

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA**

NORMA ESQUIVEL,	)	Case No. 08-cv-01381-JLT
	)	
Plaintiff,	)	DECISION AND ORDER DENYING
	)	PLAINTIFF'S APPEAL FROM
vs.	)	ADMINISTRATIVE DECISION
	)	
MICHAEL J. ASTRUE, Commissioner of	)	ORDER DIRECTING CLERK TO ENTER
Social Security,	)	JUDGMENT IN FAVOR OF DEFENDANT,
	)	MICHAEL J. ASTRUE, COMMISSIONER OF
Defendant.	)	SOCIAL SECURITY, AND AGAINST
	)	PLAINTIFF, NORMA ESQUIVEL
<hr style="width: 400px; margin-left: 0;"/>	)	

Plaintiff Norma Esquivel<sup>1</sup> seeks judicial review of the administrative decision of the Commissioner of Social Security (“Commissioner”) denying her claim for disability insurance benefits (“DIB”) under Title II of the Social Security Act (the “Act”), 42 U.S.C. § 401 et seq. On September 15, 2008, Plaintiff filed her complaint initiating this review in the United States District Court for the Eastern District of California. (Doc. 1)

Plaintiff filed her opening brief on June 9, 2009.<sup>2</sup> (Doc. 17) The Commissioner filed his

<sup>1</sup>Curiously, Plaintiff amended her application for benefits to report that she was divorced and used the name Norma Meza Paez. AR 86. However, she testified that her name was Norma Meza Peza. AR 338. She filed her Complaint using the name Norma Esquivel. (Doc 1)

<sup>2</sup> Plaintiff refers to her opening brief as a motion for summary judgment. (Doc. 17) Although briefs filed in Social Security cases were deemed summary judgment motions previously, for several

1 opposition brief on August 10, 2009. (Doc. 21) Plaintiff filed her reply on August 11, 2009. (Doc  
2 22)

### 3 FACTS AND PRIOR PROCEEDINGS<sup>3</sup>

4 On October 12, 2005, Plaintiff filed an application for disability insurance benefits under  
5 Title II and supplemental security income under Title XVI of the Social Security Act (“Act”). AR  
6 10, 81-85, 326-334. After a hearing held on December 18, 2007, the ALJ found that Plaintiff was  
7 not disabled within the meaning of the Act. AR 18. The Appeals Council denied review on July 18,  
8 2008. AR 2.

#### 9 Medical Record

10 On December 27, 2005, Plaintiff completed a report for Dr. Kenneth Hsu in which she noted  
11 that she had no difficulty with any of the living skills areas outlined, including dressing and  
12 grooming, arising from bed or from a straight chair, feeding herself and walking and climbing stairs.  
13 AR 155. She reported that she did not need any assistive aids or devices and did not need help from  
14 another person to do these activities. Id.

15 On this same day, Dr. Hsu performed a rheumatologic evaluation of Plaintiff. AR 158. Dr.  
16 Hsu noted that the joints in Plaintiff’s hands were “relatively puffy.” Id. Dr. Hsu opined that  
17 Plaintiff’s condition was “Compatible with fibromyalgia” but that he wished to “rule out  
18 seronegative arthritis. Id. He intended to repeat testing and to obtain x-rays of Plaintiff’s hands. Id.  
19 The x-rays revealed that her bones and joints were normal. AR 175, 225.

20 On July 20, 2006, Plaintiff presented herself to the emergency room at San Joaquin Hospital  
21 complaining of a headache lasting two days. AR 247. She reported that she had suffered similar  
22 headaches in the past related to her hypertension. AR 249. At the time, her blood pressure reading  
23 was 162/96. Id. The doctor diagnosed her as suffering from a “Headache, likely tension type” after  
24 reviewing her CT scan taken (AR 247) which verified no abnormality of her brain. AR 244. The

25 \_\_\_\_\_  
26 years this Court has termed the documents as “opening briefs,” “responses” or “oppositions” and  
27 “reply briefs.” This order continues the more recent trend.

28 <sup>3</sup> References to the Administrative Record will be designated as “AR,” followed by the  
appropriate page number.

