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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

VALDO C. OROZCO,
Petitioner,

v.

KELLY HARRINGTON, Warden,
Respondent.

Case No. 1:10-cv-01599 MJS (HC)

**ORDER DENYING PETITION FOR WRIT
OF HABEAS CORPUS AND DECLINING
TO ISSUE CERTIFICATE OF
APPEALABILITY**

(Doc. 26)

Petitioner is a state prisoner proceeding pro se with a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254. Respondent is represented by David Eldridge of the office of the California Attorney General. Both parties have consented to Magistrate Judge jurisdiction under 28 U.S.C. § 636(c). (ECF Nos. 5, 11.)

I. PROCEDURAL BACKGROUND

Petitioner is currently in the custody of the California Department of Corrections pursuant to a judgment of the Superior Court of California, County of Kings, following his conviction by jury trial on July 7, 2008, of transportation and possession of heroin. (Lodged Doc. 1.) The trial court also found true enhancements and sentenced Petitioner to serve a determinate term of twelve (12) years. (Id.)

Petitioner filed a direct appeal with the California Court of Appeal, Fifth Appellate

1 District, which was denied on July 22, 2009. (Lodged Doc. 2.) On August 31, 2009,
2 Petitioner filed a petition for review with the California Supreme Court. (Lodged Doc. 3.)
3 The petition was summarily denied on October 14, 2009. (Lodged Doc. 4.)

4 Petitioner filed the instant federal habeas petition on September 3, 2010. (Pet.,
5 ECF No. 1.) He subsequently filed a first and second amended petition. The second
6 amended petition then is the operative petition, and it raises the following three claims
7 for relief:

8 1.) There was insufficient evidence to support Petitioner's guilty plea;

9 2.) In violation of federal law, Petitioner received no benefit from taking the plea
10 offer; and

11 3.) Plaintiff's counsel was Ineffective (based on counsel's inadequate investigation
12 and allowing Petitioner to plead guilty).

13 (Pet. at 13-24.)

14 Respondent filed an answer to the petition on December 19, 2011, and Petitioner
15 filed a traverse on February 8, 2012. (Answer & Traverse, ECF Nos. 26, 31.)

16 **II. STATEMENT OF THE FACTS¹**

17 On April 11, 2008, at approximately 10:30 a.m., Officer Herlinda
18 Rodriguez turned on her overhead lights to stop a car driven by
19 appellant, Valdo Cantu Orozco, Jr., for failing to stop at a stop sign. The
20 car did not stop and Rodriguez activated her siren. The car continued until
21 it turned into a residential driveway and stopped. Codefendant, Ramon
22 Gloria, got out of the car, looked at Rodriguez, and ran to the backyard.
23 Gloria soon came out of the backyard, and he and Orozco began asking
24 Rodriguez why she stopped them.

25 After a backup unit arrived, Rodriguez went to the backyard where
26 Gloria had gone and found a plastic container with a syringe inside. Officer
27 Pedro Castro searched Orozco's car and found several syringes, a small
28 plastic bag containing a brown powdery substance, several cotton swabs,
a spoon, and a lighter. Orozco and Gloria exhibited signs of being under
the influence of heroin and refused to provide a urine sample at the jail.

On April 29, 2008, the court denied Orozco's *Marsden* motion.

¹The Fifth District Court of Appeal's summary of the facts in its July 22, 2009 opinion is presumed correct.
28 U.S.C. § 2254(e)(1).

1 On May 12, 2008, the district attorney filed an information charging
2 Orozco and codefendant Gloria with transportation of heroin (count
3 1/Health & Saf. Code, § 11352, subd. (a)), possession of heroin (count
4 2/Health & Saf. Code, § 11350, subd. (a)), resisting arrest (count 3/Pen.
5 Code, § 148, subd. (a)(1)), and possession of drug paraphernalia (count
6 4/Health & Saf. Code, § 11364). The information also charged Orozco with
7 evading a police officer (count 5/Veh. Code, § 2800.1, subd. (a)), driving
8 while his driving privilege was suspended (count 6/Veh. Code, § 14601.2,
9 subd. (a)), two prior prison term enhancements (Pen. Code, § 667.5,
10 subd. (b)) and two prior convictions within the meaning of the three strikes
11 law.

12 On July 7, 2008, the prosecutor dismissed one of the alleged prior
13 strike convictions and Orozco pled guilty to all counts and admitted the
14 prior prison term enhancements and the remaining three strikes law
15 allegation. After Orozco waived a probation report, the court sentenced
16 him to a 12-year term, the aggravated term of five years on his
17 transportation of heroin conviction, doubled to ten years because of
18 Orozco's prior strike conviction, two one-year prior prison term
19 enhancements, and stayed or concurrent terms on the remaining counts.

