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UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF CALIFORNIA

KAREEM BROWN,

Plaintiff,

v.

J. KAVANAUGH, et al.,

Defendants.

CASE NO. 1:08-cv-01764-LJO-BAM PC

FINDINGS AND RECOMMENDATIONS
RECOMMENDING GRANTING IN PART
AND DENYING IN PART DEFENDANTS'
MOTION FOR SUMMARY JUDGMENT

(ECF Nos. 43, 47, 48)

/ OBJECTIONS DUE WITHIN THIRTY DAYS

Findings and Recommendations on Motion for Summary Judgment

I. Procedural History

Plaintiff Kareem Brown is a state prisoner proceeding pro se and in forma pauperis in this civil rights action pursuant to 42 U.S.C. § 1983. Following remand by the Ninth Circuit, this action is proceeding on the complaint, filed November 18, 2008, against Defendants Garcia and Kavanaugh for retaliation in violation of the First Amendment. Defendants filed a motion for summary judgment on March 15, 2012. (ECF No. 43.) After receiving an extension of time, Plaintiff filed an opposition on May 16, 2012, and Defendants filed a reply on May 18, 2012.¹ (ECF Nos. 47, 48.) The motion is deemed submitted pursuant to Local Rule 230(1), and the Court now issues its findings and recommendations for consideration.

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¹Plaintiff was provided with notice of the requirements for opposing a motion for summary judgment by Defendants in the motion for summary judgement. Woods v. Carey, 684 F.3d 934, 939 (9th Cir. 2012); Klinge v. Eikenberry, 849 F.2d 409 (9th Cir. 1988).

1 **II. Motion for Summary Judgment Legal Standard**

2 Pursuant to Federal Rule of Civil Procedure 56(c), summary judgment is appropriate when
3 it is demonstrated that there exists no genuine issue as to any material fact, and that the moving party
4 is entitled to judgment as a matter of law. Summary judgment must be entered, “after adequate time
5 for discovery and upon motion, against a party who fails to make a showing sufficient to establish
6 the existence of an element essential to that party’s case, and on which that party will bear the burden
7 of proof at trial.” Celotex Corp. v. Catrett, 477 U.S. 317, 322, 106 S. Ct. 2548, 2552 (1986).
8 However, the court is to liberally construe the filings and motions of pro se litigants. Thomas v.
9 Ponder, 611 F.3d 1144, 1150 (9th Cir. 2010). The “party seeking summary judgment bears the initial
10 responsibility of informing the district court of the basis for its motion, and identifying those portions
11 of the ‘pleadings, depositions, answers to interrogatories, and admissions on file, together with the
12 affidavits, if any,’ which it believes demonstrate the absence of a genuine issue of material fact.”
13 Celotex, 477 U.S. at 323, 106 S. Ct. at 2553 (quoting Rule 56(c) of the Federal Rules of Civil
14 Procedure).

15 If the moving party meets its initial responsibility, the burden then shifts to the opposing
16 party to establish that a genuine issue as to any material fact actually does exist. Matsushita Elec.
17 Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586, 106 S. Ct. 1348, 1356 (1986). In attempting
18 to establish the existence of this factual dispute, the opposing party may not rely upon the denials
19 of its pleadings, but is required to tender evidence of specific facts in the form of affidavits, and/or
20 admissible discovery material, in support of its contention that the dispute exists. Fed. R. Civ. P.
21 56(e); Matsushita, 475 U.S. at 586 n.11, 106 S. Ct. at 1356 n.11.

22 The parties bear the burden of supporting their motions and oppositions with the papers they
23 wish the Court to consider and/or by specifically referencing any other portions of the record for
24 consideration. Carmen v. San Francisco Unified School Dist., 237 F.3d 1026, 1031 (9th Cir. 2001).
25 The Court will not undertake to mine the record for triable issues of fact. Simmons v. Navajo
26 County, Arizona, 609 F.3d 1011, 1017 (9th Cir. 2010).

27 **III. Complaint Allegations**

28 Plaintiff states that on July 1, 2008 Defendant Garcia refused to release him to attend a Men’s

1 Advisory Counsel (“MAC”) meeting. (Compl. 12,² ECF No. 1.) On July 7 or 8, 2008, Plaintiff
2 states that Officer Beam phoned him and informed Plaintiff that Defendant Garcia stated that
3 Plaintiff “had ratted on her.” (Id.) On July 14, 2008, Defendant Garcia requested that Plaintiff come
4 to see her in the control booth. Defendant Garcia asked Plaintiff if he had told other MAC
5 members that she would not let him out to attend the MAC meeting. When he answered yes,
6 Defendant Garcia began asking Plaintiff why he had ratted on her in front of other inmates. That
7 same evening Plaintiff approached Defendant Kavanaugh to let him know that he was planning to
8 file an inmate appeal due to Defendant Garcia’s behavior. (Id.)

9 On August 26, 2008, Defendant Kavanaugh told Plaintiff that Defendant Garcia had informed
10 him that Plaintiff had forced the housing unit door open, which was a breach of security. Plaintiff
11 responded that Defendant Garcia was retaliating against him. Defendant Kavanaugh told Plaintiff
12 that he did not believe that Defendant Garcia had called Plaintiff a rat. Defendant Kavanaugh
13 suspended Plaintiff from the MAC for forcing the housing unit door open. Plaintiff filed an appeal
14 against Defendant Kavanaugh, which was discarded. (Id. at 13.)

15 On August 29, 2008, Plaintiff was interviewed by Defendant Kavanaugh regarding the
16 inmate appeal against Defendant Garcia. (Id.) Plaintiff alleges that Defendant Kavanaugh’s
17 previous statement that he did not believe Defendant Garcia called him a rat exhibits bias. Plaintiff
18 objected to Defendant Kavanaugh hearing the inmate appeal and his objection was not documented.
19 Plaintiff was found guilty of the rule violation. (Id. at 14.)

20 On October 14, 2008, Plaintiff’s supervisor contacted Defendant Garcia to inform her that
21 Plaintiff’s shift had ended early and requested that he be allowed entrance to the housing unit.
22 Plaintiff states that Defendant Garcia’s response was, “It was up to her to let in who she wanted to.”
23 (Id.) Defendant Garcia refused to let Plaintiff enter the housing unit, and Plaintiff filed an inmate
24 appeal. (Id.)

25 On November 9, 2008, Plaintiff was returning from dinner, and retrieved some homemade
26 burritos from another inmate. Defendant Kavanaugh confiscated the burritos without legal authority

27
28 ²All references to pagination of specific documents pertain to those as indicated on the upper right corners
via the CM/ECF electronic court docketing system.

1 to do so. When Plaintiff sought to illicit information from Defendant Kavanaugh as to what
2 authority he had to confiscate Plaintiff's food, Defendant Kavanaugh became angry and threatened
3 to pepper spray Plaintiff and lay the dayroom down if Plaintiff did not return to his cell. Plaintiff
4 complied with the order to return to his cell and saw Defendant Kavanaugh throw the burritos over
5 the fence. Plaintiff received a rule violation report for refusing to obey a direct order. Defendant
6 Kavanaugh terminated Plaintiff's membership in the MAC. (Id. at 15.)