1 results of this CT scan was confirmed on October 2, 2006, by a MRI. AR 217. On July 27, 2006,  
2 Plaintiff underwent a stress test with Dr. Maria Garcia which revealed an “Overall normal stress  
3 myocardial perfusion study.”AR 262-263.

4 X-rays of Plaintiff’s hands, taken on August 24, 2006, showed changes that were consistent  
5 with rheumatoid arthritis. AR 180-181. On March 27, 2007, x-rays of Plaintiff’s hands revealed  
6 minor bony changes consistent with rheumatoid arthritis. AR 170, 176. X-rays of her right knee  
7 showed probable joint effusion but no “specific bone or joint abnormality.” AR 178.

8 X-rays taken on June 7, 2007, showed no changes to Plaintiff’s left hand from the exams  
9 taken in March 2007. AR 166. Her right hand showed minor changes of her ring finger. AR 167.  
10 On this same date, x-rays of Plaintiff’s cervical spine showed degenerative changes at the C6-7, and  
11 to a lesser extent, at the C5-6 levels. AR 168. This was consistent with an earlier x-ray taken on  
12 January 27, 2004. AR 240. X-rays of Plaintiff’s right shoulder revealed no abnormality. AR 169.

13 \_\_\_\_\_ On July 9, 2007, Dr. Hsu reported that Plaintiff had clinical symptoms that were “compatible  
14 with seronegative or psoriatic arthritis. AR 144. On September 11, 2007, x-rays of Plaintiff’s hands  
15 indicated findings that were consistent with rheumatoid arthritis. AR 162-163.

#### 16 Medical Evaluations

17 Dr. Emanuel Dozier completed a comprehensive internal medicine evaluation of Plaintiff on  
18 March 27, 2006. AR 289-292. Dr. Dozier found Plaintiff to be alert and oriented. AR 290. He  
19 observed her to walk down a hall with a normal gait while showing no sign of pain, ataxia or  
20 shortness of breath. Id. She sat through her interview with discomfort and was able to get herself on  
21 and off of the examination table. Id. After examining Plaintiff, Dr. Dozier opined that she “would  
22 have no postural or manipulation restrictions.” AR 292. He concluded that she had no special  
23 environmental restrictions and could lift and carry 50 pounds occasionally and 25 pounds frequently.  
24 Id. Finally, Dr. Dozier opined that Plaintiff could stand, walk and sit for six hours. Id.

25 On April 6, 2006, Dr. Greg Hirokawa performed a psychiatric evaluation of Plaintiff. AR  
26 283-287. She reported to him that the longest she held a job was five years but that she had worked  
27 at various jobs as a packer for 20 years. AR 284. She had never been fired and never had problems  
28 at work. Id. She got along well with coworkers. Id. Dr. Hirokawa determined that she had

1 adequate conversational concentration, her intellectual functioning was average and she was able to  
2 perform simple three-step commands. AR 285. However, Dr. Hirokawa believed that Plaintiff's  
3 cognitive ability was underestimated in his Mental Status Examination due to her limited education  
4 and her cultural background, . AR 287.

5 Plaintiff reported to Dr. Hirokawa that she is able to a "little bit of everything" around the  
6 house "but on a slow basis." AR 286. Typically, she got up in the morning and prepared lunch for  
7 her boyfriend. Id. Then she then took her children to school. Id. She watched TV and cared for her  
8 child. Id. She denied doing anything for fun and denied having many close friends. Id.

9 Plaintiff's attitude was pleasant during the examination and she demonstrated a "good sense  
10 of humor." AR 285. She had good eye contact, was cooperative and her facial expressions were  
11 appropriate. Id. Nevertheless, Dr. Hirokawa determined that her mood appeared depressed and her  
12 affect was restricted. AR 285. Plaintiff reported that she had never received mental health treatment.  
13 AR 284.