20 People v. Orozco, 2009 Cal. App. Unpub. LEXIS 5997, 1-3, 2009 WL 2181755 (Cal.
21 App., July 22, 2009).

22 **II. DISCUSSION**

23 **A. Jurisdiction**

24 Relief by way of a petition for writ of habeas corpus extends to a person in
25 custody pursuant to the judgment of a state court if the custody is in violation of the
26 Constitution or laws or treaties of the United States. 28 U.S.C. § 2254(a); 28 U.S.C. §
27 2241(c)(3); Williams v. Taylor, 529 U.S. 362, 375 fn.7 (2000). Petitioner asserts that he
28 suffered violations of his rights as guaranteed by the U.S. Constitution. In addition, the
conviction challenged arises out of the Kings County Superior Court, which is located
within the jurisdiction of this court. 28 U.S.C. § 2241(d); 2254(a). Accordingly, the Court
has jurisdiction over the action.

29 **B. Legal Standard of Review**

30 On April 24, 1996, Congress enacted the Antiterrorism and Effective Death
31 Penalty Act of 1996 ("AEDPA"), which applies to all petitions for writ of habeas corpus
32 filed after its enactment. Lindh v. Murphy, 521 U.S. 320, 326 (1997); Jeffries v. Wood,
33 114 F.3d 1484, 1499 (9th Cir. 1997). The instant petition was filed after the enactment of
34 the AEDPA; thus, it is governed by its provisions.

1 Under AEDPA, an application for a writ of habeas corpus by a person in custody
2 under a judgment of a state court may be granted only for violations of the Constitution
3 or laws of the United States. 28 U.S.C. § 2254(a); Williams v. Taylor, 529 U.S. at 375 n.
4 7 (2000). Federal habeas corpus relief is available for any claim decided on the merits in
5 state court proceedings if the state court's adjudication of the claim:

6 (1) resulted in a decision that was contrary to, or involved an
7 unreasonable application of, clearly established federal law, as
determined by the Supreme Court of the United States; or

8 (2) resulted in a decision that was based on an unreasonable
9 determination of the facts in light of the evidence presented in the State
court proceeding.

10 28 U.S.C. § 2254(d).

11 1. Contrary to or an Unreasonable Application of Federal Law

12 A state court decision is "contrary to" federal law if it "applies a rule that
13 contradicts governing law set forth in [Supreme Court] cases" or "confronts a set of facts
14 that are materially indistinguishable from" a Supreme Court case, yet reaches a different
15 result." Brown v. Payton, 544 U.S. 133, 141 (2005) citing Williams, 529 U.S. at 405-06.
16 "AEDPA does not require state and federal courts to wait for some nearly identical
17 factual pattern before a legal rule must be applied. . . . The statute recognizes . . . that
18 even a general standard may be applied in an unreasonable manner" Panetti v.
19 Quarterman, 551 U.S. 930, 953 (2007) (citations and quotation marks omitted). The
20 "clearly established Federal law" requirement "does not demand more than a 'principle'
21 or 'general standard.'" Musladin v. Lamarque, 555 F.3d 830, 839 (2009). For a state
22 decision to be an unreasonable application of clearly established federal law under §
23 2254(d)(1), the Supreme Court's prior decisions must provide a governing legal principle
24 (or principles) to the issue before the state court. Lockyer v. Andrade, 538 U.S. 63, 70-
25 71 (2003). A state court decision will involve an "unreasonable application of" federal
26 law only if it is "objectively unreasonable." Id. at 75-76, quoting Williams, 529 U.S. at
27 409-10; Woodford v. Visciotti, 537 U.S. 19, 24-25 (2002). In Harrington v. Richter, the
28 Court further stresses that "an *unreasonable* application of federal law is different from

1 an *incorrect* application of federal law." 131 S. Ct. 770, 785 (2011), (citing Williams, 529
2 U.S. at 410) (emphasis in original). "A state court's determination that a claim lacks
3 merit precludes federal habeas relief so long as 'fairminded jurists could disagree' on the
4 correctness of the state court's decision." Id. at 786 (citing Yarborough v. Alvarado, 541
5 U.S. 653, 664 (2004)). Further, "[t]he more general the rule, the more leeway courts
6 have in reading outcomes in case-by-case determinations." Id.; Renico v. Lett, 130 S.
7 Ct. 1855, 1864 (2010). "It is not an unreasonable application of clearly established
8 Federal law for a state court to decline to apply a specific legal rule that has not been
9 squarely established by this Court." Knowles v. Mirzayance, 129 S. Ct. 1411, 1419
10 (2009), quoted by Richter, 131 S. Ct. at 786.

