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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

ANGELA RACETTE,)	1:08-cv-01645 GSA
)	
)	
Plaintiff,)	ORDER REGARDING PLAINTIFF'S
)	SOCIAL SECURITY COMPLAINT
v.)	
)	
MICHAEL J. ASTRUE, Commissioner)	
of Social Security,)	
)	
Defendant.)	

BACKGROUND

Plaintiff Angela Racette (“Plaintiff”) seeks judicial review of a final decision of the Commissioner of Social Security (“Commissioner” or “Defendant”) denying her application for disability benefits pursuant to Title II of the Social Security Act. The matter is currently before the Court on the parties’ briefs, which were submitted, without oral argument, to the Honorable Gary S. Austin, United States Magistrate Judge.¹

¹ The parties consented to the jurisdiction of the United States Magistrate Judge. On November 26, 2008, the action was reassigned to the Honorable Gary S. Austin for all purposes.

1 **FACTS AND PRIOR PROCEEDINGS²**

2 Plaintiff protectively filed an application for disability insurance benefits on September
3 14, 2004, alleging disability beginning April 27, 2002, as the result of a stroke. AR 118. Her
4 application was denied initially and on reconsideration, and Plaintiff requested a hearing before
5 an Administrative Law Judge (“ALJ”). AR 55, 60-64, 66-72. ALJ Michael J. Haubner held a
6 hearing on May 17, 2007, and issued an order regarding benefits on July 11, 2007, finding
7 Plaintiff was disabled for the period between April 27, 2002 and August 4, 2004, but was not
8 disabled for the period thereafter. AR 24-36. On August 29, 2008, the Appeals Council denied
9 review. AR 5-7.

10 **Hearing Testimony**

11 ALJ Haubner held a hearing on May 17, 2007, in Fresno, California. Plaintiff appeared
12 and testified; she was represented by attorney Amy Foster. Vocational Expert (“VE”) Judith L.
13 Najarian also testified. AR 593-627.

14 Plaintiff lives in Stevinson, California, with her boyfriend and his father, both of whom
15 work outside the home, in a single family, single level home. AR 600-602. She was forty-six
16 years old at the time of the hearing. AR 600.

17 About once a day, Plaintiff will cook or prepare a meal. AR 602. She does dishes three
18 times a day, cleans the kitchen once a week and cleans the bathrooms twice a month. AR 602-
19 603. She sweeps the floors, but does not vacuum, nor does she dust the furniture or take out the
20 trash. AR 604. She makes her bed about three times a week on average, and changes the sheets
21 twice a month. AR 604. Plaintiff does a load of laundry every other day, folding or hanging the
22 laundry; she does not iron. AR 604. She does grocery shopping twice a month. AR 604-605.

23 Plaintiff can take care of her personal needs such as showering, brushing her teeth and
24 getting dressed. AR 602. She does not attend church, nor does she belong to any clubs or
25 organizations. AR 605, 607. Plaintiff has two dogs and takes care of their feeding. They are not
26 permitted outside and she does not walk or bathe the dogs. AR 606. Additionally, there are two

27
28 ² References to the Administrative Record will be designated as “AR,” followed by the appropriate page
number.

1 goats, four sheep and fifteen chickens on the property where she resides, but she does not feed or
2 care for the livestock. AR 606-607.

3 In addition to graduating from high school, Plaintiff received vocational training in 1978
4 for data entry. AR 600-601. Plaintiff has a valid driver's license and drives a small pickup truck
5 with a manual transmission about once a week. AR 601-602. She estimates she goes out to eat
6 about once a month, and visits with friends or family once a week. AR 605. When in public,
7 Plaintiff generally avoids others. AR 617.

8 About twice a day, Plaintiff talks to friends and family on the telephone. There is a
9 computer in the home which she uses about once a month. AR 605. She does not play video
10 games. She watches about an hour of television in the evenings. AR 606. She does not read the
11 newspaper or magazines. AR 606.

12 The ALJ confirmed with Plaintiff that her current health conditions include a history of
13 right-sided hemiparesis, stroke, low back strain, and adjustment disorder with depressed mood,
14 secondary to the stroke. AR 607.

15 Plaintiff can lift and carry two pounds without hurting herself. She has difficulty gripping
16 or grasping things in her right hand. When asked how long she was able to grip or grasp
17 something with her right hand before she was unable to hold it any longer, she indicated her best
18 estimate was five minutes. AR 607-608. Thereafter, she would need to rest her right hand for
19 twenty to thirty minutes before attempting to grip or grasp another object. AR 609. When asked
20 how long she was able to concentrate on something before she was unable to pay attention any
21 longer, Plaintiff's best estimate was thirty minutes. She would need to rest mentally for a period
22 of twenty to thirty minutes before attempting to concentrate again. AR 609.

23 In her best estimate, Plaintiff can stand for about thirty minutes before needing to sit
24 down. She indicated she could sit for about an hour at one time before needing to stand up. AR
25 609. Asked to consider an eight-hour period during the day, and what portion of that time period
26 may require her to lie down due to pain or fatigue, Plaintiff indicated she would need to lie down
27 for about an hour, although the time frame varies from day to day. AR 610.

1 Asked whether she was fully compliant with the treatment and medications prescribed,
2 Plaintiff indicated that she was. She did admit to smoking about a pack of cigarettes per day.
3 AR 610.

4 With regard to Plaintiff's past work, VE Najarian testified that Plaintiff's previous work
5 as a computer operator varies from the Dictionary of Occupational Titles ("DOT") in that
6 Plaintiff frequently lifted and carried fifty-pound boxes of paper. Thus, the position typically
7 classified as light and skilled, six, was performed by Plaintiff at a medium rather than light level.
8 AR 614. Additionally, because Plaintiff also performed repairs on the computers, hardware and
9 software, according to the DOT the alternate title for her position is electronics technician,
10 medium and skilled, seven. Asked what skills were obtained in Plaintiff's past relevant work,
11 the VE indicated an extensive knowledge of computers, including operation, installation and
12 usage. The skills are transferable to other computer-related jobs. AR 615-616.

13 VE Najarian was asked to consider several hypothetical questions posed by the ALJ.
14 First, the VE was asked to assume a hypothetical worker of Plaintiff's age, education, language
15 and work history, who is able to understand and learn, but may have difficulty recognizing facts
16 and remembering verbally-presented information, who has limited abilities regarding social
17 interaction and in handling stress. AR 616-617. VE Najarian indicated the hypothetical worker
18 could not perform Plaintiff's past relevant work. AR 617-618. The VE was unable to determine
19 whether other work would be available to this hypothetical worker because the limitations
20 referenced are "too nebulous." AR 618.

21 In a second hypothetical, the following additional limitations were to be considered:
22 moderate limitation in ability to understand, remember and carry out detailed instructions;
23 moderate limitation in ability to maintain attention and concentration for extended periods of
24 time; moderate limitation regarding the ability to interact with the general public and respond
25 appropriately to changes in the work setting. Considering these additional limitations, VE
26 Najarian testified the hypothetical worker could not perform Plaintiff's past relevant work, nor
27 any other work. AR 618-619.

