

1 On October 12, 2010, defendants Baroya, Nguyet, Pham, Griffin, and Reidman filed a motion
2 under Local Rule 151(b) to declare Plaintiff a vexatious litigant, to require Plaintiff to post security, and
3 for the Court to enter a pre-filing order against Plaintiff. (Doc. 54.) On November 29, 2010, Plaintiff
4 filed an opposition to the motion. (Doc. 62.) On December 3, 2010, defendant Hoppe joined the
5 motion. (Doc. 66.) Defendants' motion is now before the Court.

6 **II. PLAINTIFF'S ALLEGATIONS AND CLAIMS³**

7 **Plaintiff's Allegations**

8 The events at issue in the Second Amended Complaint arose while Plaintiff was incarcerated at
9 the California Correctional Institution ("CCI") in Tehachapi, California. At that time, defendant Baroya
10 was a psychiatrist employed at CCI, and defendants Nguyet, Pham, Hoppe, Griffin, and Reidman were
11 physicians employed at CCI.

12 Plaintiff alleges as follows. Between June 6, 2002 and January 22, 2003, Plaintiff was nearly
13 always housed in a suicide watch cell. The cells were often filthy and sometimes smeared with feces.
14 Plaintiff was clad only in paper underwear, with no mattress or bedding of any kind. Plaintiff was forced
15 to lie on the bare concrete floor, with temperatures at night between forty and fifty degrees. He was
16 unable to sleep and paced the floor to stay warm. During suicide watch, Plaintiff was not allowed to
17 shower or change his underwear, and he was denied toilet paper on the pretext that he might misuse it
18 to cover his cell window. Plaintiff was not permitted to have cleaning or hygiene supplies, such as soap,
19 tooth powder and disinfectant. Plaintiff lived under these conditions twenty-four hours a day, sometimes
20 for weeks on end, completely idle in an unheated cell.

21 Defendants Baroya, Nguyet, Pham, Hoppe, Griffin, and Reidman either authorized, approved,
22 or knowingly acquiesced to the custodial staff's denial of basic essentials to Plaintiff, and also
23 promulgated or acquiesced to the suicide watch protocols.

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26 ³This summary includes Plaintiff's claims in the Second Amended Complaint found cognizable by the Court on
27 March 20, 2009, and Plaintiff's related allegations against defendants Baroya, Nguyet, Pham, Hoppe, Griffin, and Reidman,
28 upon which this case now proceeds. (Doc. 23.)

1 Plaintiff suffered from body aches, chills, numbness, muscle cramps, and severe pain in his lower
2 back and neck. Plaintiff requests monetary damages as relief.

3 **Eighth Amendment Claim - Adverse Conditions of Confinement**

4 Plaintiff is proceeding on claims that Defendants violated his rights under the Eighth Amendment
5 by subjecting him to unconstitutional housing conditions. The Eighth Amendment protects prisoners
6 from inhumane methods of punishment and from inhumane conditions of confinement. Morgan v.
7 Morgensen, 465 F.3d 1041, 1045 (9th Cir. 2006). Extreme deprivations are required to make out a
8 conditions of confinement claim, and only those deprivations denying the minimal civilized measure of
9 life's necessities are sufficiently grave to form the basis of an Eighth Amendment violation. Hudson
10 v. McMillian, 503 U.S. 1, 9, 112 S.Ct. 995 (1992) (citations and quotations omitted). In order to state
11 a claim for violation of the Eighth Amendment, the plaintiff must allege facts sufficient to support a
12 claim that prison officials knew of and disregarded a substantial risk of serious harm to the plaintiff.
13 E.g., Farmer v. Brennan, 511 U.S. 825, 847, 114 S.Ct. 1970 (1994); Frost v. Agnos, 152 F.3d 1124, 1128
14 (9th Cir. 1998). The circumstances, nature, and duration of the deprivations are critical in determining
15 whether the conditions complained of are grave enough to form the basis of a viable Eighth Amendment
16 claim. Johnson v. Lewis, 217 F.3d 726, 731 (9th Cir. 2006). “[R]outine discomfort inherent in the
17 prison setting” does not rise to the level of a constitutional violation. Id. at 731.