11 2. Review of State Decisions

12 "Where there has been one reasoned state judgment rejecting a federal claim,
13 later unexplained orders upholding that judgment or rejecting the claim rest on the same
14 grounds." See Ylst v. Nunnemaker, 501 U.S. 797, 803 (1991). This is referred to as the
15 "look through" presumption. Id. at 804; Plascencia v. Alameida, 467 F.3d 1190, 1198
16 (9th Cir. 2006). Determining whether a state court's decision resulted from an
17 unreasonable legal or factual conclusion, "does not require that there be an opinion from
18 the state court explaining the state court's reasoning." Richter, 131 S. Ct. at 784-85.
19 "Where a state court's decision is unaccompanied by an explanation, the habeas
20 petitioner's burden still must be met by showing there was no reasonable basis for the
21 state court to deny relief." Id. ("This Court now holds and reconfirms that § 2254(d) does
22 not require a state court to give reasons before its decision can be deemed to have been
23 'adjudicated on the merits.'").

24 Richter instructs that whether the state court decision is reasoned and explained,
25 or merely a summary denial, the approach to evaluating unreasonableness under §
26 2254(d) is the same: "Under § 2254(d), a habeas court must determine what arguments
27 or theories supported or, as here, could have supported, the state court's decision; then
28 it must ask whether it is possible fairminded jurists could disagree that those arguments

1 or theories are inconsistent with the holding in a prior decision of this Court." Id. at 786.
2 Thus, "even a strong case for relief does not mean the state court's contrary conclusion
3 was unreasonable." Id. (citing Lockyer v. Andrade, 538 U.S. at 75). AEDPA "preserves
4 authority to issue the writ in cases where there is no possibility fairminded jurists could
5 disagree that the state court's decision conflicts with this Court's precedents." Id. To put
6 it yet another way:

7 As a condition for obtaining habeas corpus relief from a federal
8 court, a state prisoner must show that the state court's ruling on the claim
9 being presented in federal court was so lacking in justification that there
 was an error well understood and comprehended in existing law beyond
 any possibility for fairminded disagreement.

10 Id. at 786-87. The Court then explains the rationale for this rule, i.e., "that state courts
11 are the principal forum for asserting constitutional challenges to state convictions." Id. at
12 787. It follows from this consideration that § 2254(d) "complements the exhaustion
13 requirement and the doctrine of procedural bar to ensure that state proceedings are the
14 central process, not just a preliminary step for later federal habeas proceedings." Id.
15 (citing Wainwright v. Sykes, 433 U.S. 72, 90 (1977)).

16 3. Prejudicial Impact of Constitutional Error

17 The prejudicial impact of any constitutional error is assessed by asking whether
18 the error had "a substantial and injurious effect or influence in determining the jury's
19 verdict." Brecht v. Abrahamson, 507 U.S. 619, 623 (1993); see also Fry v. Pliler, 551
20 U.S. 112, 121-22 (2007) (holding that the Brecht standard applies whether or not the
21 state court recognized the error and reviewed it for harmlessness). Some constitutional
22 errors, however, do not require that the petitioner demonstrate prejudice. See Arizona v.
23 Fulminante, 499 U.S. 279, 310 (1991); United States v. Cronin, 466 U.S. 648, 659
24 (1984). Furthermore, where a habeas petition governed by AEDPA alleges ineffective
25 assistance of counsel under Strickland v. Washington, 466 U.S. 668 (1984), the
26 Strickland prejudice standard is applied and courts do not engage in a separate analysis
27 applying the Brecht standard. Avila v. Galaza, 297 F.3d 911, 918, n. 7 (2002). Musalin
28 v. Lamarque, 555 F.3d at 834.

1 **III. REVIEW OF PETITION**

2 **A. Claims One and Two: Petitioner's Plea was Invalid**

3 As his first and second grounds for relief, Petitioner contends that his bargained-
4 for plea is invalid because there was insufficient factual basis for the plea (claim one),
5 and because he received no benefit by taking the plea (claim two). (Pet.)

6 Petitioner presented this claim on appeal to the Fifth District Court of Appeal. The
7 claim was denied in a reasoned decision by the Fifth District Court of Appeal and
8 summarily denied by the California Supreme Court. (See Lodged Docs. 2-4.) Because
9 the California Supreme Court's opinion is summary in nature, this Court "looks through"
10 that decision and presumes it adopted the reasoning of the California Court of Appeal,
11 the last state court to have issued a reasoned opinion. See Ylst v. Nunnemaker, 501
12 U.S. 797, 804-05 & n.3 (1991) (establishing, on habeas review, "look through"
13 presumption that higher court agrees with lower court's reasoning where former affirms
14 latter without discussion); see also LaJoie v. Thompson, 217 F.3d 663, 669 n.7 (9th Cir.
15 2000) (holding federal courts look to last reasoned state court opinion in determining
16 whether state court's rejection of petitioner's claims was contrary to or an unreasonable
17 application of federal law under 28 U.S.C. § 2254(d)(1)).

