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**NOT FOR PUBLICATION**

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA  
FRESNO DIVISION

Darren Willie,	)	No. 1:07-CV-156-SRB (PC)
Plaintiff,	)	<b>ORDER</b>
vs.	)	
Dr. Vo; Dr. Tate; W.J. Sullivan,	)	
Defendants.	)	

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The Court now considers Defendant William J. Sullivan’s Motion for Summary Judgment (“Sullivan’s Mot.”), Defendant Harold Tate’s Motion for Summary Judgment (“Tate’s Mot.”), and Defendant T. Vo’s Motion for Summary Judgment (“Vo’s Mot.”). (Docs. 52, 55, 56).

**I. BACKGROUND**

**A. Plaintiff’s Relevant Treatment**

Plaintiff is an inmate currently incarcerated at California Correctional Institution in Tehachapi, California (“CCI-Tehachapi”). (Def. Sullivan’s Mem. of Points & Authorities in Supp. of Sullivan’s Mot. (“Sullivan’s Mem.”) at 1; Second Am. Compl. ¶ 4.) Defendant William J. Sullivan is the former warden of CCI-Tehachapi, a position he held at all relevant times for the purposes of this case. (Sullivan’s Mem. at 1-2.) Defendant Harold Tate, M.D.,

1 is a licensed physician and surgeon in the state of California who was employed at all  
2 relevant times as Chief Medical Officer at CCI-Tehachapi. (Def. Tate’s Mem. of Points &  
3 Authorities in Supp. of Tate’s Mot. (“Tate’s Mem.”) at 1.) The position of Chief Medical  
4 Officer was largely administrative, and Dr. Tate did not typically provide medical treatment  
5 to patients in this role; before working with the California Department of Corrections and  
6 Rehabilitation (“CDCR”), Dr. Tate’s medical practice focused on orthopedics and neurology.  
7 (*Id.*) Defendant T. Vo, M.D., is a physician at CCI-Tehachapi who treated Plaintiff on a  
8 handful of occasions during the relevant time period, for headaches and/or a left knee injury.  
9 (Vo’s Mot. at 2.)

10         The first time Plaintiff saw Dr. Vo, in June 2006, he complained of headaches. (Vo’s  
11 Mot., Ex. B, Willie Dep. 14:7-18, May 6, 2009.) Dr. Vo performed a physical examination  
12 of a “knot” on Plaintiff’s head that Plaintiff thought might be causing the headaches. (*Id.* at  
13 14:19-15:3.) Dr. Vo informed Plaintiff that the knot was a lipoma, which could not cause  
14 headaches. (*Id.* at 14:21-23.) According to Plaintiff, Dr. Vo told him that he might require  
15 a CAT scan or an MRI in the future, to determine the cause of the headaches, but at that first  
16 visit, Dr. Vo prescribed pain medication and said that if the headaches persisted, he would  
17 order further tests. (*Id.* at 15:1-8.) Plaintiff continued to have severe headaches, which were  
18 not substantially alleviated by Ibuprofen. (*Id.* at 16:9-25.) Plaintiff testified that he mentioned  
19 his knee injury to Dr. Vo at the first visit, but because it had not been listed on his “sick call  
20 slip,” Dr. Vo told him he would have to fill out another slip and make another request to see  
21 a doctor. (*Id.* at 18:4-13.)

22         Plaintiff saw Dr. Vo for the second time in August 2006, complaining of left knee  
23 pain. (*Id.* at 26:3-13.) Plaintiff told Dr. Vo that he had injured his left knee during a  
24 basketball game at a different prison, Lancaster State Prison, and had been diagnosed with  
25 damage to his anterior cruciate ligament (“ACL”) and meniscus. (*Id.* at 27:16-17; *see*  
26 *also* Second Am. Compl. at 49.) Plaintiff had surgery on his knee in December 2001, and he  
27 attached the surgeon’s report to his Second Amended Complaint. (Second Am. Compl. at  
28 49.) However, Plaintiff acknowledges that a number of documents were missing from the