14 Dr. Hirokawa opined that Plaintiff suffered from major depression but that it was "in the mild  
15 range." AR 286. He believed that there was a fair likelihood that Plaintiff's mental condition would  
16 improve within 12 months and that her symptoms of depression were related primarily to her  
17 medical condition. AR 286-287. Dr. Hirokawa concluded that Plaintiff's abilities were "fair"  
18 regarding her ability to remember locations and work-like procedures, to understand, remember and  
19 carry out very short and simple instructions, to understand and remember detailed instructions, to  
20 maintain attention and concentration for extended periods, to accept instructions from a supervisor  
21 and respond appropriately to criticism, to perform activities within a schedule, maintain regular  
22 attendance and be punctual, to sustain an ordinary routine without special supervision, to complete a  
23 normal workday and workweek without interruptions from psychologically based symptoms and to  
24 perform at a consistent pace, to interact with coworkers and to deal with the various changes in the  
25 work setting. AR 287. Finally Dr. Hirokawa concluded that there was minimal likelihood that  
26 Plaintiff would emotionally deteriorate in a work environment. Id.

27 On May 27, 2006, Dr. Fonte performed a "Physical Residual Functional Capacity  
28 Assessment" of Plaintiff. AR 272-279. Dr. Fonte determined that she was able to lift and carry 50

1 pounds occasionally and 25 pounds frequently. AR 273. She could stand or walk for six hours in an  
2 eight-hour workday. Id. She had an unlimited ability to push or pull items. Id. She had no  
3 limitation in climbing, balancing, stooping, kneeling, crouching or crawling. AR. 274. She could  
4 reach in all directions and perform gross and fine manipulations. AR 275. She had no impairment in  
5 feeling and no visual or auditory impairments. AR 275-276. Dr. Fonte recommended that Plaintiff  
6 avoid concentrated exposure to “hazards, machinery, heights, etc.” but she had no other  
7 environmental limitations. AR 276.

8 On June 13, 2006, psychiatrist Dr. Marina Vea performed a functional capacity assessment  
9 on Plaintiff. AR 268-270. Dr. Vea determined that Plaintiff was “Not Significantly Limited” in  
10 most areas. Id. She had the ability to remember locations and work-like procedures, understand,  
11 remember and carry out very short and simple instructions, maintain attention and concentration for  
12 extended periods, perform activities within a schedule, maintain regular attendance, be punctual  
13 within customary tolerances, sustain an ordinary routine without special supervision, work in  
14 coordination with or proximity to others without being distracted by them, make simple work-related  
15 decisions, complete a normal work day and workweek without interruptions from psychologically  
16 based symptoms, perform at a consistent pace without an unreasonable number and length of rest  
17 periods, interact appropriately with the general public, ask simple questions or request assistance,  
18 accept instructions and respond appropriately to criticism from supervisors, get along with coworkers  
19 or peers without distracting them or exhibiting behavioral extremes, maintain socially appropriate  
20 behavior and adhere to basic standards of neatness and cleanliness, respond appropriately to changes  
21 in the work setting, be aware of normal hazards and take appropriate precautions, travel to unfamiliar  
22 places or use public transportation, set realistic goals or make plans independently of others. Id.  
23 She was moderately limited only in her abilities to understand and remember detailed instructions  
24 and to carry them out. Id.

25 Hearing Testimony

26 On December 18, 2007, Plaintiff testified before the ALJ. She testified that she had been  
27 advised about her right to be represented by an attorney or other qualified representative but she  
28 chose to proceed without this assistance. AR 340.

1 Plaintiff testified that her last job was as a tile packer and that she was required to lift “Ten  
2 boxes for 40 pounds.” AR 346. Plaintiff reported that October 1, 2004 was the date that her pain  
3 started and that this also was the last day that she worked. AR 345-355.

4 Plaintiff reported that she lived with her boyfriend and two children who, at the time, were  
5 age 17 and 5. AR 346-347. She drove, albeit on an expired license, several times per day to take her  
6 son to school and to pick up the children after school. AR 347-349. Her son attended kindergarten  
7 half days and spent the rest of the day in her care. AR 347. He was able to dress, feed and bathe  
8 himself. AR 349.

9 Plaintiff could dress herself, bathe herself and perform her own grooming activities. AR 349-  
10 350. She prepared meals one time per day and generally washed the dishes one time per day. AR  
11 350-352. She took out the trash once per day and changed the linens on the bed every other week.  
12 AR 352. She did laundry once per week and shopped for groceries every other week. AR 352-353.  
13 She went out to eat about one time per week. AR 353. She did not sweep, vacuum or mop, but she  
14 dusted her furniture about one time per month. AR 354-355. She watched TV about three hours per  
15 day. AR 355-356.