18 In denying Petitioner's claim, the appellate court explained:

19 ...Orozco contends: 1) the court did not ensure there was a factual
20 basis for his plea to transportation of heroin (count 1); 2) even though he
21 was innocent, he entered his plea because he wanted to help out a friend;
and 3) his plea is invalid and violates his right to due process because it
did not provide him with any reciprocal benefit. We disagree.

22 "Although section 1192.5 requires the trial court to satisfy
23 itself there is a factual basis for the plea, this can be done by
24 having the defendant describe the conduct or answer
25 questions, by detailing a factual basis, or by having defense
26 counsel stipulate to a particular document such as the
27 transcript of a preliminary hearing as providing a factual
28 basis for a plea. [Citation.] The trial court need not obtain an
element-by-element factual basis but need only obtain a
prima facie factual basis for the plea. [Citations.] '[A] trial
court possesses wide discretion in determining whether a
sufficient factual basis exists for a guilty plea. The trial
court's acceptance of the guilty plea, after pursuing an
inquiry to satisfy itself that there is a factual basis for the

1 plea, will be reversed only for abuse of discretion.'
2 [Citation.]" (People v. Marlin (2004) 124 Cal.App.4th 559,
3 571-572.)

4 Here, prior to taking Orozco's plea, the court elicited from him that
5 the heroin was in the car he was driving when he was stopped, that the
6 car moved from one location to another, and that he knew the heroin was
7 in the car. In accord with Marlin, *supra*, 124 Cal.App.4th 559, we find this
8 satisfied the court's obligation to ensure there was a factual basis for
9 Orozco's plea. Further, his admission of a factual basis for the plea
10 negates his contention that he is innocent and entered a plea only to help
11 a friend.

12 There is also no merit to Orozco's claim that he did not receive any
13 benefit from the plea bargain. Preliminarily, we note Orozco waived a
14 probation report in this matter and opted for immediate sentencing even
15 though the court advised him that he might receive a lesser sentence
16 depending on what the report showed. In any event, although the court
17 sentenced him to a 12-year term, the prosecutor dismissed one of the two
18 prior strike allegations and the court imposed concurrent, rather than
19 consecutive, terms on the four misdemeanors to which he pled guilty.
20 Thus the record also refutes Orozco's contention that the plea bargain did
21 not provide him with any benefits.

22 People v. Valdo, 2009 Cal. App. Unpub. LEXIS 5997 at 3-5.

23 1. Lack of a Factual Basis

24 Petitioner contends that the factual basis for the plea was insufficient to support
25 his guilty plea. However, Petitioner has failed to establish that the state court's decision
26 in that regard was contrary to, or involved an unreasonable application of, federal law.
27 As such, the Court recommends that this claim be denied.

28 The Ninth Circuit recently addressed whether a claim that a plea lacked a factual
basis had been recognized under federal law. Loftis v. Almager, 704 F.3d 645, 647-648
(9th Cir. 2012). The Court concluded that it is not a federally cognizable claim:

It is axiomatic that habeas relief lies only for violations of the
Constitution, laws, or treaties of the United States; errors of state law will
not suffice. *E.g.*, Estelle v. McGuire, 502 U.S. 62, 67-68, 112 S. Ct. 475,
116 L. Ed. 2d 385 (1991). Consequently, we must determine whether
[petitioner]'s factual basis claim raises a federal constitutional issue.

The Constitution requires that a plea be knowing, intelligent, and
voluntary. *E.g.*, Boykin v. Alabama, 395 U.S. 238, 244, 89 S. Ct. 1709, 23
L. Ed. 2d 274 (1969). The record must show that the defendant voluntarily
relinquished his privilege against self-incrimination, his right to trial by jury
and his right to confront his accusers, *e.g.*, United States v. Escamilla-
Rojas, 640 F.3d 1055, 1062 (9th Cir. 2011), *cert. denied*, 133 S. Ct. 101,
184 L. Ed. 2d 47, 81 U.S.L.W. 3161 (U.S. 2012), and that he understood
the nature of the charges and the consequences of his plea, *e.g.*, Tanner

1 v. McDaniel, 493 F.3d 1135, 1146-47 (9th Cir. 2007); Little v. Crawford,
2 449 F.3d 1075, 1080 (9th Cir. 2006).

3 Beyond these essentials, the Constitution "does not impose strict
4 requirements on the mechanics of plea proceedings." Escamilla-Rojas,
5 640 F.3d at 1062 (citing Brady v. United States, 397 U.S. 742, 747 n.4, 90
6 S. Ct. 1463, 25 L. Ed. 2d 747 (1970)). While Fed. R. Crim. P. 11 and its
7 state analogs require additional safeguards, violations of such rules do not
8 ordinarily render a plea constitutionally infirm and thus vulnerable to
9 collateral attack. See, e.g., United States v. Timmreck, 441 U.S. 780, 783-
10 84, 99 S. Ct. 2085, 60 L. Ed. 2d 634 (1979); see also Estelle, 502 U.S. at
11 68 n.2.

