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6	UNITED STA	TES DISTRICT COURT	
7	EASTERN DISTRICT OF CALIFORNIA		
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9	DESHA CARTER,	CASE NO. 1:07-cv-01736-SMS PC	
10 11	Plaintiff, v.	ORDER DENYING IN PART AND GRANTING IN PART DEFENDANTS' MOTION FOR SUMMARY JUDGMENT	
12	MUNOZ, et al.,	(Docs. 43, 51)	
13	Defendants.		
14		/	
15	Order on Defendant	ts' Motion for Summary Judgment	
16	I. <u>Procedural History</u>	· · · · · · · · · · · · · · · · · · ·	
17	Plaintiff Desha M. Carter ("Plain	tiff") is a state prisoner proceeding pro se and in forma	
18	pauperis in this civil rights action pursua	nt to 42 U.S.C. § 1983. Plaintiff filed this action on	
19 20	November 30, 2007. The Court screened	Plaintiff's complaint pursuant to 28 U.S.C. § 1915A,	
20	and found that it states cognizable claims	s against Defendants Munoz, Paz, Parra, and Silva for	
21 22	violation of the Eighth Amendment arisin	ng from the conditions Plaintiff was subjected to in the	
22	management cell, against Defendant Mur	noz for violation of the Due Process Clause arising from	
23 24	Plaintiff's placement in the management	cell, and against Defendant Munoz for retaliation in	
25	violation of the First Amendment. ¹ Fed.	R. Civ. P. 8(a); Erickson v. Pardus, 127 S. Ct. 2197,	
26	2200 (2007); Alvarez v. Hill, 518 F.3d 11	52, 1157-58 (9th Cir. 2008). On November 9, 2009,	
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 ¹ In a Findings and Recommendations, the Court recommended Plaintiff's Eighth Amendment claim arising out of denial of outdoor exercise, Plaintiff's due process claim against Defendant Roberson, and Plaintiff's equal protection claims be dismissed for failure to state a claim upon which relief may be granted.

1 Defendants filed a motion for summary judgment on all cognizable claims. (Doc. 43.) Plaintiff 2 filed his opposition on December 7, 2009. (Doc. 49.) Defendants filed a motion for a twenty day 3 extension of time to file their reply brief in support of their motion for summary judgment which 4 is hereby granted nunc pro tunc. (Doc. 51.) Defendants filed their reply on January 8, 2010. 5 (Doc. 52.) The motion is deemed submitted. П. 6 **Summary Judgment Standard** 7 Summary judgment is appropriate when it is demonstrated that there exists no genuine 8 issue as to any material fact, and that the moving party is entitled to judgment as a matter of law. 9 Fed. R. Civ. P. 56(c). Under summary judgment practice, the moving party 10 [A] lways bears the initial responsibility of informing the district court of the basis for its motion, and identifying those portions of "the pleadings, depositions, answers to interrogatories, and 11 admissions on file, together with the affidavits, if any," which it believes demonstrate the absence of a genuine issue of material 12 fact. 13 Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986). "[W]here the nonmoving party will bear the 14 burden of proof at trial on a dispositive issue, a summary judgment motion may properly be made 15 in reliance solely on the 'pleadings, depositions, answers to interrogatories, and admissions on 16 file." *Id.* Indeed, summary judgment should be entered, after adequate time for discovery and 17 upon motion, against a party who fails to make a showing sufficient to establish the existence of 18 an element essential to that party's case, and on which that party will bear the burden of proof at 19 trial. Id. at 322. "[A] complete failure of proof concerning an essential element of the 20 nonmoving party's case necessarily renders all other facts immaterial." Id. In such a 21 circumstance, summary judgment should be granted, "so long as whatever is before the district 22 court demonstrates that the standard for entry of summary judgment, as set forth in Rule 56(c), is 23 satisfied." Id. at 323. 24 If the moving party meets its initial responsibility, the burden then shifts to the opposing 25 party to establish that a genuine issue as to any material fact actually does exist. *Matsushita Elec.* Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986). In attempting to establish the

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existence of this factual dispute, the opposing party may not rely upon the denials of its

pleadings, but is required to tender evidence of specific facts in the form of affidavits, and/or
admissible discovery material, in support of its contention that the dispute exists. Fed. R. Civ. P.
56(e); *Matsushita*, 475 U.S. at 586 n.11. The opposing party must demonstrate that the fact in
contention is material, i.e., a fact that might affect the outcome of the suit under the governing
law, *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248 (1986); *T.W. Elec. Serv., Inc. v. Pacific Elec. Contractors Ass 'n*, 809 F.2d 626, 630 (9th Cir. 1987), and that the dispute is genuine, i.e.,
the evidence is such that a reasonable jury could return a verdict for the nonmoving party, *Wool v. Tandem Computers, Inc.*, 818 F.2d 1433, 1436 (9th Cir. 1987).

The parties bear the burden of supporting their motions and oppositions with the papers they wish the Court to consider and/or by specifically referencing any other portions of the record they wish the Court to consider. *Carmen v. San Francisco Unified School Dist.*, 237 F.3d 1026, 1031 (9th Cir. 2001). The Court will not undertake to mine the record for triable issues of fact. *Id.*

III. <u>Chronology of Events</u>

The events at issue in this action allegedly occurred at California Substance Abuse Treatment Facility and State Prison ("SATF") in Corcoran, California. The parties' presentation of the events in their moving and opposing papers do not portray a clear and accurate presentation of the events reflected in the evidence submitted. Thus, based on the evidence submitted, and for clarity sake, the events at issue in this case have been placed in chronological order.