16 Plaintiff testified that she could stand for about one hour and could sit for two hours straight.  
17 AR 357-358. She could walk about one block. AR 358. She estimated that she spent six hours out  
18 of eight lying down due to pain or fatigue. Id. Plaintiff estimated that she could concentrate for  
19 about one hour. AR 359.

20 Although Plaintiff did not see a psychiatrist or psychologist, she saw her family doctor every  
21 other month. AR 356-357. She testified that she had diabetes and dizziness but took medication for  
22 these conditions. AR 359.

23 Vocational expert (“VE”), Cheryl Chandler testified at the hearing also. She had a Masters  
24 Degree in rehabilitation counseling with an emphasis in vocational evaluation and had worked in the  
25 fields of rehabilitation counseling/evaluating and vocational consulting since 1983. AR 39. Chandler  
26 testified that the job of packer was considered to be “medium exertional level” and “unskilled work.”  
27 AR 362. Based upon the limitations expressed by Plaintiffs’ doctors, Chandler testified that Plaintiff  
28 could do her past relevant work and could work at other jobs in the national and state economy. AR

1 364-367. On the other hand, based upon the abilities as set forth by Plaintiff in her testimony,  
2 Chandler testified that Plaintiff could not perform her past relevant work nor could she perform other  
3 work. AR 3667-368.

4 ALJ Findings

5 \_\_\_\_\_The Administrative Law Judge (“ALJ”) evaluated Plaintiff pursuant to the customary five-  
6 step sequential evaluation. In this five-step process, the ALJ determined first that Plaintiff had not  
7 engaged in substantial gainful activity since her claimed date of onset (October 1, 2004). AR 12.  
8 Second, the ALJ found that Plaintiff had severe impairments consisting of degenerative osteoarthritis  
9 by history with mechanical low back pain, possible fibromyalgia, a history of rheumatoid arthritis  
10 and major depressive disorder. Id. In the third step of his evaluation, the ALJ determined that these  
11 impairments, or a combination of these impairments, did not meet or medically equal that required  
12 under Agency guidelines for presumed disability. AR 13.

13 In the fourth step of his analysis, the ALJ determined that Plaintiff had the residual functional  
14 capacity (“RFC”) to perform “sedentary through medium unskilled work” as defined by 20 C.F.R. §  
15 404.1567, based upon her ability to lift and carry 50 pounds occasionally and 25 pounds frequently,  
16 stand, walk and sit for 6 hours in an 8 hour period, understand, remember and carry out short, simple  
17 instructions and detailed instructions, maintain attention and concentration for extended periods,  
18 interact and respond appropriately to coworkers, supervisors and the public, perform activities within  
19 a schedule, sustain an ordinary routine without special supervision, complete a normal workday and  
20 workweek without interruptions from psychologically based symptoms, perform at a consistent pace  
21 and to have only a minimal risk of emotional deterioration in the workplace. AR 14-17. Based on  
22 this RFC and citing the opinion of the VE at the hearing, in the fifth step of his analysis, the ALJ  
23 concluded that Plaintiff was not precluded from performing her past relevant work as a packer. Id. at  
24 17. Alternatively, the ALJ concluded that Plaintiff could perform other work in the national  
25 economy, from sedentary through medium unskilled work. Id. Finally, the ALJ found that Plaintiff  
26 had not been under a disability, as defined in the Social Security Act, from October 1, 2004 through  
27 the date of the decision. AR 17-18. Based upon this analysis, the ALJ determined that Plaintiff was  
28 not disabled under the Social Security Act. AR 18.