12 Among the requirements imposed on trial judges by rule — but not
13 the Constitution — is the finding of a factual basis. See, e.g., Higgason v.
14 Clark, 984 F.2d 203, 208 (7th Cir. 1993) ("Putting a factual basis for the
15 plea on the record has become familiar as a result of statutes and rules,
16 not as a result of constitutional compulsion."). Accordingly, habeas courts
17 have held that, unless a plea is accompanied by protestations of
18 innocence or other "special circumstances," the Constitution does not
19 require state judges to find a factual basis. E.g., Rodriguez v. Ricketts,
20 777 F.2d 527, 528 (9th Cir. 1985); see also Meyers v. Gillis, 93 F.3d 1147,
21 1151 (3d Cir. 1996) ("Put simply, the Due Process Clause of the
22 Fourteenth Amendment to the United States Constitution does not require
23 an on-the-record development of the factual basis supporting a guilty plea
24 before entry of the plea, and the failure of a state court to elicit a factual
25 basis before accepting a guilty plea does not in itself provide a ground for
26 habeas corpus relief under 28 U.S.C. § 2254."). Cf. Willett v. Georgia, 608
27 F.2d 538, 540 (5th Cir. 1979) (holding that under North Carolina v. Alford,
28 400 U.S. 25, 91 S. Ct. 160, 27 L. Ed. 2d 162 (1970), "when a defendant
pleads guilty while claiming his or her innocence, the court commits
constitutional error in accepting the plea unless the plea is shown to have
a factual basis").

[Petitioner] cannot obtain habeas relief because the state trial
court's failure to find a factual basis for his no contest plea —
unaccompanied by protestations of innocence — does not present a
constitutional issue cognizable under 28 U.S.C. § 2254. See, e.g., Bonior
v. Conerly, 416 Fed. App'x 475, 476 (6th Cir. 2010) (holding that a
prisoner's claim that there was an insufficient factual basis to support his
no contest plea to lesser charges was not cognizable in federal habeas
corpus); Green v. Koerner, 312 Fed. App'x 105, 108 (10th Cir. 2009)
(holding that a prisoner's claim that the state court lacked a factual basis
to support a no contest plea did not, by itself, present a basis to invalidate
her plea in a federal habeas corpus action); Foote v. Ward, 207 Fed.
App'x 927, 930 (10th Cir. 2006) (holding that only if the defendant claims
innocence does he obtain the added protection of Alford); Kirk v. Cline,
No. 09-3187-WEB, 2011 U.S. Dist. LEXIS 132990, 2011 WL 5597362, at
*8 (D. Kan. Nov. 17, 2011) (collecting cases and noting that the "due
process clause does not require more of a factual basis or other more
stringent standards for a no contest plea than a guilty plea"); see also Post
v. Bradshaw, 621 F.3d 406, 426-27 (6th Cir. 2010) (noting that failure to
find a factual basis for a no contest plea would be a violation only of state
law, not cognizable in habeas), cert. denied, 131 S. Ct. 2902, 179 L. Ed.
2d 1249 (2011). It is therefore unnecessary to decide whether the state
appellate court unreasonably determined that the record supplied an

adequate factual basis for [petitioner]'s plea.

Loftis, 704 F.3d at 647-648.

Thus, Petitioner's claim that his rights were violated based on an allegedly insufficient factual basis for his guilty plea is not a valid Constitutional claim because no right to a sufficient factual basis exists under the United States Constitution. Regardless, even if a sufficient factual basis were required, the state court, upon appellate review, found that the facts supported a conviction for possession and transportation of heroin as Petitioner admitted that he knew that heroin was in the car he was driving.

Petitioner is not entitled to relief on this claim. It is recommended that the claim be denied.

2. Lack of Benefit from Taking Plea

Petitioner also claims that he received no benefit from taking the plea. This claim likewise lacks merit.

In United States v. Alcala-Sanchez, 666 F.3d 571 (9th Cir. 2012), the Court of Appeals for the Ninth Circuit stated the relevant standard for breach of a plea agreement:

"[W]hen a plea rests in any significant degree on a promise or agreement of the prosecutor, so that it can be said to be part of the inducement or consideration, such promise must be fulfilled." Santobello v. New York, 404 U.S. 257, 262, 92 S.Ct. 495, 30 L.Ed.2d 427 (1971). A plea agreement is a contract, and the government is held to its literal terms. [United States v.] Mondragon, 228 F.3d [978, 980 (9th Cir. 2000)]. Requiring the government to strictly comply with the terms of a plea agreement encourages plea bargaining, "an essential component of the administration of justice," Santobello, 404 U.S. at 260, 92 S.Ct. 495, because it ensures that a defendant gets the benefit of his or her bargain—the presentation of a "united front" to the court. See United States v. Camarillo-Tello, 236 F.3d 1024, 1028 (9th Cir. 2001).