112/01/06- Plaintiff is housed in Ad-Seg because he had been recently transferred to SATF.2(Doc. 49, Plntf. Opp., p. 2.)

Defendant Munoz informed Plaintiff of the compaction order and informed
Plaintiff that he would be assigned a cellmate. (Doc. 44, Def. UF 1.)
Plaintiff told Defendant Munoz that, because he was new at SATF, he did not
know anyone with whom he would be compatible, and that he would not accept a
cellmate. (Doc. 44, Def. UF 2).

– Defendant Munoz informed Plaintiff that if he did not accept a "cellie," he

1	would be placed on management status. (Doc. 49, Plntf. Exh. C, p. 27; Doc. 44-2,	
2	Def. Exh. A, Plntf Dep., 23:12-24:6.)	
3	– Plaintiff wrote up an inmate appeal against Defendant Munoz for trying to force	
4	him into accepting a cellmate with whom Plaintiff was not compatible and because	
5	Defendant Munoz said he did not know anything about Plaintiff going through validation	
6	for gang association that Plaintiff would have to find a cellmate because Defendant	
7	Munoz was trying to comply with compaction orders due to limited space. (Doc. 44-2,	
8	Def. Exh. A, Plntf Dep., 18:24-24:17.)	
9		
10	12/02/06 – Plaintiff was taken to a holding cell to talk with another inmate to see if they	
11	were compatible, but they quickly knew that they were not compatible and so	
12	advised Defendant Munoz. (Doc. 44, Def. UF 4-6.)	
13	- Defendant Munoz got irate and flustered that Plaintiff would not agree to room	
14	with the other inmate. (Doc. 44-2, Def. Exh. A, Plntf Dep., 24:12-25:1.)	
15	– Plaintiff gave Defendant Munoz his inmate appeal because Defendant Munoz	
16	called him to talk with another inmate about being cellmates despite their	
17	conversation the day before. (Doc. 44-2, Def. Exh. A, Plntf Dep., 20:17-22:9.)	
18	- Defendant Munoz read Plaintiff's inmate appeal and got angry again and	
19	retorted that Plaintiff was not the only one who could file paperwork. Defendant	
20	Munoz then asked Plaintiff if he was refusing to take a cellmate, but Plaintiff	
21	responded that he was not refusing a cellmate, he was refusing to accept a gang	
22	member for a cellmate. Defendant Munoz then called other officers, including	
23	Defendant Paz, to take Plaintiff's belongings. Plaintiff then said that he would	
24	share a cell with the other inmate, but that Plaintiff would not sign a compatibility	
25	form because he knew they were not compatible and did not want trouble. (Doc.	
26	44-2, Def. Exh. A, Plntf Dep., 25:1-26:5; Doc. 49, Plntf. Opp., 3:14-17.)	
27	– Defendant Munoz had Plaintiff moved to cell 117 and put him on management	
28	status wearing nothing but his boxers without any of his property, including his	

