

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

PERRY ROBERT AVILA,
Plaintiff,
v.
MATTHEW CATE, et al.,
Defendants.

Case No. 1:09-cv-00918-LJO-SKO (PC)
FINDINGS AND RECOMMENDATIONS
RECOMMENDING DEFENDANTS'
MOTION FOR SUMMARY JUDGMENT BE
DENIED
(Doc. 22)
OBJECTION DEADLINE: FIFTEEN DAYS
RESPONSE DEADLINE: FIFTEEN DAYS

I. Background

Plaintiff Perry Robert Avila (“Plaintiff”), a state prisoner proceeding pro se and in forma pauperis, filed this civil rights action pursuant to 42 U.S.C. § 1983 on May 26, 2009. This action is proceeding against Defendants Sullivan, Gonzalez, Meadors, Jones, and Peterson (“Defendants”) for violation of the Equal Protection Clause of the Fourteenth Amendment. (Docs. 1, 8.) Plaintiff’s claim arises out of allegedly race-based lock-downs in 2007 at California Correctional Institution (“CCI”) in Tehachapi, California.

Defendants filed a motion for summary judgment on March 7, 2011, seeking judgment on the merits and on the ground of qualified immunity. (Doc. 22.) Plaintiff was granted a continuance pursuant to Rule 56(d) and after a protracted discovery phase, he filed his opposition

1 on July 27, 2015.¹ Fed. R. Civ. P. 56(d). (Doc. 100.) Defendants filed their reply and objections
2 on August 18, 2015, and their motion has been submitted on the record without oral argument.
3 Local Rule 230(l). (Docs. 104, 105.) For the reasons that follow, the Court finds that Defendants
4 have not met their initial burden as the moving parties and it recommends that their motion be
5 denied.

6 **II. Summary Judgment Standard**

7 Any party may move for summary judgment, and the Court shall grant summary judgment
8 if the movant shows that there is no genuine dispute as to any material fact and the movant is
9 entitled to judgment as a matter of law. Fed. R. Civ. P. 56(a) (quotation marks omitted);
10 *Washington Mut. Inc. v. U.S.*, 636 F.3d 1207, 1216 (9th Cir. 2011). Each party’s position, whether
11 a fact is disputed or undisputed, must be supported by (1) citing to particular parts of materials in
12 the record, including but not limited to depositions, documents, declarations, or discovery; or (2)
13 showing that the materials cited do not establish the presence or absence of a genuine dispute or
14 that the opposing party cannot produce admissible evidence to support the fact. Fed. R. Civ. P.
15 56(c)(1) (quotation marks omitted). The Court may consider other materials in the record not
16 cited to by the parties, although it is not required to do so. Fed. R. Civ. P. 56(c)(3); *Carmen v. San*
17 *Francisco Unified Sch. Dist.*, 237 F.3d 1026, 1031 (9th Cir. 2001); *accord Simmons v. Navajo*
18 *Cnty., Ariz.*, 609 F.3d 1011, 1017 (9th Cir. 2010).

19 Defendants do not bear the burden of proof at trial and in moving for summary judgment,
20 they need only prove an absence of evidence to support Plaintiff’s case. *In re Oracle Corp. Sec.*
21 *Litig.*, 627 F.3d 376, 387 (9th Cir. 2010) (citing *Celotex Corp. v. Catrett*, 477 U.S. 317, 323, 106
22 S.Ct. 2548 (1986)). If Defendants meet their initial burden, the burden then shifts to Plaintiff “to
23 designate specific facts demonstrating the existence of genuine issues for trial.” *In re Oracle*
24 *Corp.*, 627 F.3d at 387 (citing *Celotex Corp.*, 477 U.S. at 323). This requires Plaintiff to “show
25

26 ¹ Defendants neglected to serve Plaintiff with a *Rand* notice. *Woods v. Carey*, 684 F.3d 934, 939-41 (9th Cir. 2012);
27 *Rand v. Rowland*, 154 F.3d 952, 960-61 (9th Cir. 1998). The Court notes that Plaintiff’s position is thorough and
28 competent. Regardless, given the Court’s determination that Defendants failed to meet their initial burden on
summary judgment, the Court declines to further delay this case for the purpose of curing a deficiency that is
ultimately immaterial. *See Labatad v. Corrs. Corp. of America*, 714 F.3d 1155, 1159-60 (9th Cir. 2013).