18 The denial of adequate clothing may, under certain circumstances, rise to the level of an Eighth
19 Amendment violation. Walker v. Sumner, 14 F.3d 1415, 1421 (9th Cir. 1994). In addition, the Eighth
20 Amendment guarantees sanitation, Hoptowitz v. Ray, 682 F.2d 1237, 1246 (9th Cir. 1982), including
21 personal hygiene supplies such as soap and toothpaste, Keenan v. Hall, 83 F.3d 1083, 1091 (9th Cir.
22 1996).

23 **III. VEXATIOUS LITIGANTS -- LEGAL STANDARDS**

24 Local Rule 151(b) of the Eastern District of California authorizes the Court, on its own motion
25 or on motion of a party, to “order a party to give a security, bond, or undertaking in such an amount as
26 the Court may determine to be appropriate.” L.R. 151(b). This Court has adopted “the provisions of

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1 Title 3A, part 2, of the California Code of Civil Procedure, relating to vexatious litigants, on [which]
2 basis the Court may order the giving of a security.” Id.

3 Title 3A, part 2, of the California Code of Civil Procedure defines a “vexatious litigant” as “a
4 person who does any of the following:

5 (1) In the immediately preceding seven-year period has commenced, prosecuted, or
6 maintained in propria persona at least five litigations other than in a small claims court
7 that have been (i) finally determined adversely to the person or (ii) unjustifiably
8 permitted to remain pending at least two years without having been brought to trial or hearing.

9 (2) After a litigation has been finally determined against the person, repeatedly relitigates
10 or attempts to relitigate, in propria persona, either (i) the validity of the determination
11 against the same defendant or defendants as to whom the litigation was finally
12 determined or (ii) the cause of action, claim, controversy, or any of the issues of fact or
13 law, determined or concluded by the final determination against the same defendant or
14 defendants as to whom the litigation was finally determined.

15 (3) In any litigation while acting in propria persona, repeatedly files unmeritorious
16 motions, pleadings, or other papers, conducts unnecessary discovery, or engages in other
17 tactics that are frivolous or solely intended to cause unnecessary delay.

18 (4) Has previously been declared to be a vexatious litigant by any state or federal court
19 of record in any action or proceeding based upon the same or substantially similar facts,
20 transaction, or occurrence.”

21 Cal.Civ.Proc.Code § 391(b). “Litigation” is defined as “any civil action or proceeding, commenced,
22 maintained or pending in any state or federal court.” Cal.Civ.Proc.Code § 391(a). Under § 391.1, a
23 defendant may bring a motion for an order requiring the plaintiff to furnish security, “based upon the
24 ground, and supported by a showing, that the plaintiff is a vexatious litigant and that there is not a
25 reasonable probability that he will prevail in the litigation against the moving defendant.”

26 Cal.Civ.Proc.Code § 391.1. Other sources also permit the court to order a plaintiff to post security.
27 Simulnet East Associates v. Ramada Hotel Operating Co., 37 F.3d 573, 574 (9th Cir. 1994); In re Merrill
28 Lynch Relocation Management, Inc., 812 F.2d 1116, 1121 (9th Cir. 1987).

Under the All Writs Act, 28 U.S.C. § 1651, district courts have the power to issue an injunction
against vexatious litigants and repetitive litigation. DeLong v. Hennessey, 912 F.2d 1144 1147 (9th Cir.
1990); Wood v. Santa Barbara Chamber of Commerce, Inc., 705 F.2d 1515, 1524 (9th Cir. 1983) (citing
Clinton v. United States, 297 F.2d 899 (9th Cir. 1961)). ““Flagrant abuse of the judicial process cannot
be tolerated because it enables one person to preempt the use of judicial time that properly could be used