1 In a third hypothetical, the following additional limitations were to be considered:
2 moderate limitation in the ability to do the activities of daily living; moderate limitation in the
3 ability to maintain social functioning; and moderate limitation in the ability to maintain attention
4 and concentration. VE Najarian indicated such a worker could not perform Plaintiff's past
5 relevant work, nor any other work. AR 619.

6 In a fourth hypothetical, the VE was asked to consider a hypothetical worker who could
7 lift and carry twenty pounds occasionally and ten pounds frequently, who could stand and walk
8 about six hours in an eight-hour day, could sit about six hours in an eight-hour day, could
9 occasionally climb, balance, stoop, kneel, crouch or crawl, with limited ability for fingering or
10 fine manipulation with the right upper extremity, but without gross manipulation limits of that
11 same extremity, who should avoid concentrated exposure to hazards, including sharp objects.
12 AR 619. The VE indicated such a person could not do Plaintiff's past relevant work, particularly
13 in light of the limitation regarding fingering. AR 619-620. This worker could however perform
14 other jobs available in the national economy in the light or sedentary, unskilled categories.
15 Examples of available work include: office messenger, DOT 239.667-010, with 12,413 jobs
16 available in California after a forty percent reduction is applied; information clerk, DOT
17 237.367-018, with 9,511 available jobs in California; and cafeteria attendant, DOT 311.677-010,
18 with 17,243 available jobs in California. AR 621-623.

19 Asked whether combining hypothetical scenarios one and four would result in no ability
20 to perform Plaintiff's past relevant work, the VE responded in the affirmative. Other work could
21 not be determined because hypothetical one is too nebulous. AR 623. Asked about combining
22 hypothetical scenarios two and four, and combining hypothetical scenarios three and four, the VE
23 indicated those combinations would result in an inability to perform Plaintiff's past relevant
24 work or any other work. AR 623.

25 In a fifth hypothetical, the VE was asked to consider a worker who could handle her own
26 funds, understand, carry out and remember simple instructions, with the ability to respond
27 appropriately to usual work situations and changes in routine, without substantial restriction in
28 the activities of daily living. VE Najarian indicated this person could not perform Plaintiff's past

1 relevant work, but could perform the full range of unskilled work such that the Grids would
2 apply. AR 624. The other work previously identified in hypothetical four would be available to
3 this worker as well. AR 624.

4 Asked whether combining hypothetical scenarios one and five would result in no ability
5 to perform Plaintiff's past relevant work, the VE responded in the affirmative. Again, other work
6 could not be determined because hypothetical one is too nebulous. AR 624. Asked about
7 combining hypothetical scenarios two and five, and hypothetical scenarios three and five, the VE
8 indicated those combinations would result in an inability to perform Plaintiff's past relevant
9 work or any other work. Asked about combining hypothetical scenarios four and five, the VE
10 indicated that combination would result in an inability to perform Plaintiff's past relevant work,
11 but would allow for the other work identified in hypothetical four to be performed. AR 625.

12 In a final and sixth hypothetical, the VE was asked to assume the hypothetical worker
13 could lift and carry two pounds, can grip or grasp something for five minutes with the dominant
14 right upper extremity before requiring rest, can concentrate for thirty minutes before requiring
15 mental rest, can stand for thirty minutes at one time and can sit for one hour at a time, with the
16 need for a one-hour unscheduled break per day. VE Najarian indicated this hypothetical worker
17 could not perform Plaintiff's past relevant work, nor any other work. AR 625.

18 **Medical Record**

19 The entire medical record was reviewed by the Court. Those records relevant to the
20 issues on appeal are summarized below. Otherwise, the medical evidence will be referenced as
21 necessary in this Court's decision.

22 ***Mary Butcher, M.D.***

23 On April 29, 2002, Plaintiff was seen by Dr. Butcher after a fall on the previous Saturday.
24 She was not able to get up for two hours, and she reported her right side had not functioned
25 normally since the fall. Objective findings include notations that Plaintiff's gait was "very
26 unsteady," that she was dragging her right foot and facial droop was present. AR 264; *see also*
27 AR 447.

1 In an April 29, 2002, admission report, Dr. Butcher indicated Plaintiff was admitted for
2 treatment at Valley Medical Center in Washington after being seen in her office two days after
3 waking up with acute right-sided weakness. A subsequent MRI of Plaintiff's brain indicated she
4 had suffered two small infarcts on the left side of her brain. Significant testing was performed.
5 In the absence of a history of hypertension, it was noted that Plaintiff's sole risk factor for stroke
6 was her tobacco use. A prior problem with alcohol was resolved, following abnormal liver test
7 results, when Plaintiff stopped drinking. Improvement was noted in the left facial droop and
8 vision, she was able to walk with a four-prong cane, and the dense hemiparesis in her right arm
9 had "improved somewhat." Plaintiff was to undergo inpatient rehabilitation. AR 236. On
10 examination, Plaintiff was in no acute distress, but was unable to stand without assistance.
11 Mouth droop on the left side was obvious, weakness was present in her right arm and leg, and
12 she could not walk without "falling to the right or left." Plaintiff's ability to perform heel-to-shin
13 and finger-to-nose tests on the right side was "not good." Reflexes were decreased on the right
14 side. AR 237. Dr. Butcher assessed acute onset of cerebrovascular accident with "what seems
15 like left middle cerebral artery distribution." AR 238; *see also* AR 455-456.

16 In a neurology consultation of April 30, 2002, Dr. Butcher noted "a significant neurologic
17 history" for Plaintiff, including a 1998 MRI that "demonstrated some signs of a possible old
18 hemorrhage in the left thalamic region." It was noted that Plaintiff quit smoking in December
19 2001 after smoking a pack a day for twenty years. She quit drinking alcohol three months prior.
20 AR 241. Physical examination revealed right central facial weakness. Vision findings were
21 normal, and speech was clear. It was noted that muscle testing revealed a right hemiparesis
22 involving the upper extremity over the proximal and distal lower extremity. Significant right
23 upper extremity dysmetria was noted with finger-to-nose and right leg heel/shin testing. Dr.
24 Butcher's interpretation of the MRI results in a finding of "[e]vidence of an old, left thalamic
25 hemosiderin deposition[,] acute left mid-brain/cerebral peduncle ischemic infarct, acute left
26 punctate region of infarct in the left cerebellar region, again ischemic in nature." AR 242; *see*
27 *also* AR 452-454.

1 In a May 1, 2002, rehabilitation consultation, Dr. Butcher's physical examination
2 revealed Plaintiff was in no acute distress. Her speech was minimally dysarthric, facial weakness
3 was present in the cranial nerves on the right side, reflexes were normal for the biceps, triceps,
4 knee and ankle. Grip and flexion were 4-/5, hip flexion and knee extension were 3/5, and ankle
5 dorsiflexion was 2-/5. AR 239. The doctor's impression was cerebrovascular accident in
6 cerebrosbasilar territory. Physical therapy, occupational therapy and a social services consult were
7 planned. AR 240; *see also* AR 449-451.

8 On October 10, 2002, Dr. Butcher completed a single-page UnumProvident disability
9 claim form. Dr. Butcher diagnosed Plaintiff with cerebral vascular accident or stroke, and listed
10 objective findings of weakness in right hand and decreased cognitive function. Noted symptoms
11 included an inability to grasp, pick up or type with the right hand, and "memory." The form asks
12 the following question, "When should the patient be able to return to work?" Dr. Butcher's
13 response was "never." AR 431.