1		regular mattress (being given instead a makeshift mattress composed of cotton and
2		gauze). (Doc. 44, Def. UF 4-8, & 10; Doc. 44-2, Def. Exh. A, Plntf Dep., 28:8-
3		32:17 and 69:10-20.)
4		
5	12/03/06	– Captain Diaz came into the building and Plaintiff spoke with him about the
6		mattress. Captain Diaz instructed C.O. Turner to remove the cotton & gauze and
7		to give Plaintiff a real mattress. (Doc. 44-2, Def. Exh. A, Plntf Dep., 69:10-70:15;
8		Doc. 49, Plntf Exh. F, p. 38.)
9		
10	12/06/02	- Plaintiff had received a real mattress by this date. (Doc. 44-2, Def. Exh. A,
11		Plntf Dep., 70:17-19.)
12		
13	12/12/06	- Apparently Plaintiff was taken off management status this date as the Operating
14		Procedures say management status is to last for ten (10) days. (Doc. 44-2, Def.
15		Exh. B, p. 33.) Defendants argue "Plaintiff was released from management status
16		after ten (10) days," but do not submit any evidence to directly support that
17		assertion. (Doc. 43, MTD, 7:16.) Plaintiff does not allege nor argue that he was
18		left on management status for longer than ten days.
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20	12/13/06	- Defendant Munoz filed a RVR against Plaintiff for not accepting a cellmate.
21		(Doc. 44-2, Def. Exh. B, p. 48.)
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23	12/14/06	- Plaintiff was placed on ten day bedding restriction and his mattress was
24		confiscated. (Doc. 44, Def. UF 11; Doc. 44-2, Def. Exh. F, p. 56.)
25		
26	12/24/06	- Plaintiff's bedding restriction ended. (Doc. 44, Def. UF 11; Doc. 44-2, Def.
27		Exh. F, p. 56.)
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1	12/25/06	- Plaintiff filed a request for a mattress. (Doc. 44, Def. UF 19.) Plaintiff was
2		denied a mattress because he refused to sign a trust withdrawal form. (Doc. 44-3,
3		Def. Exh. H, p. 5, ¶ "Describe Problem.")
4		
5	12/26/06	- Plaintiff received a mattress despite not signing a trust account withdrawal form.
6		(Doc. 44-3, Def. Exh. H, p. 5; Doc. 44-3, Def. Exh. H, p. 5, ¶ "Informal Level,
7		Staff Response.")
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9	1/12/07	- The hearing was held on the RVR filed by Defendant Munoz. (Doc. 44-2, Def.
10		Exh. E, pp. 48-53.)
11		
12	1/31/07	- Upon review the RVR Defendant Munoz issued was voided. (Doc. 44-2, Def.
13		Exh. E, p. 54 & Doc. 49, Plntf. Exh. M, p. 47.)
14	IV. <u>Due P</u>	Process
15	А.	Plaintiff's Claim
15 16		Plaintiff's Claim iff alleges that Defendant Munoz failed to follow proper procedure because he
	Plaint	
16	Plaint failed to issue	iff alleges that Defendant Munoz failed to follow proper procedure because he
16 17	Plaint failed to issue	iff alleges that Defendant Munoz failed to follow proper procedure because he e a Rules Violation Report ("RVR") and allow a hearing officer to make findings
16 17 18	Plaint failed to issue before placing B.	iff alleges that Defendant Munoz failed to follow proper procedure because he e a Rules Violation Report ("RVR") and allow a hearing officer to make findings g Plaintiff in cell 117 on management status. (Doc. 1, Compl.)
16 17 18 19	Plaint failed to issue before placing B. The D	iff alleges that Defendant Munoz failed to follow proper procedure because he e a Rules Violation Report ("RVR") and allow a hearing officer to make findings g Plaintiff in cell 117 on management status. (Doc. 1, Compl.) <u>Analysis</u>
16 17 18 19 20	Plaint failed to issue before placing B. The D deprived of li	 iff alleges that Defendant Munoz failed to follow proper procedure because he e a Rules Violation Report ("RVR") and allow a hearing officer to make findings g Plaintiff in cell 117 on management status. (Doc. 1, Compl.) <u>Analysis</u> Due Process Clause of the Fourteenth Amendment protects prisoners from being
 16 17 18 19 20 21 	Plaint failed to issue before placing B. The D deprived of li 539, 556 (197	 iff alleges that Defendant Munoz failed to follow proper procedure because he e a Rules Violation Report ("RVR") and allow a hearing officer to make findings g Plaintiff in cell 117 on management status. (Doc. 1, Compl.) <u>Analysis</u> Due Process Clause of the Fourteenth Amendment protects prisoners from being fe, liberty, or property without due process of law. <i>Wolff v. McDonnell</i>, 418 U.S.
 16 17 18 19 20 21 22 	Plaint failed to issue before placing B. The D deprived of li 539, 556 (197 deprivation of	 iff alleges that Defendant Munoz failed to follow proper procedure because he a Rules Violation Report ("RVR") and allow a hearing officer to make findings g Plaintiff in cell 117 on management status. (Doc. 1, Compl.) <u>Analysis</u> Due Process Clause of the Fourteenth Amendment protects prisoners from being fe, liberty, or property without due process of law. <i>Wolff v. McDonnell</i>, 418 U.S. 74). Ordinarily, courts look first to whether the inmate has demonstrated the
 16 17 18 19 20 21 22 23 	Plaint failed to issue before placing B. The D deprived of li 539, 556 (197 deprivation of required unde	 iff alleges that Defendant Munoz failed to follow proper procedure because he e a Rules Violation Report ("RVR") and allow a hearing officer to make findings g Plaintiff in cell 117 on management status. (Doc. 1, Compl.) <u>Analysis</u> Due Process Clause of the Fourteenth Amendment protects prisoners from being fe, liberty, or property without due process of law. <i>Wolff v. McDonnell</i>, 418 U.S. 74). Ordinarily, courts look first to whether the inmate has demonstrated the f a protected liberty interest before inquiring into whether the procedural protections
 16 17 18 19 20 21 22 23 24 	Plaint failed to issue before placing B. The D deprived of li 539, 556 (197 deprivation of required unde Clause itself of	 iff alleges that Defendant Munoz failed to follow proper procedure because he a Rules Violation Report ("RVR") and allow a hearing officer to make findings g Plaintiff in cell 117 on management status. (Doc. 1, Compl.) <u>Analysis</u> Due Process Clause of the Fourteenth Amendment protects prisoners from being fe, liberty, or property without due process of law. <i>Wolff v. McDonnell</i>, 418 U.S. 74). Ordinarily, courts look first to whether the inmate has demonstrated the f a protected liberty interest before inquiring into whether the procedural protections er federal law were provided. Liberty interests may arise from the Due Process
 16 17 18 19 20 21 22 23 24 25 	Plaint failed to issue before placing B. The D deprived of li 539, 556 (197 deprivation of required unde Clause itself of 459 U.S. 460,	 iff alleges that Defendant Munoz failed to follow proper procedure because he e a Rules Violation Report ("RVR") and allow a hearing officer to make findings g Plaintiff in cell 117 on management status. (Doc. 1, Compl.) <u>Analysis</u> Due Process Clause of the Fourteenth Amendment protects prisoners from being fe, liberty, or property without due process of law. <i>Wolff v. McDonnell</i>, 418 U.S. 74). Ordinarily, courts look first to whether the inmate has demonstrated the f a protected liberty interest before inquiring into whether the procedural protections er federal law were provided. Liberty interests may arise from the Due Process or from state law. <i>Wilkinson v. Austin</i>, 545 U.S. 209, 222 (2005); <i>Hewitt v. Helms</i>,
 16 17 18 19 20 21 22 23 24 25 26 	Plaint failed to issue before placing B. The D deprived of li 539, 556 (197 deprivation of required unde Clause itself of 459 U.S. 460, prison regulat	 iff alleges that Defendant Munoz failed to follow proper procedure because he e a Rules Violation Report ("RVR") and allow a hearing officer to make findings g Plaintiff in cell 117 on management status. (Doc. 1, Compl.) <u>Analysis</u> Due Process Clause of the Fourteenth Amendment protects prisoners from being fe, liberty, or property without due process of law. <i>Wolff v. McDonnell</i>, 418 U.S. 74). Ordinarily, courts look first to whether the inmate has demonstrated the f a protected liberty interest before inquiring into whether the procedural protections er federal law were provided. Liberty interests may arise from the Due Process for from state law. <i>Wilkinson v. Austin</i>, 545 U.S. 209, 222 (2005); <i>Hewitt v. Helms</i>, et a 466-68 (1983). Under state law, the existence of a liberty interest created by

1 "imposes atypical and significant hardship on the inmate in relation to the ordinary incidents of 2 prison life." Id. at 484.