1 medical file Dr. Vo had to review at the second visit. (Vo's Mot., Ex. B, Willie Dep. 50:2-7.)  
2 When Plaintiff informed Dr. Vo of his knee surgery and his lingering pain, in August 2006,  
3 Dr. Vo prescribed pain medication and a "chrono" assignment for Plaintiff to receive a  
4 bottom bunk, but did not order any diagnostic tests or other treatment. (*Id.* at 50:21-51:1.)  
5

6 The surgeon's report states that Plaintiff had arthroscopy of his left knee in December  
7 2001, during which the surgeon noted "a radial tear of the posterior horn of the lateral  
8 meniscus, which was resected back to a stable rim." (Second Am. Compl. at 49.) The surgeon  
9 also observed a tear of Plaintiff's ACL, with "only a remnant and a stump of tissue  
10 remaining." (*Id.*) The stump was debrided, but it could not be repaired during that procedure.  
11 (*Id.*) The surgeon stated, "Mr. Willie will most likely eventually need ACL reconstructive  
12 surgery." (*Id.*) However, the second procedure was not performed at that time because  
13 Lancaster State Prison was in a lockdown, and Plaintiff's knee injury was determined not to  
14 be a life-threatening situation. (Vo's Mot., Ex. B, Willie Dep. 28:13-29:6.) Plaintiff was  
15 subsequently released from state custody on January 6, 2003, and he was out of prison for  
16 a short period of time. (*Id.* at 29:9-13; 73:19-24.) Plaintiff's parole officer attempted to  
17 arrange a second surgery for Plaintiff's knee, but ultimately, Plaintiff did not seek or obtain  
18 any medical care for his headaches or his knee while he was out of custody. (Sullivan's Mot.,  
19 Ex. B, Willie Dep. 44:7-12.)

20 Plaintiff saw Dr. Vo a third time in September 2006, after filling out a sick call slip  
21 related to his continuing headaches. (*Id.* at 51:8-14.) Plaintiff told Dr. Vo that his headaches  
22 were severe and pounding, and Plaintiff testified in his deposition that at this point, Dr. Vo  
23 ordered an MRI of Plaintiff's head, to try to determine the cause of the headaches. (*Id.* at  
24 51:17-20.) However, the medical records attached to the Second Amended Complaint reveal  
25 that the MRI Plaintiff received on January 10, 2007, four months after the referral, was of  
26 his left knee. (Second Am. Compl. at 50.) This test showed a "[c]hronic complete tear of the  
27 anterior cruciate ligament." (*Id.*) Around the same time, Plaintiff received results from what  
28 he believed was an MRI of his head, which revealed that his headaches were due to sinusitis.

1 (Vo's Mot., Ex. B, Willie Dep. 58:19-59:1.) Plaintiff was prescribed Sudafed, which helped  
2 with his headaches. (*Id.* at 59:3-21.)

3 **B. Plaintiff's Relevant Grievances**

4 CCI-Tehachapi has a review system with one informal level of review and three  
5 formal levels of review. (Tate's Mem. at 1.) At the informal level, the inmate is to attempt  
6 to resolve the issue with the staff person involved. (*Id.*) At the first formal level of review for  
7 a medical grievance, the grievance is forwarded to the medical department, where a medical  
8 staff-person responds, typically after interviewing the inmate. (*Id.*) The grievance is  
9 forwarded to the Chief Medical Officer for preparation of a response at the second formal  
10 level of review. (*Id.* at 1-2.) When a grievance goes to the third formal level of review, a  
11 response is prepared by the head of the Inmate Appeals Branch of the CDCR. (*Id.* at 2.)