1 more than the mere existence of a scintilla of evidence.” *Id.* (citing *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 252, 106 S.Ct. 2505 (1986)).

2
3 However, in judging the evidence at the summary judgment stage, the Court may not make
4 credibility determinations or weigh conflicting evidence, *Soremekun v. Thrifty Payless, Inc.*, 509
5 F.3d 978, 984 (9th Cir. 2007) (quotation marks and citation omitted), and it must draw all
6 inferences in the light most favorable to the nonmoving party and determine whether a genuine
7 issue of material fact precludes entry of judgment, *Comite de Jornaleros de Redondo Beach v.*
8 *City of Redondo Beach*, 657 F.3d 936, 942 (9th Cir. 2011) (quotation marks and citation omitted).
9 The Court determines *only* whether there is a genuine issue for trial and in doing so, it must
10 liberally construe Plaintiff’s filings because he is a pro se prisoner. *Thomas v. Ponder*, 611 F.3d
11 1144, 1150 (9th Cir. 2010) (quotation marks and citations omitted).

12 **III. Discussion**

13 **A. Plaintiff’s Equal Protection Claims**

14 **1. Legal Standard Governing Race-Based Prison Classifications**

15 At issue in this action are three lock-downs, or modified programs, which affected
16 Hispanic inmates on Facility IV at CCI.² Plaintiff alleges that the lock-downs, which were
17 initiated in response to disturbances or threats at CCI, were based on race and violated the Equal
18 Protection Clause’s mandate that persons who are similarly situated be treated alike. *City of*
19 *Cleburne v. Cleburne Living Center, Inc.*, 473 U.S. 432, 439, 105 S.Ct. 3249 (1985); *Hartmann v.*
20 *California Dep’t of Corr. & Rehab.*, 707 F.3d 1114, 1123 (9th Cir. 2013); *Furnace v. Sullivan*,
21 705 F.3d 1021, 1030 (9th Cir. 2013); *Shakur v. Schriro*, 514 F.3d 878, 891 (9th Cir. 2008).

22 Express racial classifications such as those at issue in this case are immediately suspect
23 and are subject to strict scrutiny, with the government bearing the burden of proving that the
24 classification was narrowly tailored to serve a compelling government interest. *Johnson v.*
25 *California*, 543 U.S. 499, 505, 125 S.Ct. 1141 (2005) (citing *Adarand Constructors, Inc., v. Pena*,
26 515 U.S. 200, 227, 115 S.Ct. 2097 (1995)) (quotation marks omitted). Alleged racial violence in
27 the prison setting is no shield from the strict scrutiny standard, *Johnson*, 543 U.S. at 507, and

28 _____
² The terms lock-down and modified program are used interchangeably.

1 prison officials are not entitled to broad deference as to the requirement that classifications be
2 narrowly tailored, *Harrington v. Scribner*, 785 F.3d 1299, 1308 (9th Cir. 2015). Rather, “[t]he
3 necessities of prison security and discipline are a compelling government interest justifying only
4 those uses of race that are narrowly tailored to address those necessities.” *Harrington*, 785 F.3d at
5 1308 (citing *Johnson*, 543 U.S. at 512) (internal quotation marks omitted). “Such interests
6 properly inform whether there exists a compelling interest, but they do not excuse the narrow
7 tailoring requirement.” *Id.* When an express racial classification is challenged, prison officials
8 must “show that reasonable men and women could not differ regarding the necessity of a racial
9 classification in response to a prison disturbance and that the racial classification was the least
10 restrictive alternative (i.e., that any race-based policies are narrowly tailored to legitimate prison
11 goals).” *Richardson v. Runnels*, 594 F.3d 666, 671 (9th Cir. 2010). Therefore, for Defendants to
12 meet their initial burden in this case, they must show that the decisions to keep all Hispanic
13 inmates locked down on Facility IVA were narrowly tailored to address the specific security
14 threats that led to the lock-downs. *Richardson*, 594 F.3d at 671-72.