14 On December 30, 2002, Dr. Butcher completed a Physical Evaluation form for the
15 Department of Social and Health Services. Objective findings were recorded as weakness in the
16 right arm, fingers and wrist, fine and gross "motor skills severely impaired on the right."
17 Cognitive function was "impaired" and memory was "altered." AR 434. Dr. Butcher found
18 Plaintiff severely limited, or "[u]nable to lift at least 2 pounds or unable to stand and/or walk."
19 Asked how Plaintiff's medical condition affected her ability to care for children, Dr. Butcher
20 wrote "she would not be able to care for young children [zero] lifting." Dr. Butcher indicated
21 Plaintiff was "permanently" unable to perform in a normal work setting. Plaintiff's treatment
22 result to date were recorded as "stagnant." AR 435,

23 ***Michael Rosenfield, D.O.***

24 On December 17, 2002, Dr. Rosenfield performed an examination of Plaintiff. Plaintiff
25 indicated she had suffered a series of strokes eight months prior and had not worked since that
26 time. Plaintiff advised Dr. Rosenfield that she gets up in the morning and takes care of a
27 thirteen-year-old and a fourteen-year-old by making their lunches and sending them off to school.
28 She was cooking, doing the laundry and was able to drive. AR 478. Dr. Rosenfield's physical

1 examination revealed, in relevant part, that Plaintiff's motor skills were somewhat delayed, but
2 she was able to perform finger-to-nose and heel-knee tests, gait was normal, and there were no
3 neurological deficits secondary to pain or poor effort. Following range of motion examination,
4 Dr. Rosenfield noted no paravertebral muscle spasms, tenderness, crepitus, effusion, deformity or
5 trigger points were found. His neurological examination revealed motor strength of 5/5 and
6 normal deep tendon reflexes at 2/4 left and right. The doctor's functional assessment indicated
7 that Plaintiff could be expected to stand, walk and sit with normal breaks for an eight-hour
8 workday without limitation. AR 480. Dr. Rosenfield imposed no limits with regard to Plaintiff's
9 ability to lift and carry weight. AR 480. The doctor did not impose any postural, manipulative,
10 visual, communicative or environmental limitation. AR 481.

11 ***Steven Swanson, Ph.D.***

12 On February 5, 2005, Steven C. Swanson, Ph.D. performed a psychological assessment.
13 In a history provided by Plaintiff, it was recorded that she was able to independently take care of
14 her personal hygiene needs, do household chores and laundry, prepare simple meals, shop and
15 use public transportation. Plaintiff reported watching television, walking the dog, feeding the
16 chickens and cooking. She interacted socially with a few friends. While she is licensed to drive,
17 she does not do so. AR 564. The doctor noted Plaintiff's physical appearance was
18 unremarkable. She was fully oriented and cooperative. He did not "she seemed motivated to
19 exaggerate her symptomatology and to make a case for disability." AR 565. Gait was normal,
20 speech was normal, facial expressions were appropriate, affect was appropriate, mood was
21 euthymic, form and content of thought were within normal limits, and there was no indication of
22 suicidal ideation or hallucination. AR 565. The Wechsler Adult Intelligence scale - Third
23 Edition (WAIS-III), Wechsler Memory Scale - Third Edition (WMS-III), the Bender Visual
24 Motor Gestalt Test, and the Trail Making Test A and B were administered. AR 584-587. The
25 WAIS-III results were verbal IQ of 85, performance IQ of 83 and Full Scale IQ of 83. The
26 WMS-III results included a general memory index score of 86 and a delayed recall index score of
27 79. The Bender results revealed no "gross design errors," but noted a tremor in Plaintiff's right
28 hand impacted the quality of the lines drawn. The trail making test revealed completion within

1 normal limits and the doctor noted the results did “not make a strong case for organicity.” AR
2 566-567. Dr. Swanson’s diagnosis included the need to rule out cognitive disorder NOS and
3 malingering. AR 567. The doctor believed Plaintiff capable of maintaining concentration,
4 relating to others in a job setting, with the ability to handle funds in her own best interests, and
5 ability to understand, carry out and remember simple instructions. She is able to respond
6 appropriate to usual work situations and changes in routine, and it was noted there were no
7 substantial restrictions in her daily activities. AR 567-568.

8 **ALJ’s Findings**

9 The ALJ determined that Plaintiff had not engaged in substantial gainful activity since
10 April 27, 2002, and had the severe impairments of history of right-sided hemiparesis secondary
11 to left cerebral peduncle stroke; lumbosacral strain/spasm; history of vascular dementia with
12 cerebral vascular accident; and adjustment disorder with depressed mood. AR 27. Nonetheless,
13 the ALJ determined that none of the severe impairments met or exceeded one of the listing
14 impairments. AR 28.

15 Based on his review of the medical evidence, the ALJ determined that between April 27,
16 2002 and August 4, 2004, Plaintiff had the residual functional capacity (“RFC”) to lift and carry
17 twenty pounds occasionally and ten pounds frequently, could stand, walk and sit for six hours in
18 an eight-hour workday, could climb, balance, stoop, kneel, crouch and crawl occasionally, could
19 occasionally perform fine manipulation with her right upper extremity, was to avoid concentrated
20 exposure to unprotected heights, automotive equipment or dangerous moving machinery, and
21 was moderately limited in the activities of daily living, maintaining social functioning, and in her
22 ability to maintain concentration, persistence and pace. AR 28.

23 Nevertheless, Plaintiff could not perform her past relevant work. AR 31. Moreover, the
24 ALJ found there were no jobs available in the national economy that Plaintiff could perform
25 during the period between April 27, 2002, and August 4, 2004. AR 32.

26 Concerning the period after August 4, 2004, however, the ALJ found that while Plaintiff
27 remained unable to perform her past relevant work (AR 35), she had the RFC to perform other
28 jobs that exist in significant numbers in the national economy. AR 36.

1 **SCOPE OF REVIEW**

2 Congress has provided a limited scope of judicial review of the Commissioner’s decision
3 to deny benefits under the Act. In reviewing findings of fact with respect to such determinations,
4 the Court must determine whether the decision of the Commissioner is supported by substantial
5 evidence. 42 U.S.C. § 405 (g). Substantial evidence means “more than a mere scintilla,”
6 *Richardson v. Perales*, 402 U.S. 389, 402 (1971), but less than a preponderance. *Sorenson v.*
7 *Weinberger*, 514 F.2d 1112, 1119, n. 10 (9th Cir. 1975). It is “such relevant evidence as a
8 reasonable mind might accept as adequate to support a conclusion.” *Richardson*, 402 U.S. at
9 401. The record as a whole must be considered, weighing both the evidence that supports and
10 the evidence that detracts from the Commissioner’s conclusion. *Jones v. Heckler*, 760 F.2d 993,
11 995 (9th Cir. 1985). In weighing the evidence and making findings, the Commissioner must
12 apply the proper legal standards. *E.g.*, *Burkhart v. Bowen*, 856 F.2d 1335, 1338 (9th Cir. 1988).
13 This Court must uphold the Commissioner’s determination that the claimant is not disabled if the
14 Secretary applied the proper legal standards, and if the Commissioner’s findings are supported by
15 substantial evidence. *See Sanchez v. Sec’y of Health and Human Serv.*, 812 F.2d 509, 510 (9th
16 Cir. 1987).