3 Defendants admit that Plaintiff has a valid interest in staying off management status. 4 (Doc. 43, MTD, 6:21-22.) However, in their reply, Defendants argue that being without a 5 mattress for twelve days does not constitute an atypical and significant hardship in relation to the ordinary incidents of prison life – as if that were the basis for Plaintiff's due process claim 6 7 against Defendant Munoz. (Doc. 52, Def. Reply, pp. 3:8 - 4:5.) Also in their reply, Defendants 8 argue that being on management status is not an atypical and significant hardship as the 9 Plaintiff's complaints were primarily the freezing temperature in the cell and deprivation of a 10 mattress. (Id.)

Both of these arguments are misleading and erroneous representations of the allegations, 11 12 factual events, and evidence submitted in this case. (See the Chronology of Events at pp. 3-6 13 herein above.) When an inmate is placed on management status, on the first day, all property is 14 removed from his cell and inventoried and he is issued a pair of boxers, one sheet, and a 15 mattress; on the second day, he is issued a towel and is permitted to shower; on the third day, he 16 is issued a t-shirt; on the fourth day, he is issued a pair of socks; on the fifth day, he is issued a second pair of boxers; on the sixth day, he is issued a sheet; on the seventh day, he is issued a 17 blanket; on the eighth day he is issued one pair of "gong" shoes; on the ninth day, he is issued 18 19 one jumpsuit; and on the tenth day he is issued his remaining property. (Doc. 44-2, Def. Exh. B, p. 33.) Further, while Defendants submit evidence that the temperature of the building in which 20 21 Plaintiff was placed on management status was thermostatically regulated at 70 degrees, they fail 22 to submit any evidence to show that either there was no exterior door near cell 117, or that the exterior door was not frequently left open so as to allow winter temperatures to flow into the cells adjacent to the door. Indeed, being placed on management status is an atypical and significant hardship in relation to the ordinary incidents of prison life, which is even more evident when coupled with the drop in temperature that Plaintiff alleges due to the exterior door frequently being left open. Since Plaintiff had a protected liberty interest in not being placed on 28 management status, he was entitled to procedural due process.

"Prison disciplinary proceedings are not part of a criminal prosecution, and the full 1 2 panoply of rights due a defendant in such proceedings does not apply." Wolff v. McDonnell, 418 3 U.S. 539, 556 (1974). With respect to prison disciplinary proceedings, the minimum procedural requirements that must be met are: (1) written notice of the charges; (2) at least 24 hours 4 5 between the time the prisoner receives written notice and the time of the hearing, so that the prisoner may prepare his defense; (3) a written statement by the fact finders of the evidence they 6 7 rely on and reasons for taking disciplinary action; (4) the right of the prisoner to call witnesses and present documentary evidence in his defense, when permitting him to do so would not be 8 9 unduly hazardous to institutional safety or correctional goals; and (5) legal assistance to the 10 prisoner where the prisoner is illiterate or the issues presented are legally complex. Id. at 563-71. Confrontation and cross examination are not generally required. Id. at 567. 11

12 Thus, since Plaintiff had a protected liberty interest in not being placed on management 13 status, he should have been provided the *Wolff* requirements. Defendants did not make any arguments to show what, if any procedural due process was provided to Plaintiff regarding his 14 15 placement on management status. However, in their exhibits, Defendants submitted the RVR and hearing findings which show that Plaintiff was given a copy of the RVR on December 13, 16 17 2006 which was approximately a month before the hearing was conducted on January 12, 2007. 18 (Doc. 44-2, Def. Exh. E, p. 49.) That same exhibit contains the written statement by the fact 19 finder of the evidence that was relied on and the reasons for the disciplinary action, noted that 20 persons whom Plaintiff wanted interviewed were asked questions formulated by Plaintiff, and also noted that Plaintiff did not meet the criteria for assignment of a staff assistant – which shows 21 22 that the first two *Wolff* requirements were complied with.

However, "[f]or more than a century the central meaning of procedural due process has
been clear: 'Parties whose rights are to be affected are entitled to be heard; and in order that they
may enjoy that right they must *first* be notified.'" *Wilkinson*, 545 U.S. at 226 (emphasis added) *quoting Fuentes*, 407 U.S. at 80, *citing Baldwin v. Hale*, 1 Wall. 223, 233, 17 L.Ed. 531; *ref Windsor v. McVeigh*, 93 U.S. 274 (1876); *Hovey v. Elliott*, 167 U.S. 409 (1897); *Grannis v. Oredean*, 234 U.S. 385 (1914). The "analysis as to liberty parallels the accepted due process

analysis as to property. The Court has consistently held that some kind of hearing is required at 1 2 some time before a person is finally deprived of his property interests." Wolf v. McDonnell, 418 3 U.S. 539, 557-558 (1974) (emphasis added) ref. Joint Anti-Fascist Refugee Committee v. McGrath, 341 U.S. 123, 168 (1951). "[A] person's liberty is equally protected, even when the 4 5 liberty itself is a statutory creation of the State. The touchstone of due process is protection of 6 the individual against arbitrary action of government." Wolf, 418 U.S. 558 ref. Dent v. West 7 Virginia, 129 U.S. 114, 123 (1889). "It is equally fundamental that the right to notice and an 8 opportunity to be heard 'must be granted at a meaningful time and in a meaningful manner." 9 Fuentes, 407 U.S. at 80, quoting Armstrong v. Manzo, 380 U.S. 545, 552 (1965).