12 At the informal level of review, Plaintiff's first relevant grievance, dated April 4,  
13 2006, stated that he had high blood pressure and severe headaches, and he requested a visit  
14 with a doctor and a specialist. (Second Am. Compl. at 33.) His grievance was granted on  
15 April 7, 2006: the appeal form attached to the Second Amended Complaint states that he will  
16 have his blood pressure checked twice a week and see a doctor in two weeks. (*Id.*) Plaintiff  
17 continued to the first formal level of review, arguing that his blood pressure was being  
18 checked, but as of April 23, 2006, he still had not seen a doctor. (*Id.*) In response, Dr. Vo  
19 examined Plaintiff, as discussed above, in June 2006. (*Id.* at 34.) The appeal form states,  
20 "Based on the exam, the appellant has a small lemon size[d] lump. . . . He was diagnosed  
21 with a small lipoma at the top of his head and no treatment offered for cosmetic reasons."  
22 (*Id.*) Plaintiff's request to see a specialist was denied. (*Id.*) Plaintiff was still unsatisfied and  
23 filed another appeal, stating on June 24, 2006, "I was diagnosed with a small lump (lipoma)  
24 which requires surgery. I still have headaches, because possibly of this lump under my  
25 scalp." (*Id.*)

26 Dr. Tate wrote the response to the second level of review. (*See id.* at 34, 37.) Dr. Tate  
27 reviewed Plaintiff's medical records and concluded that Dr. Vo treated Plaintiff  
28 appropriately. (*Id.* at 37.) Dr. Tate wrote, "Lipoma is a fatty deposit and has no connection

1 to underline [*sic*] tissue. Hence, it is not capable of causing headaches and is considered  
2 cosmetic in nature.” (*Id.*) Dr. Tate explained that the CDCR does not provide cosmetic  
3 surgical procedures for inmates. (*Id.*) As such, Plaintiff’s grievance was denied at the second  
4 level as well, on August 1, 2006. (*Id.*) In his second level grievance regarding the lipoma,  
5 Plaintiff added complaints about his left knee, for the first time. (*Id.* at 35-36.) Dr. Tate noted  
6 in his response to the first grievance that “no additional issues are to be added to an appeal  
7 in the middle of the process.” (*Id.* at 37.) Plaintiff appealed to the director level as well, and  
8 in a decision dated October 16, 2006, the appeal was denied. (*Id.* at 38.) The Chief of the  
9 Inmate Appeals Branch agreed with Dr. Tate’s assessment that Plaintiff had been treated  
10 appropriately by Dr. Vo and wrote, “It is not appropriate for the appellant to self-diagnose  
11 his own problems and then expect a medical doctor to implement the appellant’s  
12 recommendation for a course of medical treatment.” (*Id.*)

13 Plaintiff initiated another grievance with respect to his continuing headaches, in which  
14 he requested diagnostic testing, on October 22, 2006. (*Id.* at 40.) The records attached to the  
15 Second Amended Complaint do not reveal what became of the second grievance related to  
16 Plaintiff’s headaches, although he did obtain an MRI and a diagnosis of sinusitis in early  
17 2007, as mentioned above.

18 On December 5, 2006, Plaintiff initiated a grievance regarding his knee pain. (*Id.* at  
19 41.) This grievance bypassed the informal level of review and, after an interview with a staff  
20 member, Naprosyn was ordered for Plaintiff’s pain, but his requests for surgery, transfer, and  
21 physical therapy were denied on January 25, 2007. (*Id.* at 47.) Plaintiff included his post-  
22 surgical report from 2001 with his appeal, and after reviewing it and the MRI results from  
23 January 2007, J. McConnell, a nurse practitioner at the prison, referred Plaintiff to a  
24 specialist in February 2007. (*Id.*) However, on March 7, 2007, Dr. Tate denied Plaintiff’s  
25 request for surgery, transfer, physical therapy, a knee brace, and an ace wrap, and cancelled  
26 the referral to a specialist. (*Id.* at 51.) Dr. Tate noted in his decision that Plaintiff had been  
27 clinically stable for six years and had undergone several physical examinations of his joints,  
28 which were normal. (*Id.*) Since the surgical report and MRI results showed a chronic

1 condition, Dr. Tate wrote, surgical intervention was not clinically warranted. (*Id.*; *see also*  
2 Decl. of Howard Tate in Supp. of Tate’s Mot. (“Tate Decl.”), Ex. D.)

