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**UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA**

MICHAEL ALAN YOCOM,

1:09-cv-01150-SMS (HC)

Petitioner,

ORDER DENYING PETITION FOR WRIT OF  
HABEAS CORPUS, DIRECTING CLERK OF  
COURT TO ENTER JUDGMENT IN FAVOR  
OF RESPONDENT, AND DECLINING TO  
ISSUE A CERTIFICATE OF APPEALABILITY

v.

RANDY GROUNDS,

[Doc. 1]

Respondent.

\_\_\_\_\_ /

Petitioner is a state prisoner proceeding pro se with a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254.<sup>1</sup> Pursuant to 28 U.S.C. § 636(c)(1), the parties have consented to the jurisdiction of the United States Magistrate Judge. Local Rule 305(b).

RELEVANT HISTORY<sup>2</sup>

Following a plea of no contest and a jury’s determination that Petitioner was legally sane at the time of the crimes, Petitioner was convicted of grand theft (Cal. Penal Code<sup>3</sup> § 487(a)), receiving stolen property (§ 496(a)), and evading a police officer (Cal. Veh. Code § 2800.2(a)). Petitioner was sentenced to state prison for five years and four months. Petitioner appealed to the

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<sup>1</sup> Respondent’s counsel submits that Randy Grounds is the current warden at the Correctional Training Facility where Petitioner is currently in custody. Therefore, the Court grants Respondent’s request to substitute Randy Grounds as Respondent in place of Ben Curry. See Fed. R. Civ. P. 25(d)(1); Brittingham v. United States, 982 F.2d 378, 379 (9th Cir. 1992).

<sup>2</sup> This information is derived from the state court documents lodged by Respondent on June 22, 2010.

<sup>3</sup> All further statutory references are to the California Penal Code unless otherwise indicated.

1 California Court of Appeal, Fifth Appellate District. The Court of Appeal reversed the receiving  
2 stolen property conviction and corrected the sentence accordingly.<sup>4</sup> The judgment was affirmed  
3 in all other respects. On May 12, 2010, the California Supreme Court denied Petitioner's petition  
4 for review.

5 On February 20, 2009, Petitioner filed a petition for writ of habeas corpus in the  
6 California Supreme Court. The petition was denied on July 22, 2009, with citation to In re  
7 Dixon, 41 Cal.2d 756 (1953).

8 Petitioner filed the instant federal petition for writ of habeas corpus on July 1, 2009.  
9 Respondent filed an answer to the petition on June 22, 2010, and Petitioner filed a traverse on  
10 July 14, 2010.

#### 11 STATEMENT OF FACTS<sup>5</sup>

##### 12 I. Prosecution's Case

13 Between about 11:00 and 11:30 p.m. on March 6, 2008, various people in  
14 Tulare saw and heard defendant outside their houses.

##### 15 A. Tamra

16 Tamra heard noises in her yard. When she turned on her lights, she heard  
17 someone running. She found her gate lock broken, a picket of her fence broken  
18 off, and a water hose cart unhooked and moved.

##### 19 B. Nick

20 Tamra's next-door neighbor, Nick, heard a noise outside. He saw a small  
21 pickup parked with its lights off in the middle of the street, right next to his truck,  
22 and he called the police. He saw a man walk from the truck to the side of the  
23 house. Nick heard a loud noise then saw the man run back to his truck and drive  
24 away with the lights off.

##### 25 C. Chelsea and Ron

26 Chelsea was at Ron's house. She heard the dogs barking while Ron was  
27 sleeping, so she went outside. She saw defendant standing near a small pickup,  
28 which was near to Ron's truck. She asked defendant what he was doing. He  
looked directly at her with surprise and tossed something into his truck. He  
walked around the truck, got in and left. Chelsea ran into the house. Ron came

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<sup>4</sup> The length of the sentence remained the same.

<sup>5</sup> The Court finds the Court of Appeal correctly summarized the facts in its January 27, 2010 opinion. (Lod. Doc. 4.) Thus, the Court adopts the factual recitations set forth by the California Court of Appeal, Fifth Appellate District.

1 outside and saw that his hydraulic puller (worth about \$200) had been taken out of  
2 his truck bed. The entire encased set was gone, although some of the pieces had  
fallen on the ground.

### 3 D. Brian

4 Brian was not awakened that night, but the next morning he discovered his  
5 gate was open and a weed eater (worth \$150 to \$200), a leaf blower (worth about  
6 \$110), a set of barbecue utensils (worth about \$25), and a rack for a Jeep (worth  
about \$50) were missing.

### 7 E. Corey, Curtis and Mark

8 Corey noticed a small pickup in front of his house and told his older  
9 brother, Curtis. Curtis told him to go outside and investigate. When Corey noticed  
10 a cut chain and lock and saw chain cutters on the ground, he ran back inside to get  
11 Curtis. Curtis noticed that their neighbor, Jim, had turned on his outside light. As  
12 the brothers stood in the front yard, they saw defendant crossing Jim's lawn,  
13 carrying a gas can toward the truck. Curtis cursed at defendant and scared him. As  
the brothers moved toward him, he put the gas can down and started to run away.  
14 After several steps, he stopped and pulled out a sharp object, and charged toward  
15 the brothers. At this point, the brothers backed away. Defendant mumbled at them  
16 and used foul language. He got in the truck, made a U-turn, and drove away with  
17 the lights off.

18 Curtis went inside and woke up their father, Mark. Curtis told him  
19 someone had tried to rob them. When they heard Corey yelling that defendant was  
20 back, they went outside. Defendant's truck, still with the lights off, had stalled in  
21 front of the house and he was struggling to start it. Curtis told Mark, "That's the  
22 guy, dad ." Mark went to the truck, opened the door, and tried to pull defendant  
23 out. Defendant resisted him, so Mark punched him two or three times. Defendant  
24 turned, looked at Mark, and said, "I'll cut you mother fucker." His eyes were big  
25 and focused and he spoke rapidly and directly. Mark tried to reach past defendant  
26 to get his keys, but he could not find them. Defendant got the truck in reverse and  
27 stepped on the gas, forcing Mark to run as fast as he could while trapped between  
28 the open door and the cab. Mark ran for 20 or 30 feet until he could move away  
from the truck. Defendant continued driving away in reverse.

### 20 F. Jim

21 Meanwhile, Jim heard a noise in his backyard, where he had two unlocked  
22 sheds, and his dog was acting strangely. Jim turned on the light and looked  
23 outside. He saw someone standing near his sheds. By the time Jim could open the  
24 sliding glass door, the person had left down the side of the yard. The sheds were  
25 open, some things had been pulled out, and a high pressure washer, worth \$199,  
26 was missing. Jim later found the pressure washer on the side of his house, next to  
27 the unlocked gate. Jim went to his front yard and saw his neighbor, Corey,  
28 walking toward him with his gas can.