1 **SCOPE OF REVIEW**

2 Congress has provided a limited scope of judicial review of a Commissioner’s decision. 42  
3 U.S.C. § 405(g). A court must uphold the Commissioner’s decision to deny benefits, made through  
4 an ALJ, when the decision is based on the proper legal standards and is supported by substantial  
5 evidence. Webb v. Barnhart, 433 F.3d 683, 686 (9th Cir. 2005). Substantial evidence is more than a  
6 mere scintilla but less than a preponderance. McAllister v. Sullivan, 888 F.2d 599, 601-602 (9th Cir.  
7 1989) (quotations omitted). It is “such relevant evidence as a reasonable mind might accept as  
8 adequate to support a conclusion.” Webb, 433 F.3d at 686, citing Richardson v. Perales, 402 U.S.  
9 389, 401, 91 S.Ct. 1420, 1427 (1971). Moreover, such “inferences and conclusions as the  
10 [Commissioner] may reasonably draw from the evidence” are accorded the same consideration as is  
11 substantial evidence as defined above. Mark v. Celebrezze, 348 F.2d 289, 293 (9th Cir. 1965). On  
12 review, the court considers the record as a whole, not just the evidence supporting the decision of the  
13 Commissioner. Weetman v. Sullivan, 877 F.2d 20, 22 (9th Cir. 1989) (quotation and citation  
14 omitted).

15 It is the role of the trier of fact, not this Court, to resolve conflicts in evidence. Richardson,  
16 402 U.S. at 400, 91 S.Ct. at 1426-27. If the evidence supports more than one rational interpretation,  
17 the court must uphold the decision of the ALJ. Allen v. Heckler, 749 F.2d 577, 579 (9th Cir. 1984).  
18 Moreover, if there is substantial evidence to support the administrative findings, or if there is  
19 conflicting evidence that would support a finding of either disability or non-disability, the  
20 Commissioner’s decision is conclusive. Sprague v. Bowen, 812 F.2d 1226, 1229-1230 (9th Cir.  
21 1987). Nevertheless, a decision supported by substantial evidence will be set aside if the proper legal  
22 standards were not applied in weighing the evidence and making the decision. Brawner v. Secretary  
23 of Health and Human Services, 839 F.2d 432, 433 (9th Cir. 1987).

24 **REVIEW**

25 To qualify as disabled under Title XVI of the Act, an applicant for SSI benefits must be  
26 “unable to engage in any substantial gainful activity by reason of any medically determinable  
27 physical or mental impairment which can be expected to result in death or which has lasted or can be  
28 expected to last for a continuous period of not less than twelve months.” 42 U.S.C. § 1382c(a)(3)(A).



1 The Act also provides that a claimant shall be determined to be under a disability only if his  
2 impairments are of such severity that he “is not only unable to do his previous work but cannot,  
3 considering his age, education, and work experience, engage in any other substantial gainful work  
4 which exists in the national economy.” 42 U.S.C. § 1382c(a)(3)(B).

5 In an effort to achieve uniformity of decisions, the Commissioner has established a five-step  
6 sequential evaluation process for determining whether a person is disabled under Title XVI of the  
7 Act. 20 C.F.R. § 416.920. Step one determines if she is engaged in substantial gainful activities. 20  
8 C.F.R. § 416.920(a)(4)(i), (b). If she is not, step two determines whether claimant has a medical  
9 impairment or combination of impairments that is severe. 20 C.F.R. § 416.920(a)(4)(ii), (c). The  
10 third step compares claimant’s impairment with a number of listed impairments acknowledged by  
11 the Commissioner to be so severe as to preclude substantial gainful activity. 20 C.F.R. §§  
12 416.920(a)(4)(iii), (d). The fourth step determines whether the impairment prevents the claimant  
13 from doing work performed in the past. 20 C.F.R. §§ 416.920(a)(4)(iv), (e); 416.960(b). If the  
14 claimant cannot perform this work, the fifth and final step in the process determines whether she is  
15 able to perform other work in the national economy in view of her age, education and work  
16 experience. 20 C.F.R. §§ 416.920(a)(4)(v), (f) and (g); 416.960(b) and (c). See Bowen v. Yuckert,  
17 482 U.S. 137 (1987).