3         Shortly thereafter, on March 20, 2007, Plaintiff filed another grievance in which he  
4 requested to see an orthopedic specialist. (Decl. of K. Sampson in Supp. of Tate’s Mot.  
5 (“Sampson Decl.”), Ex. C at 1.) At the first formal level of review, NP McConnell wrote on  
6 June 21, 2007 that Plaintiff’s referral had been cancelled by Dr. Tate, on account of his  
7 conclusion that Plaintiff’s knee condition was chronic and did not require further treatment  
8 or surgery. (*Id.* at 4.) Dr. Tate conducted the second level of review on October 21, 2007, in  
9 which he reiterated his conclusion that Plaintiff did not require surgical intervention and  
10 denied Plaintiff’s requests. (*Id.* at 5.) Dr. Tate wrote,

11             The operative report of 12/13/01 reveals that the surgeon shaved off the  
12 residual stump of the already completely torn [ACL] at that time. The  
13 appellant has thus been managing his activities of daily living without an ACL  
14 for six years. This is somewhat reminiscent of the two-time National Football  
15 League Denver Broncos quarterback John Elway, who played his entire  
16 professional football career without one. There is no medical justification for  
17 urgent surgery; nor is there any medical need for monthly attention to this  
18 knee, which has been doing well for six years without it.

19 (*Id.*) Finally, on March 19, 2008, in response to another grievance related to Plaintiff’s knee,  
20 his requests were partially granted. (Sampson Decl., Ex. D.) Plaintiff was given a permanent  
21 assignment to a lower tier, lower bunk, and he was referred to an orthopedist, with  
22 evaluations in the prison medical clinic every thirty days. (*Id.*) Plaintiff did not appeal this  
23 grievance. (Tate’s Mem. at 8.) Plaintiff states in his Opposition to Dr. Tate’s Motion that he  
24 ultimately received a second knee surgery in April 2008. (Pl.’s Opp’n to Tate’s Mot. at 3;  
25 Def. Tate’s Reply at 5.)

26         Plaintiff filed the instant lawsuit on January 29, 2007. (*See* Doc. 1.) His Second  
27 Amended Complaint was filed on July 16, 2007. (Doc. 18.) Plaintiff claims that the  
28 Defendants’ actions with respect to his headaches and his knee pain constitute a violation of  
his rights under the Eighth Amendment to the U.S. Constitution and 42 U.S.C. § 1983.

**II. LEGAL STANDARDS AND ANALYSIS**

**A. Summary Judgment**

1           The standard for summary judgment is set forth in Rule 56(c) of the Federal Rules of  
2 Civil Procedure. Under Rule 56, summary judgment is properly granted when: (1) no genuine  
3 issues of material fact remain; and (2) after viewing the evidence most favorably to the  
4 non-moving party, the movant is clearly entitled to prevail as a matter of law. Fed. R. Civ.  
5 P. 56; *Celotex Corp. v. Catrett*, 477 U.S. 317, 322-23 (1986); *Eisenberg v. Ins. Co. of N. Am.*,  
6 815 F.2d 1285, 1288-89 (9th Cir. 1987). A fact is “material” when, under the governing  
7 substantive law, it could affect the outcome of the case. *Anderson v. Liberty Lobby, Inc.*, 477  
8 U.S. 242, 248 (1986). A “genuine issue” of material fact arises if “the evidence is such that  
9 a reasonable jury could return a verdict for the nonmoving party.” *Id.*

10           In considering a motion for summary judgment, the court must regard as true the  
11 non-moving party’s evidence, if it is supported by affidavits or other evidentiary material.  
12 *Celotex*, 477 U.S. at 324; *Eisenberg*, 815 F.2d at 1289. However, the non-moving party may  
13 not merely rest on its pleadings; it must produce some significant probative evidence tending  
14 to contradict the moving party’s allegations, thereby creating a material question of fact.  
15 *Anderson*, 477 U.S. at 256-57 (holding that the plaintiff must present affirmative evidence  
16 in order to defeat a properly supported motion for summary judgment); *First Nat’l Bank of*  
17 *Ariz. v. Cities Serv. Co.*, 391 U.S. 253, 289 (1968).