10 The primary question in the present case is whether the hearing on Defendant Munoz's 115 RVR (and placement of Plaintiff on management status) was held "at a meaningful time." 11 Defendant Munoz took disciplinary action against Plaintiff by placing him on management status 12 13 on December 2, 2006 and apparently ended on or about December 12, 2006. The hearing on the 14 RVR was held January 12, 2007, which was roughly a month after the disciplinary action ended. Defendant Munoz did not even issue the RVR on the incident until December 13, 2006 – by 15 which time Plaintiff had already served ten days on management status. Being charged with 16 17 malfeasance after being disciplined and then holding a disciplinary hearing thereon 18 approximately a month after the Plaintiff has already been subjected to the disciplinary action 19 does not meet the requirement for providing notice and opportunity to be heard at "a meaningful time." Section 3332(f) of Title 15 even states that review of management cell resident status will 20 21 be conducted daily. In their reply, Defendants argue that Plaintiff does not cite any authority to 22 show that a lapse of forty-two (42) days between a date an inmate is "rehoused" and the date of 23 his disciplinary hearing is unreasonable as a matter of law and that the fact that the temperature in his cell was comfortable and he had received a new mattress well before the hearing showed 24 that the lapse of time for holding the hearing was not unreasonable. (Doc. 52, Def. Reply, 4:13-23.) However, it is Defendants' burden, as the party moving for summary judgment, to show that

such lapse in time was reasonable.² Defendants have not met this burden. 1

2 Further, while Defendants argue that Defendant Munoz followed proper policy and procedure when an inmate is unduly disruptive (based on Plaintiff's thrice refusing to accept a 3 cellmate) by notifying Lt. Williams and placing Plaintiff on management status (Doc. 43, MTD, 4 5 5:21-7:19; Doc. 44, Def. UF 16; Doc 44-3, Def. Exh.I, 19:10-16), Defendants do not provide any evidence to show the date and/or timing of Defendant Munoz's notification to Lt. Williams 6 7 relative to placing Plaintiff on management status. Additionally, one of the three instances 8 Defendants rely on to argue that Plaintiff was unduly disruptive for refusing to accept a cell-mate 9 occurred when Plaintiff was at a different prison. (Doc. 44, Def. UF 17; Doc. 44-2 Def. Exh. J, 10 pp. 24-28.) Defendants do not submit any evidence to show that Plaintiff's refusal to accept a cellmate at a prior facility was known to, considered by, or would have been an appropriate basis 11 12 for Defendant Munoz to place Plaintiff on management status. These arguments are tangential to 13 the central issue that, while an inmate's disobedience of a direct order, or violation of a prison 14 policy may justify subjecting that inmate to discipline, if such disciplinary action deprives the 15 inmate of a protected liberty interest, it may not be imposed until the inmate has been provided due process. 16

Defendants have not met their burden as the moving party such that they are not entitled to summary judgment on Plaintiff's claims against Defendant Munoz for violating Plaintiff's right to due process.

V. 20 Retaliation

A. **Plaintiff's Claim**

Plaintiff alleges that Defendant Munoz placed him on management status without prior notice and opportunity to be heard and placed him in a "freezer cell" while on management status out of retaliation for Plaintiff having filed inmate grievances. (Doc. 1, Compl.)

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² Defendants assertion that the legal standard applicable in this case is the framework for evaluating the constitutionality of prison procedures as found in Wilkinson (Doc. 43, MTD, 5:1-13) is erroneous as Plaintiff is challenging Defendant Munoz's adherence to the proper prison procedures (Doc. 49, Plntf. Opp., 1:14-16 & 3:18-28 23) rather than the constitutionality of the procedures.

B. <u>Defendant Munoz's Position</u>

Defendants argue that Defendant Munoz is entitled to summary judgment on Plaintiff's claim that he retaliated against Plaintiff for engaging in protected activities because Defendant Munoz placed Plaintiff on management status because Plaintiff refused to accept a cellmate in violation both of the cell compaction policy and Title 15, section 3332(f) (Doc. 43, MTD, 7:20-9:28), and Defendant Munoz's placing Plaintiff on management cell did not chill Plaintiff's exercise of his First Amendment rights (*Id.*, at 10:1-23.). Plaintiff opposed Defendants' motion by stating that Defendant Munoz placed him on management status without following procedure (which amounted to a violation of Plaintiff's rights to due process as previously discussed) out of retaliation for Plaintiff having filed an inmate grievance against him. (Doc. 49, Plntf. Opp., 3:18-23.)

C. <u>Analysis</u>

Allegations of retaliation against a prisoner's First Amendment rights to speech or to petition the government may support a section 1983 claim. Rizzo v. Dawson, 778 F.2d 527, 532 (9th Cir. 1985); see also Valandingham v. Bojorquez, 866 F.2d 1135 (9th Cir. 1989); Pratt v. Rowland, 65 F.3d 802, 807 (9th Cir. 1995). "Within the prison context, a viable claim of First Amendment retaliation entails five basic elements: (1) An assertion that a state actor took some adverse action against an inmate (2) because of (3) that prisoner's protected conduct, and that such action (4) chilled the inmate's exercise of his First Amendment rights, and (5) the action did not reasonably advance a legitimate correctional goal." Rhodes v. Robinson, 408 F.3d 559, 567-68 (9th Cir. 2005).