### 26 G. Law Enforcement

27 Officer Lopez received a dispatch regarding several burglaries in the  
28 neighborhood. Witnesses had described the truck and provided a partial license  
plate number. Lopez spotted a matching truck pull into the Fosters Freeze parking  
lot and turn its lights off. Lopez entered the lot from the opposite direction and the

1 two vehicles met facing each other. Lopez activated the overhead lights of his  
2 marked patrol vehicle. Defendant stopped the truck five to ten feet from the patrol  
3 vehicle.<sup>FN3</sup> As Lopez started to get out of the patrol vehicle, defendant put his  
4 truck in reverse and quickly backed away. As he did, he ran solidly into a tree,  
5 causing things to fly out of his truck bed. He put the truck into gear, his wheels  
6 screeching as he accelerated, and drove straight toward Lopez. As Lopez sat back  
7 down in the patrol vehicle, he thought defendant was going to hit him. At the last  
8 moment, defendant veered around the patrol vehicle, missing it by about one foot,  
9 and left the lot.

6 FN3. Lopez had had contact with defendant twice within the previous few  
7 months. Lopez made a U-turn, turned on his siren, and initiated his pursuit  
8 of defendant. Detective Jones and Officer Medina approached in another  
9 marked patrol vehicle as the two vehicles sped out of the parking lot.  
10 Medina activated the lights and siren and joined the pursuit through a  
11 residential neighborhood. Defendant drove onto a yard, spun out, and  
12 stalled. As the officers got out of their patrol vehicles and started to  
13 approach, defendant got the truck started and took off. The officers  
14 followed. After a wheel came off the truck, defendant drove into a field.  
15 He jumped out and ran toward State Route 99 (the freeway). The officers  
16 followed defendant over a fence and toward the freeway. Defendant ran  
17 down the freeway embankment and across the northbound lanes of the  
18 freeway, almost getting hit by a car in the fast lane. He stopped in the  
19 median in the oleander bushes. Medina reached him first and took him to  
20 the ground. Defendant resisted and they struggled. Jones arrived and told  
21 defendant he would use his taser if he did not comply. Defendant cursed at  
22 the officers and started to get up, so Jones used the taser. As he was being  
23 shocked, defendant yelled that the devil had a hold of him, or something to  
24 that effect. He was yelling all sorts of foul language and other strange  
25 things. Jones used his taser two more times and Medina struck him with  
26 his baton. Defendant never complied and the officers were required to use  
27 physical force to get him handcuffed.

18 Defendant's blood was drawn between 12:00 and 12:30 a.m., and was  
19 found to contain cocaine and its metabolites, morphine, and hydrocodone.  
20 Cocaine is a stimulant and both morphine and hydrocodone are narcotic opiate  
21 pain relievers. These drugs are often taken together. The levels found in  
22 defendant's blood would cause a person to be under the influence with symptoms  
23 such as a higher pain threshold and poor judgment.

21 Lopez returned to the Fosters Freeze parking lot and located a hydraulic  
22 puller and its case, a leaf blower, five shovels, a rake, a flashlight, and a box  
23 containing defendant's papers. Lopez photographed acceleration skid marks from  
24 defendant's tires.

24 A weed eater and a barbeque utensil set were found in defendant's truck.

## 25 II. Defense Case

### 26 A. Officer Hastings

27 While Officer Hastings monitored defendant at the hospital, defendant  
28 made some strange statements about being chased by the devil before and during  
his apprehension by the police. Defendant was not hostile; he lay quietly.

1 B. Defendant

2 Defendant testified on his own behalf. He explained he had been under the  
3 care of a psychiatrist and a psychologist since 2003. He had been diagnosed as a  
4 bipolar schizophrenic. He took prescription Seroquel, lithium and Welbutrin to  
calm him, stabilize his moods, and relieve his depression. He had been convicted  
of a felony and had been to prison.

5 On March 6, 2008, defendant had not taken his medications for about one  
6 month. He had decided to quit taking them because he needed to get work. When  
7 he failed to take his medications, he would have racing thoughts that probably  
8 were not normal. On the night of the crimes, defendant was confused. He was  
receiving messages of guidance. He had used cocaine about 24 hours before he  
was arrested, but he did not remember taking anything else.

9 Defendant's memories of that night were "real sketchy" and "fleeting," but  
10 he had pieced it together by reading police reports. He did remember he was told  
11 to go to an exact location to get gas. Steve Smith, who owed him money, told him  
12 earlier that evening to go to a particular address to get gas in a gas can. But when  
13 defendant came out with the gas can, two "kids" were "yelling all kinds of shit, so  
14 [he] put the can down of the gas and [he] walked to [his] truck and got in [his]  
15 truck and [he] took off." He "didn't know what to think...." He "hesitated for a  
16 minute" and decided something was wrong and he had to leave. But after he left,  
17 he decided to go back to try to explain to the people and take them to Steve.  
18 Defendant thought he had done something wrong and he did not know it. He  
19 thought it had been a trap. He wanted to explain that he was not stealing. He did  
20 not want the police involved. Before he had the chance, however, Mark ran up to  
21 the truck and hit him several times. Defendant's foot was on the clutch and the  
22 truck started moving backward until defendant hit the brakes. He did not want to  
23 believe what was happening, so he left.

24 He was confused and was getting messages in his mind that someone was  
25 trying to do him wrong. Osiris, the devil's brother, was out to get everyone. The  
26 Centurions were the good people in that solar system. When defendant took his  
27 medication, he did not have as much contact with these people. Although at the  
28 very moment he was testifying, he was receiving messages. He had recently  
received a message that defense counsel and the prosecutor were brothers.

On the night of the crimes, defendant was driving his truck when he ran  
over a box in the middle of the road, causing his truck to skid. He got out and put  
the box in his truck. He was having problems that night. He was very confused-"it  
never had been this serious." He "ma[d]e it" to Fosters Freeze and wanted to get  
out of the truck. He did not recognize the officer as a policeman; instead, he  
thought he was an evil demon. The more defendant looked at him, the more he  
saw that his eyes glowed. Defendant put his truck in reverse and "just hit it." He  
ran into something, but he did not realize it was a tree.

At that point, defendant "had to get away" so he "took off." He did not  
remember the chase, but he remembered being on a curb, then getting back on the  
road and having a blowout. He remembered that when the officer used the taser, it  
felt like his teeth were melting together. He went to the hospital because Lopez  
broke his wrist. The next thing defendant remembered was being stripped and put  
in the rubber room at the jail.  
Defendant was now back on his medications, but the jail personnel refused to give  
him an adequate dose.

1 On cross-examination, defendant testified he knew it was wrong to take  
2 someone's property. He also knew that a person is supposed to stop when the  
3 police approach with lights on. He had been arrested many times, so he knew that  
4 a person is not supposed to fight with the police when taken into custody.

5 On the night of the crimes, defendant went to one house only-the house  
6 Steve Smith told him about. Defendant never went into any back yard and he  
7 never stole anything. He did not open a shed and take out a pressure washer. He  
8 knew if he had done that, it would have been wrong. He would not steal because  
9 he did not want prison time. He did not touch a water hose; he was not there. He  
10 did not know how the items got into his truck bed because he put a black case in  
11 his truck. He would not take something out of someone's truck because that would  
12 be wrong and he knew it that night. But it was not wrong for him to have the  
13 items that belonged to him.

