the lockdowns. (Doc. 113, PDFs 29, 31, 41, 42, 43, 44, 46, 47, 48, 49, 50, 51, 52, 53, 55,
7 56, 57, 58, 59, 60, 61, 62, 64, 65, 66, 67, 68.) Thus, PSFR 34 does not raise a triable issue of fact
8 to dispute DUF 21.

9 Finally, Plaintiff opposes DUF 31 (asserting that all inmates in an area that is lockeddown
10 are interviewed) by arguing that, in his “experience while at CSATF “C” Facility that not all
11 prisoners (more specifically that [he] personally) was/were not interviewed during, or before
12 releasing of all lockdowns imposed.” (Doc. 113, PDF 31, *citing* PSFR 51.) As previously noted,
13 the PDF is not verified and is not admissible. PSFR 51 asserts that “custody staff did not
14 conduct interviews with prisoners affected by the lockdowns prior to release, or resuming those
15 limited services, functions, activities, etc. noted under the modified program threat assessments
16 and interviews were conducted only when there was an incident, or alleged planned attack on
17 staff.¹⁴” (Doc. 109, PSFR 51 (emphasis in the original).) It is notable that though the PSFR is
18 verified, PSFR 51 does not contain any statements regarding Plaintiff’s experience and/or any
19 basis for Plaintiff to have knowledge of the investigative activities by custody staff during
20 lockdowns in order for PSFR 51 to be admissible and Plaintiff has no expertise in prison
21 management to be able to offer his own opinion on matters which require scientific, technical, or
22 other specialized knowledge. Fed. R. Evid. 701, 702.

23 Purely for the sake of argument, even if he had included his statement from PDF 31 (that
24 he personally was not interviewed during, or before all lockdowns were lifted) in PSFR 51,

25
26 ¹⁴ It is noteworthy that, in PSFR 51, Plaintiff appears to lament and/or complain that not every inmate in a
27 lockeddown area were always interviewed before lockdowns were lifted. However, if prison staff always waited to
28 lift a lockdown until they had interviewed every single inmate in a lockeddown area before lifting the lockdown –
even if they were aware that the safety and security risk had been abated after interviewing only a limited number of
affected inmates – such actions would very likely have violated inmates’ rights under the Eighth Amendment for
deliberate indifference to the inmates’ rights.

1 Plaintiff would fare no better. Whether every single affected inmate was interviewed before
2 every lockdown was lifted is not pivotal in this case and in fact, if lockdowns were extended
3 purely for the interviewing of every affected inmate where the safety and security of the
4 institution was no longer in question, the inmates' rights under the Eighth Amendment would
5 most probably have been violated. That Plaintiff was not personally interviewed before each and
6 every lockdown was lifted speaks more to prison officials lifting lockdowns as soon as safe to do
7 so rather than to any deliberate indifference on their part. It is reasonably extrapolated from
8 Plaintiff's statement that he was not always (if ever) interviewed before a lockdown was lifted
9 because lockdowns may have been shortened by custody staff lifting lockdowns once they felt
10 the security threat had been abated rather than continuing a lockdown despite restoration of
11 security, merely for the sake of making sure that each inmate under the lockdown had been
12 interviewed.

13 Further, DUF 34 states that the investigative process and the process of releasing inmates
14 from a lockdown can include individual and group interviews with all inmates, and can include
15 the release of inmate "representatives" for the purpose of allowing them to speak with other
16 inmates about the lockdown and any ongoing disputes between various ethnic groups and
17 attempts to mediate any underlying dispute among the inmate groups. (Doc. 79, DUF 34.)
18 Plaintiff attempts to oppose DUF 34 by asserting that "all the incidents which occurred on the
19 'C' facility during relevant times were isolated and, or [sic] spontaneous [sic] easily identifiable
20 individuals and, or [sic] individual housing units/buildings rendering any investigative measures
21 of the entire GP [sic] when defendants knew that the incident involved a specific
22 individual/group/housing unit shows that the lockdown imposed during relevant times were an
23 overexaggerated [sic] response." (Doc. 113, PDF 34, *citing* PSFR 54.) PSFR 54 states that
24 "[t]he modified program implemented by defendants as named was an over exaggerated response
25 based on suspensions [sic] and speculations and without any actual, or viable emergencies [sic]
26 the harsh and highly restricted modifications imposed which failed to meet required minimum
27 standards of prisoners basic human needs can [sic] not stand up to constitutional scrutiny [sic]
28 and are arbitrary and capricious." (Doc. 109, PSFR 54.) At the end of PSFR 54, Plaintiff cites

1 “see cases cited Plaintiff’s Mot. Opp. Def. Mot. For Sum. J.” This citation to the case law cited
2 in Plaintiff’s opposition does not qualify as evidence and Plaintiff fails to show any basis for
3 personal knowledge upon which the statements in PSFR 54 are based. Thus, PSFR 54 is not
4 admissible.