15 **2. Defendants’ Statement of Facts**³

16 Defendants argue that the three lock-downs at issue began as general modified programs
17 and were narrowed to Hispanic inmates after correctional staff determined that only Hispanic
18 inmates were involved and either posed or faced a potential security risk. In support of their
19 motion, Defendants offer the following facts.

20 **a. First Modified Program – December 5, 2006**

21 Facility IVA at CCI houses security level IV inmates, and level IV inmates are the most
22 violent and dangerous inmates housed within the California Department of Corrections and
23 Rehabilitation (“CDCR”). On December 5, 2006, several Hispanic inmates battered another
24 Hispanic inmate with an inmate-manufactured weapon on the Facility IVA recreation yard. While
25 recalling the recreation yard, a second Hispanic inmate was found with injuries consistent with
26

27
28 ³ Because Defendants bear the initial burden of production and the Court determines herein that they did not meet that burden, the Court does not reach which of these specific facts have been brought into dispute by Plaintiff.

1 being a victim of a battery. Correctional staff subsequently conducted a search of the yard and
2 found several inmate-manufactured weapons.

3 A large scale inmate assault followed by the discovery of several inmate-manufactured
4 weapons caused great concern to Defendant Sullivan, the Warden at CCI, because it meant that
5 further attacks could follow, and that the safety and security of the inmates and staff were in great
6 danger.⁴ Defendant Sullivan placed Facility IVA on a modified program that day, and except for
7 medical and legal necessities, all inmates were restricted to their cells so that staff could
8 investigate the cause of the violent incident and determine whether a greater security threat to
9 inmates or staff existed.

10 On January 2, 2007, it was determined through staff investigations that only Hispanic
11 inmates were involved in the December 5, 2006, incident. Because no other races were involved,
12 Defendant Sullivan released non-Hispanic inmates from the modified program. However, because
13 a potential threat to Hispanic inmates and staff still existed, Defendant Sullivan kept Hispanic
14 inmates on modified program. This allowed staff to focus their investigation on Hispanic inmates
15 so that they could resolve any potential safety issues effectively and in a timely fashion.

16 **b. Second Modified Program – May 21, 2007**

17 On May 21, 2007, staff received an anonymous note in the institutional mail indicating that
18 inmates were planning to attack staff. That day, Defendant Gonzalez, who was Acting Warden at
19 CCI, placed all inmates in Facility IVA on modified program, which resulted in their confinement
20 to their cells. Correctional staff immediately began conducting cell searches and interviews to
21 determine the validity of the threat against staff.

22 By June 25, 2007, staff investigations had revealed that the threat only applied to Hispanic
23 inmates and any inmates known to associate with the Southern Hispanic prison gang. Defendant
24 Gonzalez subsequently ended the modified program except for all non-Hispanic inmates and those
25 inmates known to associate with the Southern Hispanic prison gang, enabling correctional staff to
26
27

28 ⁴ Plaintiff disputes that the incident was a “large scale inmate assault,” and the specific facts set forth in the relevant memoranda and Program Status Report undercut Defendant Sullivan’s description of this event as “large scale.”

1 efficiently and effectively focus their investigation efforts on finding the source of the threat and a
2 likelihood of a continuing threat to inmates and staff.

3 **c. Third Modified Program – August 4, 2007**

4 On August 4, 2007, an attempted murder took place on the Facility IVA yard. Due to the
5 seriousness of the incident, Defendant Sullivan placed all Facility IVA inmates on modified
6 program so that staff could investigate the incident further. On August 13, 2007, staff
7 investigations revealed that only Hispanics were involved in the attempted murder.

8 An attempted murder by one race against the same race was of great concern to Defendant
9 Sullivan because it indicated the existence of a potential power struggle among that inmate group,
10 or that the victim was targeted to be attacked by the leader of that race and more attacks were
11 imminent. Attempted murders by one race against the same race often lead to further attacks.
12 Correctional staff therefore needed to isolate and focus their investigation efforts on Hispanic
13 inmates to properly determine if any further threat to Hispanic inmates or to the institution existed.
14 Because no other races were involved, Defendant Sullivan released non-Hispanic inmates from the
15 modified program.