18 The initial burden of proof rests upon a claimant to establish that she “is entitled to the  
19 benefits claimed under the Act.” Rhinehart v. Finch, 438 F.2d 920, 921 (9th Cir. 1971) (citations  
20 omitted). In terms of the five step sequential evaluation process, the Ninth Circuit has held that  
21 “[t]he burden of proof is on the claimant as to steps one to four,” while at the same time noting that  
22 an ALJ’s “*affirmative duty* to assist a claimant to develop the record . . . complicates the allocation of  
23 burdens” such that “the ALJ shares the burden at each step.” Tackett v. Apfel, 180 F.3d 1094, 1098,  
24 n.3 (9th Cir. 1999) (italics in original). The initial burden is met once a claimant establishes that a  
25 physical or mental impairment prevents her from engaging in her previous occupation. The burden  
26 then shifts to the Commissioner to show (1) that the claimant can perform other substantial gainful  
27 activity and (2) that a “significant number of jobs exist in the national economy” which claimant can  
28 perform. Kail v. Heckler, 722 F.2d 1496, 1498 (9th Cir. 1984).

1 Plaintiff challenges the ALJ's determination at Steps 4 and 5 of the sequential evaluation  
2 process, where an individual's ability to perform work is assessed based on her RFC. Plaintiff raises  
3 only one claim of error.<sup>4</sup> She asserts that the failure of the ALJ to define the word "fair" as it was  
4 used by Dr. Hirokawa in his report when posing hypothetical situations to the VE, rendered her  
5 opinions meaningless. For the reasons set forth below, the Court disagrees.

### 6 DISCUSSION

7 Plaintiff argues that the ALJ erred because he failed to properly develop the record as to the  
8 meaning of the word "fair." She asserts that "fair" is a term of art whose meaning varies within the  
9 Social Security field. She asserts that it means the status between "poor and good" and also that it  
10 means "seriously limited, but not precluded." She then asserts also that "seriously limited, but not  
11 precluded" means the same as "marked" impairment. Thus, she asserts that because "fair" has  
12 different meanings and the ALJ failure to define it for the VE has resulted in a determination that is  
13 not supported by substantial evidence.

14 Plaintiff cites to Cruse v. United States Department of Health & Human Services, 49 F.3d  
15 614, 618<sup>5</sup> (10th Cir. 1995) to demonstrate the ambiguity of the word "fair." Cruse defined the word  
16 as "seriously limited, but not precluded, whose meaning was equivalent to the court as the word  
17 "marked." In Cruse, the court observed,

18 Moreover, the [mental assessment] forms' definition of "fair" is misleading. Though  
19 describing a functional ability as "fair" would imply no disabling impairment, "fair" is  
20 defined to mean: "Ability to function in this area is seriously limited but not  
precluded." *Id.* We conclude that "seriously limited but not precluded" is essentially  
the same as the listing requirements' definition of the term "marked:"

21 Id. However, Cruse's definition, equating "seriously limited but not precluded" to a "marked"

22 \_\_\_\_\_  
23 <sup>4</sup> The ALJ determined that Plaintiff's symptom testimony was not credible but the Court  
24 notes that he gave a detailed explanation for this determination. AR 16-17. Plaintiff does not  
contest this finding in his brief and, thus, the Court has not addressed this issue on appeal.

25 <sup>5</sup>Plaintiff relies upon Edlund v. Massanari, 253 F.3d 1152 (9th Cir. 2001). In doing so, she  
26 ignores that this case was withdrawn by the Court and, therefore, is not of precedential value. Edlund  
27 v. Massanari, 2001 U.S. App. LEXIS 17960 (9th Cir. Wash. Aug. 9, 2001). Ironically, however, the  
28 Court concluded that "'Fair' is defined as follows: 'Ability to function in this area is seriously  
limited, but not precluded.'"

1 limitation has been widely criticized. In Colvin v. Barnhart, 475 F.3d 727, 731 (6th Cir. 2007), the  
2 court noted that a “marked impairment” meant something that could not be done and, in so doing  
3 held, “The plain meaning of ‘seriously limited but not precluded’ is that one is not precluded from  
4 performing in that area. It defies logic to assert that a finding of ‘not precluded’ actually means that  
5 one is precluded.” Likewise in Cantrell v. Apfel, 231 F.3d 1104, 1107-1108 (8th Cir. 2000), the  
6 court rejected the Cruse definition. Cantrell held,

7       The word "fair" is both a measure of ability and disability. It is on the balance  
8 between poor ability to function and greater ability to function. A physician's use of  
9 the term "fair" does not, on its own, declare that the claimant cannot return to past  
10 work. Rather, the term "fair" requires a review of the entire record in order to judge  
11 whether the balance tips toward functional ability or toward disability. Here, the ALJ  
12 could determine that the functional ability Cantrell had, considering his mental  
13 impairments and his previous menial work experience, established that he could  
14 return to the limited type of work he had been performing.

