

1 fact exists if the Court accepts all of the declarations submitted by Plaintiff. (Docs. 36 and 2 42). Defendants, however, urge the Court to disregard the original declarations submitted 3 by Plaintiff, arguing they are inadmissible hearsay because they were not signed under 4 penalty of perjury as required by 28 U.S.C. § 1746. (Id.). In addition, Defendants argue the 5 Court should disregard the supplemental declarations submitted by Plaintiff because they (1) 6 were not signed under penalty of perjury, and (2) are frauds on the Court. (Doc. 42). 7 Accordingly, the Court must determine, first, what evidence is admissible for the purposes 8 of summary judgment. Only then can it determine whether a genuine issue of material fact 9 prevents summary judgment.

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## A. Original Declarations

Pursuant to FED.R.CIV.P. 56(c)(4), the Court may consider declarations made on personal knowledge that set out facts that would be admissible in evidence and show that the declarant is competent to testify to the matters stated. <u>United States v. Ritchie</u>, 342 F.3d 903, 909 (9th Cir. 2003). A declaration must be signed by the declarant under penalty of perjury. 28 U.S.C. § 1746. <u>Id.</u>

Plaintiff attached to her response the declarations of Inmates Thompson, Gallow,
Tupuivao, Estrada, Caroline, and Calderon, who allege they witnessed Defendants' assault
on Plaintiff on March 21, 2006. (Doc. 35 at Ex. A-C and E-F). None of the declarations
were signed under penalty of perjury. For this reason, the Court will not consider any of the
original declarations in deciding the Motion for Summary Judgment.

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#### **B.** Supplemental Declarations

Plaintiff submitted a supplemental response (Doc. 40), and attached her declaration and
the declarations of Inmates Gallow, Thompson, Estrada, and Tupuivao. (Doc. 41 at Ex. AD). Plaintiff's supplemental declaration is not signed. Therefore, the Court will not consider
Plaintiff's supplemental declaration in deciding the Motion for Summary Judgment.

The supplemental declarations of Gallow, Thompson, Tupuivao and Estrada were all
signed under penalty of perjury. Still, Defendants asked the Court to hold an evidentiary
hearing to authenticate the declarants' signatures. (Doc. 42). Defendants argued there is no

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record of Gallow in the CDCR registry and that the signatures of Gallow, Thompson,
 Tupuivao, and Estrada on the declarations submitted with the supplemental response do not
 match their earlier declarations. (<u>Id.</u>). The Court granted Defendants' request for an
 evidentiary hearing on the matter and referred the issue for hearing, and report and
 recommendation. (Doc. 43).

6 Magistrate Judge Jennifer L. Thurston held an evidentiary hearing on April 25, 2012, and 7 filed a Report and Recommendation thereafter. (Docs. 55 and 56). The Recommendation advised the Court to strike the declarations of Thompson and Tupuivao and deny Defendants' 8 9 request for termination sanctions. (Doc. 56). Defendants objected, arguing Plaintiff's 10 testimony that she did not know or suspect Thompson and Tupuvaio's declarations were 11 falsified is plainly incredulous. (Doc. 57). Defendants ask the Court to impose sanctions in 12 the form of either (1) termination, or (2) precluding Plaintiff from calling either Thompson 13 or Tupuivao at trial. (Id.).

The Court considers the Recommendation to be thorough and well-reasoned. The Court
cannot agree that Plaintiff's testimony at the evidentiary hearing is plainly incredulous.
Therefore, the Court will adopt the Recommendation and strike from the record Thompson
and Tupuivao's declarations. The Court will not impose dismissal sanctions or restrict
Plaintiff's ability to call witnesses as trial.

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## C. Conclusion

The Court will not consider any of the original declarations or Plaintiff's supplemental declaration because they were not signed under penalty of perjury. The Court will strike from the record and not consider the supplemental declarations of Thompson and Tupuivao because these declarations were falsified. Only the supplemental declarations of Gallow and Estrada remain in support of Plaintiff's opposition to the Motion for Summary Judgment.

- 25 **II. Factual Allegations**
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# A. Defendants' Version

During the evening meal on the day in question, Plaintiff stuck her right arm out of her
cell's food port. (SOF ¶ 6). CO Medina ordered Plaintiff to put her arm back in the cell so

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he could close the food port, but Plaintiff refused to pull her arm back in until she spoke with
the Sergeant. (SOF ¶ 7). When Sergeant Hall approached Plaintiff's cell, he also ordered
her to pull her arm back in. (SOF ¶ 8-10). Plaintiff again refused, telling Sergeant Hall he
would have to put her arm back in for her. (SOF ¶ 10). Sergeant Hall again ordered Plaintiff
to pull her arm back, and she again refused. (SOF ¶ 12).

Because Plaintiff's behavior was interrupting the distribution of the evening meal, Sergeant Hall grabbed her right arm with both hands and attempted to push it back inside the cell. (SOF ¶ 12-13). Plaintiff resisted by pushing her right arm outward and holding onto the food port with her left hand. (SOF ¶ 13). CO Medina grabbed Plaintiff's left hand, but she broke free and struck at him. (SOF ¶ 14-15). CO Medina sprayed Plaintiff in the chest and face with a burst of pepper spray, and Plaintiff withdrew her arms and backed away from the cell door. (SOF ¶ 17-18). Sergeant Hall then closed the food port. (SOF ¶ 18).