17 **REVIEW**

18 In order to qualify for benefits, a claimant must establish that he is unable to engage in
19 substantial gainful activity due to a medically determinable physical or mental impairment which
20 has lasted or can be expected to last for a continuous period of not less than twelve months. 42
21 U.S.C. § 1382c (a)(3)(A). A claimant must show that he has a physical or mental impairment of
22 such severity that he is not only unable to do her previous work, but cannot, considering his age,
23 education, and work experience, engage in any other kind of substantial gainful work which
24 exists in the national economy. *Quang Van Han v. Bowen*, 882 F.2d 1453, 1456 (9th Cir. 1989).
25 The burden is on the claimant to establish disability. *Terry v. Sullivan*, 903 F.2d 1273, 1275 (9th
26 Cir. 1990).

27 In an effort to achieve uniformity of decisions, the Commissioner has promulgated
28 regulations which contain, inter alia, a five-step sequential disability evaluation process. 20

1 C.F.R. §§ 404.1520 (a)-(f), 416.920 (a)-(f) (1994). Applying this process in this case, the ALJ
2 found that Plaintiff: (1) had not engaged in substantial gainful activity since the alleged onset of
3 her disability; (2) has an impairment or a combination of impairments that is considered “severe”
4 based on the requirements in the Regulations (20 CFR §§ 416.920(b)); (3) does not have an
5 impairment or combination of impairments which meets or equals one of the impairments set
6 forth in Appendix 1, Subpart P, Regulations No. 4; (4) was unable to perform her past relevant
7 work; yet (5) retained the RFC to perform other jobs that exist in significant numbers in the
8 national economy. AR 24-36.

9 Here, Plaintiff argues that the findings are not supported by substantial evidence and are
10 not free of legal error because (1) the ALJ’s credibility determination is erroneous; (2) the ALJ’s
11 reliance on the consultative psychologist’s opinion is erroneous; (3) the ALJ’s rejection of the
12 opinion of Plaintiff’s treating physician is contrary to law; and (4) the RFC is faulty, as are the
13 jobs identified by the VE because they do not comport with the RFC. (Doc. 14 at 5-12.)

14 DISCUSSION

15 **A. *The Credibility Determination***

16 Plaintiff contends the ALJ’s finding that her statements concerning the limiting effects of
17 her symptoms are not entirely credible is based upon “an incomplete and undeveloped hearing
18 record and by misstating the documentary evidence, the testimony and taking both out of
19 context.” (Doc. 14 at 5-7.) Defendant contends there is affirmative evidence of malingering, and
20 that even in the absence of malingering, the ALJ provided sufficient reasons for discounting
21 Plaintiff’s testimony concerning the severity of her symptoms. (Doc. 18 at 11-14.)

22 A two step analysis applies at the administrative level when considering a claimant's
23 credibility. *Smolen v. Chater*, 80 F.3d 1273, 1281 (9th Cir. 1996). First, the claimant must
24 produce objective medical evidence of an impairment that could reasonably be expected to
25 produce some degree of the symptom or pain alleged. *Id.* at 1281-1282. If the claimant satisfies
26 the first step and there is no evidence of malingering, the ALJ may reject the claimant's testimony
27 regarding the severity of his symptoms only if he makes specific findings that include clear and
28 convincing reasons for doing so. *Id.* at 1281. The ALJ must "state which testimony is not

1 credible and what evidence suggests the complaints are not credible." *Mersman v. Halter*, 161
2 F.Supp.2d 1078, 1086 (N.D. Cal. 2001), quotations & citations omitted ("The lack of specific,
3 clear, and convincing reasons why Plaintiff's testimony is not credible renders it impossible for
4 [the] Court to determine whether the ALJ's conclusion is supported by substantial evidence");
5 Social Security Ruling ("SSR") 96-7p (ALJ's decision "must be sufficiently specific to make
6 clear to the individual and to any subsequent reviewers the weight the adjudicator gave to the
7 individual's statements and reasons for that weight").

8 An ALJ can consider many factors when assessing the claimant's credibility. *See Light v.*
9 *Soc. Sec. Admin.*, 119 F.3d 789, 792 (9th Cir.1997). The ALJ can consider the claimant's
10 reputation for truthfulness, prior inconsistent statements concerning symptoms, other testimony
11 by the claimant that appears less than candid, unexplained or inadequately explained failure to
12 seek treatment, failure to follow a prescribed course of treatment, claimant's daily activities,
13 claimant's work record, or the observations of treating and examining physicians. *Smolen*, 80
14 F.3d at 1284; *Orn v. Astrue*, 495 F.3d 625, 638 (2007).

15 The first step in assessing Plaintiff's subjective complaints is to determine whether
16 Plaintiff's condition could reasonably be expected to produce the pain or other symptoms
17 alleged. *Lingenfelter v. Astrue*, 504 F.3d 1028, 1036 (9th Cir. 2007). Here, Plaintiff suffered a
18 stroke and suffered from a history of right-sided hemiparesis, vascular dementia and adjustment
19 disorder. AR 27-28. When making his finding as to Plaintiff's RFC after August 4, 2004, the
20 ALJ found that "[Plaintiff's] medically determinable impairments could reasonably be expected
21 to produce some of the alleged symptoms, but that the [Plaintiff's] statements concerning the
22 intensity, persistence and limiting effects of these symptoms are not entirely credible beginning
23 August 5, 2005." AR 34. This finding satisfied step one of the credibility analysis. *Smolen*, 80
24 F.3d at 1281-1282.

25 In the absence of a finding that a claimant is malingering, an ALJ is required to provide
26 clear and convincing reasons for rejecting Plaintiff's testimony. *Smolen*, 80 F.3d at 1283-1284;
27 *Lester v. Chater*, 81 F.3d 821, 834 (9th Cir. 1996) (as amended). When there is evidence of an
28 underlying medical impairment, the ALJ may not discredit the claimant's testimony regarding the

1 severity of his symptoms solely because they are unsupported by medical evidence. *Bunnell v.*
2 *Sullivan*, 947 F.2d 341, 343 (9th Cir. 1991); SSR 96-7. Moreover, it is not sufficient for the ALJ
3 to make general findings; he must state which testimony is not credible and what evidence in the
4 record leads to that conclusion. *Dodrill v. Shalala*, 12 F.3d 915, 918 (9th Cir.1993); *Bunnell*,
5 947 F.2d at 345-346.

6 In this case, the ALJ made the following findings regarding Plaintiff's credibility:

7 After considering the evidence of record, I find that the claimant's
8 medically determinable impairments could reasonably be expected to produce
9 some of the alleged symptoms, but that the claimant's statements concerning the
intensity, persistence and limiting effects of these symptoms are not entirely
credible beginning on August 5, 2004.

10 The claimant testified that she is able to lift and carry two pounds, and is
11 able to grip with the right hand for five minutes before resting the hand for 30
12 minutes. She said she can concentrate for 30 minutes, then needs to rest mentally
13 for 20 to 30 minutes. She stated she can stand for 30 minutes and sit for one hour,
14 but needs to lie down for one hour out of eight.