7 On November 10, 2008, Plaintiff was interviewed by Defendant Kavanaugh for the inmate
8 appeal he filed against Defendant Garcia. Defendant Kavanaugh asked Plaintiff if he had any
9 piercings and when Plaintiff responded affirmatively, Defendant Kavanaugh stated that Plaintiff
10 would receive a rule violation for having a piercing. After returning to his cell, Plaintiff was
11 informed by another inmate that staff had been overheard to say that Plaintiff was showing too much
12 aggression, which could be interpreted as a threat, and Plaintiff could be placed in administrative
13 segregation. (Id. at 16.)

14 **IV. Defendants' Motion for Summary Judgment**

15 Defendants bring this motion for summary judgment on the grounds that Plaintiff is barred
16 under the doctrine of Heck v. Humphrey, 512 U.S. 477, 114 S. Ct. 2364 (1994), from pursuing some
17 of his retaliation claims because his underlying rule violation convictions have not been set aside;
18 he fails to show that the exercise of his First Amendment rights was the "but for" cause of
19 Defendants' actions; and the adverse actions alleged by Plaintiff would not prevent a "person of
20 ordinary firmness" from filing staff complaints and grievances. Additionally, Defendants argue they
21 are entitled to qualified immunity.

22 **A. Defendants' Statement of Undisputed Facts**

- 23 1. The events at issue in Plaintiff's complaint occurred at California State Prison, Corcoran
24 ("Corcoran"), in 2008. (Compl. at 11.)
- 25 2. Plaintiff was an inmate in Facility 3A at Corcoran in 2008. (Kavanaugh Dec. ¶ 2, ECF No.
26 43-3; Garcia Dec. ¶ 2, ECF No. 43-4.)
- 27 3. Defendant Garcia was a correctional officer at Corcoran for over eight and one half years.
28 (Garcia Dec. at ¶ 1.)

1 4. In 2008, Defendant Garcia was assigned as a Third Watch Control Relief Officer for Facility
2 3A at Corcoran. (Id. at ¶ 2.)

3 5. Defendant Garcia's job duties included providing surveillance, controlling inmate
4 movements and coordinating inmate cell releases with floor officers. (Id. at ¶ 3.)

5 6. Defendant Kavanaugh worked at Corcoran for over fifteen years, four of which were as a
6 correctional lieutenant. (Kavanaugh Dec. at ¶ 1.)

7 7. Defendant Kavanaugh was a correctional lieutenant for Facility 3A at Corcoran in 2008. (Id.
8 at ¶ 2.)

9 8. On July 1, 2008, Defendant Garcia was working the Control Booth for the Housing Unit 4
10 in Facility 3A during Third Watch (2:00 p.m. to 10:00 p.m.). (Garcia Dec. at ¶ 4.)

11 9. At the conclusion of the feeding of Unit 4 in the dining hall, Plaintiff requested to exit his
12 cell to pass out pizza sale forms.³ (Id.)

13 10. As no inmate movement is allowed until the utensil count clears the dining hall, Defendant
14 Garcia was unable to grant Plaintiff's request at that time. Plaintiff did not request to exit
15 his cell to attend a Men's Advisory Council ("MAC") meeting. (Id.)

16 11. Defendant Garcia was later asked by another inmate why she refused to let Plaintiff attend
17 the MAC meeting. (Id. at ¶ 5.)

18 12. Defendant Garcia informed the inmate that she did not refuse to allow Plaintiff to attend the
19 MAC meeting, but had denied Plaintiff's request to pass out pizza forms in the housing unit
20 at an inappropriate time. (Id.)

21 13. On July 14, 2008, Defendant Garcia asked Plaintiff why he had inaccurately told other MAC
22 representatives that she had refused to allow him to attend a MAC meeting. Defendant
23 Garcia had previously not had any negative encounters with Plaintiff and did not know why
24 he would misrepresent the events of July 1, 2008. (Id. at ¶ 6.)

25 14. During the conversation, Plaintiff stated, "I'm not a rat," when asked about his untruthful
26 representation to the other MAC representatives. At no time during this conversation did
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28 ³Plaintiff states that he requested to be released from his cell to attend the MAC meeting. Defendant Garcia released the other MAC members to attend the meeting. (Plaintiff's Opp. 3, ECF No. 47.)

1 Defendant Garcia call Plaintiff a “rat” or use profanity.⁴ (Id.)

2 15. On July 16, 2008, Plaintiff filed a staff complaint through an inmate appeal (“602 appeal”)
3 against Defendant Garcia, claiming that she had refused to release him from his cell to attend
4 the MAC meeting on July 1, 2008, and repeatedly called him a “rat” in front of other inmates
5 during their July 14, 2008, conversation. (Compl. at 12; Kavanaugh Dec. at ¶ 4.)

6 16. Defendant Kavanaugh conducted an investigation and interview process regarding Plaintiff’s
7 602 appeal. Defendant Kavanaugh’s investigation of Plaintiff’s 602 appeal (Log No. 08-
8 3841) included interviews with Plaintiff, inmates identified by Plaintiff as witnesses, and
9 correctional officer Beam and Defendant Garcia. (Kavanaugh Dec. at ¶ ¶ 4, 5.)

10 17. Based on Defendant Kavanaugh’s investigation, he found Plaintiff’s version of events was
11 unsubstantiated and no evidence that Defendant Garcia violated institutional policy.⁵ (Id. at
12 ¶ 5.)

13 18. On August 26, 2008, the front door of Housing Unit 4 of Facility 3A malfunctioned and
14 would not close all the way shut. Defendant Garcia was working the Control Booth for
15 housing Unit 4 in Facility 3A. (Garcia Dec. at ¶ 7.)

16 19. At approximately 3:00 p.m., Defendant Garcia observed Plaintiff ring the bell for access to
17 Housing Unit 4. Before Defendant Garcia could respond to the bell, Plaintiff forced the yard
18 door open enough so he could enter in sideways and enter the building.⁶ Two other inmates
19 followed Plaintiff into the building. (Id.)

20 20. Defendant Garcia advised the inmates that it was not the correct time for them to enter the
21 building and ordered them to exit the building. The other two inmates left, but Plaintiff
22 refused to exit the building and stood in the sally port area in protest. (Id.; Wagley Dec. ¶
23 7a, ECF No. 43-5.)

24 21. Floor staff responded and also ordered Plaintiff to exit the building and he continued to
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26 ⁴Plaintiff claims the use of the word rat was not voluntary and unsolicited, but Defendant Garcia initiated
the use of the word rat. (Id.)

27 ⁵Plaintiff claims that the misconduct of Defendant Garcia was not unsubstantiated by other evidence. (Id.)

28 ⁶Plaintiff disputes that he forced the malfunctioning door open to gain entry to the housing unit.

1 refuse to obey those orders. Yard staff also responded and discussed the issue with Plaintiff.
2 Plaintiff was sent to his cell without further incident. (Garcia Dec. at ¶ 7.)