16 **3. Findings**

17 To meet their initial burden on summary judgment, Defendants must show that the lock-
18 downs of all Hispanic inmates on Facility IVA were narrowly tailored to further compelling
19 government interests. There is no question that restoring and maintaining institutional safety and
20 security in the face of violence or threats are compelling government interests, *Harrington*, 785
21 F.3d at 1308 (citing *Johnson*, 543 U.S. at 512), but the decision to keep Hispanic inmates locked
22 down after the release of other races must have been necessary and the least restrictive means of
23 preserving or maintaining institutional security, *Richardson*, 594 F.3d at 671. Consistent with the
24 Supreme Court's admonition in *Johnson* regarding narrow tailoring, the Ninth Circuit has flatly
25 rejected the lock-down of one race based on the actions of a few inmates of that race, in the
26 absence of evidence demonstrating the existence of a risk posed by all members of that race.
27 *Richardson*, 594 F.3d at 671-72.

28 ///

1 **a. Modified Program Initiated on December 5, 2006**

2 The modified program that began on December 5, 2006, was the result of an attack on one
3 inmate and during the course of recalling the yard, a second inmate was discovered to have
4 injuries consistent with being a victim of a battery. (Doc. 22-3, Sullivan Decl., ¶¶2, 3, Ex. A.)
5 The modified program was subsequently ended for non-Hispanic inmates on or around January 2,
6 2007. (*Id.*, Sullivan Decl., ¶¶12, 13, Ex. A.) Defendants do not provide evidence of when the
7 modified program ended for Hispanic inmates, but it continued for some period of time beyond
8 January 2, 2007, despite the determination that there was “no information to indicate that other
9 Hispanic inmates on Unit IVA may be planning future attacks.”⁵ (*Id.*)

10 Defendant Sullivan attested that he kept Hispanic inmates on modified program because a
11 potential threat still existed and the modified program allowed prison officials to focus their
12 investigation on Hispanic inmates. This is precisely the sort of broad race-based justification,
13 however, that runs afoul of the Equal Protection Clause. The months-long lock-down of all
14 Hispanic inmates following an incident involving approximately six inmates cannot be justified by
15 reliance on vague, general statements regarding a potential threat involving Hispanic inmates.⁶
16 *Richardson*, 594 F.3d at 671-72. Even more problematic, the Program Status Report (“PSR”)
17 contradicts the continued existence of any threat posed by Hispanic inmates. Accordingly, the
18 Court finds that Defendants failed to meet their burden of demonstrating that the race-based lock-
19 down of only Hispanic inmates was narrowly tailored to address any continuing security threat
20 posed by the initial battery incident on December 5, 2006. *Id.*

21 **b. Modified Program Initiated on May 21, 2007**

22 Next, on May 21, 2007, staff received an anonymous note in the institutional mail
23 identifying inmates as planning to assault staff. (Gonzalez Decl., ¶3, Ex. C.) Defendant Gonzalez
24 placed Facility IVA on modified program, and several weapons were recovered. (*Id.*, ¶4, Ex. C.)
25 By June 25, 2007, staff determined that the threat applied to Hispanic inmates and any inmates

26 _____
27 ⁵ Plaintiff’s evidence indicates that the modified program ended on or around February 20, 2007. (Doc. 100, Opp.,
Ex. 1, p. 50.)

28 ⁶ Plaintiff submitted a memorandum dated December 7, 2006, that described the incident in more detail and
Defendants agree that as many as six inmates were involved. (Doc. 100, Ex. 1, p. 39; Doc. 105, Def. Obj., p. 2.)