1 to consider the meritorious claims of other litigants.” Moski v. Evergreen Dynasty Corp., 500 F.3d
2 1047, 1057 (9th Cir. 2007) (quoting DeLong, 912 F.2d at 1148). However, pre-filing orders, which may
3 restrict a litigant’s future filing of actions or papers without leave of the court, should rarely be filed and
4 should ““remain very much the exception to the general rule of free access to the courts.”” DeLong, 912
5 F.2d at 1147 (quoting Pavlonis v. King, 626 F.2d 1075, 1079 (1st Cir. 1980)). Before declaring a litigant
6 “vexatious” and issuing a pre-filing order, the Court must (1) provide the litigant with adequate notice
7 and an opportunity to oppose the entry of the order, (2) create an adequate record for review, (3) make
8 substantive findings as to the frivolous or harassing nature of the litigant’s actions, and (4) narrowly
9 tailor the order to closely fit the specific vice encountered. DeLong 912 F.2d at 1147-48. “An adequate
10 record for review should include a listing of all the cases and motions that led the district court to
11 conclude that a vexatious litigant order [is] needed,” and at the least, a showing “that the litigant’s
12 activities were numerous or abusive.” Id. at 1147. To find frivolousness, “the district court needs to
13 look at ‘both the number and content of the filings as indicia’ of the frivolousness of the litigant’s
14 claims.” Id. (quoting In re Powell, 851 F.2d 427, 431 (D.C. Cir. 1988)). To show a pattern of
15 harassment, the court “needs ‘to discern whether the filing of several similar types of actions constitutes
16 an intent to harass the defendant or the court.’” Id.

17 **IV. DEFENDANTS’ MOTION**

18 Defendants move the Court for an order declaring Plaintiff a vexatious litigant and requiring him
19 to post security in an amount not less than \$3,400.00 before the present action is permitted to proceed.
20 Defendants also request the Court to issue a pre-filing order restricting Plaintiff’s future filings.

21 **1. Motion to Declare Plaintiff a Vexatious Litigant**

22 Defendants argue that Plaintiff is a “vexatious litigant” within the meaning of § 391(b)(1)(i)
23 because he has commenced, prosecuted, or maintained, in pro per, more than five unsuccessful lawsuits
24 in the past seven years. Defendants submit a list of twenty-one lawsuits, with documentary evidence,
25 which they contend were filed, prosecuted, or maintained by Plaintiff in pro per in the past seven years,
26 with unsuccessful results. (Motion, Doc. 54-1 at 6-7; Exhibits to Defendants’ Request for Judicial
27 Notice (“RJN”), Docs. 54-8, 54-9, 54-10, 54-11.)

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- 13. *Hendon v. Peterson*
U.S. District Court for the Eastern District of California, case no. 1:05-cv-00839.
Dismissed for failure to exhaust administrative remedies on September 2, 2010. (RJN, Ex. 30, 31 and 32.)
- 14. *Hendon v. Baroya*
U.S. District Court for the Eastern District of California, case no. 1:09-cv-00911.
Dismissed for failure to pay filing fee on September 13, 2010. (RJN, Ex. 33 and 34.)
- 15. *Hendon v. Knowles*
U.S. District Court for the Eastern District of California, case no. 2:09-cv-02935.
Dismissed for failed to file application to proceed in forma pauperis or pay the filing fee on October 1, 2010. (RJN 35, 36 and 37.)
- 16. *Hendon v. Rogel*
Ninth Circuit Court of Appeals, case no. 06-17123. Dismissed for lack of jurisdiction on January 25, 2007. (RJN 38.)
- 17. *Hendon v. Witcher, et al.*
Ninth Circuit Court of Appeals, case no. 07-16592. Judgment of dismissal affirmed on December 24, 2008. (RJN 39, 40 and 41.)
- 18. *Hendon v. Knowles, et al.*
Ninth Circuit Court of Appeals, case no. 07-16735. Judgment of dismissal affirmed on April 21, 2009. (RJN Ex. 42, 43, and 44.)
- 19. *Hendon v. Baroya, et al.*
Ninth Circuit Court of Appeals, case no. 08-15489. Judgment of dismissal affirmed on June 18, 2009. (RJN, Ex. 45, 46 and 47.)
- 20. *Hendon v. White, et al.*
Ninth Circuit Court of Appeals, case no. 08-15586. Judgment of dismissal affirmed on April 21, 2009. (RJN, Ex. 48, 49 and 50.)
- 21. *Hendon v. CDCR, et al.*
Ninth Circuit Court of Appeals, case no. 09-17361. Dismissed for failure to prosecute on February 8, 2010. (RJN, Ex. 51 and 52.)