5 Lawful incarceration brings about the necessary withdrawal or limitation of many
6 privileges and rights. Bell, 441 U.S. 520; *also* Hudson, 468 U.S. at 524. It is well-established
7 that the problems that arise in the day-to-day operation of a corrections facility are not
8 susceptible of easy solutions, and prison administrators therefore should be accorded wide-
9 ranging deference in the adoption and execution of policies and practices that in their judgment
10 are needed to preserve internal order and discipline and maintain institutional security. Bell, 441
11 U.S. at 545-46 (quotation marks omitted); *also* Whitley, 475 U.S. at 321-22; Rhodes, 452 U.S. at
12 348-51; Noble, 646 F.3d at 1143; Norwood, 591 F.3d at 1066.

13 A prisoner’s right to outdoor exercise is neither absolute and infeasible nor does it
14 trump all other considerations. Norwood, 591 F.3d at 1068. Prison officials have a duty to
15 ensure the safety and security of inmates and staff, and this imperative must be balanced against
16 other legal obligations, including outdoor exercise. Id. at 1069. Prison officials have a right and
17 a duty to take the necessary steps to reestablish order in a prison when such order is lost, id.
18 (quotation marks and citation omitted), and they are entitled to wide-ranging deference in their
19 discharge of this responsibility, so long as that deference does not manifest deliberate
20 indifference or an intent to inflict harm, Noble, 646 F.3d at 1143, 1147-48.

21 Complex II of SATF consists of Facility C, which is a Level IV, maximum-security (180°
22 design) facility in which Plaintiff was housed. (Doc. 79, DUF 15.) It is called a “180° Facility”
23 because a guard standing in front of the buildings can see all buildings at once in a 180° arc.
24 (Id.) Facility C is the only Level IV maximum-security facility that houses the Level IV general
25 population inmates.¹⁵ (Id., at DUF 16.) The lockdowns that Plaintiff endured were instituted in
26 response to a number of legitimate threats to the safety and security of inmates, staff, or both.

27
28 ¹⁵ It is understood that Level IV general population inmates pose greater security and safety problems than those in lower levels.

1 When possible, lockdowns were narrowly applied to the particular gang, racial group or housing
2 building causing the violence. (Doc. 79, DUF 33, *citing* Wan Decl. ¶ 30; Plntf’s Dep. 49:6-12.)
3 Decisions by prison officials regarding restoring Normal Program after a lockdown for safety and
4 security reasons (i.e. balancing safety against the inmates’ need for exercise) will not be second-
5 guessed. *See Norwood*, 591 F.3d at 1069-70.

6 Defendants had a duty to restore order following threats to the safety and security of the
7 institution, staff, and/or inmates and to ensure Plaintiff’s safety while doing so, along with the
8 safety of the other inmates and correctional staff. *Noble*, 646 F.3d at 1143, 1147-48; *Norwood*,
9 591 F.3d at 1069-70. Defendants’ responses to the threats presented throughout Plaintiff’s time
10 at SATF were well within the wide-ranging discretion to which they are entitled. *Noble*, 646
11 F.3d at 1143; *Norwood*, 591 F.3d at 1069-70.

12 There is simply no evidence raising a genuine dispute as to any material fact regarding the
13 need for the lockdowns or the need to lift the lockdowns any sooner than actually occurred.
14 *Noble*, 646 F.3d at 1143; *Norwood*, 591 F.3d at 1070. In short, there is no evidence that
15 Defendants Adams, Wan, and/or Hansen acted with deliberate indifference in either the
16 implementation and/or the duration of the lockdowns that affected Plaintiff during his stay at
17 SATF. *Noble*, 646 F.3d at 1147-48.

18 Accordingly, the Court finds that Defendants Adams, Wan, and Hansen have met their
19 burden to be granted judgment as a matter of law on Plaintiff’s claim that he was deprived
20 exercise in violation of his rights under the Eighth Amendment. Plaintiff failed to meet his
21 responsive burden to raise a triable issue of fact.