It does not matter that a breach is inadvertent, see Santobello, 404 U.S. at 262, 92 S.Ct. 495, or "that the statements or arguments the prosecutor makes in breach of the agreement do not influence the sentencing judge." Gunn v. Ignacio, 263 F.3d 965, 969-70 (9th Cir. 2001).

666 F.3d at 575.

Here, Petitioner asserts that he received no benefit in taking the plea, not that the State breached an agreement that was part of the plea. Petitioner fails to present any

1 federal authority supporting his argument that there must be a tangible benefit from a
2 plea. Petitioner voluntarily decided to plead guilty. He does not allege that the state in
3 any way failed to carry out its part of the plea bargain. Petitioner has not alleged a
4 meritorious federal claim. See Santobello, 404 U.S. at 262. Even if the law were to the
5 contrary, the state court found that Petitioner did receive a benefit in taking the plea.
6 During sentencing the trial court dismissed one of Petitioner's two prior strike allegations
7 and imposed concurrent, rather than consecutive sentences. Petitioner's claim for
8 habeas corpus relief based on an invalid plea agreement lacks merit and must be
9 denied.

10 **B. Claim Three: Ineffective Assistance of Counsel**

11 Petitioner asserts that trial counsel was ineffective for allowing Petitioner to plead
12 guilty and for failing to investigate, including failing to review of Petitioner's probation
13 report.¹

14 1. Law Applicable to Ineffective Assistance of Counsel Claims

15 The law governing ineffective assistance of counsel claims is clearly established
16 for the purposes of the AEDPA deference standard set forth in 28 U.S.C. § 2254(d).
17 Canales v. Roe, 151 F.3d 1226, 1229 (9th Cir. 1998). In a petition for writ of habeas
18 corpus alleging ineffective assistance of counsel, the Court must consider two factors.
19 Strickland v. Washington, 466 U.S. 668, 104 S. Ct. 2052, 80 L. Ed. 2d 674 (1984); Lowry
20 v. Lewis, 21 F.3d 344, 346 (9th Cir. 1994). First, the petitioner must show that counsel's
21 performance was deficient, requiring a showing that counsel made errors so serious that
22 he or she was not functioning as the "counsel" guaranteed by the Sixth Amendment.
23 Strickland, 466 U.S. at 687. The petitioner must show that counsel's representation fell
24 below an objective standard of reasonableness, and must identify counsel's alleged acts
25 or omissions that were not the result of reasonable professional judgment considering
26

27 ¹ Respondent asserts that Petitioner's ineffective assistance of counsel claim is untimely.
28 However, in the interest of judicial economy, the Court shall address the merits of the claim, rather than if it
is barred based on untimeliness.

1 the circumstances. Id. at 688; United States v. Quintero-Barraza, 78 F.3d 1344, 1348
2 (9th Cir. 1995). Judicial scrutiny of counsel's performance is highly deferential. A court
3 indulges a strong presumption that counsel's conduct falls within the wide range of
4 reasonable professional assistance. Strickland, 466 U.S. at 687; see also, Harrington v.
5 Richter, 131 S. Ct. 770, 178 L. Ed. 2d 624 (2011).

6 Second, the petitioner must demonstrate that "there is a reasonable probability
7 that, but for counsel's unprofessional errors, the result ... would have been different,"
8 Strickland, 466 U.S. at 694. Petitioner must show that counsel's errors were so
9 egregious as to deprive defendant of a fair trial, one whose result is reliable. Id. at 687.
10 The Court must evaluate whether the entire trial was fundamentally unfair or unreliable
11 because of counsel's ineffectiveness. Id.; Quintero-Barraza, 78 F.3d at 1348; United
12 States v. Palomba, 31 F.3d 1456, 1461 (9th Cir. 1994).

13 A court need not determine whether counsel's performance was deficient before
14 examining the prejudice suffered by the petitioner as a result of the alleged deficiencies.
15 Strickland, 466 U.S. at 697. Since the defendant must affirmatively prove prejudice, any
16 deficiency that does not result in prejudice must necessarily fail. However, there are
17 certain instances which are legally presumed to result in prejudice, e.g., where there has
18 been an actual or constructive denial of the assistance of counsel or where the State has
19 interfered with counsel's assistance. Id. at 692; United States v. Cronin, 466 U.S., at 659,
20 and n.25 (1984).