In opposition, Plaintiff argues that instead of evaluating his status as a “vexatious litigant” under § 391 and entering a pre-filing order, the Court should require a showing of imminent danger under the provisions of 28 U.S.C. § 1915(g), based on Plaintiff’s litigation history.

The Court takes judicial notice of the court records submitted as exhibits by Defendants.⁴ Fed. R. Evid. 201(d); Valerio v. Boise Cascade Corp., 80 F.R.D. 626, 635 n.1 (N.D. Cal. 1978), aff’d, 645

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⁴Defendants bring a request for judicial notice of these records . (Doc. 54-8.) By this order, the request is granted.

1 F.2d 699 (9th Cir.), cert. denied, 454 U.S. 1126 (1981) (the court may take judicial notice of court
2 records).

3 Defendants' evidence demonstrates that Plaintiff was unsuccessful in each the twenty-one actions
4 listed above. However, to find Plaintiff "vexatious" under § 391(b)(1)(i), at least five litigations must
5 have been "finally determined adversely" to Plaintiff within the meaning of the statute.
6 Cal.Civ.Proc.Code § 391(b)(1)(i). Defendants cite In re Whitaker, which holds that the issue, when
7 determining what actions may be counted toward a vexatious litigant declaration, is not the exact number
8 of dispositions, but that the litigant *has not won* the actions he began in the court.⁵ In re Whitaker, 6
9 Cal.App.4th 54, 56 (1992) (emphasis added) (citing examples such as stricken complaints, failure to
10 serve, and failure to furnish security.) Defendants further cite Tokerud v. Capitolbank Sacramento,
11 which holds that actions "finally adversely determined" include cases either voluntarily or involuntarily
12 dismissed without prejudice. Tokerud v. Capitolbank Sacramento, 38 Cal.App.4th 775, 780-81 (1995).
13 Using these guidelines, the Court finds that Plaintiff has five or more cases which fall within the
14 provisions of § 391(b)(1)(i).⁶

15 1. *Hendon v. Ramsey*

16 U.S. District Court for the Southern District of California, case no. 3:05-cv-1256.
17 Dismissed for failure to serve process on March 20, 2006. (Defendants' Request for
18 Judicial Notice (RJN), Doc. 54-8, Ex. 1.)

19 2. *Hendon v. Rogel*

20 U.S. District Court for the Eastern District of California, case no. 2:05-cv-01063.
21 Dismissed for failure to state a claim on August 28, 2006. (RJN, Ex. 2, 3 and 4.)

22 3. *Hendon v. White*

23 U.S. District Court for the Eastern District of California, case no. 2:06-cv-02385.
24 Voluntary dismissal on August 21, 2007. (RJN, Ex. 7.) [dismissed without prejudice]

25 4. *Hendon v. Knowles*

26 U.S. District Court for the Eastern District of California, case no. 2:05-cv-01061.
27 Dismissed for failure to exhaust administrative remedies on September 21, 2007. (RJN,
28 Ex. 8, 9, and 10.) [dismissed without prejudice]

25 ⁵In light of the Ninth Circuit's adoption of the provisions of Title 3A, part 2, of the California Code of Civil
26 Procedure relating to vexatious litigants, it is appropriate to consider the holdings of California courts for guidelines of what
27 constitutes a litigation "finally determined adversely" under § 391(b)(1)(i).

28 ⁶By listing these five cases, the Court does not imply that these are the only cases on Defendants' list which fall
within the provisions of § 391(b)(1)(i).

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2 5. *Hendon v. Calderon*

3 U.S. District Court for the Eastern District of California, case no. 1:05-cv-01248.
4 Dismissed for failure to exhaust administrative remedies on October 15, 2007. (RJN, Ex.
5 11 and 12.) [dismissed without prejudice]

6 The cases cited directly above adequately demonstrate that in the seven years preceding the filing of this
7 action, Plaintiff has commenced, prosecuted or maintained at least five actions that were “determined
8 adversely” to Plaintiff. Therefore, the Court finds Plaintiff to be a “vexatious litigant” within the
9 meaning of § 391(b)(1)(i).