22 **C. Qualified Immunity**

23 Defendants Adams, Wan, and Hansen¹⁶ also argue that they are entitled to qualified
24 immunity. For the reasons previously set forth, the Court finds that these three Defendants are
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26 ¹⁶ The only qualified immunity arguments raised by Defendants in this motion relate to Plaintiff’s claims
27 regarding his ability to access the outside yard and imposition/continuation of lockdowns. Since, as previously
28 discussed, Defendant Beeler’s only involvement in this issue was his handling of Plaintiff’s inmate appeal and he had
nothing to do with setting yard schedules and/or imposition of lockdowns, the qualified immunity arguments raised
by Defendants do not apply to Plaintiff’s claims against Defendant Beeler and no such intent was ever stated.

1 entitled to judgment as a matter of law on Plaintiff’s claims against them. Alternatively, these
2 three Defendants are also entitled to qualified immunity.

3 Government officials enjoy qualified immunity from civil damages unless their conduct
4 violates “clearly established statutory or constitutional rights of which a reasonable person would
5 have known.” Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982). “Qualified immunity balances
6 two important interests - the need to hold public officials accountable when they exercise power
7 irresponsibly and the need to shield officials from harassment, distraction, and liability when they
8 perform their duties reasonably,” Pearson v. Callahan, 555 U.S. 223, 231 (2009), and it protects
9 “all but the plainly incompetent or those who knowingly violate the law,” Malley v. Briggs, 475
10 U.S. 335, 341 (1986).

11 In resolving a claim of qualified immunity, courts must determine whether, taken in the
12 light most favorable to the plaintiff, the defendant’s conduct violated a constitutional right, and if
13 so, whether the right was clearly established. Saucier v. Katz, 533 U.S. 194, 201 (2001); Delia v.
14 City of Rialto, 621 F.3d 1069, 1074 (9th Cir. 2010); Mueller v. Auker, 576 F.3d 979, 993 (9th
15 Cir. 2009). While often beneficial to address in that order, courts have discretion to address the
16 two-step inquiry in the order they deem most suitable under the circumstances. Pearson, 555
17 U.S. at 235-36 (overruling holding in Saucier that the two-step inquiry must be conducted in that
18 order, and the second step is reached only if the court first finds a constitutional violation); Delia,
19 621 F.3d at 1074-75; Mueller, 576 F.3d at 993-94.

20 As to the objective element, the court has already discussed how the case law is still not
21 clear as to whether Plaintiff’s experience of numerous lockdowns constitutes a sufficiently grave
22 condition implicating the Eighth Amendment. Regarding the subjective element, even as
23 recently as 2010 and 2011, there was no clearly established requirement(s) as to how or when
24 prison officials must lift a lockdown, or how a modified program should be implemented in
25 response to threats to the safety and security of the institution arising from riots, or information
26 that inmates plan to assault staff and introduce narcotics and contraband into the prison. Noble,
27 646 F.3d at 1148-48; Norwood, 591 F.3d at 1070. In light of the undisputed evidence regarding
28 the reasons for the lockdowns/modified programs, the investigatory steps that must be

1 undertaken in responding to the events, and the need to lift the lockdowns/modified programs in
2 stages depending upon the results of the investigations and occurrence of incidents as programing
3 resumes, it would not have been clear to a reasonable officer that restricting an inmate's outdoor
4 exercise in conjunction with the lockdowns/modified programs at issue here was unlawful.
5 Therefore, Defendants Adams, Wan, and Hansen are entitled to qualified immunity.


6 **VII. Order**

7 For the reasons set forth herein, IT IS HEREBY ORDERED that:

- 8 1. The motion for summary judgment, filed December 1, 2008 (Doc. 77), by
9 Defendants Adams, Wan, Hansen, and Beeler, is GRANTED:
- 10 a. Defendants Beeler, Adams, Wan, and Hansen are entitled to judgment as
11 a matter of law on Plaintiff's Eighth Amendment claim; and
- 12 b. Defendants Adams, Wan, and Hansen are also entitled to qualified
13 immunity;
- 14 2. This action is dismissed, with prejudice; and
- 15 3. The Clerk of the Court shall enter judgment in favor of the Defendants and shall
16 CLOSE this case.

17 IT IS SO ORDERED.

18 Dated: November 28, 2012

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21 UNITED STATES DISTRICT JUDGE
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