14 At the time defendant decided to go off his medications, he knew he was  
15 hearing voices. The voices intensified when he quit taking his medications. He  
16 knew there was some risk in his decision. Defendant communicated only with the  
17 Centurions. They would never tell him to take someone's property, "[b]ut then you  
18 never know." Defendant was unemployed when he decided to quit taking his  
19 medications, but he was trying to do whatever he could, such as recycling,  
20 mowing lawns, and trimming trees. That was why he had a blower, a weed eater,  
21 and other yard tools. People sold or gave them to him. Defendant needed money  
22 for a motel room, truck insurance, and gas. He was supporting his girlfriend, too.

23 Defendant had trouble remembering most of the events of March 6, 2008,  
24 but he did clearly remember he did not go to anyone's house and try to take any of  
25 their property. Even while hearing voices, he would know not to do that because it  
26 would put him back in prison.

27 Defendant explained that he returned after the gas can incident not because  
28 he felt bad, but because he knew one little "misunderstood" incident could put  
him back in prison, and he did not want to go back to prison.

Defendant thought his truck lights were on that night; he was not aware  
that they were off. He stopped at Fosters Freeze because he was confused and  
wanted to get out of his truck because he had never experienced the feelings and  
voices. Everything was "just such a confused mess" and he had been "socked in  
the face for no reason for three or four times."

The prosecutor asked defendant whether he saw the officer pull up in a  
marked patrol car. The following colloquy occurred:

"[DEFENDANT:] You know what, it's weird because you know it  
was, I believe, I believe, I believe-I thought it was a police car, but  
there was no lights on. There was no lights. And he was just sitting  
there looking at me. And he's-and I started looking at him and  
that's when I thought he was a demon and I put it in reverse.

"[THE PROSECUTOR:] So you did recognize at some point it  
was a police car.

"[DEFENDANT:] I, I, you know, it's, it's very-I mean I don't  
remember exactly. I'm just thinking, you know what I mean,  
because see, it's hard. It's hard when you read the police reports and

1 stuff when you ain't got a memory and you're reading police reports  
2 and you're trying to match it with the police report to make sure  
that's what happened, you know what I mean to be the-it's hard.

3 “[THE PROSECUTOR:] So as you sit here right now, you think  
4 you saw a police car that night.

5 “[DEFENDANT:] I thought it was a police car.

6 “[THE PROSECUTOR:] And it made you scared?

7 “[DEFENDANT:] Not really. A police car wouldn't make me  
8 scared. What made me scared and what made me put it in reverse  
9 and take off is because-was the fact that I thought he was a demon.  
10 I seen his eyes. I seen his eyes actually turn colors. I could actually  
11 see his eyes as he's sitting there looking at me. And if he's a  
12 policeman, why ain't he got his lights on or why ain't he pulling up  
13 next to me asking me what's going on, you know what I mean?  
14 He's just sitting there.

15 “[THE PROSECUTOR:] Okay.

16 “[DEFENDANT:] And I could see his eyes and he looked like a devil.”

17 When the prosecutor pointed out that defendant had a clear  
18 memory of an officer swinging a baton and breaking his wrist, the  
19 following colloquy occurred:

20 “[DEFENDANT:] I feel it was Officer Lopez. I seen him there. I  
21 seen him there.... I'm, I'm-I mean I can't say one hundred percent it  
22 was Officer Lopez but I know Officer Lopez has already threatened  
23 my life. He's already made false accusations against me before and  
24 he's pulled me over several times for no reason, you know, without  
25 a citation. It's pretty bad when you get pulled over seven times  
26 without a citation, you know what I mean? Get your truck stripped  
27 all the way through with a fine tooth comb and nothing happened.  
28 They don't find nothing. Go on your way and then an hour later get  
pulled over again by them you know.

“[THE PROSECUTOR:] So you-

“[DEFENDANT:] That's bad.

“[THE PROSECUTOR:] -you saw Officer Lopez before. You  
know what he looks like. You remember him?

“[DEFENDANT:] Yes. I've seen several of the officers from the  
Tulare Police Department.

“[THE PROSECUTOR:] It sounds like you don't like Officer  
Lopez too much.

“[DEFENDANT:] No, I don't.

“[THE PROSECUTOR:] He's been harassing you?

1                   “[DEFENDANT:] It ain't just harassment. He's evil. He's been  
2 assimilated.”

3                   C. Dr. Middleton

4                   Dr. Middleton, a licensed psychologist, examined defendant at the jail on  
5 April 14, 2008, and determined he was insane at the time of the crimes. Dr.  
6 Middleton also reviewed defendant's history and the relevant police reports.  
7 Defendant explained to him his drug use, his criminal history, and his psychiatric  
8 problems. Defendant reported a history of bipolar disorder and schizophrenia. He  
9 complained that he was unable to stay on his medication. He said he heard voices,  
10 saw demons, and was unable to distinguish reality from his psychotic symptoms.  
11 Defendant believed he was doing the right thing on the night of the crimes. He  
12 believed the police had demonic eyes and were devils out to get him. He believed  
13 he had to evade them. Defendant recounted what the doctor described as a  
14 “believable history.” Dr. Middleton did not believe defendant was faking his  
15 condition because he seemed truly distressed.

16                   On cross-examination, Dr. Middleton stated he had learned all of  
17 defendant's mental history from defendant himself during the interview, which  
18 lasted between 60 and 90 minutes. Dr. Middleton requested defendant's mental  
19 health records from defense counsel, but he never received them. Similarly, Dr.  
20 Middleton received all of defendant's criminal history from defendant himself.  
21 Defendant told Dr. Middleton he had not used drugs that day.

22                   D. Dr. Velosa

23                   Dr. Velosa, a board certified psychiatrist, conducted an examination of  
24 defendant at the jail on April 1, 2008, that lasted between 60 and 90 minutes. Dr.  
25 Velosa reviewed five police reports. Dr. Velosa determined that defendant  
26 suffered from both schizophrenia and bipolar disorder, and that he was insane at  
27 the time of the crimes. He was out of touch with reality. He thought the police  
28 were demons and he was in danger, and these thoughts caused him to act  
irrationally.

                  On cross-examination, Dr. Velosa agreed that a person with schizophrenia  
is not necessarily legally insane, and that a person with a mental disorder could  
know it was wrong to steal if he was stealing to get more drugs. Dr. Velosa agreed  
it would have been helpful if he had known that defendant brought bolt cutters  
with him and had stolen property in his possession. Dr. Velosa also said defendant  
did not reveal he used cocaine the day of the crimes. In fact, defendant told him he  
had been drug-free for two and one-half years. Dr. Velosa agreed that defendant's  
delusions on the night of the crimes might have been caused by cocaine rather  
than a mental disorder, although Dr. Velosa did believe defendant suffered from a  
mental disorder. Dr. Velosa had not examined defendant's records; his evaluation  
of defendant was based on what defendant told him and what was included in the  
police reports.

## DISCUSSION

### A.     Jurisdiction

Relief by way of a petition for writ of habeas corpus extends to a person in custody



1 pursuant to the judgment of a state court if the custody is in violation of the Constitution or laws  
2 or treaties of the United States. 28 U.S.C. § 2254(a); 28 U.S.C. § 2241(c)(3); Williams v. Taylor,  
3 529 U.S. 362, 375, 120 S.Ct. 1495, 1504, n.7 (2000). Petitioner asserts that he suffered  
4 violations of his rights as guaranteed by the U.S. Constitution. The challenged conviction arises  
5 out of the Tulare County Superior Court, which is located within the jurisdiction of this Court.  
6 28 U.S.C. § 2254(a); 2241(d).