A plaintiff asserting a retaliation claim must demonstrate a "but-for" causal nexus
between the alleged retaliation and plaintiff's protected activity (i.e., filing a legal action). *McDonald v. Hall*, 610 F.2d 16, 18 (1st Cir. 1979); *see Mt. Healthy City School Dist. Bd. of Educ. v. Doyle*, 429 U.S. 274 (1977). The prisoner must submit evidence, either direct or
circumstantial, to establish a link between the exercise of constitutional rights and the allegedly
retaliatory action. *Pratt*, 65 F.3d at 806. "[T]iming can properly be considered as circumstantial
evidence of retaliatory intent." *Pratt*, 65 F.3d at 808, *ref, e.g. Soranno's Gasco, Inc. v. Morgan,*

874 F.2d 1310, 1316 (9th Cir.1989). "The Mt. Healthy test requires defendants to show, by a 1 2 preponderance of the evidence, that they would have reached the same decision in the absence of the protected conduct." Soranno's Gasco, Inc. v. Morgan, 874 F.2d 1310, 1315 (9th Cir.1989). 3 The Court must "afford appropriate deference and flexibility' to prison officials in the 4 5 evaluation of proffered legitimate penological reasons for conduct alleged to be retaliatory." Pratt, 65 F.3d at 807 (quoting Sandin v. Conner, 515 U.S. 472, 482 (1995)). However, 6 motivation generally presents a jury question, Allen v. Scribner, 812 F.2d 426, 436 (9th Cir. 7 1987). 8

Plaintiff alleges that he gave Defendant Munoz an inmate appeal on December 2, 2006,
and that within a moments (after a brief conversation), Defendant Munoz had Plaintiff placed on
management status in cell 117, which was a "freezer cell." (Doc. 44-2, Def. Exh. A, Plntf Dep.,
25:1-26:5, 28:8-32:17, and 69:10-20; Doc. 49, Plntf. Opp., 3:14-17; Doc. 44, Def. UF 4-8, &
10.)

14 Defendants submit that Defendant Munoz's action of placing Plaintiff on management 15 status because of Plaintiff's refusal to accept a cell mate served a legitimate penological justification because Plaintiff refused to accept a cellmate on three occasions which violated the 16 17 cell compaction policy and constituted unduly disruptive behavior under Title 15, section 18 3332(f). (Doc. 43, MTD, pp. 7-9.) While cell compaction due to funding and overcrowding 19 issues is a legitimate penological reason to discipline an inmate for repeatedly refusing to accept 20 a cellmate, Defendants have not shown, nor even addressed how the timing of Defendant 21 Munoz's placing Plaintiff on management status – without prior notice and opportunity to be heard which violated Plaintiff's rights to due process as previously discussed - could have been 22 23 based on a legitimate penological reason as opposed to retaliatory animus against Plaintiff. 24 Defendants provide no explanation to justify placement of Plaintiff on management status 25 immediately after he and Defendant Munoz discussed Plaintiff accepting a cellmate, as opposed 26 to subsequent to a disciplinary hearing where Plaintiff would receive notice and opportunity to be heard. In their reply, Defendants cite Lowrance v. Achtyl, 20 F.3d 529, 535 (2d Cir. 1994) and 27 argue that "[e]vidence that the inmate had in fact committed the prohibited conduct for which he 28

had been disciplined will suffice to meet defendants' burden of showing that they would have 1 2 disciplined the plaintiff even in the absence of the protected conduct." (Doc 52, Def. Reply, 5:13-3 27.) However, the evidence in this case also shows that the RVR which Defendant Munoz issued regarding Plaintiff's refusal to accept a cellmate was voided and the disposition should have 4 5 reflected dismissal in the interest of justice – which does not prove that Plaintiff would have been placed on management status even if Plaintiff had not handed Defendant Munoz an inmate 6 appeal. (Doc. 49, Plntf. Exh. M, p. 47.) Further, what Defendants argue is "ample evidence that 7 8 [Plaintiff] refused to accept a cellmate" (Doc. 52, Def. Reply, 6:3) are two excerpts from 9 Plaintiff's deposition which are taken out of context and fail to account for the breadth of 10 Plaintiff's deposition testimony regarding that discussion (Doc. 44, Def. UF 2 and 6).

Giving Plaintiff all possible favorable inferences, Defendants have not met their burden to be entitled to summary judgment on Plaintiff's retaliation claim against Defendant Munoz for 12 13 placing Plaintiff on management status immediately after a conversation discussing Plaintiff's acceptance of a cellmate wherein Plaintiff handed an inmate appeal against Defendant Munoz to 14 Defendant Munoz. 15

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16 Defendants further argue that Defendant Munoz did not place Plaintiff on management 17 status in cell 117 out of retaliation to expose Plaintiff to extreme cold as the door to cell 117 is a 18 solid steel door and "the temperature in the prison is centrally regulated making the temperature 19 the same in each cell." (Doc. 43, MTD, 9:1-8; Doc. 44, Def. UF 24 and 25; Doc. 44-3, Def. Exh. O, 48:1-6. Def. Exh. A, p. 16, Plntf. Depo. 55:19-20.) However, here again, Defendants have 20 21 parsed Plaintiff's deposition testimony as to the door structure, only identifying Plaintiff's brief 22 answer in deposition, that the door to cell 117 is a solid door, and is not a grate style door, rather 23 than taking into account and addressing Plaintiff's testimony stating that the air from the outside came into the cell via spaces and gaps under the door, around the edge of the door, and through 24 25 the tray slot. (Doc. 44-2, Def. Exh. A, p. 16, Plntf. Depo., pp. 53-56.) Further, Defendants' 26 argument that the temperature is the same in each cell is not supported by their evidence. While 27 Defendants did submit a declaration of a stationary engineer at SATF, and his declaration does state that the temperature inside the building in question is centrally regulated and is typically set 28

at 70 degrees in the wintertime (Doc. 44-3, Def. Exh. O, 48, ¶¶ 4-5), they do not establish that the
 same temperature is maintained within each individual cell, nor do they account for Plaintiff's
 allegations that cell is located near an exterior door which was frequently left open to allow
 inclement temperatures to permeate the cells in the near vicinity.