3 22. Defendant Garcia issued Plaintiff a Rules Violation Report (“RVP”) for Disobeying a Direct
4 Order under California Code of Regulations, Title 15, section 3005(b) for the August 26,
5 2008, incident. (Id.)

6 23. A hearing on the August 26, 2008, RVR was conducted by correctional lieutenant Munoz,
7 and Plaintiff was found guilty of the charge of Disobeying a Direct Order. (Id. at ¶ 8;
8 Kavanaugh Dec. at ¶ 9.)

9 24. Plaintiff was assessed thirty (30) days credit forfeiture consistent with a Division “F” offense
10 pursuant to California Code of Regulations, Title 15, section 3323. Plaintiff was also
11 assessed thirty (30) days Loss of Privileges, including loss of the recreational yard and loss
12 of eligibility for visits for ninety (90) days. (Garcia Dec. at ¶ 8; Kavanaugh Dec. at ¶ 9.)

13 25. Plaintiff’s guilty verdict for the August 26, 2008, incident has not been overturned or
14 rescinded. (Garcia Dec. at ¶ 9; Kavanaugh Dec. at ¶ 10.)

15 26. Due to its serious nature, the August 26, 2008, incident was reported to Defendant
16 Kavanaugh. (Id. at ¶ 7.)

17 27. Defendant Kavanaugh is the MAC staff delegate for Facility 3A. The MAC staff delegate
18 has the authority and responsibility for routine supervision and direction of the MAC under
19 California Department of Corrections and Rehabilitation Operations Manual (DOM) Section
20 53120.10.2.⁷ (Id. at ¶¶ 6, 8.)

21 28. Defendant Kavanaugh determined that Plaintiff’s action of forcing a door open to gain entry
22 into a housing unit is a serious breach of institutional security and a threat to staff safety.
23 Defendant Kavanaugh prepared a notice to Plaintiff informing him that the serious nature of
24 his actions were good cause to temporarily suspend him from his position as a housing

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26 ⁷Plaintiff disputes that Defendant Kavanaugh had the authority under Title 15 to suspend Plaintiff from his
27 position as a MAC representative. Plaintiff’s argument that the statute does not allow the Warden to delegate his
28 authority to an inferior officer would arise under due process. Plaintiff may not now expand the scope of this
litigation via his opposition to Defendants’ motion for summary judgment. See Gilmore v. Gates, McDonald & Co.,
382 F.3d 1312, 1315 (11th Cir. 2004). Plaintiff’s claims are confined to those remanded by the Ninth Circuit. (ECF
No. 20.)

1 representative to the MAC. (Id. at ¶ 7.)

2 29. Plaintiff's temporary suspension from the MAC ended on October 28, 2008, and he was
3 reassigned as a Facility 3A, Housing Unit 4 Mac representative. (Id. at ¶ 11.)

4 30. On October 14, 2008, Plaintiff's supervisor, Librarian B. Sanders, called Defendant Garcia
5 in the control booth to request entrance for Plaintiff into the housing unit as Plaintiff's shift
6 in the library was over early. (Garcia Dec. at ¶ 10.)

7 31. As maintenance staff were in Housing Unit 4 during the afternoon of October 14, 2008, all
8 inmates were temporarily denied access to Housing Unit 4 until the maintenance staff were
9 completed with their work. Defendant Garcia informed Librarian Sanders that maintenance
10 staff were in the unit and she was unable to allow any inmates access to Housing Unit 4 until
11 maintenance staff had vacated the unit. (Id.)

12 32. On November 9, 2008, Defendant Kavanaugh observed Plaintiff in the dayroom of Housing
13 Unit 4 of Facility 3A with a large potato chip bag that clearly contained something heavier
14 than the intended contents of the bag. (Kavanaugh Dec. at ¶ 12.)

15 33. Defendant Kavanaugh confiscated the potato chip bag from Plaintiff and observed that it
16 contained prepared food. Plaintiff loudly protested Defendant Kavanaugh's request to look
17 into the bag and began to angrily question Defendant Kavanaugh about his authority to look
18 into the bag.⁸ (Id.; Compl. at 15; Wagley Dec. at ¶ 7b.)

19 34. In an effort to quell the situation, Defendant Kavanaugh repeatedly ordered Plaintiff to return
20 to his cell in the housing unit, but Plaintiff adamantly refused. (Kavanaugh Dec. at ¶ 12.)

21 35. Due to his repeated refusal to return to his cell, Defendant Kavanaugh advised Plaintiff that
22 he would order him and all inmates in the dayroom to a prone position if Plaintiff continued
23 to refuse to comply with the direct order.⁹ (Id.; Compl. at 15; Wagley Dec. at ¶ 7b.)

24 36. When Defendant Kavanaugh ordered Plaintiff to return to his cell a third time, Plaintiff
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26 ⁸Plaintiff disputes that he spoke loudly during the incident. Plaintiff states he was seeking a legal
27 justification for Defendant Kavanaugh to confiscate his food, which was not contraband. (Id.)

28 ⁹Plaintiff claims that Defendant Kavanaugh also pulled out his pepper spray and threatened to spray
Plaintiff if he did not return to his cell. (Id.)

1 began walking to his cell, but yelled, “Fuck you,” to Kavanaugh as he was walking away.
2 (Kavanaugh Dec. at ¶ 12; Wagley Dec. at ¶ 7b.)

3 37. Defendant Kavanaugh disposed of the prepared food and issued a RVR for Disobeying a
4 Direct Order. (Kavanaugh Dec. at ¶ 12.)

5 38. A hearing on the November 9, 2008, RVR was conducted by correctional lieutenant Borges
6 on November 14, 2008. (Id. at ¶ 13.)

7 39. Following the hearing, Plaintiff was found guilty of the charge of Disobeying a Direct Order
8 and assessed another thirty (30) days credit forfeiture and thirty (30) days Loss of Privileges
9 including loss of use of the dayroom and loss of eligibility for visits for ninety (90) days.
10 (Id.)

11 40. Plaintiff’s guilty verdict for the November 9, 2008, incident has not been overturned or
12 rescinded. (Id. at ¶ 14.)

13 41. On November 24, 2008, Plaintiff was requested to report to the program office for an
14 interview on a 602 appeal. Plaintiff refused and repeatedly closed his cell door after it was
15 opened by the control booth to allow him to exit, used vulgarities, and refused to exit.
16 Plaintiff was issued a written notice regarding his actions. (Id. at ¶ 16.)

17 42. On December 1, 2008, Plaintiff was again requested to report to the program office for an
18 interview on a 602 appeal. Plaintiff again refused to report to participate in the appeal
19 interview. Plaintiff was issued another written notice regarding his actions. (Id.)

20 43. Based on the November 9, 2008, incident and Plaintiff’s continued defiance to staff
21 instructions, Defendant Kavanaugh again suspended Plaintiff from his position as a MAC
22 housing representative.¹⁰ (Id. at ¶ 15.)

23 44. Plaintiff admits that Defendants Garcia or Kavanaugh never threatened to place him into
24 administrative segregation for filing 602 appeals or grievances. (Wagley Dec. at ¶ 5.)