7 On April 24, 1996, Congress enacted the Antiterrorism and Effective Death Penalty Act  
8 of 1996 (“AEDPA”), which applies to all petitions for writ of habeas corpus filed after its  
9 enactment. Lindh v. Murphy, 521 U.S. 320, 117 S.Ct. 2059, 2063 (1997); Jeffries v. Wood, 114  
10 F.3d 1484, 1499 (9th Cir. 1997), *cert. denied*, 522 U.S. 1008, 118 S.Ct. 586 (1997) (quoting  
11 Drinkard v. Johnson, 97 F.3d 751, 769 (5th Cir.1996), *cert. denied*, 520 U.S. 1107, 117 S.Ct.  
12 1114 (1997), *overruled on other grounds by Lindh v. Murphy*, 521 U.S. 320, 117 S.Ct. 2059  
13 (1997) (holding AEDPA only applicable to cases filed after statute's enactment). The instant  
14 petition was filed after the enactment of the AEDPA and is therefore governed by its provisions.

15 B. Standard of Review

16 Where a petitioner files his federal habeas petition after the effective date of the Anti-  
17 Terrorism and Effective Death Penalty Act (“AEDPA”), he can prevail only if he can show that  
18 the state court’s adjudication of his claim:

19 (1) resulted in a decision that was contrary to, or involved an unreasonable  
20 application of, clearly established Federal law, as determined by the Supreme  
21 Court of the United States; or

22 (2) resulted in a decision that was based on an unreasonable determination of the  
23 facts in light of the evidence presented in the State court proceeding.

24 28 U.S.C. § 2254(d). A state court decision is “contrary to” federal law if it “applies a rule that  
25 contradicts governing law set forth in [Supreme Court] cases” or “confronts a set of facts that are  
26 materially indistinguishable from” a Supreme Court case, yet reaches a different result.” Brown  
27 v. Payton, 544 U.S. 133, 141 (2005) citing Williams (Terry) v. Taylor, 529 U.S. 362, 405-06  
28 (2000). A state court decision will involve an “unreasonable application of” federal law only if it  
is “objectively unreasonable.” Id., quoting Williams, 529 U.S. at 409-10; Woodford v. Visciotti,

1 537 U.S. 19, 24-25 (2002) (*per curiam*). “A federal habeas court may not issue the writ simply  
2 because that court concludes in its independent judgment that the relevant state court decision  
3  
4 applied clearly established federal law erroneously or incorrectly.” Lockyer, at 1175 (citations  
5 omitted). “Rather, that application must be objectively unreasonable.” Id. (citations omitted).

6 “Factual determinations by state courts are presumed correct absent clear and convincing  
7 evidence to the contrary, § 2254(e)(1), and a decision adjudicated on the merits in a state court  
8 and based on a factual determination will not be overturned on factual grounds unless objectively  
9 unreasonable in light of the evidence presented in the state court proceedings, § 2254(d)(2).”  
10 Miller-El v. Cockrell, 537 U.S. 322, 340 (2003). Both subsections (d)(2) and (e)(1) of § 2254  
11 apply to findings of historical or pure fact, not mixed questions of fact and law. See Lambert v.  
12 Blodgett, 393 F.3d 943, 976-77 (2004).

13 Courts further review the last reasoned state court opinion. See Ylst v. Nunnemaker, 501  
14 U.S. 979, 803 (1991). However, where the state court decided an issue on the merits but  
15 provided no reasoned decision, courts conduct “an independent review of the record . . . to  
16 determine whether the state court [was objectively unreasonable] in its application of controlling  
17 federal law.” Delgado v. Lewis, 223 F.3d 976, 982 (9th Cir. 2000). “[A]lthough we  
18 independently review the record, we still defer to the state court’s ultimate decisions.” Pirtle v.  
19 Morgan, 313 F.3d 1160, 1167 (9th Cir. 2002).

#### 20 C. Lack of Competence to Enter Valid Plea Agreement and Stand Trial

21 Petitioner raises three separate but related claims regarding his competency during the  
22 trial proceedings: first, he claims the trial court should have held a competency hearing; second,  
23 he claims there was insufficient evidence of his sanity at the time he committed the charged  
24 offenses; and third, as a result of his alleged mental instability, his no contest plea was invalid.  
25 The Court will address each claim separately.

##### 26 1. Failure to Conduct a Competency Hearing

27 Petitioner raised this claim on direct appeal to the California Court of Appeal which  
28 denied the claim stating, in pertinent part:

1 On July 30, 2008, when defense counsel stated he was beginning to  
2 wonder if some section 1368 issues were arising, the court responded, “Well  
3 [defendant] appears to know what’s going on,” and counsel agreed, “He does. He  
4 does.”

5 The same day, when counsel submitted defendant’s letters to the court, he  
6 said he thought defendant was starting to decompensate mentally. The court  
7 stated:

8 “I appreciate your concerns, but the mere fact of the letter would indicate  
9 to me that he knows what’s going on. He now wants to withdraw his plea, and  
10 opt out of the plea agreement. That’s someone who certainly understands what’s  
11 going on with the court proceedings. [¶] He knows who his lawyer is. He wants a  
12 new lawyer.”

13 Later the same day, the court made this statement on the record:

14 “I want to put an observation on the record only because of [defendant’s]  
15 conduct and, and what’s been happening here. [¶] I’m not convinced that  
16 [defendant’s] actions are anything more than an attempt to manipulate the court  
17 and the system. I think he is playing up his mental illness capacity or aspect  
18 because now we’re in trial and I see it as my impression is a malingering type  
19 situation here to make his appearance or to make his mental illness appear to be  
20 much more serious than it really is for purposes of disrupting this proceeding.  
21 That’s just—I’m not a [m]ental [h]ealth expert. That’s just an impression I have  
22 at this point. I just want to put that on the record.”

### 23 C. Analysis

24 Defendant points to the following as substantial evidence that he was  
25 incompetent: his comment that the prosecutor and defense counsel were brothers;  
26 defendant’s decision to appear in jail clothing; defense counsel’s comment that  
27 defendant refused to speak to him and he was starting to wonder if there were  
28 some section 1368 issues; defendant’s comment that Osiris tricks us and the world  
is doomed; counsel’s comment that defendant was beginning to decompensate  
mentally; defendant’s hearing voices; and defendant’s repeated requests for  
increased medication. Defendant acknowledges that the trial court believed he  
was feigning or exaggerating his mental illness, but he contends the court was  
obligated to suspend proceedings in light of the evidence of incompetence.

We agree that the record contains evidence defendant was making  
bizarre statements and had sometimes refused to speak to counsel, but this was  
not enough to constitute substantial evidence of incompetence. It is clear from the  
record the trial court believed defendant understood what was going on and  
comprehended the nature and purpose of the proceedings. The record amply  
supports the trial court’s findings. Defendant’s statements and letters clearly  
demonstrated he was capable of comprehending the charges against him,  
understood the proceedings, and was able (when he chose) to cooperate with  
counsel. Furthermore, the trial court was in the position to observe defendant’s  
behavior and determine that he was manipulating the system. The court did not  
abuse its discretion in refusing to suspend the proceedings under section 1368.