#### 18           **B.       Liability for Constitutional Violations Under § 1983**

19           Plaintiff argues that the failure to promptly treat his headaches and knee pain  
20 constitutes a violation of his rights guaranteed by the Eighth Amendment to the U.S.  
21 Constitution. (*See, e.g.*, Second Am. Compl. at 1.; Pl.’s Opp’n to Sullivan’s Mot. at 4.) All  
22 three defendants have argued that their conduct does not amount to a constitutional violation.  
23 (Sullivan’s Mem. at 5-8; Vo’s Mot. at 7-11; Tate’s Mem. at 9-13.)

24           “The Eighth Amendment protects inmates from cruel and unusual punishment, which  
25 includes the denial of medical care.” *Conn v. City of Reno*, 572 F.3d 1047, 1054 (9th Cir.  
26 2009) (citing *Estelle v. Gamble*, 429 U.S. 97, 102-03 (1976)). The Ninth Circuit Court of  
27 Appeals explained,

1 When an individual is taken into custody and thereby deprived of her liberty,  
2 the officials who hold her against her will are constitutionally obligated to  
3 respond if a serious medical need should arise. If, with deliberate indifference,  
these officials fail to respond appropriately and instead act in a manner that  
will foreseeably result in harm, they violate her due process rights.

4 *Conn*, 572 F.3d at 1051. “An official’s deliberate indifference to a substantial risk of serious  
5 harm to an inmate-including the deprivation of a serious medical need-violates the Eighth  
6 Amendment, and a fortiori, the Fourteenth Amendment.” *Id.* at 1054-55 (citing *Farmer v.*  
7 *Brennan*, 511 U.S. 825, 828 (1994); *Frost v. Agnos*, 152 F.3d 1124, 1128 (9th Cir. 1998)).  
8 In the Ninth Circuit, a plaintiff must meet two requirements to set forth a constitutional claim  
9 under this theory:

10 “[f]irst, the plaintiff must show a serious medical need by demonstrating that  
11 failure to treat a prisoner’s condition could result in further significant injury  
or the unnecessary and wanton infliction of pain. Second, the plaintiff must  
show the defendant’s response to the need was deliberately indifferent.”

12 *Id.* at 1055 (quoting *Jett v. Penner*, 439 F.3d 1091, 1096 (9th Cir. 2006)). The deliberate  
13 indifference portion of the analysis contains subjective and objective elements, requiring both  
14 “(a) a purposeful act or failure to respond to a prisoner’s pain or possible medical need and  
15 (b) harm caused by the indifference.” *Id.* (quoting *Jett*, 439 F.3d at 1096).

### 16 **1. Serious Medical Need**

17 The Ninth Circuit Court of Appeals, in *Conn*, stated that “a prisoner has a ‘serious’  
18 medical need if the failure to treat the condition could result in further significant injury or  
19 the ‘unnecessary and wanton infliction of pain.’” *Id.* (quoting *Doty v. County of Lassen*, 37  
20 F.3d 540, 546 (9th Cir.1994)). The Defendants do not assert that Plaintiff’s knee pain or  
21 headaches do not constitute serious medical needs; rather, they focus on the deliberate  
22 indifference prong of the analysis. For the purposes of this Order, the Court will assume that  
23 Plaintiff had a serious medical need.