25 45. Defendant Kavanaugh never threatened to use “excessive force” against Plaintiff for filing
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27 ¹⁰Plaintiff disputes that Defendant Kavanaugh could legally suspend Plaintiff a second time from his
28 position on the MAC. (Id.)

1 602 appeals or grievances. (Kavanaugh Dec. at ¶ 19.)

2 **B. Plaintiff's Undisputed Facts**

- 3 1. On July 14, 2008, Plaintiff informed Defendant Kavanaugh that Defendant Garcia called him
4 a rat. (ECF No. 47 at 4.)
- 5 2. Defendant Garcia and floor staff summoned inmate McClain from his cell and expressed to
6 him that Plaintiff had been having many conflicts with staff and that this can be taken as
7 aggression and they can have Plaintiff placed in administrative segregation.¹¹ (Id.)
- 8 3. Inmates came forward at Plaintiff's appeal review and confirmed hearing Defendant Garcia
9 call Plaintiff a rat. (Id.)
- 10 4. Defendant Garcia phoned officer Beam on or around July 7 or 8, 2008, and told him that
11 Plaintiff ratted on her. (Id.)
- 12 5. Plaintiff wrote a letter to the facility captain regarding Defendant Kavanaugh's conduct on
13 September 11, 2008. (Id.)

14 **C. Failure to Comply With Local Rules**

15 Defendants argue that Plaintiff's failed to comply with the Local Rules requiring his
16 opposition to clearly reproduce Defendants' undisputed facts and admit or deny each fact, and
17 Plaintiff failed to properly identify and support his claim that material facts exist. Therefore,
18 Defendants request the motion for summary judgment be granted.

19 The Court declines to grant Defendants' motion for summary judgment based on Plaintiff's
20 failure to comply with the Local Rule. By failing to specifically challenge the facts contained in
21 Defendants' statement of undisputed facts, Plaintiff is deemed to have admitted those facts. Beard
22 v. Banks, 548 U.S. 521, 527, 126 S. Ct. 2572, 2577 (2006). Plaintiff has submitted statements of
23 undisputed, disputed, and uncontested facts. To the extent that Plaintiff's statement of undisputed,
24 disputed, or uncontested facts do not dispute Defendants' statement of undisputed facts, they are
25 deemed admitted.

26 _____

27 ¹¹In the complaint, Plaintiff alleged that Defendant Garcia and the housing unit two floor staff made
28 statements that Plaintiff had been involved in a lot of staff conflicts lately and was showing too much aggression
which "they can interpret as a 'threat' and have [P]laintiff placed in administrative segregation." (ECF No. 1 at 16.)

1
2 **D. Legal Standard**

3 It is well established that a prisoner has a right under the First Amendment to file a prison
4 grievance. Bruce v. Ylst, 351 F.3d 1283, 1288 (9th Cir. 2003). A prisoner has a right to meaningful
5 access to the courts which includes the “broader right to petition the government for redress of his
6 grievances.” Silva v. Di Vittorio, 658 F.3d 1090, 1101-02 (9th Cir. 2011); Bradley v. Hall, 64 F.3d
7 1276, 1279 (9th Cir. 1995) (overruled on other grounds by Shaw v. Murphy, 532 U.S. 223, 230 n.2,
8 121 S. Ct. 1475 n.2 (2001)). Prison officials may not retaliate against prisoners for exercising their
9 right to filed grievances. Brodheim v. Cry, 584 F.3d 1262, 1269 (9th Cir. 2009).

10 A viable claim of retaliation in violation of the First Amendment consists of five elements:
11 “(1) An assertion that a state actor took some adverse action against an inmate (2) because of (3) that
12 prisoner’s protected conduct, and that such action (4) chilled the inmate’s exercise of his First
13 Amendment rights, and (5) the action did not reasonably advance a legitimate correctional goal.”
14 Rhodes v. Robinson, 408 F.3d 559, 567 (9th Cir. 2005); accord Watison v. Carter, 668 F.3d 1108,
15 1114 (9th Cir. 2012); Brodheim, 584 F.3d at 1269.

16 A plaintiff suing for retaliation under section 1983 must allege that “he was retaliated against
17 for exercising his constitutional rights, and that the retaliatory action does not advance legitimate
18 penological goals, such as preserving institutional order and discipline.” Barnett v. Centoni, 31 F.3d
19 813, 816 (9th Cir. 1994). The plaintiff does not need to show actual inhibited or suppressed speech,
20 but that there was a chilling effect upon his speech. Rhodes, 408 F.3d at 569. The burden is on the
21 plaintiff to plead and prove the absence of any legitimate correctional goals for the alleged conduct.
22 Pratt v. Rowland, 65 F.3d 802, 807 (9th Cir. 1995).

23 **E. Discussion**

24 The Court finds that Defendants have met their initial burden of informing the Court of the
25 basis for their motion, and identifying those portions of the record which they believe demonstrate
26 the absence of a genuine issue of material fact. The burden therefore shifts to Plaintiff to establish
27 that a genuine issue as to any material fact actually does exist. See Matsushita Elec. Indus., 475 U.S.
28 at 586, 106 S. Ct. at 1356 (1986).

1 **1. Favorable Termination Rule and Rule Violations**

2 Defendants argue that Plaintiff’s claims arising out of the August 26, 2008, and November
3 9, 2008, incidents are barred under Heck v. Humphrey, 512 U.S. 447, 114 S. Ct. 2364 (1994).
4 (Memorandum of Points and Authorities in Support of Defendants’ Motion for Summary Judgment
5 8, ECF No. 43-1.) Plaintiff was convicted of rule violations and assessed good time credit forfeiture
6 and loss of privileges. (Id. at 9.) The loss of good time credit cannot be separated from the other
7 discipline Plaintiff received and success on the merits of these claims would necessarily imply the
8 invalidity of the guilty findings. (Id. at 8-9.) Defendants contend that because the guilty findings
9 have not been set aside, Plaintiff is barred from bringing these claims in a section 1983 action, and
10 Plaintiff’s claims must be brought by a petition for a writ of habeas corpus. (Id. at 8.)

11 Plaintiff argues that Defendants are seeking to mislead the Court by arguing that his claims
12 are barred by Heck. He is not seeking the restoration of the time forfeited due to the rule violations,
13 but is using them to show the adverse action he suffered due to the alleged retaliation of Defendants.
14 (ECF No. 47 at ¶ 9.)

15 It has long been established that state prisoners cannot challenge the fact or duration of their
16 confinement in a § 1983 action and their sole remedy lies in habeas corpus relief. Wilkinson v.
17 Dotson, 544 U.S. 74, 78, 125 S. Ct. 1242 (2005). Often referred to as the favorable termination rule,
18 this exception to § 1983’s otherwise broad scope applies whenever state prisoners “seek to invalidate
19 the duration of their confinement - either directly through an injunction compelling speedier release
20 or *indirectly through a judicial determination that necessarily implies the unlawfulness of the State’s*
21 *custody.*” Wilkinson, 544 U.S. at 81 (emphasis added). Thus, “a state prisoner’s § 1983 action is
22 barred (absent prior invalidation) - no matter the relief sought (damages or equitable relief), no
23 matter the target of the prisoner’s suit (state conduct leading to conviction or internal prison
24 proceedings) - *if* success in that action would necessarily demonstrate the invalidity of confinement
25 or its duration.” Id. at 81-82.