(Lodged Doc. 4 at 22-25.)

1 Generally, a person whose "mental condition is such that he lacks the capacity to  
2 understand the nature and object of the proceedings against him, to consult with counsel, and to  
3 assist in preparing his defense may not be subjected to a trial." Drope v. Missouri, 420 U.S. 162,  
4 172 (1975). The test for competency to stand trial is whether the defendant "has sufficient  
5 present ability to consult with his lawyer with a reasonable degree of rational understanding --  
6 and whether he has a rational as well as factual understanding of the proceedings against him."  
7 Dusky v. United States, 362 U.S. 402, 402 (1960). An evidentiary hearing is constitutionally  
8 compelled at any time that there is substantial evidence that the defendant may be mentally  
9 incompetent to stand trial. DeKaplany v. Enmoto, 540 F.2d 975, 980-81 (9th Cir. 1976).  
10 "Evidence is substantial if it raises a reasonable doubt about the defendant's competency to stand  
11 trial." Id. at 981. However, the function of the trial court is not to determine if the defendant is  
12 competent to stand trial, but to decide whether "there is any evidence which, assuming its truth,  
13 raises a reasonable doubt about the defendant's competency" and to order an evidentiary hearing  
14 on the competency issue if such evidence appears. Id. at 981. Factors to consider in ascertaining  
15 a defendant's competence include evidence of irrational behavior, demeanor at trial, and any  
16 prior medical opinion on competence. See Drope, 420 U.S. at 180. "The state trial and appellate  
17 courts' findings that the evidence did not require a competency hearing under *Pate* are findings  
18 of fact to which [this court] must defer unless they are 'unreasonable' within the meaning of 28  
19 U.S.C. § 2254(d)(2)." Torres v. Prunty, 223 F.3d 1103, 1105 (9th Cir. 2000).

20 Although Petitioner made some bizarre statements and refused to speak with counsel at  
21 certain times, after a review of the record, the Court finds the state courts' determination of this  
22 issue was not contrary to, or an unreasonable application of, clearly established Supreme Court  
23 precedent. Petitioner's communication with the trial court demonstrated that he was well aware  
24 of the legal proceedings that were taking place, and defense counsel acknowledged such. The  
25 mere fact that Petitioner was unwilling to communicate with his counsel does not demonstrate  
26 that he was incapable of doing so. Defense counsel's statement, questioning Petitioner's  
27 competence, does not demonstrate substantial evidence unequivocally to question Petitioner's  
28 competence. The trial court observed Petitioner repeatedly throughout the trial proceedings and

1 made the factual finding that it was “not convinced that [Petitioner’s] actions [were] anything  
2 more than an attempt to manipulate the court and the system. I think he is playing up his mental  
3 illness capacity or aspect because now we’re in trial and I see it as my impression is a  
4 malingering type situation here to make his appearance or to make his mental illness appear to be  
5 much more serious than it really is for purposes of disrupting this proceeding.” (RT 184.) The  
6 judge’s “ab[ility] to observe [Petitioner] in the context of the trial” allowed him “to gauge from  
7 [Petitioner’s] demeanor [that he] was able to cooperate with his attorney and to understand the  
8 nature and object of the proceedings against him.” Drope v. Missouri, 420 U.S. at 181. Under  
9 these circumstances, the state court’s determination of this issue was not contrary to, or an  
10 unreasonable application of, clearly established Supreme Court precedent, nor an unreasonable  
11 determination of the facts in light of the evidence. 28 U.S.C. § 2254.

12 2. Insufficient Evidence of Sanity at Time of Commitment Offense

13 Petitioner contends there was insufficient evidence to prove he was sane at the time of the  
14 commitment offense. The Court of Appeal denied the claim stating, in pertinent part:

15 The jury was not required to accept the experts’ opinions in light of  
16 substantial evidence that defendant was aware of right and wrong on the night of  
17 the crimes.[fn] The evidence overwhelmingly demonstrated that defendant  
18 attempted to go undetected by driving without lights and by fleeing when  
19 homeowners turned on their outdoor lights. He also attempted to escape capture  
20 by running from the brothers and then charging them with a weapon (apparently  
21 when he realized he could not leave his truck behind). When Mark struggled with  
22 defendant, defendant cursed at him and threatened to cut him. As soon as  
23 defendant got the truck to work, he backed up so fast that Mark labored to keep  
24 from falling. After defendant left the vicinity, he turned his lights on, but when he  
25 entered the Fosters Freeze parking lot, he turned them off again. When he saw  
26 Lopez, an officer with whom he was very familiar, he accelerated directly at him  
27 and narrowly missed the patrol vehicle before he sped out of the lot. Even when  
28 he was approached by officers in the middle of the freeway, defendant refused to  
submit. His flight and resistance strongly supported the inference he knew his  
conduct was wrongful and he did not want to get caught.

FN6 The jury was instructed with CALCRIM No. 332, as follows:  
“Witnesses were allowed to testify as experts and to give opinions. You  
must consider the opinion[s], but you are not required to accept them as  
true or correct. The meaning and importance of any opinion are for you to  
decide. In evaluating the believability of an expert witness, follow the  
instructions about the believability of a witness generally. In addition,  
consider the expert’s knowledge, skill, experience, training, and education,  
and the reasons the expert relied upon in reaching that opinion. You must  
decide whether information on which the expert relied was true and  
accurate. You may disregard any opinion that you find unbelievable,

1 unreasonable, or unsupported by the evidence.”

2 Defendant himself stated that he knew it was wrong to steal. And  
3 although he said he only took what was his, the chain cutters he brought with him  
4 (and used) suggested he planned to steal. Furthermore, defendant’s memories of  
the night were self-servingly selective, and he repeatedly admitted he did not want  
to go back to prison.

5 Although both experts opined that defendant was insane at the time of the  
6 crimes, as the experts admitted, their opinions were based in great part on what  
7 defendant told them. The jury was not required to accept defendant’s statements  
as true. [fn] And defendant’s blood levels of cocaine and opiate painkillers did not  
constitute evidence of insanity.

8 FN7 The jury was instructed with CALCRIM No. 360, as follows: “Dr.  
9 Luis Elosa and Dr. Thomas Middleton testified that in reaching [their]  
10 conclusions as ... expert witness[es], [they] considered statements made by the  
11 defendant. You may consider those statements only to evaluate the expert[s’]  
opinion[s]. Do not consider those statements as proof that the information  
contained in the statements is true.”

12 In sum, the record contains substantial evidence that defendant was able to  
13 appreciate the wrongfulness of his conduct at the time of his crimes- -in other  
words, that he was sane.

14 (Lodged Doc. 4 at 29-32.)

15 The law on insufficiency of the evidence claim is clearly established. The United States  
16 Supreme Court has held that when reviewing an insufficiency of the evidence claim on habeas, a  
17 federal court must determine whether, viewing the evidence and the inferences to be drawn from  
18 it in the light most favorable to the prosecution, any rational trier of fact could find the essential  
19 elements of the crime beyond a reasonable doubt. Jackson v. Virginia, 443 U.S. 307, 319 (1979).  
20 Sufficiency claims are judged by the elements defined by state law. Id. at 324, n. 16. In  
21 rendering its inquiry, this Court “must respect the province of the jury to determine the credibility  
22 of the witnesses, resolve evidentiary conflicts, and draw reasonable inferences from proven facts  
23 by assuming that the jury resolved all conflicts in a manner that supports the verdict.” Walters v.  
24 Maass, 45 F.3d 1355, 1358 (9th Cir. 1995).