10 2. **Motion to Require Payment of Security**

11 Defendants argue under § 391.1 that because Plaintiff is a vexatious litigant and there is no
12 reasonable probability that he will prevail in this action, the Court should require Plaintiff to post
13 security of \$3,400.00 in attorney’s fees, the amount incurred by Defendants for preparation of this
14 motion, before this action is allowed to proceed. Defendants maintain that Plaintiff’s own records
15 undermine his claim that he was subjected to unconstitutional prison conditions in violation of his
16 Eighth Amendment rights.

17 First, Defendants argue that Plaintiff cannot show that any of the alleged deprivations were
18 sufficiently serious, because Plaintiff was, at most, subjected to suicide precaution for fourteen days at
19 a time, and he was provided with clothing, blankets, food, and a working toilet. (*See generally* Ex. A
20 to Motion, Doc. 54-3.) Defendants maintain that Plaintiff’s claim that he was subjected to extremely
21 cold conditions is contradicted by records of the inside temperatures of the infirmary, where
22 temperatures were recorded every three hours and the lowest temperature reading is 67 degrees. (*See*
23 *generally* Ex. C. to Motion, Doc. 54-5.) Defendants also assert that Plaintiff filed a separate lawsuit
24 claiming that, during this same time period, he was “almost always” removed from suicide watch and
25 placed in cells not equipped for suicidal inmates that had clothing, sheets, and towels. (Defts’ Request
26 for Judicial Notice, Ex. 53, Doc. 54-12.) Defendants also submit evidence that Plaintiff has admitted
27 that he should not be permitted to have certain property because he could hurt himself with it. (*Id.*)

28 Second, Defendants argue that Plaintiff cannot demonstrate that they acted with deliberate
29 indifference. Defendants submit evidence that Plaintiff was seen hourly by medical providers and

1 almost daily by mental health professionals, and that Plaintiff's complaints noted in his medical file were
2 promptly addressed by prison staff. (*See generally* Ex. A to Motion, Doc. 54-3.) Defendants also argue
3 that because Plaintiff cannot show that he was subjected to unconstitutional conditions of confinement,
4 it follows that he cannot show that Defendants "implemented a policy [for suicide watch protocols] so
5 deficient that the policy 'itself is a repudiation of constitutional rights and is the *moving force* of the
6 constitutional violation.'" Hansen v. Black, 885 F.2d 642, 646 (9th Cir. 1989) (emphasis added; internal
7 citations omitted).

8 Before requiring Plaintiff to post security under § 391.1, the Court must find that "there is not
9 a reasonable probability that [Plaintiff] will prevail in [this] litigation against the moving defendant[s]."
10 Cal.Civ.Proc.Code § 391.1. In making this determination, the Court is empowered to weigh evidence
11 presented on the security motion, and is not required merely to assume the truth of Plaintiff's alleged
12 facts and determine only whether Plaintiff's claims are foreclosed as a matter of law. Moran v.
13 Murtaugh Miller Meyer & Nelson, LLP, 40 Cal.4th 780, 152 P.3d 426 (2007).

14 Defendants' evidence is not sufficient to show that Plaintiff's deprivations were not serious
15 enough for him to prevail in this action. Where the conditions of confinement are challenged, a plaintiff
16 must first make an "objective" showing that the deprivation was "sufficiently serious" to form the basis
17 for an Eighth Amendment violation. Johnson, 217 F.3d at 731 (quoting Wilson v. Seiter, 501 U.S. 294,
18 298, 111 S.Ct. 2321 (1991)). Extreme deprivations are required to make out a conditions of confinement
19 claim, and only those deprivations denying the minimal civilized measure of life's necessities are
20 sufficiently grave to form the basis of an Eighth Amendment violation. Hudson, 503 U.S. at 9 (citations
21 and quotations omitted). Defendants argue that the duration of Plaintiff's deprivation was not long
22 enough because Plaintiff was, at most, subjected to suicide watch for fourteen days at a time, and that
23 the conditions were not adverse enough because Plaintiff was provided with clothing, blankets, food,
24 and a working toilet while on suicide watch. These arguments are unavailing. First, Plaintiff has not
25 complained that he was denied food or a working toilet. Next, Defendants have not cited any authority,
26 nor is the Court aware of any authority, supporting the argument that fourteen days, twenty-four hours
27 a day, is a brief time for an inmate to be deprived of basic needs, even if temporary. Further, the Court
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1 was unable to find Defendants' evidence that Plaintiff was provided with clothing and blankets while
2 on suicide watch. Defendants did not appropriately refer to the documents in Exhibit A to assist the
3 Court in locating their evidence.⁷ With respect to the temperature in Plaintiff's cell, Defendants have
4 submitted evidence refuting Plaintiff's claim that the cells were unheated. (Exh. C to Motion, Doc. 54-
5 5.) However, Defendants fail to address Plaintiff's allegations that the cells were often filthy and
6 sometimes smeared with feces, and that he was denied cleaning and hygiene supplies, such as soap, tooth
7 powder, toilet paper, and disinfectant. The Eighth Amendment guarantees sanitation, Hoptowit, 682
8 F.2d at 1246, including personal hygiene supplies such as soap and toothpaste, Keenan, 83 F.3d at 1091.