26 In Edwards v. Balisok, 520 U.S. 641, 117 S. Ct. 1584 (1997), an inmate was convicted of a
27 rule violation and brought a civil rights action seeking damages and declaratory relief, alleging that
28 he had been denied due process during the rule violation hearing. Edwards, 520 U.S. at 643, 117 S.

1 Ct. at 1586. The District Court found that a finding in his favor would “necessarily imply the
2 invalidity of the discipline hearing and resulting sanctions,” and the Ninth Circuit reversed. Id. The
3 Supreme Court granted certiorari and reversed. Plaintiff argued that a judgment in his favor would
4 not necessarily imply the invalidity of the deprivation of his good time credits because the state court
5 followed a “some or any evidence” standard and the prison hearing results could be upheld. Id. at
6 1588. The Supreme Court held that it was irrelevant, and the claims based on the deceit and bias of
7 the hearing officer were not cognizable under section 1983. Edwards, 520 U.S. at 648, 117 S. Ct.
8 at 1589.

9 Similarly here, Plaintiff argues that he is not seeking restoration of the time credits forfeited
10 due to being found guilty of the rule violations. However, the question is whether a finding in
11 Plaintiff’s favor would “necessarily imply the invalidity” and reduction in the duration of Plaintiffs’
12 confinement. Ramirez v. Galaza, 334 F.3d 850, 859 (9th Cir. 2003). Plaintiff is alleging that he was
13 falsely charged and convicted of a rule violation. Plaintiff forfeited time credits as a result of the
14 guilty finding. In this instance, a finding in Plaintiff’s favor would necessarily imply the invalidity
15 of the disciplinary finding that Plaintiff was guilty of failure to obey a direct order. Plaintiff is barred
16 from bringing a section 1983 action for being charged with disobeying a direct order on August 26,
17 2008, and November 9, 2008, until such time as Plaintiff can demonstrate that the sentence has been
18 invalidated. Edwards, 520 U.S. at 643, 117 S. Ct. at 1586.

19 However, Plaintiff alleges that on August 26, 2008, Defendant Garcia falsely reported that
20 he had forced open the door of the housing unit, and on November 9, 2008, Defendant Kavanaugh
21 confiscated his food in retaliation for his filing grievances. Since Plaintiff was not charged and
22 found guilty of a rule violation based upon forcing open the door or being in possession of the food,
23 a finding in his favor would not imply the invalidity of his confinement. Plaintiff is not barred from
24 bringing his claim that Defendant Garcia falsely reported that he forced the door to the housing unit
25 open or that Defendant Kavanaugh confiscated his food in retaliation for his filing grievances.

26 **2. Adverse Action**

27 Defendants argue that they are entitled to summary judgment because the adverse action
28 which Plaintiff claims to have suffered due to the August 26, 2008, and November 9, 2008, incidents

1 are barred by Heck and Plaintiff has admitted that he was never threatened with being placed in
2 administrative segregation. (ECF No. 43-1 at 8.) In separate requests for production of documents,
3 Defendants requested that Plaintiff “[p]roduce all documents which substantiate your allegation that
4 you were threatened to be placed into Administrative Segregation.” (ECF No. 43-1, Exhibit B at 10,
5 17.) Plaintiff responded, “Denied. I was never threatened to be placed in ad-seg.” (Id. at 22, 26.)
6 In his opposition, Plaintiff alleges that Defendant Garcia and other staff threatened that he might be
7 placed in administrative segregation which is adverse action. (Id. at ¶ 11.)

8 “[T]he mere *threat* of harm can be an adverse action, regardless of whether it is carried out
9 because the threat itself can have a chilling effect.” Broadheim, 584 F.3d at 1270 (emphasis in
10 original). A threat need not be explicit, an implied threat is sufficient if it intimates that some type
11 of punishment or adverse action will result from failure to comply. Id. at 1270-71. Regardless of
12 whether Plaintiff admitted or not that he was threatened, Plaintiff has sufficiently alleged adverse
13 action. Plaintiff alleges that Defendant Garcia falsely reported that he had forced open the housing
14 unit door. Forcing open a housing unit door would be a serious breach of security and threat to
15 institutional safety. Defendant Kavanaugh suspended Plaintiff from his position as MAC
16 representative based upon the allegation and Plaintiff being charged with failure to obey a direct
17 order. (DUF No. 28.) False allegations and suspension from the MAC are sufficient to allege
18 adverse action to support Plaintiff’s retaliation claim.

19 **3. Chilling Effect**

20 Defendants argue that Plaintiff has filed multiple complaints of harassment and prolifically
21 files writs, grievances, and appeals. His speech has not been chilled and the adverse action that he
22 alleges would not prevent a person of ordinary firmness from filing complaints and grievances.
23 (ECF No. 43-1 at 12.) Plaintiff correctly states that he does not have to demonstrate that his speech
24 was actually inhibited or suppressed to show that Defendants action has a chilling effect on protected
25 activity. (ECF No. 47 at ¶ 10.)

26 In the prison context, Ninth Circuit cases find sufficient adverse action in situations where
27 the action taken by the defendant was clearly adverse to the plaintiff. Rhodes, 408 F.3d at 568
28 (officers confiscated, withheld, and destroyed property, threatened to transfer the inmate, and

1 assaulted him in retaliation for filing grievances); Watison, 668 F.3d at 1116 (refusing to serve
2 inmate breakfast in retaliation for filing grievances); Austin v. Terhune, 367 F.3d 1167, 1171 (9th
3 Cir. 2004) (defendant filed false report that resulted in inmate's placement in administrative
4 segregation in retaliation for filing grievances); Bruce, 351 F.3d at 1288 (validation as a gang
5 member in retaliation for filing grievances). Falsely reporting a serious breach of security and
6 suspension from the MAC are adverse actions which would prevent a person of ordinary firmness
7 from filing complaints and grievances.

8 **4. Claims Against Defendant Garcia**

9 Plaintiff's retaliation claim rests upon proof that Defendant Garcia falsely reported that he
10 forced open the housing unit door on August 26, 2008, and refused to allow him to enter the housing
11 unit on October 14, 2008, because Plaintiff filed an inmate grievance against her and the conduct did
12 not advance a legitimate penological interest. Hines v. Gomez, 108 F.3d 265, 267 (9th Cir. 1997).

13 **a. August 26, 2008 Incident**

14 On July 1, 2008, Defendant Garcia refused to release Plaintiff from his cell and in response,
15 Plaintiff filed an inmate grievance.¹² On August 26, 2008, Plaintiff returned to the housing unit from
16 his work assignment and states that he found the door halfway open. He and two other inmates
17 entered the unit sally port. (ECF No. 47 at 20, ¶ 6.) Plaintiff contends that in response, on August
18 26, 2008, Defendant Garcia falsely reported that Plaintiff had forced open the housing unit door.
19 Plaintiff argues that the July 14, 2008, incident in which Defendant Garcia called Plaintiff a rat
20 shows that the rule violation was arbitrary and capricious. and therefore did not advance any
21 legitimate correctional goals. Plaintiff states that it is "opaque that Garcia sought to silence
22 [P]laintiff for complaining of her misconduct on July 14, 2008." (Id. at ¶ 8.)