1 known to associate with the Southern Hispanic prison gang. (*Id.*, Gonzalez Decl., ¶6.) Although,
2 Defendants did not provide evidence of when the modified program ended, Plaintiff’s evidence
3 includes a PSR dated August 8, 2007, in which Defendant Sullivan noted that a “methodical return
4 to normal program began on 07-26-07” and he recommended that the modified program beginning
5 on May 21, 2007, be closed. (Doc. 100, Ex. 3, p. 83.) In the PSR, Defendant Sullivan noted that
6 the last evidence that a threat against staff existed was on June 23, 2007, and the involved inmates
7 had been placed in administrative segregation. (*Id.*)

8 The discovery of a plot to assault correctional officers is a serious threat to institutional
9 safety and security, but the receipt of a note that specifically identified the seven inmates involved
10 in the plot, and which led to the apparent and immediate identification of eleven involved inmates,
11 cannot be used to justify the months-long modified program affecting all Hispanic inmates and
12 inmates associated with Southern Hispanics.⁷ *Richardson*, 594 F.3d at 671-72. To the extent
13 there may have been more detailed reasoning underlying the lock-down of all Hispanic inmates, it
14 has not been provided and the Court finds that Defendants failed to meet their initial burden as to
15 the May 21, 2007, modified program. *Id.*

16 **c. Modified Program Initiated on August 4, 2007**

17 Finally, on August 4, 2007, an attempted murder occurred on the yard involving Hispanic
18 inmates. (Sullivan Decl., ¶18, Ex. B.) Prison officials found no information indicating plans for a
19 future attack by Hispanic inmates, and as of August 16, 2007, black, white, and “other” inmates
20 were returned to normal program and Defendant Sullivan ordered a gradual return to normal
21 program for Hispanic inmates. (*Id.*) Defendants did not address when the modified program
22 ended for Hispanic inmates but it appears to have been mid-September 2007. (Doc. 100, Ex. 4, p.
23 100.)

24 Defendants again failed to meet their burden as the parties moving for summary judgment.
25 The attempted murder of an inmate is a serious matter but Defendants must produce evidence that
26 the lock-down of Hispanic inmates over the course of approximately six weeks was narrowly
27

28 ⁷ (Doc. 100, Ex. 3, pp. 68-9.)

1 tailored to address a specific threat to institutional safety and security. *Richardson*, 594 F.3d at
2 671-72. They have not done so. *Id.*

3 **B. Linkage Deficiency as to Claims Against Defendants Meadors, Jones, and**
4 **Peterson**

5 Notwithstanding Defendants' failure to meet their initial burden as to the merits of
6 Plaintiff's equal protection claims, the lack of a link, or causal connection, between each
7 defendant's actions or omissions and the alleged violation of Plaintiff's federal rights is fatal to his
8 claims. *Lemire v. California Dep't of Corr. and Rehab.*, 726 F.3d 1062, 1074-75 (9th Cir. 2013);
9 *Starr v. Baca*, 652 F.3d 1202, 1205-08 (9th Cir. 2011). Defendants Meadors, Jones, and Peterson
10 move for summary judgment on the ground that as lieutenants in Facility IVA during the relevant
11 time period, they were not responsible for beginning, ending or altering a modified program.

12 Plaintiff does not dispute that Defendants Meadors, Jones, and Peterson were lieutenants,
13 but he contends that while PSRs require the warden's approval, they are prepared by the
14 lieutenants, who are involved in the decision making process and in the actions taken. Plaintiff
15 contends that Defendants Meadors, Jones, and Peterson all signed PSRs and/or threat assessment
16 memoranda, and they were participants in the modified program decisions.

17 In response, Defendants argue that their involvement in investigating security threats and
18 reporting to the warden about them, or in preparing the PSRs, does not make them responsible for
19 the warden's final decision to modify programs.

20 Just as supervisors may not avoid liability for actions of which they were aware but did not
21 directly participate in and bystanders may not avoid liability for actions they witnessed but did not
22 directly participate in, subordinate staff members may not necessarily avoid liability simply
23 because they were not the final decision makers. *Starr*, 652 F.3d at 1205-08; *Simmons*, 609 F.3d
24 at 1020-21; *Motley v. Parks*, 383 F.3d 1058, 1071 (9th Cir. 2004); *Lolli v. Cnty. of Orange*, 351
25 F.3d 410, 418 (9th Cir. 2003); *Robins v. Meecham*, 60 F.3d 1436, 1442 (9th Cir. 1995). Section
26 1983 provides redress for the violation of federal rights and the inquiry focuses on the presence or
27 absence of a connection between the violation and each named individual, title notwithstanding.
28 *Simmons*, 609 F.3d at 1020-21. Here, although Defendants Meadors, Jones, and Peterson were not