### 24 **2. Deliberate Indifference**

25 To demonstrate deliberate indifference, Plaintiff must show that Defendants (1) were  
26 subjectively aware of the serious medical need and (2) that they failed to adequately respond.  
27 *Id.* at 1056. In defining subjective awareness, the Ninth Circuit Court of Appeals has  
28



1 explained that a prison official must “‘know[ ] of and disregard[ ] an excessive risk to inmate  
2 health or safety; the official must both be aware of facts from which the inference could be  
3 drawn that a substantial risk of serious harm exists, and he must also draw the inference.’”  
4 *Id.* (alteration in original) (quoting *Farmer*, 511 U.S. at 834). Finally, to establish that a  
5 prison official was deliberately indifferent to an inmate’s serious medical need, a plaintiff  
6 must show that harm was caused by the indifference. *Id.* at 1055 (quoting *Jett*, 439 F.3d at  
7 1096). This analysis presents questions of both cause in fact and proximate causation. *Id.* at  
8 1058-61. “[A] plaintiff’s showing of nothing more than ‘a difference of medical opinion’ as  
9 to the need to pursue one course of treatment over another [is] insufficient, as a matter of law,  
10 to establish deliberate indifference.” *Jackson v. McIntosh*, 90 F.3d 330, 332 (9th Cir. 1996)  
11 (citing *Estelle v. Gamble*, 429 U.S. 97, 107-08 (1976)). Inadequate treatment due to  
12 malpractice or even gross negligence does not constitute an Eighth Amendment violation.  
13 *Wood v. Housewright*, 900 F.2d 1332, 1334 (9th Cir. 1990).

14 **a. Subjective Awareness and Failure to Respond**

15 The three Defendants in this case had different roles in Plaintiff’s treatment. Dr. Vo  
16 was the treating physician at the prison, and he assessed Plaintiff’s condition and determined  
17 his treatment. (Vo’s Mot. at 2-4.) Dr. Tate was the Chief Medical Officer for the prison  
18 where Plaintiff was, at all relevant times, incarcerated, and he reviewed Plaintiff’s records  
19 and grievances to evaluate whether he needed continuing care. (Def. Tate’s Sep. Statement  
20 of Undisputed Facts in Supp. of Tate’s Mot. (“Tate’s SOF”) at 1-4.) Mr. Sullivan was the  
21 warden at CCI-Tehachapi, and Plaintiff claims he sent a letter to Mr. Sullivan to complain  
22 about the denial of an MRI and further treatment for his knee, which went unanswered.  
23 (Sullivan’s Mem. at 7.)

24 **(1) Dr. Vo**

25 With respect to Dr. Vo, Plaintiff asserts that his claims arise from a failure to  
26 expeditiously order diagnostic tests for his headaches and knee pain. (Second Am. Compl.  
27 ¶¶ 11, 13-22; *see also* Pl.’s Opp’n to Vo’s Mot.) At the time of Plaintiff’s first visit with Dr.  
28 Vo, however, there was nothing in his medical record to indicate a need for knee surgery, and

1 Plaintiff had not filled out a sick call sheet regarding knee pain. Dr. Vo determined that the  
2 lump on Plaintiff's head was a lipoma and could not be the cause of his headaches. (Vo's  
3 Mot. at 9-11; Ex. B at 14:3-16:8, 49:17-50:7, 72:20-73:12, 107:11-15; Ex. D ¶¶ 4-5.) Dr. Vo  
4 informed Plaintiff that if the conservative treatment of his pain did not work, he would  
5 consider more tests and more aggressive treatment. (*Id.*) Based on the information available  
6 to him at the time, Dr. Vo was not subjectively aware of a serious medical condition, and his  
7 treatment of Plaintiff was not deliberately indifferent. He did not fail to respond to Plaintiff's  
8 complaints. Prescribing a conservative treatment regime for both Plaintiff's headaches and  
9 his knee pain, grounded in the information contained in Plaintiff's medical records, was an  
10 appropriate treatment plan. Also, Dr. Vo ultimately ordered an MRI to determine the cause  
11 of Plaintiff's headaches, and he did not have control over how quickly it would be performed.  
12 (Vo's Mot. at 11.) Furthermore, delay in treatment, standing alone, is not enough to establish  
13 deliberate indifference. *Shapley v. Nev. Bd. of State Prison Comm'rs*, 766 F.2d 404, 407 (9th  
14 Cir 1985). Plaintiff has not established that further harm was caused by any delay in  
15 diagnosis or treatment.