15 The claimant testified to a wide range of activities of daily living that are
16 not consistent with the limitations stated above. She said she drives on the
17 average of once a week and drives a small pick-up with stick shift. I note the
18 ability to drive stick shift is not entirely compatible with the claimant's allegations
19 of severe right-handed weakness. She stated she cooks, cleans up after cooking,
20 cleans the kitchen once a week, cleans the bathroom twice a month, makes her
21 bed three times a week, and changes the sheets twice a month. She said she does
22 a load of laundry every other day, and folds and hangs the clothes. The claimant
23 testified that she sweeps once a week, shops for groceries and personal needs once
24 a month, and goes out to eat once a month. She said she has a cell phone and a
25 computer that she uses once a month. She said she does not play video games,
26 vacuum, take trash out, iron, or do yard work, although her boyfriend stated she
27 gardens. The claimant testified that she watches television for one hour, but does
28 not read. She said she has two dogs that she feeds, but does not feed or bathe the
two goats, four sheep, or 15 chickens. However, claimant told Dr. Swanson she
walks the dogs and feeds the chickens. This is consistent with her statement in
October 2006 that she was "watering" the chickens. I find it particularly
detrimental to the claimant's credibility that she testified that she is compliant
with medical treatment, yet she continues to smoke cigarettes albeit multiple
strong recommendations against it. The claimant also seems to exaggerate
somewhat. For example, she stated she could only concentrate for 30 minutes at
the longest, and then must rest mentally for 20 to 30 minutes, yet she paid
attention and responded appropriately throughout the entire hearing, lasting over
45 minutes.

Because the claimant's allegations of severely disabling symptoms are not
fully supported by the records of treating and examining physicians, and because
of the inconsistencies in the record and the testimony, I give very little weight to
the claimant's subjective complaints for the period from August 5, 2004 through
the date of the hearing.

I also considered the third party statement . . . I note that it refers to
significant activities of daily living. For example, it states that the claimant
dresses, bathes, does hair care, shaves, feeds herself, and uses the toilet, but
slower than normal. It also states she gardens with help, goes outside daily, walks,

1 and rides in a car for transportation. She goes out alone, shops in stores, counts
2 change, watches televisions, and does small art projects. However, the third party
3 also indicates that claimant's condition "affect[s]" certain areas such as "lifting,
4 squatting, bending, standing, reaching, walking, sitting, kneeling, talking, hearing,
5 seeing, memory, concentration, understanding, and getting along." However, it
6 fails to quantify any such limitations or explain how those areas are affected, with
7 the exception of concentration, which allegedly limits the claimant to "30 mins 1
8 hr," which is not consistent with the other evidence of record, or even the
9 claimant's own testimony. The other exception is the third party states the
10 claimant can only walk 100 feet and then must rest 10 to 15 minutes before
11 resuming walking, but that too is contrary to both the medical evidence discussed
12 above and the claimant's own testimony.

13 AR 34-35, internal citations omitted. The ALJ provided clear and convincing reasons for his
14 credibility finding. *Smolen*, 80 F.3d at 1283-1284; *Lester v. Chater*, 81 F.3d at 834. He
15 specifically indicated what testimony and evidence suggested Plaintiff's complaints were not
16 credible.

17 Notably, amplification of symptoms can constitute substantial evidence supporting the
18 rejection of a subjective complaint concerning the severity of symptoms. *Matthews v. Shalala*,
19 10 F.3d 678, 380 (9th Cir. 1993). Here, Dr. Swanson's concerns about possible malingering was
20 a careful attempt to discern Plaintiff's condition and capacities, and was properly considered by
21 the ALJ.

22 The ALJ pointed out inconsistencies in Plaintiff's testimony and the record. Inconsistent
23 statements are properly factored into an evaluation of credibility. *Moisa v. Barnhart*, 367 F.3d
24 882, 885 (9th Cir. 2004); *Thomas v. Barnhart*, 278 F.3d 947, 958-959 (9th Cir. 2002). The ALJ
25 also permissibly drew reasonable inferences from the evidence. *Sample v. Schweiker*, 694 F.2d
26 639, 642 (9th Cir. 1982).

27 An ALJ can look to daily living activities as part of the credibility analysis. *Burch v.*
28 *Barnhart*, 400 F. 3d at 680; *Fair*, 885 F.2d at 603; *see also Thomas*, 278 F.3d at 958-59.
If a claimant is able to spend a substantial part of his day engaged in pursuits involving the
performance of physical functions that are transferable to a work setting, a specific finding as to
this fact may be sufficient to discredit a claimant's allegations. *Morgan v. Commissioner of*
Social Sec. Admin., 169 F.3d at 600. The ALJ must make "specific findings relating to [the
daily] activities" and their transferability to conclude that a claimant's daily activities warrant an

1 adverse credibility determination. *Orn v. Astrue*, 495 F.3d at 639. Here, the ALJ specifically
2 noted a number of Plaintiff’s daily activities, including but not limited to, cooking, cleaning and
3 other household chores, shopping, occasional driving and caring for animals. AR 34.

4 Moreover, even where an ALJ’s credibility decision may include an improper basis, it may
5 be upheld on other bases. *See eg., Batson v. Barnhart*, 359 F.3d 1190, 1197 (9th Cir. 2004).

6 Part of Plaintiff’s argument is an allegation that the ALJ failed to fully develop the record.
7 (*See* Doc. 14.) An ALJ has a duty to fully and fairly develop the record where the evidence is
8 ambiguous or the record is inadequate to allow for proper evaluation. *Tonapetyan v. Halter*, 242
9 F.3d 1144, 1150 (9th Cir. 2001). Despite Plaintiff’s assertion to the contrary, this Court finds the
10 ALJ had no further duty to develop the record as the evidence before him allowed for a proper
11 evaluation. Plaintiff’s complaints regarding “follow up” questions during the hearing in the areas
12 of Plaintiff’s shopping and driving are unavailing, as is the assertion that the ALJ’s questions
13 were leading or threatening. Defendant notes that Plaintiff was represented by counsel at the
14 hearing, yet counsel did not question her client despite being given the opportunity. (Doc. 18 at
15 14.) Defendant’s point is well taken.

16 This Court must uphold an ALJ’s decision where the evidence is susceptible to more than
17 one rational interpretation. *Burch v. Barnhart*, 400 F.3d at 680-681. ALJ Haubner’s credibility
18 determination is a rational interpretation of the evidence, and thus is supported by substantial
19 evidence and is free of legal error.

20 **B. *Dr. Swanson’s Opinion***

21 Plaintiff complains that the ALJ’s reliance on Dr. Swanson’s opinion was error because
22 “it is replete with inaccurate scientific information, sloppy observations, unsupported opinions,
23 and is contradicted by the treatment records . . .” (Doc. 14 at 7-9.) Defendant responds that the
24 ALJ properly weighed Dr. Swanson’s opinion and that Plaintiff improperly seeks this Court’s
25 independent analysis of the medical evidence rather than its review of the ALJ’s findings. (Doc.
26 18 at 14-15.)