25 Although both experts opined that Petitioner was legally insane at the time of the  
26 commitment offense, both based their opinions primarily on the statements presented by  
27 Petitioner, including his false statement that he was not under the influence of cocaine at the time  
28 of the offense. Neither expert verified any of the information given to them by Petitioner. Both

1 experts agreed Petitioner's cocaine use on the day of the offense may have impacted their  
2 ultimate decision. Dr. Velosa acknowledged that cocaine use produces delusions. Moreover,  
3 although both experts were aware that Petitioner had a criminal history, neither of them had any  
4 of the details of the offenses. Petitioner ignores the fact that both experts were impeached at trial  
5 with their lack of information and/or reliance on false information, and the jury (as the sole fact-  
6 finders) resolved any conflict among the evidence. The Court of Appeal pointed out that the jury  
7 was instructed that it must decide whether information on which the expert relied was true and  
8 accurate. Thus, the jury was not required to accept the experts' testimony and opinion, and there  
9 was ample evidence to support the jury's finding of legal sanity based on Petitioner's conduct  
10 during the commitment offense.

11 3. Invalidity of No Contest Plea Based on Mental Instability

12 Petitioner claims that the trial court abused its discretion when it denied his motion to  
13 withdraw his plea because he was not properly medicated and was misadvised by defense  
14 counsel and the trial court as to the charges and defenses available to him.

15 On July 29, 2008, Petitioner withdrew his not guilty plea and entered a no contest plea as  
16 to counts 2 through 5. (RT 51-53; CT 146.) Petitioner also admitted all of the special  
17 allegations. (Id.) Pursuant to his plea, Petitioner agreed to a sentence of five years and four  
18 months. (Id.)

19 Just after Petitioner was found legally sane by the jury, Petitioner requested to file a  
20 motion to withdraw his plea on July 31, 2008. (RT 422-423; CT 151.) On October 10, 2008,  
21 Petitioner filed a handwritten motion to withdraw his plea. (CT 198-205.) Petitioner filed a  
22 second written motion on October 14, 2008, in which he claimed he was not competent to make  
23 the plea. (CT 213-214.) On November 12, 2008, Petitioner, through new counsel, filed a motion  
24 to withdraw his plea claiming he "did not understand the consequences of the no contest plea."  
25 (CT 218-220.) The trial court heard the motion that same day. (CT 243-244.)

26 The prosecutor opposed Petitioner's request to withdraw his plea. (CT 246.) The trial  
27 court denied the motion. (CT 246-247.)

28 The Court of Appeal found the trial court did not abuse its discretion and denied the

1 claim stating, in pertinent part:

2 ///

3  
4 1. Facts

5 At the plea hearing on July 29, 2008, defendant answered affirmatively  
6 when the court asked if he understood what they were doing there, that he would  
7 serve five years four months if the jury found him sane, that he could be  
8 committed to a state hospital for up to five years four months if the jury found him  
9 insane, that his plea meant he was in violation of probation on his previous case,  
10 that the pending trial would address only whether he was sane at the time of the  
11 crimes, and that he would not get a trial on the issue of guilt or a hearing on the  
12 probation violation. The court then listed defendant's rights and asked whether he  
13 waived each of those rights. Defendant again answered affirmatively each time.  
14 After taking the plea, the court stated: "The court accepts the no contest plea.  
15 Finds the defendant made a knowing, voluntary, expressed, explicit and  
16 understanding waiver of his constitutional rights. The court further finds that his  
17 pleas are freely and voluntarily made." Defense counsel said he had discussed the  
18 matter with defendant and had advised him of the nature of the charges, the  
19 consequences of his plea and any possible defenses he might have. Defense  
20 counsel said he believed defendant understood those matters. The court found  
21 that defendant understood the nature of the charges and the consequences of the  
22 plea. The court also found a factual basis for the plea.

23 On October 10, 2008, defendant filed a handwritten motion to vacate his  
24 plea (and for a new sanity trial). He argued that he was not rational when he made  
25 the plea because his mental illness was not being treated adequately. He also  
26 asserted that his plea was the result of defense counsel's ineffective assistance of  
27 counsel.

28 On November 12, 2008, defendant's new counsel filed a motion to  
withdraw the plea on the ground that defendant did not understand the  
consequences of the plea.

On November 13, 2008, the court heard and denied the motion. Defendant  
explained that he was intentionally under medicated by the district attorney's  
office, the sheriff's department, and the mental health team since he had been in  
custody.

The court stated:

"[Defendant] it appears to me that you, you have complaints about  
everybody. There's a conspiracy by the DA's Office. There's a vendetta by the  
Mental Health people to make sure you don't get your proper medication. There's  
your complaints about [defense counsel] who didn't do his job although he  
presented two doctors on your behalf that testified you were both [sic] insane. It  
was the jury's finding of your acts that in the court's mind convinced them of your  
sanity at the time of the offenses as opposed to the doctor[s'] testimony. Quite  
frankly I thought [defense counsel] did an excellent job in presenting your case on  
the issue of sanity.

"It's interesting because I had reviewed your motions and my notes or my  
impressions are exactly the same as [the prosecutor's]. Your motion seems very



1 coherent and they make sense to me which is exactly the same words that [the  
2 prosecutor] used and I had written that down before [he] even made his  
3 comments, so your motion to vacate the plea, your motion for a new trial, your  
4 motion to withdraw the plea. Those are all denied.”

## 5 2. Analysis

6 Although the record shows that defendant complained repeatedly about his  
7 medication and his inability to get a higher dosage, the trial court believed  
8 defendant understood what was going on, was exaggerating his mental illness for  
9 his own benefit, and was engaging in wholesale complaining. Substantial  
10 evidence supports these findings. The jail personnel examined defendant and  
11 verified that he was being properly medicated. He was clearly able to research the  
12 law, discuss the case, and understand the proceedings. He submitted lengthy,  
13 comprehensible handwritten pleas. [sic]

14 Accordingly, the record supports the conclusions that defendant was  
15 competent when he pled and that he made a knowing and voluntary plea.  
16 Defendant has not shown that his mental condition or lack of medication  
17 overcame his exercise of free will. The trial court did not abuse its discretion in  
18 denying the motion on this ground.

19 (Lodged Doc. 4 at 32-35.)

20 A plea of guilty is constitutionally valid only to the extent it is “voluntary” and  
21 “intelligent.” Brady v. United States, 397 U.S. 742, 748 (1970). A plea is voluntary and  
22 intelligent if Petitioner was competent to enter into the plea and did so knowingly and  
23 voluntarily. Godinez v. Moran, 509 U.S. 389, 401 (1993).