16 **(2) Dr. Tate**

17 Dr. Tate is the Chief Medical Officer at CCI-Tehachapi, where Plaintiff was  
18 incarcerated at all relevant times. (Tate's SOF at 1.) At the second level of administrative  
19 grievance, Dr. Tate reviewed Plaintiff's grievances and medical records. (*Id.* at 3-4, 7-8.) The  
20 information available to him was, therefore, slightly different from that reviewed by Dr. Vo  
21 when he made his decisions. Dr. Tate agreed with Dr. Vo's assessment that Plaintiff's lipoma  
22 could not be the cause of his headaches and denied Plaintiff's "request to see a specialist,  
23 have the lipoma surgically removed, and be transferred to a medical facility[.]" (*Id.* at 7.)  
24 This decision does not reflect deliberate indifference. Dr. Tate did not address Plaintiff's  
25 knee pain at the second level appeal issued August 1, 2006, because he contends that Plaintiff  
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1 “had impermissibly added this issue in the middle of an appeal process concerning the lipoma  
2 on his head.” (*Id.* at 9; Tate Decl., Ex. A at 5.)<sup>1</sup>

3 In his second decision, issued March 7, 2007, after reviewing Plaintiff’s grievance  
4 related to his knee pain, Dr. Tate noted that Plaintiff’s knee had been clinically stable for six  
5 years, and as his injury was a chronic condition, surgery was not warranted. (Tate Decl., Ex.  
6 C at 7.) Dr. Tate stated in his declaration that in his medical opinion, “[a] torn ACL is not a  
7 medical emergency.” (Tate Decl. ¶ 14.) Dr. Tate also explained that non-surgical treatment  
8 options are appropriate if a patient’s knee is stable, especially since “with each additional  
9 surgery the risk increases of developing arthritis in the knee,” which can be worse than the  
10 pain of the torn ACL. (*Id.* at ¶¶ 14, 17.) Clinical indications of the need for reconstructive  
11 surgery include “instability, grinding, heat, redness, swelling, or tenderness.” (*Id.* at ¶ 15.)  
12 Given Plaintiff’s clinical stability, Dr. Tate determined that there was no medical justification  
13 for a second surgery. (*Id.* at ¶¶ 22-23.) This decision does not reflect a deliberate disregard  
14 for a substantial risk of serious harm to Plaintiff; as Plaintiff’s knee had been stable for six  
15 years, it was reasonable under the circumstances to deny his grievance.<sup>2</sup>

16 **(3) Mr. Sullivan**

17 Mr. Sullivan, in contrast to the other two Defendants, is not a physician; he is the  
18 former warden of CCI-Tehachapi, a position he held during the events giving rise to this  
19 lawsuit. (Sullivan’s Mem. at 2.) Plaintiff alleges in his Second Amended Complaint that he  
20 sent a letter to Mr. Sullivan on August 5, 2006, explaining that he had suffered a head injury  
21 before being incarcerated and had been experiencing severe headaches. (Second Am. Compl.

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22 <sup>1</sup>The Court focuses on the merits of Plaintiff’s claims in this Order and, as such, will  
23 not discuss Dr. Tate’s arguments with respect to exhaustion of administrative remedies.  
24 However, even assuming that Plaintiff had properly raised the knee pain issue in his first  
25 grievance, Dr. Tate did not display deliberate indifference.

26 <sup>2</sup>Plaintiff ultimately underwent a second knee surgery. (Pl.’s Opp’n to Tate’s Mot. at  
27 3; Def. Tate’s Reply at 5.) This fact does not establish that surgery was clinically appropriate  
28 earlier than 2008, as Dr. Tate’s findings hinged on the fact that Plaintiff had been stable in  
the time leading up to the grievances, and clinical tests did not demonstrate any joint  
instability.