21 As the Supreme Court reaffirmed recently in Harrington v. Richter, meeting the
22 standard for ineffective assistance of counsel in federal habeas is extremely difficult:

23 The pivotal question is whether the state court's application of the
24 Strickland standard was unreasonable. This is different from asking
25 whether defense counsel's performance fell below Strickland's standard.
26 Were that the inquiry, the analysis would be no different than if, for
27 example, this Court were adjudicating a Strickland claim on direct review
28 of a criminal conviction in a United States district court. Under AEDPA,
though, it is a necessary premise that the two questions are different. For
purposes of § 2254(d)(1), "an unreasonable application of federal law is
different from an incorrect application of federal law." Williams, *supra*, at
410, 120 S. Ct. 1495, 146 L. Ed. 2d 389. A state court must be granted a
deference and latitude that are not in operation when the case involves

1 review under the Strickland standard itself.

2 A state court's determination that a claim lacks merit precludes
3 federal habeas relief so long as "fairminded jurists could disagree" on the
4 correctness of the state court's decision. Yarborough v. Alvarado, 541
5 U.S. 652, 664, 124 S. Ct. 2140, 158 L. Ed. 2d 938 (2004). And as this
6 Court has explained, "[E]valuating whether a rule application was
7 unreasonable requires considering the rule's specificity. The more general
8 the rule, the more leeway courts have in reaching outcomes in case-by-
9 case determinations." Ibid. "[I]t is not an unreasonable application of
10 clearly established Federal law for a state court to decline to apply a
11 specific legal rule that has not been squarely established by this Court."
12 Knowles v. Mirzayance, 556 U.S. 111, 129 S. Ct. 1411, 1419, 173 L. Ed.
13 2d 251, 261 (2009) (internal quotation marks omitted).

14 Harrington v. Richter, 131 S. Ct. at 785-86.

15 "It bears repeating that even a strong case for relief does not mean the state
16 court's contrary conclusion was unreasonable." Id. at 786. "As amended by AEDPA, §
17 2254(d) stops short of imposing a complete bar on federal court relitigation of claims
18 already rejected in state proceedings." Id. "As a condition for obtaining habeas corpus
19 from a federal court, a state prisoner must show that the state court's ruling on the claim
20 being presented in federal court was so lacking in justification that there was an error
21 well understood and comprehended in existing law beyond any possibility for fairminded
22 disagreement." Id. at 786-87.

23 Accordingly, even if Petitioner presents a strong case of ineffective assistance of
24 counsel, this Court may only grant relief if no fairminded jurist could agree on the
25 correctness of the state court decision.

26 2. Analysis

27 Here, the last reasoned decision of the state court summarily denied Petitioner's
28 ineffective assistance of counsel claim.² Determining whether a state court's decision
resulted from an unreasonable legal or factual conclusion, "does not require that there
be an opinion from the state court explaining the state court's reasoning." Harrington,
131 S. Ct. at 784-85. "Where a state court's decision is unaccompanied by an

² The California Court of Appeal, in a footnote, stated: "[Petitioner] also contends he was denied the effective assistance of counsel. We reject this contention because he has not advanced any argument or authority in support thereof. (People v. O'Neil (2008) 165 Cal.App.4th 1351, 1355, fn. 2.)" (Lodged Doc. 2 at 4.)

1 explanation, the habeas petitioner's burden still must be met by showing there was no
2 reasonable basis for the state court to deny relief." Id. ("This Court now holds and
3 reconfirms that § 2254(d) does not require a state court to give reasons before its
4 decision can be deemed to have been 'adjudicated on the merits.'").

5 Harrington instructs that whether the state court decision is reasoned and
6 explained, or merely a summary denial, the approach to evaluating unreasonableness
7 under § 2254(d) is the same: "Under § 2254(d), a habeas court must determine what
8 arguments or theories supported or, as here, could have supported, the state court's
9 decision; then it must ask whether it is possible fairminded jurists could disagree that
10 those arguments or theories are inconsistent with the holding in a prior decision of this
11 Court." Id. at 786.

12 Here the state court was reasonable in determining that counsel did not fall below
13 an objective standard of reasonableness, or, alternatively, that Petitioner was not
14 prejudiced by counsel's conduct. Petitioner contends that trial counsel "simply took the
15 word of Petitioner," failed to investigate and waived the probation officer's report. (Pet. at
16 20.) If counsel had investigated, Petitioner asserts that she would have discovered that
17 he was "taking the rap" for his codefendant. (Id.) Even though Petitioner explains that
18 "there is nothing counsel did or said that would have changed [his] mind," Petitioner
19 argues that his "desire to take the rap, to accept responsibility for the actions of another,
20 should not have prevented trial counsel from exploring potentially meritorious defenses."
21 (Id. at 22.)