1 the final official decision makers in terms of having the authority to provide final approval by
2 signature, they were involved in the process and not merely peripherally so. Defendants were
3 involved in the underlying investigation, in making recommendations, and in preparing the PSRs
4 for signature; and the fact that they did not have the final signing approval is not a shield against
5 liability for their role in the process that resulted in the race-based lock-downs of Hispanic
6 inmates.⁸ Accordingly, the Court finds that Defendants Meadors, Jones, and Peterson are not
7 entitled to judgment on the ground that there is no causal connection between their actions and the
8 violation of Plaintiff's right to equal protection.

9 **C. Qualified Immunity**

10 Finally, Defendants move for judgment on qualified immunity grounds. Qualified
11 immunity is "immunity from suit rather than a mere defense to liability; and like an absolute
12 immunity, it is effectively lost if a case is erroneously permitted to go to trial." *Mueller v. Aufer*,
13 576 F.3d 979, 993 (9th Cir. 2009) (citation and internal quotations omitted). Qualified immunity
14 shields government officials from civil damages unless their conduct violates "clearly established
15 statutory or constitutional rights of which a reasonable person would have known." *Harlow v.*
16 *Fitzgerald*, 457 U.S. 800, 818, 102 S.Ct. 2727 (1982). "Qualified immunity balances two
17 important interests - the need to hold public officials accountable when they exercise power
18 irresponsibly and the need to shield officials from harassment, distraction, and liability when they
19 perform their duties reasonably," *Pearson v. Callahan*, 555 U.S. 223, 231, 129 S.Ct. 808 (2009),
20 and it protects "all but the plainly incompetent or those who knowingly violate the law," *Malley v.*
21 *Briggs*, 475 U.S. 335, 341, 106 S.Ct. 1092 (1986).

22 In resolving the claim of qualified immunity, the Court must determine whether, taken in
23 the light most favorable to Plaintiff, Defendants' conduct violated a constitutional right, and if so,
24 whether the right was clearly established. *Saucier v. Katz*, 533 U.S. 194, 201, 121 S.Ct. 2151
25 (2001); *Mueller*, 576 F.3d at 993. While often beneficial to address in that order, the Court has
26 discretion to address the two-step inquiry in the order it deems most suitable under the

27
28 ⁸ Given the PSRs and memoranda bearing Defendants Meadors, Jones, and Peterson's signatures, contained in Plaintiff's Exhibits 1, 3, and 4, any argument to the contrary lacks merit.

1 circumstances. *Pearson*, 555 U.S. at 236 (overruling holding in *Saucier* that the two-step inquiry
2 must be conducted in that order, and the second step is reached only if the court first finds a
3 constitutional violation); *Mueller*, 576 F.3d at 993-94.

4 **1. Constitutional Violation**

5 The constitutional right at issue in this case is the right to be free from discrimination
6 based on race, *Johnson*, 543 U.S. at 509-15; *Richardson*, 594 F.3d at 671, and there is no dispute
7 that the lock-downs at issue affected Hispanic inmates based on their race. Defendants argue that
8 they did not violate Plaintiff's constitutional rights because they have shown that their actions
9 were narrowly tailored to address a compelling government interest. This argument fails,
10 however, because Defendant did not meet their initial burden of producing evidence
11 demonstrating that the lock-down of Hispanic inmates was narrowly tailored to address the
12 specific security threats they faced on December 5, 2006, May 21, 2007, and August 4, 2007.
13 *Richardson*, 594 F.3d at 671. Decisions affecting all Hispanic inmates on Facility IVA cannot be
14 justified under the Equal Protection Clause on the basis of the actions by a few, and that is all
15 Defendants have shown. *Id.* Given Plaintiff's right to be free from being treated differently than
16 other similarly situated inmates based on his race, the need for race-based classifications to be
17 analyzed under the strict scrutiny standard, and Defendants' failure to produce evidence that the
18 race-based classification was narrowly tailored to the threat faced, Plaintiff has shown a violation
19 of his rights under the Equal Protection Clause. *Id.*