23 Defendant Garcia states that the door to the housing unit was malfunctioning and would not
24 close all the way. (DUF No. 18.) At the rule violation hearing regarding Plaintiff's failure to obey

26 ¹²Although there is a dispute as to whether Plaintiff requested to be released from his cell to pass out pizza
27 sale forms or to attend the MAC meeting, this is not material to the claims at issue here. Plaintiff has not alleged, nor
28 is there any evidence before the Court, that Defendant Garcia failed to release Plaintiff from his cell because of any
protected activity on the part of Plaintiff. Plaintiff has not shown that Defendant Garcia failed to release him from
his cell because of his protected conduct, which is a required element to prevail on a retaliation claim. Broadheim,
584 F.3d at 1271.

1 a direct order to leave the housing unit, Plaintiff stated, “The door was open enough to where I could
2 fit in sideways and I went in the rotunda.” (DUF No. 19; ECF No. 43-4 at 89.) Defendant Garcia
3 testified that the door was open approximately two inches, and Plaintiff forced the door open wide
4 enough so he could fit through sideways. (Id. at 88; DUF 19.) Plaintiff was subsequently found
5 guilty of a rule violation for refusing orders to leave the building. (DUF. No. 23.)

6 A factual dispute exists as to whether Plaintiff forced the door open. Making credibility
7 determinations, weighing the evidence, and drawing legitimate inferences are functions for the jury,
8 not the judge. Bravo v. Santa Maria, 665 F.3d 1076, 1083 (9th Cir. 2011). Additionally, while
9 Defendant Garcia presents evidence that it is a serious breach of institutional safety and security to
10 force a door open to gain unauthorized entry to a housing unit, she fails to address Plaintiff’s
11 contention that he did not force the door open, but it was partially open and he was able to enter
12 sideways. Defendant Garcia has failed to meet her burden to establish that she is entitled to
13 summary adjudication on the claim that she reported Plaintiff forced open the housing unit door on
14 August 26, 2008, in retaliation for his filing a grievance against her, and the motion should be
15 denied.

16 **b. October 14, 2008, Incident**

17 On October 14, 2008, Plaintiff’s supervisor called Defendant Garcia in the control booth to
18 request entrance for Plaintiff into the housing unit as Plaintiff’s shift in the library was over early.
19 (DUF No. 30.) Maintenance staff were in Housing Unit 4 and all inmates were temporarily denied
20 access to Housing Unit 4 until the maintenance staff were completed with their work. Defendant
21 Garcia informed Plaintiff’s supervisor that maintenance staff were in the unit and she was unable to
22 allow any inmates access to Housing Unit 4 until maintenance staff had vacated the unit. (Id.)

23 While Plaintiff alleges that Defendant Garcia refused to allow him entry into the housing unit
24 in retaliation for filing grievances, Plaintiff has not submitted any evidence controverting the facts
25 set forth by Defendant Garcia, that Plaintiff was denied entrance to the housing unit on October 14,
26 2008, because the housing unit was undergoing maintenance. The Court finds that there are no
27 disputed facts that retaliation was the cause or a substantial factor in Plaintiff’s being denied entrance
28 to the housing unit on October 14, 2008. No genuine issue as to any material fact exists, and

1 Defendant Garcia is entitled to summary adjudication on the claim that she denied Plaintiff entry into
2 the housing unit on October 14, 2008.

3 **5. Claims Against Defendant Kavanaugh**

4 Plaintiff's retaliation claim rests upon proof that Defendant Kavanaugh confiscated Plaintiff's
5 food, and suspended Plaintiff as a MAC representative, because Plaintiff filed an inmate grievance
6 and the conduct did not advance a legitimate penological interest. Hines, 108 F.3d at 267.

7 **a. November 9, 2008, Incident**

8 On November 9, 2008, Defendant Kavanaugh observed Plaintiff in the dayroom of Housing
9 Unit 4 of Facility 3A with a large potato chip bag that clearly contained something heavier than the
10 intended contents of the bag. (DUF No. 32.) Defendant Kavanaugh confiscated the potato chip bag
11 from Plaintiff and observed that it contained prepared food. Plaintiff protested Defendant
12 Kavanaugh's request to look into the bag and began to question Defendant Kavanaugh about his
13 authority to look into the bag. (DUF No. 33; ECF No. 47 at ¶ 14.)

14 Defendant argues that for the safety of correctional staff and inmates, correctional staff must
15 conduct searches for contraband. Defendant Kavanaugh requested to search the potato chip bag and
16 Plaintiff became agitated, verbally combative, and disrespectful to correctional staff in front of other
17 inmates. Plaintiff refused Defendant Kavanaugh's attempts to quell the situation by ordering
18 Plaintiff to return to his cell. In returning to his cell, Plaintiff yelled profanities at Defendant
19 Kavanaugh. (ECF No. 43-1 at 13.) Defendant argues that disposing of the bag and its contents was
20 appropriate under the circumstances and not in retaliation for Plaintiff filing grievances. (Id. at 14.)

21 Plaintiff contends that the food was not contraband. Defendant Kavanaugh refused to tell
22 him what rule allowed him to confiscate the food, and confiscated it in retaliation for Plaintiff filing
23 grievances. (ECF No. 47 at ¶¶ 14, 15.) Plaintiff argues that inmates use food from the canteen to
24 prepare food in their cells, and Defendant Kavanaugh is aware of this. (Id. at ¶ 16.)

25 Plaintiff argues that Defendant Kavanaugh had no authority to confiscate food that had been
26 purchased at the canteen. However in this instance, it was clear by observing the potato chip bag that
27 it did not contain potato chips. Based upon his observation that Plaintiff was carrying a potato chip
28 bag that contained something heavier than potato chips and the security concerns that it would

1 implicate, Defendant Kavanaugh would clearly have the authority to confiscate and investigate what
2 was in the potato chip bag, which could have contained a weapon or contraband. It has long been
3 established that security of the institution is a legitimate penological interest. Procunier v. Martinez,
4 416 U.S. 396, 413, 94 S. Ct. 1800, 1811 (1974), overruled on other grounds by Thornburgh v.
5 Abbott, 490 U.S. 401, 109 S. Ct. 1874 (1989).

6 The issue here is whether throwing away the burritos that were inside the potato chip bag is
7 sufficient adverse action to “chill or silence a person of ordinary firmness from future First
8 Amendment activities.” Rhodes, 408 F.3d at 538. While the Court recognizes that the confiscation
9 and destruction of an inmates property may be sufficient to chill protected speech, some adverse
10 actions, even if they have the effect of chilling speech, are too trivial or minor to be actionable as a
11 violation of the First Amendment. Keenan v. Tejada 290 F.3d 252, 258 (5th Cir. 2002).