1 The ALJ found as follows:

2 The medical evidence shows that Steven C. Swanson, Ph.D. performed a
3 consultative psychological assessment of the claimant on February 5, 2005. She
4 reported that she was able to perform all of her activities of daily living. She took
5 care of her own hygiene, did household chores, shopped, did laundry, and was
6 able to use public transportation. She cooked, fed the chickens, and walked the
7 dog. She had a driver's license, but said she did not drive. The claimant's
8 presentation was not remarkable and her speech was normal. She had no signs of
9 depression. Her short-term, recent, and remote memories were within normal
10 limits. She had adequate abstraction ability. Concentration was adequate and
11 insight and judgment were intact. General fund of knowledge was within normal
12 limits. The claimant maintained satisfactory attention and concentration
13 throughout the testing. Dr. Swanson considered the test results valid and
14 representative of her current functioning. The claimant's scores on the Wechsler
15 Adult Intelligence Scale - Third Edition were Verbal, 85; Performance, 83; and
16 Full Scale, 83. Dr. Swanson stated that the scores were in the low average range
17 of intellectual ability, and that the claimant could be expected to perform at a level
18 that was somewhat lower than same-aged peers. The claimant's scores on the
19 Wechsler Memory Scale III were 86 for general memory and 79 for delayed recall.
20 Dr. Swanson said the scores were consistent with the intellectual scores, and did
21 not reveal a weakness in memory functioning. On the Bender-Gestalt Test, the
22 claimant did not make gross design errors, but her right-hand tremor affected the
23 quality of the lines. On the Trail Making Test, the claimant's performance fell
24 within normal limits. Dr. Swanson did not find any evidence of organicity.
25 However, he stated that, although the claimant appeared cooperative, she also
26 seemed motivated to exaggerate her symptoms and to make a case for disability.
27 Consequently, Dr. Swanson had no diagnosis on Axis I except for rule-out
28 diagnosis of cognitive disorder not otherwise specified and malingering. He
opined that the claimant was able to maintain concentration and understand,
remember, and carry out simple instructions. She was able to relate appropriately
to others in the job setting. She was able to respond appropriately to usual work
changes and changes in routine.

I give substantial weight to Dr. Swanson's opinion because it is based on a
thorough evaluation, review of the records, and psychological testing. His
opinion is consistent with the medical evidence and with the claimant's level of
activities of daily living. However, I do not believe that the claimant's condition
improved suddenly in February 2005. Therefore, I find that the claimant's
psychological impairments improved by around August 5, 2004. The opinion is
consistent with Dr. Swanson's evaluation.

AR 33-34, internal citations omitted.

Cases in this circuit distinguish among the opinions of three types of physicians: (1) those
who treat the claimant (treating physicians); (2) those who examine but do not treat the claimant
(examining physicians); and (3) those who neither examine nor treat the claimant (nonexamining
physicians). Dr. Swanson is an examining physician.

The opinion of an examining physician is entitled to greater weight than the opinion of a
nonexamining physician. *Pitzer v. Sullivan*, 908 F.2d 502, 506 (9th Cir.1990); *Gallant v.*

1 *Heckler*, 753 F.2d 1450 (9th Cir.1984). As is the case with the opinion of a treating physician,
2 the Commissioner must provide “clear and convincing” reasons for rejecting the uncontradicted
3 opinion of an examining physician. *Pitzer*, 908 F.2d at 506. The opinion of an examining
4 doctor, even if contradicted by another doctor, can only be rejected for specific and legitimate
5 reasons that are supported by substantial evidence in the record. *Andrews v. Shalala*, 53 F.3d
6 1035, 1043 (9th Cir.1995). Lastly, a consultive examiner’s opinion may constitute substantial
7 evidence because it is based upon the examiner’s independent findings and observations.
8 *Tonapetyan v. Halter*, 242 F.3d at 1149.

9 Plaintiff complains Dr. Swanson “was unaware of or ignored basic principles of
10 neuropsychological evaluation of individuals who have suffered a brain injury,” alleges the report
11 is conclusory and is “inadequate as a neuropsychological assessment.” (Doc. 14 at 8-9.)
12 Plaintiff’s single source of authority is Muriel D. Lezak, et al., *Neuropsychological Assessment*,
13 pages 648 through 656 (4th ed. 2004), which has been appended as an exhibit to the brief. Yet, it
14 is the duty of the ALJ to resolve conflicts in the evidence, not that of the court. *Magallanes v.*
15 *Bowen*, 881 F.2d 747, 751, 755 (9th Cir. 1989). A district court reviews the Commissioner’s
16 final decision under the substantial evidence standard; the decision will be disturbed only if it is
17 not supported by substantial evidence or is based on legal error. *See* 42 U.S.C. § 405(g)
18 (“findings of the Commissioner of Social Security as to any fact, if supported by substantial
19 evidence, shall be conclusive”); *Smolen v. Chater*, 80 F.3d at 1279; *Andrews v. Shalala*, 53 F.3d
20 at 1039. It is not the province of the district court to reweigh the factual and credibility
21 determinations of the ALJ *de novo*. *Meanel v. Apfel*, 172 F.3d 1111, 1113 (9th Cir. 1999).

22 Here, Dr. Swanson’s report is based upon his independent findings and observations, and
23 thus constitutes substantial evidence. *Tonapetyan v. Halter*, 242 F.3d at 1149. Moreover, Dr.
24 Swanson is a board certified psychologist, whose opinion is clearly entitled to the weight
25 afforded it by the ALJ. *See* 20 C.F.R. § 404.1527(d)(5).

26 This Court finds the ALJ’s determination regarding Dr. Swanson’s report is supported by
27 substantial evidence and is not based on legal error.

1 **C. *Mary Butcher, M.D.***

2 Plaintiff asserts the ALJ’s rejection of Plaintiff’s treating physician’s opinion is erroneous
3 because, contrary to his finding, it is supported by “extensive documentation of [Plaintiff’s]
4 major stroke, hospitalization and rehabilitation, during which Dr. Butcher referred [her] to
5 numerous specialists for diagnosis, treatment and consultation.” (Doc. 14 at 9-11.) Defendant
6 contends that Dr. Butcher’s finding that Plaintiff is unable to work is an ultimate determination
7 left to the Commissioner, and that the doctor’s other findings are not supported by the medical
8 record. (Doc. 18 at 15-16.)

9 The opinions of treating doctors should be given more weight than the opinions of
10 doctors who do not treat the claimant. *Reddick v. Chater*, 157 F.3d 715, 725 (9th Cir. 1998);
11 *Lester v. Chater*, 81 F.3d at 830. Where the treating doctor’s opinion is not contradicted by
12 another doctor, it may be rejected only for “clear and convincing” reasons supported by
13 substantial evidence in the record. *Lester*, 81 F.3d at 830. Even if the treating doctor’s opinion
14 is contradicted by another doctor, the ALJ may not reject this opinion without providing “specific
15 and legitimate reasons” supported by substantial evidence in the record. *Id.* (quoting *Murray v.*
16 *Heckler*, 722 F.2d 499, 502 (9th Cir. 1983)). This can be done by setting out a detailed and
17 thorough summary of the facts and conflicting clinical evidence, stating his interpretation thereof,
18 and making findings. *Magallanes v. Bowen*, 881 F.2d 747, 751 (9th Cir. 1989). The ALJ must
19 do more than offer his conclusions. He must set forth his own interpretations and explain why
20 they, rather than the doctors’, are correct. *Embrey v. Bowen*, 849 F.2d 418, 421-22 (9th Cir.
21 1988). Therefore, a treating physician’s opinion must be given controlling weight if it is well-
22 supported and not inconsistent with the other substantial evidence in the record. *Lingenfelter v.*
23 *Astrue*, 504 F.3d 1028.