5 Further, Defendants are not entitled to summary judgment on Plaintiff's retaliation claim against Defendant Munoz merely because Plaintiff filed subsequent grievances and/or legal 6 7 action. Adverse action is action that "would chill a person of ordinary firmness" from engaging in that activity. Pinard v. Clatskanie School Dist., 467 F.3d 755, 770 (9th Cir. 2006); White v. 8 9 Lee, 227 F.3d 1214, 1228 (9th Cir. 2000); see also Lewis v. Jacks, 486 F.3d 1025 (8th Cir. 2007); 10 see also Thomas v. Eby, 481 F.3d 434, 440 (6th Cir. 2007); Bennett v. Hendrix, 423 F.3d 1247, 1250-51 (11th Cir. 2005); Constantine v. Rectors & Visitors of George Mason Univ., 411 F.3d 11 474, 500 (4th Cir. 2005); Gill v. Pidlypchak, 389 F.3d 379, 381 (2d Cir. 2004); Rauser v. Horn, 12 13 241 F.3d 330, 333 (3d Cir. 2001). It matters not that Plaintiff's exercise of his First Amendment 14 rights was not actually chilled. It is enough that Defendant Munoz's placement of Plaintiff on 15 management status without prior procedural due process would have chilled a person of ordinary 16 firmness from exercising his First Amendment rights.

Thus, Defendants have not met their burden to be entitled to summary judgment on
Plaintiff's retaliation claim against Defendant Munoz for placing Plaintiff on management status
in cell 117 and to expose Plaintiff to extremely cold temperatures therein immediately after a
conversation discussing Plaintiff's acceptance of a cellmate wherein Plaintiff handed an inmate
appeal to Defendant Munoz.

22 VI.

Conditions of Confinement

To constitute cruel and unusual punishment in violation of the Eighth Amendment, prison
conditions must involve "the wanton and unnecessary infliction of pain." *Rhodes v. Chapman*,
452 U.S. 337, 347 (1981). Although prison conditions may be restrictive and harsh, prison
officials must provide prisoners with food, clothing, shelter, sanitation, medical care, and
personal safety. *Id.*; *Toussaint v. McCarthy*, 801 F.2d 1080, 1107 (9th Cir. 1986); *Hoptowit v. Ray*, 682 F.2d 1237, 1246 (9th Cir. 1982). Where a prisoner alleges injuries stemming from

1 unsafe conditions of confinement, prison officials may be held liable only if they acted with 2 "deliberate indifference to a substantial risk of serious harm." Frost v. Agnos, 152 F.3d 1124, 3 (9th Cir. 1998) (citing Farmer v. Brennan, 511 U.S. 825, 835 (1994). The deliberate indifference standard involves an objective and a subjective prong. First, the alleged deprivation must be, in 4 5 objective terms, "sufficiently serious." Farmer, 511 U.S. at 834 (citing Wilson v. Seiter, 501 6 U.S. 294, 298 (1991)). Second, the prison official must "know of and disregard an excessive risk 7 to inmate health or safety." Id. at 837. Thus, "a prison official may be held liable under the 8 Eighth Amendment for denying humane conditions of confinement only if he knows that inmates 9 face a substantial risk of harm and disregards that risk by failing to take reasonable measures to 10 abate it." Farmer, 511 U.S. at 835. Prison officials may avoid liability by presenting evidence that they lacked knowledge of the risk, or by presenting evidence of a reasonable, albeit 11 unsuccessful, response to the risk. *Id.* at 844-45. Mere negligence on the part of the prison 12 13 official is not sufficient to establish liability, but rather, the official's conduct must have been wanton. Farmer, 511 U.S. at 835; Frost, 152 F.3d at 1128; see also Daniels v. Williams, 474 14 U.S. 327, 33 (1986). 15

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1. Management Status in Cell 117

17 The restrictions which are imposed on an inmate on management status which have been previously detailed, combined with Plaintiff's allegations regarding the cold temperature in cell 18 19 117 where Defendant Munoz placed Plaintiff on management status, comprise the basis for 20 Plaintiff's claim regarding the conditions of his confinement against Defendant Munoz since Defendant Munoz was responsible for Plaintiff's placement and retention in cell 117 while he 22 was on management status.

23 As previously discussed, Defendants' argument that the temperature is centrally regulated 24 such that every cell in the building is the same temperature is not supported by the evidence 25 submitted and does not account for Plaintiff's allegation that the cell he was in while on 26 management status, cell 117, was in close proximity to the exterior door, that the exterior door 27 was frequently left open in the winter, and that the outside temperatures permeated cell 117 via 28 gaps and spaces under and around the door and tray slot.

Thus, Defendants have not met their burden to be entitled to summary judgment on Plaintiff's claims that Defendant Munoz caused Plaintiff to be subjected to unconstitutional conditions of confinement while he was in cell 117 on management status.