1 ¶ 33.) Plaintiff's letter also described his dissatisfaction with the treatment provided by Dr.  
2 Vo and Dr. Tate's response to his grievance and requested that the warden intervene. (*Id.* at  
3 ¶¶ 34-36.) As there is no respondeat superior liability under § 1983, Plaintiff may only assert  
4 claims against Mr. Sullivan to the extent he personally committed or directed an act or  
5 omission that violated Plaintiff's constitutional rights. *See, e.g., Taylor v. List*, 880 F.2d  
6 1040, 1045 (9th Cir. 1989) ("A supervisor is only liable for constitutional violations of his  
7 subordinates if the supervisor participated in or directed the violations, or knew of the  
8 violations and failed to act to prevent them."). Therefore, Mr. Sullivan's actions with respect  
9 to the letter are the only ones that must be scrutinized here.

10 Mr. Sullivan states in his declaration that he does not recall receiving Plaintiff's letter,  
11 and his assistant was unable to locate it in his files. (Sullivan Decl. ¶ 5.) Mr. Sullivan further  
12 states that his usual practice with such letters was to forward them to the Health Care  
13 Manager at the prison, as he lacked medical expertise, and he believes that is what he would  
14 have done in Plaintiff's case. (*Id.* at ¶¶ 4-5.) Plaintiff has not offered any proof that the letter  
15 was delivered or that Mr. Sullivan's likely forwarding of that letter to the Health Care  
16 Manager amounts to deliberate indifference on his part. The Court concludes that Mr.  
17 Sullivan did not violate Plaintiff's Eighth Amendment rights.

#### 18 **b. Harm Caused by Deliberate Indifference**

19 Even if the actions discussed above constituted a violation of his rights, Plaintiff has  
20 not demonstrated that he was harmed by the actions or inaction of any of the Defendants. In  
21 his deposition, Plaintiff stated that no doctor had told him that the delay in treatment of his  
22 headaches or his knee injury caused him to suffer any additional injury, and the record does  
23 not contain any evidence that additional harm was caused by any delays in treatment.  
24 (Swanson Decl., Ex. B at 110:5-19.) Moreover, the fact that Plaintiff did not seek or obtain  
25 any treatment for his headaches or his knee during the time he was not incarcerated undercuts  
26 his assertion that the delay in treatment at CCI-Tehachapi amounts to deliberate indifference.  
27 (*Id.* at 44:7-12.)

### 28 **III. CONCLUSION**

1 As the Court concludes that Defendants' actions did not violate Plaintiff's  
2 constitutional rights, it will not address Defendants' arguments with respect to qualified  
3 immunity. The standard for an Eighth Amendment violation due to inadequate medical  
4 treatment is very high. While Plaintiff may not have received treatment in as prompt and  
5 efficient a manner as he might have hoped, he was given medical care at the prison that  
6 addressed his needs.

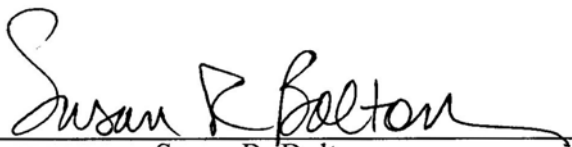
7 **IT IS THEREFORE ORDERED** granting Defendant William J. Sullivan's Motion  
8 for Summary Judgment (Doc. 52).

9 **IT IS FURTHER ORDERED** granting Defendant Harold Tate's Motion for  
10 Summary Judgment (Doc. 55).

11 **IT IS FURTHER ORDERED** granting Defendant T. Vo's Motion for Summary  
12 Judgment (Doc. 56).

13 **IT IS FURTHER ORDERED** directing the Clerk to enter judgment in this matter  
14 in favor of Defendants.

15 DATED this 9<sup>th</sup> day of September, 2009.

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20 Susan R. Bolton  
21 United States District Judge  
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