24 In *Orn v. Astrue*, 495 F.3d 625, the Ninth Circuit reiterated and expounded upon its
25 position regarding the ALJ’s acceptance of the opinion an examining physician over that of a
26 treating physician. “When an examining physician relies on the same clinical findings as a
27 treating physician, but differs only in his or her conclusions, the conclusions of the examining
28 physician are not “substantial evidence.” *Orn*, 495 F.3d at 632; *Murray*, 722 F.2d at 501-502.

1 “By contrast, when an examining physician provides ‘independent clinical findings that differ
2 from the findings of the treating physician’ such findings are ‘substantial evidence.’” *Orn*, 496
3 F.3d at 632; *Miller v. Heckler*, 770 F.2d 845, 849 (9th Cir. 1985). Independent clinical findings
4 can be either (1) diagnoses that differ from those offered by another physician and that are
5 supported by substantial evidence, *see Allen v. Heckler*, 749 F.2d 577, 579 (9th Cir.1985), or (2)
6 findings based on objective medical tests that the treating physician has not herself considered,
7 *see Andrews*, 53 F.3d at 1041.

8 If a treating physician’s opinion is not given controlling weight because it is not well
9 supported or because it is inconsistent with other substantial evidence in the record, the ALJ is
10 instructed by Section 404.1527(d)(2) to consider the factors listed in Section 404.1527(d)(2)-(6)
11 in determining what weight to accord the opinion of the treating physician. Those factors include
12 the “[l]ength of the treatment relationship and the frequency of examination” by the treating
13 physician; and the “nature and extent of the treatment relationship” between the patient and the
14 treating physician. 20 C.F.R. 404.1527(d)(2)(i)-(ii). Other factors include the supportability of
15 the opinion, consistency with the record as a whole, the specialization of the physician, and the
16 extent to which the physician is familiar with disability programs and evidentiary requirements.
17 20 C.F.R. § 404.1527(d)(3)-(6). Even when contradicted by an opinion of an examining
18 physician that constitutes substantial evidence, the treating physician’s opinion is “still entitled to
19 deference.” SSR 96-2p; *Orn*, 495 F.3d at 632-633. “In many cases, a treating source’s medical
20 opinion will be entitled to the greatest weight and should be adopted, even if it does not meet the
21 test for controlling weight.” SSR 96-2p; *Orn*, 495 F.3d at 633.

22 Here, the ALJ found as follows:

23 The claimant’s primary care physician, Mary Butcher, M.D., completed a
24 form for the claimant’s long term disability insurance in October 2002. The
25 doctor indicated the claimant had weakness of the right hand, decreased cognitive
26 function, inability to grasp with the right hand, pick up items, or type. She also
27 had decreased memory. Dr. Butcher opined that the claimant was unable to work
28 in her former job and would never be able to return to work. I give some weight
to Dr. Butcher’s opinion that the claimant was unable to return to her previous
work, but little weight to the opinion that the claimant would never be able to
work because the ultimate determination of disability is an issue reserved to the
Commissioner under Social Security Ruling 96-5p.

1 Dr. Butcher completed a form on December 30, 2002 for Social Services.
2 She described her findings as dysarthria, weakness of the right arm, fingers, and
3 wrist, severely impaired right fine and gross motor skills, altered memory, and
4 impaired cognitive function. She opined that the claimant was unable to lift two
5 pounds or stand and walk because of decreased ability to control the right hand
6 and fingers, and because of weakness in the right leg. Dr. Butcher stated the
7 claimant would not be able to care for young children because of the inability to
8 lift. She stated the limitations were permanent. I give little weight to this opinion
9 because it is not supported by objective evidence. There is no indication in the
10 file that the claimant was limited to lifting only two pounds or that she was not
11 able to lift a child. On the contrary, she told Dr. Rosenfield that she took care of
12 her two children, made lunches for them, cooked, did laundry, and was able to
13 drive. This report by the claimant to the consulting physician does not comport
14 with Dr. Butcher's severe limitations.

15 AR 29-30, internal citations omitted.

16 The Court notes initially that Plaintiff was found to be disabled during the period between
17 April 24, 2002 and August 4, 2004. AR 31-32. Dr. Butcher was Plaintiff's treating physician
18 during the period, until Plaintiff moved to California sometime in 2004. Essentially then,
19 Plaintiff's argument pertains to the ALJ's finding that Plaintiff improved after August 4, 2004,
20 and thus was no longer disabled at that point, contrary to Dr. Butcher's findings in 2002 that
21 Plaintiff would never be able to return to work.

22 The ALJ provided specific and legitimate reasons for rejecting the opinion of Dr.
23 Butcher. Dr. Butcher's determination that Plaintiff was disabled and could not return to work - a
24 determination reserved to the Commissioner - was subsequently contradicted by other doctors.
25 In a 2003 evaluation, Alysa A. Ruddell, Ph.D., noted that Plaintiff had "improved substantially"
26 in the interim following her April 2002 strokes, and improvement was expected in the absence of
27 additional similar cardiac conditions. AR 485. Dr. Swanson's February 2005 findings conflict
28 with Dr. Butcher's conclusion as well. AR 567-568. Additionally, Dr. Butcher is a family
practitioner whereas Dr. Swanson is a specialist in his field. *See* 20 C.F.R. § 404.1527(d)(6).

ALJ Haubner provided specific and legitimate reasons for rejecting that portion of Dr.
Butcher's opinion that Plaintiff would never return to work. *Murray v. Heckler*, 722 F.2d at 502.
He cited conflicting clinical evidence and an absence of clinical evidence. He set out a detailed
and thorough summary, stated his interpretation thereof and made findings. *Magallanes v.*

1 *Bowen*, 881 F.2d at 751. In short, he did more than offer his conclusions. *Embrey v. Bowen*, 849
2 F.2d at 421-422.

3 The ALJ's finding is supported by substantial evidence and is free of legal error.

4 **D. *Step Five Analysis***

5 In a single paragraph, unsupported by any legal authority and lacking analysis, Plaintiff
6 asserts the ALJ erred in his RFC finding because the “[v]oluminous medical records and the
7 evidence in the record regarding her daily activities indicate [she] has far more extensive and
8 serious limitations . . .” (Doc. 14 at 11:4-12.) Next, Plaintiff complains the VE's testimony is
9 inconsistent with the ALJ's RFC finding. More particularly, Plaintiff argues that the VE's
10 identification of three available jobs - officer helper, information clerk and cafeteria attendant -
11 require a reasoning level of at least two, and therefore, the positions would exceed the limitations
12 alleged in the ALJ's RFC. (Doc. 14 at 11-12.)

13 Defendant argues to the contrary in that the ALJ's limitations were incorporated into each
14 unskilled job identified by the VE. (Doc. 18 at 17.) In response to the Commissioner's position,
15 Plaintiff contends there is a conflict between the DOT and the RFC, and therefore the RFC is not
16 supported by substantial evidence and is erroneous. (Doc. 19 at 4-6.)