22 Despite Petitioner's protestations, he has failed to provide any evidence to
23 support a defense in the case. First, Petitioner admits that he was intent on pleading
24 guilty to the crime in an attempt to assist his co-defendant. Even if counsel advised
25 Petitioner of potential defenses, it appears unlikely that he would have changed his mind
26 about pleading guilty. Counsel cannot be stated to have fallen below an objective
27 standard of care by not spending significant effort to explore potential defenses if
28 Petitioner was unwilling to assert them.

1 Furthermore, the facts, as stated by the California Court of Appeal, and presumed
2 correct (28 U.S.C. § 2254(e)(1)), explain that the drugs were found in the car that
3 Petitioner was driving and that he exhibited signs of being under the influence at the time
4 of the arrest. (Lodged Doc. 2 at 2.) Petitioner fails to present any viable argument that
5 legitimate defenses existed that defense counsel could have communicated to
6 Petitioner. The state court's decision that counsel performance with regard to Petitioner's
7 claims of failure to investigate or advise Petitioner of viable defenses did not fall below
8 an objective standard of professional conduct was not unreasonable.

9 Likewise, Petitioner has not has not shown that he was prejudiced by counsel's
10 conduct. Petitioner has not shown that there were any legitimate defenses that counsel
11 could have presented or that it was reasonably likely that counsel could have been able
12 to prevent Petitioner from pleading guilty. Accordingly, the state court did not apply any
13 federal law in a manner that was contrary to, or involved an unreasonable application of,
14 the rule in Strickland, or that it based its holding on an unreasonable determination of the
15 facts in light of the evidence presented. 28 U.S.C. § 2254(d). Accordingly, Petitioner's
16 third claim for relief is denied.

17 **IV. CONCLUSION**

18 Petitioner is not entitled to relief with regard to the claims presented in the instant
19 petition. The Court therefore orders that the petition be DENIED.

20 **V. CERTIFICATE OF APPEALABILITY**

21 A state prisoner seeking a writ of habeas corpus has no absolute entitlement to
22 appeal a district court's denial of his petition, and an appeal is only allowed in certain
23 circumstances. Miller-El v. Cockrell, 537 U.S. 322, 335-36 (2003). The controlling
24 statute in determining whether to issue a certificate of appealability is 28 U.S.C. § 2253,
25 which provides as follows:

26 (a) In a habeas corpus proceeding or a proceeding under section 2255
27 before a district judge, the final order shall be subject to review, on appeal,
by the court of appeals for the circuit in which the proceeding is held.

28 (b) There shall be no right of appeal from a final order in a proceeding to

1 test the validity of a warrant to remove to another district or place for
2 commitment or trial a person charged with a criminal offense against the
United States, or to test the validity of such person's detention pending
removal proceedings.

3 (c) (1) Unless a circuit justice or judge issues a certificate of
4 appealability, an appeal may not be taken to the court of appeals from—

5 (A) the final order in a habeas corpus
6 proceeding in which the detention complained
of arises out of process issued by a State
court; or

7 (B) the final order in a proceeding under
8 section 2255.

9 (2) A certificate of appealability may issue under paragraph
10 (1) only if the applicant has made a substantial showing of
the denial of a constitutional right.

11 (3) The certificate of appealability under paragraph (1) shall
12 indicate which specific issue or issues satisfy the showing
required by paragraph (2).

13 If a court denies a petitioner's petition, the court may only issue a certificate of
14 appealability "if jurists of reason could disagree with the district court's resolution of his
15 constitutional claims or that jurists could conclude the issues presented are adequate to
16 deserve encouragement to proceed further." Miller-El, 537 U.S. at 327; Slack v.
17 McDaniel, 529 U.S. 473, 484 (2000). While the petitioner is not required to prove the
18 merits of his case, he must demonstrate "something more than the absence of frivolity or
19 the existence of mere good faith on his . . . part." Miller-El, 537 U.S. at 338.

20 In the present case, the Court finds that no reasonable jurist would find the
21 Court's determination that Petitioner is not entitled to federal habeas corpus relief wrong
22 or debatable, nor would a reasonable jurist find Petitioner deserving of encouragement
23 to proceed further. Petitioner has not made the required substantial showing of the
24 denial of a constitutional right. Accordingly, the Court hereby **DECLINES** to issue a
25 certificate of appealability.

26 **ORDER**

27 Accordingly, IT IS HEREBY ORDERED:

28 1) The petition for writ of habeas corpus is DENIED;

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- 2) The Clerk of Court is DIRECTED to enter judgment and close the case; and
- 3) The Court DECLINES to issue a certificate of appealability.

IT IS SO ORDERED.

Dated: May 31, 2013

/s/ Michael J. Seng
UNITED STATES MAGISTRATE JUDGE