12 In this instance, Defendant Kavanaugh threw away burritos which were inside a potato chip
13 bag that Plaintiff had received from another inmate. Plaintiff has continued to file grievances, so this
14 did not chill the exercise of his First Amendment rights. Additionally, the act of throwing away
15 Plaintiff’s burritos falls short of incidents in which courts have found that an inmate has suffered
16 sufficient harm to allege a chilling of his speech. See Rhodes, 408 F.3d at 563, 568 n.11
17 (correctional officers arbitrarily confiscated, withheld, and eventually destroyed inmate’s typewriter,
18 threatened to transfer the inmate, and assaulted him in retaliation for filing grievances); Watison, 668
19 F.3d at 1115-16 (refusing to provide inmate with breakfast and threatening to hit inmate in mouth
20 for filing grievance); Silva, 658 F.3d at 1105 (confiscating inmates legal property and transferring
21 him to another prison); Hines, 108 F.3d at 269 (false accusation of rule violation); Pratt, 65 F.3d at
22 804 (transfer to another prison and double celling). Plaintiff has failed to show that he suffered more
23 than minimal harm which is insufficient to chill the First Amendment rights of a person of ordinary
24 firmness.

25 Plaintiff argues that he was not being physically disruptive so as to incite or jeopardize the
26 safety and security of the institution, and alleges that Defendant Kavanaugh threatened to pepper
27 spray him in retaliation for filing grievances. The Court declines to find that safety and security
28 concerns are only implicated by physically disruptive behavior. Courts have found that verbal

1 challenges or ranting at correctional staff are not within an inmate's First Amendment rights.
2 Johnson v. Carroll, No. 2:08-cv-01494 KJN P, 2012 WL 2069561, at *34 (E.D.Cal. June 7, 2012);
3 see Hale v. Scott, 371 F.3d 917, 919 (7th Cir. 2004) ("Prison regulations that forbid inmates to
4 behave insolently toward guards are constitutional."). A prisoner's verbal insubordination "is
5 proscribed by the legitimate goal of maintaining order and discipline within correctional
6 institutions." Johnson, No. 2:08-cv-01494 KJN P, 2012 WL 2069561, at *34. The California
7 Department of Corrections and Rehabilitation regulations prohibit such conduct. "Inmates, parolees
8 and employees will not openly display disrespect or contempt for others in a manner intended to or
9 reasonably likely to disrupt orderly operations within the institutions or reasonably likely to disrupt
10 orderly operations within the institutions or to incite or provoke violence." Cal. Code. Regs., tit. 15
11 § 3004(b). While written insolence contained in a grievance may be protected, the direct face to face
12 challenge by Plaintiff of Defendant Kavanaugh's inspection of the suspicious package "presents a
13 danger of a disturbance and a disruption to institutional order that a written grievance does not."
14 Nunez v. Ramirez, 2010 WL 1222058, at *5 (S.D.Cal. Mar. 24, 2010).

15 The evidence before the Court shows that Plaintiff was causing a disruption by arguing with
16 Defendant Kavanaugh and was ordered to return to his cell. (DUF Nos. 33, 34.) Defendant
17 Kavanaugh repeated the order to return to his cell, and Plaintiff adamantly refused. (DUF No. 34.)
18 Because Plaintiff was refusing to comply with the order, Defendant Kavanaugh informed him that
19 he would order all the inmates in the dayroom to a prone position if Plaintiff continued to refuse to
20 comply. (DUF No. 35.) Plaintiff states that he did not refuse to return to his cell, but asked
21 Defendant Kavanaugh what rule gave him the authority to confiscate his burritos. (ECF No. 47 at
22 ¶ 14.) Although Plaintiff states that he did not refuse to return to his cell, he did not obey the order
23 to return to his cell. Here, the threat to use pepper spray was in response to Plaintiff's failure to
24 comply with the orders to return to his cell due to the verbal altercation. Plaintiff has failed to
25 produce evidence to show that the threatened use of pepper spray was because of his protected
26 conduct.

27 Further, while Plaintiff asserts that the timing of this incident is circumstantial evidence of
28 Defendant Kavanaugh's retaliatory intent, Plaintiff filed his inmate appeal on September 11, 2008,

1 approximately two months prior to this incident. Although timing can be considered as
2 circumstantial evidence of retaliation, it alone is not sufficient when there is little else to support the
3 inference. Pratt, 65 F.3d at 808. Defendant Kavanaugh has presented sufficient evidence to rebut
4 the timing of this incident to Plaintiff's filing of a grievance. The Court finds that no genuine issue
5 as to any material fact exists, and Defendant Kavanaugh is entitled to summary adjudication on
6 Plaintiff's claim that Defendant Kavanaugh retaliated against him on November 9, 2008, by
7 confiscating and disposing of his burritos.

8 **b. August 26, 2008, Suspension From MAC**

9 Plaintiff alleges that Defendant Kavanaugh's suspension from the MAC for forcing open the
10 housing unit door was retaliatory. Due to its serious nature, the August 26, 2008, incident was
11 reported to Defendant Kavanaugh. (DUF No. 26.) Defendant Kavanaugh determined that Plaintiff's
12 action of forcing a door open to gain entry into a housing unit is a serious breach of institutional
13 security and a threat to staff safety. Defendant Kavanaugh prepared a notice to Plaintiff informing
14 him that the serious nature of his actions were good cause to temporarily suspend him from his
15 position as a housing representative to the MAC. (DUF No. 28.) Plaintiff's temporary suspension
16 from the MAC ended on October 28, 2008, and he was reassigned as a Facility 3A, Housing Unit
17 4 Mac representative. (DUF No. 29.)

18 Plaintiff states that he informed Defendant Kavanaugh on August 26, 2008, that Defendant
19 Garcia falsely reported that he had forced open the housing unit door to gain entry to the building
20 in retaliation for his filing a grievance against her. (ECF No. 1 at 13; ECF No. 47 at ¶ 13.)
21 Defendant Kavanaugh suspended Plaintiff from the MAC on the same date, finding his action of
22 forcing the door open to allow entry of himself and two other inmates unauthorized entry into the
23 housing unit was "a serious breach of institutional security and a threat to staff safety." (ECF No.
24 43-3 at 11.) In this instance, there is a factual dispute as to whether Plaintiff forced the door of the
25 housing unit open or whether the door was partially open enough for him to enter. While Defendant
26 Kavanaugh presents evidence that it is a serious breach of institutional safety and security to force
27 a door open to gain unauthorized entry to a housing unit, he fails to address Plaintiff's contention
28 that he did not force the door open, but it was open. While it appears that a legitimate correctional

1 goal could exist for inmates to not enter through a broken housing door that is partially open,
2 Defendant Kavanaugh failed to present any evidence regarding this issue. The Court finds that
3 Defendant Kavanaugh has failed to meet his burden and is not entitled to summary adjudication on
4 the claim that he suspended Plaintiff from the MAC on August 26, 2008.