Ten minutes after the incident, Plaintiff was evaluated by medical staff. (SOF  $\P$  20). They noted only small abrasions on her arms and hands. (SOF  $\P$  21). Sergeant Hall visited Plaintiff's cell forty minutes after the incident, and Plaintiff did not mention any injury or ask for medical attention. (SOF  $\P$  23).

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#### **B.** Plaintiff's Version

18 During evening meal on the day in question, Plaintiff asked CO Medina to flush her 19 toilet. (Gallow Declaration at 1:16-17). Sergeant Hall came to her cell door and "began 20 yelling, asking Moore what she was doing..." (Id. at 1:21-22). After that, he yelled that he 21 had come from a men's prison, that Plaintiff was not a real man, and that he would break 22 Plaintiff's arm. (Id. at 1:23-25; Estrada Declaration at 1). Sergeant Hall grabbed Plaintiff's 23 right arm and began pulling it towards him, through the food port. (Gallow at 1:26-2:1). 24 Plaintiff yelled for Sergeant Hall to stop. (Gallow at 2:2; Estrada at 1). Sergeant Hall 25 ordered CO Medina to spray Plaintiff with pepper spray. (Gallow at 2:3-5). Plaintiff tried 26 to block the spray with her left arm, but CO Medina grabbed her left arm and began twisting 27 and pulling it. (Id. at 2:7-9). Defendants continued pulling on Plaintiff's arms until she 28 yelled that they had broken her finger. (Id. at 10-12; Estrada at 2).

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After Defendants left, Plaintiff continued to yell that they had broken her finger.
 (Gallow at 2:13-14; Estrada at 2). A nurse arrived after thereafter, but refused to examine
 Plaintiff's finger. (Gallow at 2:13-19).

## 4 III. Analysis

5 Summary judgment is appropriate when the undisputed material facts, taken in a light more favorable to the non-moving party, demonstrate the moving party is entitled to 6 7 judgment in its favor as a matter of law. Anderson v. Liberty Lobby Inc., 477 U.S. 242 8 (1986). Summary judgment must be granted if party responding to the motion fails "to make 9 a sufficient showing on an essential element of her case with respect to which she has the 10 burden of proof." Celotox Corp. v. Catrett, 477 U.S. 317, 325 (1986). When moving party 11 does not bear the burden of proof, summary judgment is warranted by demonstration of an 12 absence of facts to support non moving party's case. Id..

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### A. Excessive Force Claim

When an inmate claims that prison officials violated his Eighth Amendment rights by
using excessive physical force, the relevant inquiry is "whether force was applied in a goodfaith effort to maintain or restore discipline, or maliciously and sadistically to cause harm."
<u>Hudson v. McMillian</u>, 503 U.S. 1, 7 (1992).

According to Estrada and Gallow, immediately prior to using force on Plaintiff, Sergeant
Hall mocked her gender identity and threatened to break her arm. In addition, both
Defendants continued to use force, including pepper spray, after Plaintiff indicated she would
comply. On this basis, a reasonable fact finder could conclude that Defendants acted
sadistically and maliciously in their encounter with Plaintiff.

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# **B.** Qualified Immunity

The qualified immunity analysis is a two-part inquiry. The court must consider whether
the facts "[t]aken in the light most favorable to the party asserting the injury . . . show [that]
the [defendant's] conduct violated a constitutional right, and the court must determine
whether the right was clearly established at the time of the alleged violation. <u>Saucier v. Katz</u>,
533 U.S. 194, 201 (2001).

Defendants first argue that the evidence does not demonstrate they violated Plaintiff's
 constitutional rights. (Doc. 34 at 10:1-7). As discussed above, however, the evidence taken
 in the light most favorable to Plaintiff demonstrates that Defendants did use excessive force.
 Defendants claim they are also entitled to qualified immunity under the second prong but
 fail to provide any relevant argument. (Id. at 10:8-20). This is not enough, and Defendants
 are not entitled to qualified immunity.

7 IV. Conclusion

8 The Court will accept Magistrate Judge Thurston's Recommendation and permit Plaintiff
9 to rely on the supplemental declarations of Gallow and Estrada. Based on this evidence, a
10 reasonable fact finder could find for Plaintiff as to her excessive force claim against Sergeant
11 Hall and CO Medina. Accordingly,

IT IS ORDERED adopting Magistrate Judge Thurston's Recommendation. (Doc. 56).
The Clerk of Court shall strike the supplemental declarations of Thompson and Tupuivao
from the record. (Doc. 41, Ex. B and D). The Court will not enter any other sanctions.

15 IT IS FURTHER ORDERED denying Defendants' Motion for Summary Judgment.
16 (Doc. 34).

IT IS FURTHER ORDERED the parties to this action shall each, no later than fifteen (15) days from the date of the order, submit confidential statements as described below. The confidential statements shall not be served on the opposing party or filed with the court, but instead, delivered by mail, fax, email or personal delivery to the court's Alternative Dispute Resolution (ADR) division at the address, fax number or email address below and marked "Confidential." Such statements shall be limited to five (5) pages and shall include the following:

- 24 1) the party's assessment of whether the instant action is of the type that would benefit
  25 from a settlement proceeding;
- 26 2) the party's assessment of what factors, if any, will prevent settlement of this matter
  27 prior to trial; and
- 28 3) any additional information the court may find useful in determining whether to set this

matter for a settlement conference. Should the Court determine this action to be appropriate for referral to the Prisoner Settlement Program, the Court will set this matter for settlement conference before a magistrate judge or district judge. DATED this 27<sup>th</sup> day of July, 2012. alu Raner C. Collins United States District Judge - 7 -