17 RFC is an assessment of an individual's ability to do sustained work-related physical and
18 mental activities in a work setting on a regular and continuing basis of eight hours a day, for five
19 days a week, or equivalent work schedule. SSR 96-8p. The RFC assessment considers only
20 functional limitations and restrictions which result from an individual's medically determinable
21 impairment or combination of impairments. SSR 96-8p. “In determining a claimant's RFC, an
22 ALJ must consider all relevant evidence in the record including, inter alia, medical records, lay
23 evidence, and ‘the effects of symptoms, including pain, that are reasonably attributed to a
24 medically determinable impairment.’ ” *Robbins v. Social Security Admin.*, 466 F.3d 880, 883
25 (9th Cir. 2006).

26 SSR 00-4p states that generally, occupational evidence provided by a VE should be
27 consistent with the occupational information supplied by the DOT. Where there is an apparent
28 conflict, the ALJ must elicit a reasonable explanation for the conflict before relying on the VE to

1 support a determination or decision about whether the claimant is disabled. *See* SSR 00-4p. The
2 ALJ may rely on the testimony of a VE over that of the DOT by determining that the explanation
3 given by the VE is reasonable and provides a basis for doing so. *Id.*

4 Although evidence provided by a VE “generally should be consistent” with the DOT,
5 “[n]either the DOT nor the VE . . . evidence automatically ‘trumps’ when there is a conflict.”
6 *Massachi v. Astrue*, 486 F.3d 1149, 1153 (9th Cir. 2007) (citing SSR 00-4p). Thus, the ALJ
7 must first determine whether a conflict exists. If it does, the ALJ must then determine whether
8 the VE’s explanation for the conflict is reasonable and whether a basis exists for relying on the
9 expert rather than the DOT. *Id.* Where the ALJ fails to ask the VE if the positions are consistent
10 with the DOT, the Court is unable to determine whether substantial evidence supports the ALJ’s
11 finding at step five. *Id.*

12 Here, the VE identified three positions in the light or sedentary, unskilled category in
13 response to the ALJ’s fourth hypothetical:³ office messenger or helper (DOT “239.667-010”),
14 information clerk (DOT 237.367-018) and cafeteria attendant (DOT 311.677-010). AR 621-623.

15 The DOT office helper classification number is 239.567-010 and it provides as follows:

16 STRENGTH: Light Work - Exerting up to 20 pounds of force occasionally
17 (Occasionally: activity or condition exists up to 1/3 of the time) and/or up to 10
18 pounds of force frequently (Frequently: activity or condition exists from 1/3 to 2/3
19 of the time) and/or a negligible amount of force constantly (Constantly: activity or
20 condition exists 2/3 or more of the time) to move objects. Physical demand
21 requirements are in excess of those for Sedentary Work. Even though the weight
22 lifted may be only a negligible amount, a job should be rated Light Work: (1)
when it requires walking or standing to a significant degree; or (2) when it
requires sitting most of the time but entails pushing and/or pulling of arm or leg
controls; and/or (3) when the job requires working at a production rate pace
entailing the constant pushing and/or pulling of materials even though the weight
of those materials is negligible.

23 Reasoning: Level 2 - Apply commonsense understanding to carry out
24 detailed but uninvolved written or oral instructions. Deal with problems involving
a few concrete variables in or from standardized situations.

25 The same strength and reasoning level is found in the description of cafeteria attendant, DOT
26 311.677-010.

27
28 ³Plaintiff’s characterization of the ALJ’s questioning of the VE as a “Gordian knot” is overstating matters. While this Court agrees the exchange could have been more clear, the testimony is certainly not indecipherable.

1 Courts within the Ninth Circuit have consistently held that a limitation regarding simple
2 or routine instructions encompasses a reasoning level of one *and* two.

3 In *Meissl v. Barnhart*, 403 F.Supp.2d 981, 983-985 (C.D. Cal. 2005), the Central District
4 of California held that a limitation to simple and repetitive tasks was consistent with level two
5 reasoning positions as provided for in the DOT. In that case, the claimant was limited to “simple
6 tasks performed at a routine or repetitive pace.” *Id.*, at 982. The court explained that while the
7 Social Security regulations provided only two categories of abilities with regard to understanding
8 and remembering instructions - “short and simple” and “detailed” or “complex” - the DOT has
9 six gradations for measuring that ability. *Id.*, at 984. The *Meissl* court held that to

10 equate the Social Security regulations use of the term “simple” with its use in the
11 DOT would necessarily mean that all jobs with a reasoning level of two or higher
12 are encapsulated within the regulations’ use of the word “detail.” Such a
“blunderbuss” approach is not in keeping with the finely calibrated nature in
which the DOT measures a job’s simplicity.

13 *Meissl v. Barnhart*, 403 F.Supp.2d at 984. The use of the term “uninvolved” and “detailed” in
14 the DOT qualifies the term and refutes any attempt to equate the SSR use of the term “detailed”
15 with its use in the DOT. *Id.* The court found a claimant’s RFC must be compared with the
16 DOT’s reasoning scale. Level one reasoning requires slightly less than simple tasks that are in
17 some way repetitive. An example of a level one reasoning job would include the job of counting
18 cows as they come off a truck. The court in *Meissl* determined that a limitation to simple
19 repetitive tasks is not inconsistent with positions requiring level two reasoning. *Id.*; *Stubbs-*
20 *Danielson v. Astrue*, 539 F.3d 1169, 1174 (9th Cir. 2008) (a restriction to simple, repetitive tasks
21 adequately captures deficiencies in concentration, persistence and pace).

22 Here, the reasoning levels for two of the three jobs identified by the VE - office helper
23 and cafeteria attendant - both involve level two reasoning and are not in conflict with the ALJ’s
24 limitation to simple repetitive tasking and unskilled work. *Meissl v. Barnhart*, 403 F.Supp.2d at
25 984. The information clerk position involves a reasoning level of four, and thus falls outside the
26 limitation imposed. Nevertheless, the positions of office helper and cafeteria attendant combined
27 provide a regional job total of 29,656. These numbers constitute a significant number of
28 available jobs. *Barker v. Secretary of Health & Human Servs.*, 882 F.2d 1474, 1478 (9th Cir.

1 1989) (finding that 1266 jobs in the Los Angeles/Orange County are were considered
2 significant); *see also Moncada v. Chater*, 60 F.3d 521, 524 (9th Cir. 1995); *Martinez v. Heckler*,
3 807 F.2d 771, 775 (9th Cir. 1986). Remand is therefore unnecessary.

4 In sum, the ALJ's findings are supported by substantial evidence and are free of legal
5 error.

6 **CONCLUSION**

7 Based on the foregoing, the Court finds that the ALJ's decision is supported by
8 substantial evidence in the record as a whole and is based on proper legal standards.
9 Accordingly, this Court DENIES Plaintiff's appeal from the administrative decision of the
10 Commissioner of Social Security. The Clerk of this Court is DIRECTED to enter judgment in
11 favor of Defendant Michael J. Astrue, Commissioner of Social Security and against Plaintiff,
12 Angela Racette.

13
14 IT IS SO ORDERED.

15 **Dated: March 29, 2010**

/s/ Gary S. Austin
16 UNITED STATES MAGISTRATE JUDGE