24 The jury found Petitioner to be legally sane at the time of the offenses. Consequently,  
25 Petitioner was sentenced to five years and four months imprisonment. The trial court adequately  
26 advised Petitioner of the consequences of his plea if found sane by the jury. There was a full and  
27 complete colloquy between the trial court and Petitioner at the time he entered his plea. Defense  
28 counsel declared that he had discussed at long length the possible approaches to his case. (RT  
46-47.) Counsel then stated that Petitioner was willing to enter a no contest plea to Counts 2  
through 5, based on the court’s indicated sentence of five years and four months. (RT 47.)  
Petitioner acknowledged that he wished to enter a plea of no contest and if found sane by the jury  
he would go to prison for five years and four months. (Id.) Although Petitioner claims his plea  
was not voluntary because he was mentally incompetent, at the time of the plea, both the trial  
court and Petitioner’s counsel confirmed Petitioner fully understood the nature of the charges and  
the consequences of the plea. (RT 53-54.) Furthermore, the appellate court properly noted that

1 Petitioner's legal knowledge displayed by his motions filed with the trial court demonstrated his  
2 competency at the time of his plea. Moreover, at the time of entering his no contest plea,  
3 Petitioner did not express doubt as to his own competency. Even if counsel misadvised him that  
4 he could be convicted of both theft and receiving stolen property, Petitioner has not established  
5 that he would have not entered into the plea bargain had he been properly advised. Pursuant to  
6 the plea, Petitioner agreed to a state prison term of five years and four months. Despite any error,  
7 Petitioner still received the sentence to which he agreed. Furthermore, Petitioner received a far  
8 less sentence than had he gone to trial and been convicted of all the crimes. (See e.g. RT 40-42;  
9 CT 97-109.) Based on the circumstances surrounding the entry of Petitioner's plea, the appellate  
10 court's findings on this claim was not contrary to, or an unreasonable application of, clearly  
11 established United States Supreme Court precedent, nor an unreasonable determination of the  
12 facts in light of the evidence.

13 D. Ineffective Assistance of Counsel Regarding Elements of Grand Theft

14 Petitioner contends that defense counsel was ineffective for failing to inquire as to  
15 whether the stolen items amounted to more than \$400, as required under state law for a  
16 conviction of grand theft.

17 The law governing ineffective assistance of counsel claims is clearly established for the  
18 purposes of the AEDPA deference standard set forth in 28 U.S.C. § 2254(d). Canales v. Roe,  
19 151 F.3d 1226, 1229 (9th Cir. 1998.) In a petition for writ of habeas corpus alleging ineffective  
20 assistance of counsel, the court must consider two factors. Strickland v. Washington, 466 U.S.  
21 668, 687, 104 S.Ct. 2052, 2064 (1984); Lowry v. Lewis, 21 F.3d 344, 346 (9th Cir. 1994). First,  
22 the petitioner must show that counsel's performance was deficient, requiring a showing that  
23 counsel made errors so serious that he or she was not functioning as the "counsel" guaranteed by  
24 the Sixth Amendment. Strickland, 466 U.S. at 687. The petitioner must show that counsel's  
25 representation fell below an objective standard of reasonableness, and must identify counsel's  
26 alleged acts or omissions that were not the result of reasonable professional judgment  
27 considering the circumstances. Id. at 688; United States v. Quintero-Barraza, 78 F.3d 1344, 1348  
28 (9th Cir. 1995). Judicial scrutiny of counsel's performance is highly deferential. A court

1 indulges a strong presumption that counsel's conduct falls within the wide range of reasonable  
2 professional assistance. Strickland, 466 U.S. 668, 687, 104 S.Ct. 2052, 2064 (1984); Sanders v.  
3 Ratelle, 21 F.3d 1446, 1456 (9th Cir.1994).

4 Second, the petitioner must show that counsel's errors were so egregious as to deprive  
5 defendant of a fair trial, one whose result is reliable. Strickland, 466 U.S. at 688. The court must  
6 also evaluate whether the entire trial was fundamentally unfair or unreliable because of counsel's  
7 ineffectiveness. Id.; Quintero-Barraza, 78 F.3d at 1345; United States v. Palomba, 31 F.3d 1356,  
8 1461 (9th Cir. 1994). More precisely, petitioner must show that (1) his attorney's performance  
9 was unreasonable under prevailing professional norms, and, unless prejudice is presumed, that  
10 (2) there is a reasonable probability that, but for counsel's unprofessional errors, the result would  
11 have been different.

12 A court need not determine whether counsel's performance was deficient before  
13 examining the prejudice suffered by the petitioner as a result of the alleged deficiencies.  
14 Strickland, 466 U.S. 668, 697, 104 S.Ct. 2052, 2074 (1984). Since it is necessary to prove  
15 prejudice, any deficiency that does not result in prejudice must necessarily fail. Ineffective  
16 assistance of counsel claims are analyzed under the "unreasonable application" prong of  
17 Williams v. Taylor, 529 U.S. 362 (2000). Weighall v. Middle, 215 F.3d 1058, 1062 (2000).

18 Furthermore, where, as here, a petitioner raises the claim of ineffective assistance of  
19 counsel in the context of a guilty plea, he must show that (1) his counsel failed to provide  
20 reasonable competent advice, and that (2) there is a reasonable probability that, but for counsel's  
21 errors, he would not have pleaded guilty and would have insisted on going to trial. Hill v.  
22 Lockhart, 474 U.S. 52, 58-59 (1985).

23 In this case, Petitioner has not demonstrated that counsel was ineffective or that he was  
24 prejudiced by any of the alleged errors. Petitioner acknowledges that he was aware of counsel's  
25 alleged error during the July 29, 2008, Marsden hearing, which was prior to the time he entered  
26 his plea of no contest. Petitioner nevertheless entered into the no contest plea. Because  
27 Petitioner did so, the elements of the crime became irrelevant and there was no reason for  
28 counsel to proceed with evidence to refute the elements of the crime. Thus, counsel could not

1 have been ineffective in this instance. Moreover, Petitioner could not have been prejudiced by  
2 counsel’s alleged inaction. Consequently, Petitioner has not satisfied either prong of Strickland,  
3 and the claim must be denied.

4 E. Ineffective Assistance of Counsel Relating to Elements of Reckless Driving

5 Petitioner contends that the offense of reckless driving “was never established . . . by the  
6 law or the evidence. . . .” Petitioner appears to be challenging the officer’s testimony regarding  
7 his reckless driving and it appears he claims counsel was ineffective for failing to investigate the  
8 officer’s personnel file.

9 Applying the Strickland standard set forth above, because Petitioner voluntarily pled no  
10 contest, there was no reason for counsel to contest and disprove the elements of reckless driving.  
11 Furthermore, Petitioner cannot demonstrate prejudice as he voluntarily pled no contest knowing  
12 the factual circumstances of his case.<sup>6</sup>

13 F. Ineffective Assistance Counsel Regarding Theft and Receipt of Same Property

14 Petitioner claims he was misadvised regarding the possibility of being convicted of  
15 stealing and receiving the same property. On direct appeal, Petitioner presented this claim as a  
16 basis for which he believed he should have been allowed to withdraw his no contest plea.<sup>7</sup>

17 The Court of Appeal denied the claim stating the following:

18 We agree that defendant was misadvised by both the trial court and  
19 defense counsel after defendant correctly stated that according to the Penal Code  
20 he could not be convicted of both stealing and receiving the same property. (§  
21 496, subd. (a) [“no person may be convicted both pursuant to this section and of  
the theft of the same property”].) The court told defendant he could in fact be  
convicted of both crimes, but he could not be punished for both. Defense counsel  
agreed.