2. Lack of a Mattress

Plaintiff's mattress claims allege that, on December 14, 2006 (which was after Plaintiff was no longer on management status), while Plaintiff was appearing at a hearing on his 114-D lock-up order,³ Defendant Munoz authorized the removal of his mattress by Defendant Paz, which left nothing for Plaintiff to sleep on except cold steel. (Doc. 1, Compl.) Plaintiff alleges that he suffered back pain, an increase in migraine headaches, and stiffness in his neck, and caught a serious cold which required medical treatment. (*Id.*)

Further, on December 25, 2006, Plaintiff asked Defendants Parra and Silva for a mattress,
but both refused. Defendant Parra stated that the denial was authorized by Defendant Munoz
because Plaintiff refused to sign a trust account withdrawal slip.

14 Defendants present evidence that Plaintiff's mattress was confiscated on December 14, 2006 because it had been destroyed by Plaintiff. (Doc. 44, Def. UF 11, Exh. F, p. 56.) This 15 bedding restriction was for ten days starting on December 14, 2006 and ending on December 24, 16 17 2006. (Id.) Defendants also submitted evidence to show a legitimate justification for removal of 18 the mattress (Id. at Def. UF 12; Doc.44-3, Def. Exh. G, p. 3), but do not submit any evidence to 19 show that, after the ten day confiscation, Plaintiff was required to sign a trust withdrawal form 20 before another mattress would be issued to him. This meets Defendants' burden as to the ten 21 days Plaintiff was without a mattress from December 14, 2006 through December 24, 2006 to 22 shift the burden on this claim to Plaintiff, though it does not address the delay in Plaintiff 23 receiving a mattress until December 26, 2006.

In his opposition, Plaintiff argues that if the mattress was removed because he destroyed it, sections 3011 and 3323(g)(1) of Title 15 show that he should have been issued a 115 RVR and should have been charged for the cost of repair or replacement. (Doc. 49, Plntf. Opp., 4:11-21.)

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³ An order authorizing placement in administrative segregation.

However, the exhibits submitted by Plaintiff do not show that he should have been issued a 115 1 2 RVR, rather they show that he should have been charged for the cost of repair or replacement and should have suffered a credit loss. (Doc. 49, Plntf. Exh. G, pp. 39-41.) Plaintiff received a 3 4 mattress on December 26, 2006 despite his refusal to complete a trust withdrawal form. (Doc. 5 44, Def. UF 14.) Plaintiff argues in his opposition that he was never issued a RVR 115 for destruction of the mattress (Doc. 49, Plntf. Opp., 4:16-21), but does not submit any evidence to 6 7 address whether he suffered a credit loss. In their reply, Defendants once again confuse the facts of the case and present arguments as if Plaintiff was without a mattress while he was on 8 9 management status. (Doc. 52, Reply, 4:24-5:12.)

Based on the evidence submitted in support of and in opposition to this motion it is now clear that Plaintiff was not on management status the twelve days that he was without a mattress. Assuming the very worst scenario, and giving Plaintiff every possible leniency, being deprived of a mattress for twelve days, when not on management status, does not rise to the level of a cognizable claim as temporarily unconstitutional conditions of confinement do not rise to the level of constitutional violations. *See Anderson v. County of Kern* 45 F.3d 1310 (9th Cir. 1995) and *Hoptowit v. Ray* 682 F.2d 1237 (9th Cir. 1982).

Thus, Defendants are entitled to summary judgment on Plaintiff's claims under the Eighth
Amendment against Defendants Munoz, Paz, Parra, and Silva for depriving Plaintiff of a
mattress for twelve days.

VII. ORDER

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21 For the reasons set forth herein, the Court finds that Defendants have met their burden as the moving parties and are entitled to summary judgment on Plaintiff's claims under the Eighth 22 23 Amendment against Defendants Munoz, Paz, Parra, and Silva for depriving Plaintiff of a 24 mattress for twelve days. However, Defendants have not met their burden and are not entitled to 25 summary judgment on: Plaintiff's claims under the Eight Amendment against Defendant Munoz 26 for causing Plaintiff to be subjected to unconstitutional conditions of confinement by placing him in cell 117 to serve his time on management status; Plaintiff's retaliation claim against Defendant 27 28 Munoz for placing Plaintiff on management status in cell 117 so as to expose Plaintiff to

extremely cold temperatures therein immediately after a conversation discussing Plaintiff's
 acceptance of a cellmate wherein Plaintiff handed an inmate appeal to Defendant Munoz without
 prior due process; and Plaintiff's claims against Defendant Munoz for violating Plaintiff's right
 to due process.

Accordingly, it is HEREBY ORDERED that Defendants' motion for summary judgment,
filed November 9, 2009, be GRANTED IN PART AND DENIED IN PART as follows:

- Defendants' motion for summary judgment as to Plaintiff's claims under the Eighth Amendment against Defendants Munoz, Paz, Parra, and Silva for depriving Plaintiff of a mattress for twelve days is GRANTED;
- Defendants' motion for summary judgment as to Plaintiff's claims under the Eight
 Amendment against Defendant Munoz for causing Plaintiff to be subjected to
 unconstitutional conditions of confinement by placing him in cell 117 to serve his
 time on management status is DENIED;
 - 3. Defendants' motion for summary judgment as to Plaintiff's retaliation claim against Defendant Munoz for placing Plaintiff on management status in cell 117 so as to expose Plaintiff to extremely cold temperatures therein immediately after a conversation discussing Plaintiff's acceptance of a cell-mate wherein Plaintiff handed an inmate appeal to Defendant Munoz without prior due process is DENIED; and
 - 4. Defendants' motion for summary judgment as to Plaintiff's claims againstDefendant Munoz for violating Plaintiff's right to due process is DENIED.

24 IT IS SO ORDERED.

25 Dated: May 25, 2010

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/s/ Sandra M. Snyder UNITED STATES MAGISTRATE JUDGE