9 Defendants also fail to support their argument that Plaintiff cannot show deliberate indifference
10 in this action. Defendants only offer evidence that Plaintiff was regularly seen by medical professionals,
11 and that Plaintiff's complaints in his medical file were promptly addressed. This evidence is not relevant
12 to the claims upon which this case proceeds. Plaintiff is proceeding in this action on a claim that he was
13 subjected to unconstitutional housing conditions, not unconstitutional medical conditions.

14 Based on Defendants' arguments, the Court cannot make a finding that there is not a reasonable
15 probability that Plaintiff will prevail in this action. Therefore, Defendants' motion for an order requiring
16 Plaintiff to post security must be denied.

17 **3. Motion for Pre-filing Order**

18 Defendants move the Court for a pre-filing order restricting Plaintiff's future filings. Defendants
19 argue that because Plaintiff has filed at least twenty-one cases in the past seven years in which he did
20 not prevail, Plaintiff is inappropriately using the court system to punish prison personnel for any conduct
21 for which he does not approve.

22 Defendants have not satisfied the requirement of the DeLong court to show that Plaintiff's filings
23 are frivolous or harassing. Before entering a pre-filing order, the Court must have evidence that either

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25 ⁷Defendants' Exhibit A consists of 267 pages of records submitted to the Court under seal for *in camera* review.
26 Many of the records contain illegible handwritten notations, and Defendants have not directed the Court's attention to any
27 specific documents or pages within the 267 pages. Instead, Defendants direct the Court to "*see generally* Ex. A." This is
28 insufficient. It is not the duty of the Court to wade through all of Defendants' exhibits to determine whether or not evidence
exists to support Defendants' arguments.

1 (1) Plaintiff has filed numerous actions containing frivolous claims, or that (2) Plaintiff has filed several
2 similar types of actions with the intent to harass the defendant or the court. DeLong 912 F.2d at 1147.
3 Before the Court can find frivolousness for purposes of a pre-filing order, there must be evidence of
4 “both the number and content of the filings as indicia of the frivolousness of the litigant’s claims.” Id.
5 To find a pattern of harassment, there must be evidence that Plaintiff filed “several similar types of
6 actions[, intending] “to harass the defendant or the court.”” Id. Defendants have not submitted evidence
7 of the frivolous content of Plaintiff’s numerous filings, or of several similar types of actions filed by
8 Plaintiff with the intent to harass the defendant or the court. Therefore, Defendants’ motion for a pre-
9 filing order shall be denied.

10 **V. CONCLUSION**

11 Based on the foregoing, **IT IS HEREBY ORDERED** that:

- 12 1. Defendants’ motion to declare Plaintiff a “vexatious litigant” within the meaning of
13 California Code of Civil Procedure § 391(b) is GRANTED;
- 14 2. The Court declares Plaintiff to be a “vexatious litigant” within the meaning of California
15 Code of Civil Procedure § 391(b);
- 16 3. Defendants’ motion to require Plaintiff to post security is DENIED; and
- 17 4. Defendants’ motion for the Court to enter a pre-filing order against Plaintiff is DENIED.

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19 IT IS SO ORDERED.

20 **Dated: July 7, 2011**

20 **/s/ Gary S. Austin**
21 UNITED STATES MAGISTRATE JUDGE