22 Assuming the misadvisement in this case constituted ineffective assistance  
23 of counsel, we nevertheless see no prejudice to defendant. (*See People v. Johnson*  
(1995) 36 Cal.App.4th 1351, 1357-1358 [counsel’s erroneous advice on

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24  
25 <sup>6</sup> Petitioner does not indicate when he learned of the personal information about Officer Lopez which he  
26 alleges in his petition, nor does he indicate that the information would have been relevant to disproving the elements  
of reckless driving.

27 <sup>7</sup> Petitioner presented this claim to the Court of Appeal in relation to his claim that he should have been  
28 allowed to withdraw his no contest plea because of counsel’s alleged ineffective assistance for misadvising him. The  
Court of Appeal addressed the issue by determining whether or not counsel was ineffective. Thus, there is a  
reasoned decision addressing the merits of this claim.

1 sentencing exposure may violate a defendant's right to effective assistance of  
2 counsel.]) Although defendant was misadvised and pled no contest to a crime for  
3 which he should not have been convicted, he does not establish a reasonable  
4 probability he would not have accepted the plea had he been properly advised.  
5 Indeed, the record supports the contrary conclusion because the court had  
6 informed defendant that even if he was convicted of both crimes, he could not be  
7 punished for both. Defendant's sentencing exposure on either of those counts was  
8 the midterm of four years and the aggregate potential exposure on all counts  
9 greatly exceeded the five year four month plea bargain. Defendant gives us no  
10 reason to believe he would not have accepted the bargain had he known he could  
11 not be convicted of both counts 2 and 3. His self-serving statement that he would  
12 not have accepted the bargain is not enough without objective corroboration.

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Lastly, any error in the court's denial of the motion to withdraw the plea  
was not prejudicial because defendant has retained the benefit of his bargain.  
Defendant agreed to a term of five years four months, and although he was  
improperly convicted (and sentenced) on both counts 2 and 3, the trial court  
ultimately restructured the sentencing (staying the sentence on count 3), and we  
will now strike the conviction on that count.

(Lodged Doc. 4 at 37-38.)

As fully explained by the appellate court, even if counsel was ineffective for failing to  
advise Petitioner he could not have been convicted of both theft and receiving the same property,  
there was no prejudice as a result. Petitioner's sentence exposure remained the same under the  
plea whether or not he was misadvised. The trial court clearly advised Petitioner he would not be  
sentenced on both counts if convicted. Accordingly, Petitioner is not entitled to relief.

G. Absence When Abstract of Judgement Amended

Petitioner contends that the trial court erred in amending the abstract of judgment in his  
absence.

Petitioner did not present this claim to the state court. Therefore, the claim is  
unexhausted and Petitioner is not entitled to relief. This Court can review the merits of an  
unexhausted claim, if it is perfectly clear the claim is not colorable. Cassett v. Stewart, 406 F.3d  
614, 623-624 (9th Cir. 2005).

In this instance, the claim is not colorable. As an initial matter, the claim is based on the  
state court's interpretation of state law with regard to modification of a document containing a  
clerical claim. A claim based on a violation of state claim is not cognizable in a federal habeas  
corpus proceeding. See Estelle v. McGuire, 502 U.S. 62, 67-68 (1991) (holding that a federal

1 writ is not available for alleged error in the interpretation or application of state law); Park v.  
2 California, 202 F.3d 1146, 1149 (9th Cir. 2000) (same). Under California law, “[a]n abstract of  
3 judgment is not the judgment of conviction; it does not control if different from the trial court’s  
4 oral judgment and may not add to or modify the judgment it purports to digest or summarize.”  
5 People v. Mitchell, 26 Cal.4th 181, 190 (2001). Preparation of the abstract of criminal judgment  
6 in California is a clerical, not a judicial function. People v. Rodriguez, 152 Cal.App.3d 289, 299  
7 (1984). Even assuming it raises a federal question, it is without merit. It is routine for appellate  
8 courts to grant the State’s request to correct errors in the abstract of judgment. People v. Hong,  
9 64 Cal.App.4th 1071, 1075 (1998). Even assuming the claim raises a federal question, it is clear  
10 that Petitioner was not sentenced beyond the sentence imposed in open court in his presence.  
11 Thus, there is no basis for relief on this claim and it must be denied.

12 H. Certificate of Appealability

13 A state prisoner seeking a writ of habeas corpus has no absolute entitlement to appeal a  
14 district court’s denial of his petition, and an appeal is only allowed in certain circumstances.  
15 Miller-El v. Cockrell, 537 U.S. 322, 335-36 (2003). The controlling statute in determining  
16 whether to issue a certificate of appealability is 28 U.S.C. § 2253, which provides as follows:

17 (a) In a habeas corpus proceeding or a proceeding under section 2255 before a  
18 district judge, the final order shall be subject to review, on appeal, by the court  
of appeals for the circuit in which the proceeding is held.

19 (b) There shall be no right of appeal from a final order in a proceeding to test the  
20 validity of a warrant to remove to another district or place for commitment or trial  
a person charged with a criminal offense against the United States, or to test the  
21 validity of such person’s detention pending removal proceedings.

22 (c) (1) Unless a circuit justice or judge issues a certificate of appealability, an  
appeal may not be taken to the court of appeals from—

23 (A) the final order in a habeas corpus proceeding in which the  
24 detention complained of arises out of process issued by a State  
court; or

25 (B) the final order in a proceeding under section 2255.

26 (2) A certificate of appealability may issue under paragraph (1) only if the  
27 applicant has made a substantial showing of the denial of a constitutional right.

28 (3) The certificate of appealability under paragraph (1) shall indicate which  
specific issue or issues satisfy the showing required by paragraph (2).

1 If a court denies a petitioner’s petition, the court may only issue a certificate of  
2 appealability “if jurists of reason could disagree with the district court’s resolution of his  
3 constitutional claims or that jurists could conclude the issues presented are adequate to deserve  
4 encouragement to proceed further.” Miller-El, 537 U.S. at 327; Slack v. McDaniel, 529 U.S. 473,  
5 484 (2000). While the petitioner is not required to prove the merits of his case, he must  
6 demonstrate “something more than the absence of frivolity or the existence of mere good faith on  
7 his . . . part.” Miller-El, 537 U.S. at 338.

8 In the present case, the Court finds that reasonable jurists would not find the Court’s  
9 determination that Petitioner is not entitled to federal habeas corpus relief debatable, wrong, or  
10 deserving of encouragement to proceed further. Petitioner has not made the required substantial  
11 showing of the denial of a constitutional right. Accordingly, the Court hereby DECLINES to  
12 issue a certificate of appealability.

13 ORDER

14 Based on the foregoing, it is HEREBY ORDERED that:

- 15 1. The instant petition for writ of habeas corpus is DENIED;
- 16 2. The Clerk of Court is directed to enter judgment in favor of Respondent; and
- 17 3. The Court declines to issue a certificate of appealability.

18  
19  
20 IT IS SO ORDERED.

21 **Dated:** February 25, 2011

/s/ Sandra M. Snyder  
UNITED STATES MAGISTRATE JUDGE