15 Id.

16       In the current case, the ALJ did not merely accept Dr. Hirokawa’s opinions regarding  
17 Plaintiff’s “fair” abilities as the only evidence to support his determination. Instead, the ALJ  
18 considered the evidence of Drs. Dozier, Fonte and Vea and the other evidence in the record.

19       For example, Dr. Dozier determined that Plaintiff could lift and carry 50 pounds occasionally  
20 and 25 pounds frequently. Dr. Dozier opined also that Plaintiff could stand, walk or sit six hours in  
21 an eight-hour work day. AR 289-292. She did not have postural or manipulation restrictions or  
22 environmental restrictions. AR 292. Dr. Dozier observed Plaintiff to walk without pain, ataxia or  
23 shortness of breath, to sit throughout the interview without signs of discomfort, to place herself onto  
24 and remove herself off of the examination table without assistance. AR 290. These findings were  
25 consistent with Dr. Fonte’s observations. AR 272-279. The observations of Drs. Dozier and Fonte  
26 are consistent also with Plaintiff’s report in December 2005, that she had no difficulty in the areas of  
27 dressing and grooming, arising, eating or walking. AR 155. It is consistent also with Plaintiff’s  
28 report to Dr. Hirokawa that she is able to do a “little bit of everything” around her home including  
housekeeping, food preparation and caring for her children. AR 286. It was consistent also with her  
testimony given at the hearing. AR 347-355.

      On the other hand, Dr. Hirokawa determined that Plaintiff’s depression was “in the mild

1 range” and that there was only a minimal likelihood that she would deteriorate emotionally in a work  
2 setting. AR 285-287. Moreover, Dr. Hirokawa believed that Plaintiff had a fair likelihood of  
3 improving over the next 12 months. AR 286. These findings mirrored Dr. Vea’s determinations that  
4 she was “Not Significantly Limited” in the areas of Understanding and Memory Sustained  
5 Concentration and Persistence, Social Interaction and Adaptation, except for her ability to understand  
6 and remember detailed instructions and carry them out which were areas in which she was  
7 moderately limited. AR 268-269. Taken together, the ALJ’s determination that Plaintiff did not  
8 suffer from a severe mental impairment is supported by substantial evidence. 20 CFR 404.1521.

9 Likewise, the Court sees no error in how the VE reached her conclusion that Plaintiff could  
10 perform the duties of packer or other jobs in the economy, nor in the ALJ relying upon these  
11 opinions.<sup>6</sup> The VE based her conclusions on all of the medical assessments, given that they were set  
12 forth in the hypotheticals posed to her (AR 17, 364-368) and considered that Plaintiff’s past work  
13 which was unskilled and required medium level exertion. AR 362.

14 When evaluating the totality of the record, it appears that the ALJ’s determination at Steps 4  
15 and 5 of the sequential evaluation process, where he determined that Plaintiff had the RFC to  
16 perform “sedentary through medium unskilled work” and in the fifth step of his analysis, that  
17 Plaintiff was not precluded from performing her past relevant work or other work in the economy  
18 was supported by substantial evidence.

### 19 CONCLUSION

20 Based on the foregoing, the Court concludes that the ALJ’s decision was supported by  
21 substantial evidence in the record as a whole and was based on the application of correct legal  
22 standards.

23 Accordingly, the Court AFFIRMS the administrative decision of the Defendant  
24 Commissioner of Social Security and DENIES Plaintiff’s Social Security complaint.

25 The Clerk of the Court IS DIRECTED to enter judgment for Defendant Michael J. Astrue,  
26

---

27 <sup>6</sup>The Court notes that the ALJ was not required to use the services of a VE. Gomez v.  
28 Chater, 74 F.3d 967, 971 (9th Cir. 1996).

1 Commissioner of Social Security, and against Plaintiff Norma Esquivel.

2

3 IT IS SO ORDERED.

4 Dated: January 26, 2010

5

/s/ Jennifer L. Thurston  
UNITED STATES MAGISTRATE JUDGE

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28