20 **2. Clearly Established Right**

21 Next, “[f]or a constitutional right to be clearly established, its contours must be sufficiently
22 clear that a reasonable officer would understand that what he is doing violates that right.” *Hope v.*
23 *Pelzer*, 536 U.S. 730, 739, 122 S.Ct. 2508 (2002). While the reasonableness inquiry may not be
24 undertaken as a broad, general proposition, neither is official action entitled to protection “unless
25 the very action in question has previously been held unlawful.” *Hope*, 536 U. S. at 739.
26 “Specificity only requires that the unlawfulness be apparent under preexisting law,” *Clement v.*
27 *Gomez*, 298 F.3d 898, 906 (9th Cir. 2002) (citation omitted), and prison personnel “can still be on
28 notice that their conduct violates established law even in novel factual circumstances,” *Hope*, 536

1 U.S. at 741. Nor does the existence of material factual disputes necessarily preclude a finding of
2 qualified immunity. *Estate of Ford*, 301 F.3d at 1053. “Qualified immunity gives government
3 officials breathing room to make reasonable but mistaken judgments about open legal questions,”
4 *Ashcroft v. al-Kidd*, ___ U.S. ___, ___, 131 S.Ct. 2074, 2085 (2011).

5 In February 2005, the United States Supreme Court issued its decision in *Johnson v.*
6 *California*, a case that involved an equal protection challenge to a race-based CDCR policy.
7 Citing a 1995 case, the Supreme Court reiterated its prior holding that “all racial classifications”
8 are subject to strict scrutiny, and the government must prove that any racial classification is
9 “narrowly tailored measures that further compelling governmental interests.” *Johnson*, 543 U.S.
10 at 505 (citing *Adarand Constructors, Inc.*, 515 U.S. at 227) (emphasis in original). The Supreme
11 Court expressly rejected CDCR’s argument that the deferential *Turner* standard should apply to
12 prison policies.⁹ *Johnson*, 543 U.S. at 509-15. The Supreme Court issued this decision almost two
13 years before the first modified program at issue in this case, and any argument that the law was not
14 sufficiently clear to place reasonable prison officials on notice that the Equal Protection Clause
15 required that race-based policies or decisions be narrowly tailored to further a compelling
16 government interest is untenable. In as much as Defendants have not produced evidence
17 demonstrating that the race-based lock-downs of all Hispanic inmates were narrowly tailored to
18 address the security threats that gave rise to the initial lock-downs of Facility IVA, the Court finds
19 that Defendants are not entitled to qualified immunity based on the evidence presented by
20 Defendants.

21 **IV. Recommendation**

22 Based on the foregoing, the Court finds that Defendants failed to meet their burden as the
23 parties moving for summary judgment and it HEREBY RECOMMENDS that their motion for
24 summary judgment, filed on March 7, 2011, be DENIED.

25 ///

26
27 ⁹ Under the *Turner* test, an impingement on an inmate’s constitutional rights will be upheld “if it is reasonably related
28 to legitimate penological interests.” *Shakur*, 514 F.3d at 884-85 (quoting *Turner v. Safley*, 482 U.S. 78, 89, 107 S.Ct.
2254 (1987)).

1 These Findings and Recommendations will be submitted to the United States District
2 Judge assigned to the case, pursuant to the provisions of Title 28 U.S.C. § 636(b)(1). Within
3 **fifteen (15) days** after being served with these Findings and Recommendations, the parties may
4 file written objections with the Court. Local Rule 304(b). The document should be captioned
5 “Objections to Magistrate Judge’s Findings and Recommendations.” Responses, if any, are due
6 within **fifteen (15) days** from the date the objections are filed. Local Rule 304(d). The parties are
7 advised that failure to file objections within the specified time may result in the waiver of rights on
8 appeal. *Wilkerson v. Wheeler*, 772 F.3d 834, 838-39 (9th Cir. 2014) (citing *Baxter v. Sullivan*, 923
9 F.2d 1391, 1394 (9th Cir. 1991)).

10
11 IT IS SO ORDERED.

12 Dated: November 20, 2015

/s/ Sheila K. Oberto
UNITED STATES MAGISTRATE JUDGE

13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28