5 **c. November 9, 2008, Suspension From MAC**

6 Following the incident on November 9, 2008, Defendant Kavanaugh again suspended
7 Plaintiff from his position as a MAC representative. (DUF No. 43.) In this instance, Plaintiff's
8 suspension from the MAC was based not only upon the allegation that Plaintiff had forced open the
9 door to the housing unit, but that Plaintiff had been charged with Disobeying a Direct Order for the
10 August 26, 2008, incident, and had again refused to follow Defendant Kavanaugh's direct orders to
11 return to his cell during inmate mass movement in the housing unit. Plaintiff had exhibit loud
12 disrespect in front of other inmates by yelling a vulgar expletive. Defendant Kavanaugh found that
13 Plaintiff's conduct posed a threat to the safety of the institution and are counterproductive to the best
14 interests and welfare of the general population. (ECF No. 43-3 at 32.) In evaluating a retaliation
15 claim, the court is to "'afford appropriate deference and flexibility' to prison officials in the
16 evaluation of proffered legitimate penological reasons for conduct alleged to be retaliatory." Pratt,
17 65 F.3d at 807 (citing Sandin v. Conner, 515 U.S. 472, 482, 115 S. Ct 2293, 2299 (1995)).

18 Plaintiff admits that he failed to comply with the orders of Defendant Garcia and other officers
19 on August 26, 2008, when he was ordered to exit the housing unit because the daily activity schedule
20 mandated that he was able to return to the housing unit when his work shift was over. (ECF No. 47
21 at ¶ 6.) Additionally, Plaintiff admits that he did not return to his cell when ordered to by Defendant
22 Kavanaugh on November 9, 2008, but asked what authority Defendant Kavanaugh had to confiscate
23 his burritos and yelled an expletive at Defendant Kavanaugh when he finally complied with the order.
24 (Id. at ¶ 3.) Plaintiff may not defeat Defendants' motion for summary adjudication by tendering his
25 own opinion that he did not have to obey the orders of Defendants or that Defendant Kavanaugh did
26 not have the authority to suspend him from the MAC. These are opinions rather than facts and are
27 inadmissible. Finally, Plaintiff does not dispute that he was suspended from the MAC for the rule
28 violation of Failing to Obey a Direct Order. (Id. at 2.)

1 Plaintiff has failed prove the absence of any legitimate correctional goals for the alleged
2 conduct. Pratt, 65 F.3d at 807. Plaintiff had been found guilty of a rule violation for failing to obey
3 the orders of correctional officers on August 26, 2008, and again failed to obey the direct order of
4 Defendant Kavanaugh on November 9, 2008. Further, the November 9, 2008, incident occurred after
5 the evening mess during mass movement of inmates, while Defendant Kavanaugh was attempting to
6 get Plaintiff to return to his cell to quell the situation. During the incident, Plaintiff yelled a vulgar
7 expletive at Defendant Kavanaugh in front of the other inmates.

8 The Court finds that Plaintiff has failed to dispute Defendant Kavanaugh’s evidence that
9 Plaintiff was suspended from his position as a MAC representative on November 9, 2008, because
10 of Plaintiff being found guilty of failing to comply with direct orders of correctional officers on
11 August 26, 2008, and causing a disturbance and failing to comply with the direct orders of
12 correctional officers on November 9, 2008. No genuine issue as to any material fact exists and
13 Defendant Kavanaugh is entitled to summary adjudication on the claim that Defendant Kavanaugh
14 suspended him as a MAC representative on November 9, 2008, in retaliation for filing grievances.

15 **6. Qualified Immunity**

16 The doctrine of qualified immunity protects government officials from civil liability where
17 “their conduct does not violate clearly established statutory or constitutional rights of which a
18 reasonable person would have known.” Pearson v. Callahan, 555 U.S. 223, 231, 129 S. Ct. 808, 815
19 (2009) (quoting Harlow v. Fitzgerald, 457 U.S. 800, 818, 102 S. Ct. 2727, 2738 (1982)). Qualified
20 immunity protects “all but the plainly incompetent or those who knowingly violate the law.” Ashcroft
21 v. al-Kidd, ___ U.S. ___, 131 S. Ct. 2074, 2085 (2011) (citations omitted). To determine if an official
22 is entitled to qualified immunity the court uses a two part inquiry. Saucier v. Katz, 533 U.S. 194, 200,
23 121 S. Ct. 2151, 2155 (2001) overruled in part by” Pearson v. Callahan, 555 U.S. 223, 129 S. Ct.
24 808. The court determines if the facts as alleged state a violation of a constitutional right and if the
25 right is clearly established so that a reasonable official would have known that his conduct was
26 unlawful. Ashcroft, 131 S. Ct. at 2083. This does not require that the same factual situation must
27 have been decided, but that existing precedent would establish the statutory or constitutional question
28 beyond debate. Id.; Nelson v. City of Davis, 685 F.3d 867, 884 (9th Cir. 2012). “The linchpin of

1 qualified immunity is the reasonableness of the official’s conduct.” Rosenbaum v. Washoe County,
2 654 F.3d 1001, 1006 (9th Cir. 2011).

3 The inquiry as to whether the right was clearly established is “solely a question of law for the
4 judge.” Dunn v. Castro, 621 F.3d 1196, 1199 (9th Cir. 2010) (quoting Tortu v. Las Vegas Metro.
5 Police Dep’t., 556 F.3d 1075, 1085 (9th Cir. 2009)). A district court is “permitted to exercise their
6 sound discretion in deciding which of the two prongs of the qualified immunity analysis should be
7 addressed first in light of the circumstances in the particular case at hand.” Pearson, 555 U.S. at 236,
8 129 S. Ct. at 818.

9 The right the official is alleged to have violated must be defined at the appropriate level of
10 specificity before the court can determine if it was clearly established. Dunn, 621 F.3d at 1200. At
11 issue here is an inmate’s right under the First Amendment to be free from retaliation due to filing
12 inmate grievances.

13 There is no need to consider the defense of qualified immunity with respect to the claims that
14 the Court has resolved in Defendants favor on summary judgment. Wilkie v. Robbins, 551 U.S. 537,
15 567 (2007). As to the remaining claims, Defendants Garcia and Kavanaugh are not entitled to
16 qualified immunity. Viewing the facts in the light most favorable to Plaintiff, Defendant Garcia
17 falsely reported that he forced open the housing unit door, and Defendant Kavanaugh suspended him
18 from the MAC because he filed inmate grievances. It is clearly established that prison officials may
19 not punish inmates by retaliatory conduct. Rhodes, 408 F.3d at 570; Bruce, 351 F.3d at 1290; Pratt,
20 65 F.3d at 806. Defendants motion for summary judgment on the grounds of qualified immunity
21 should be denied.

22 **V. Conclusion and Recommendation**

23 Based on the foregoing, IT IS HEREBY RECOMMENDED that Defendant’s motion for
24 summary judgment be GRANTED IN PART AND DENIED IN PART as follows:

- 25 1. Defendant Garcia’s motion for summary judgment for reporting that Plaintiff forced
26 open the housing unit door on August 26, 2008, be DENIED;
- 27 2. Defendant Garcia’s motion for summary judgment for refusing Plaintiff access to the
28 housing unit on October 14, 2008, be GRANTED;

