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UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA

EMERY L. FRANKLIN III,  
Plaintiff,  
vs.  
UNITED STATES,  
Defendant.

1:11-cv-00173-EPG-PC  
ORDER GRANTING DEFENDANT  
UNITED STATES' MOTION FOR  
SUMMARY JUDGMENT  
(ECF No. 31.)  
ORDER FOR CLERK TO CLOSE THIS  
CASE AND ENTER JUDGMENT IN  
FAVOR OF DEFENDANT UNITED  
STATES

Plaintiff's Second Amended Complaint alleges that Defendant United States ("Defendant") breached its duty to provide medical care to Plaintiff when Rufo Refendor, a Physician's Assistant employed at the United States Penitentiary-Atwater, failed to order a prescription given to Plaintiff by an outside medical provider. (ECF No. 17.) For the reasons below, Defendant shall be granted summary judgment because (1) there was no prescription, and (2) the medications Plaintiff needed were available to him at the federal penitentiary.

**I. BACKGROUND**

On January 31, 2011, Emery L. Franklin III ("Plaintiff"), a federal prisoner proceeding *pro se*, filed this civil action pursuant to Bivens vs. Six Unknown Agents, 403 U.S. 388 (1971) and the Federal Tort Claims Act, 28 U.S.C. § 1346(b). (ECF No. 1.)

1 The parties to this case have consented to the jurisdiction of a Magistrate Judge under  
2 28 U.S.C. § 636(c), and therefore the undersigned shall conduct all further proceedings in this  
3 case, including trial and final judgment. (ECF Nos. 40, 41.)

4 On June 13, 2013, the Court dismissed Plaintiff's Complaint for failure to state a claim,  
5 with leave to amend. (ECF No. 6.) On June 26, 2013, Plaintiff filed the First Amended  
6 Complaint. (ECF No. 7.) On May 8, 2014, the Court consolidated Plaintiff's case 1:11-cv-  
7 000470-GSA-PC (Franklin v. United States, et al.) with this case, based on common questions  
8 of fact, and ordered Plaintiff to file an amended complaint in the consolidated action. (ECF  
9 No. 16.) On May 21, 2014, Plaintiff filed the Second Amended Complaint, which the Court  
10 found states a cognizable claim. (ECF Nos. 17, 25.) This case now proceeds on the Second  
11 Amended Complaint against defendant United States for negligence under the Federal Tort  
12 Claims Act.<sup>1</sup>

13 On May 26, 2015, Defendant filed a Rule 12(b)(6) motion to dismiss, or in the  
14 alternative, for summary judgment. (ECF No. 31.) On June 17, 2015, Plaintiff filed an  
15 opposition to the motion.<sup>2</sup> (ECF Nos. 32-34.) On June 30, 2015, Defendant filed a reply.  
16 (ECF No. 37.) Defendant's motion is now before the Court. Local Rule 230(l).

17 **II. PLAINTIFF'S ALLEGATIONS**

18 Plaintiff is a prisoner in the custody of the federal Bureau of Prisons (BOP), presently  
19 incarcerated at the United States Penitentiary (USP)-Lompoc in Lompoc, California. The  
20 events at issue in the Complaint allegedly occurred at USP-Atwater in Atwater, California,  
21 when Plaintiff was incarcerated there. Plaintiff's factual allegations follow.

22 On April 19, 2010, while in the custody of the BOP, Plaintiff had hemorrhoid surgery at  
23 Mercy Medical Center in Merced, California. Prior to discharge the doctor prescribed a stool  
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25 <sup>1</sup> On March 17, 2015, the Court dismissed all other claims and defendants from this action based  
26 on Plaintiff's failure to state a claim. (ECF No. 25.)

27 <sup>2</sup> Concurrently with their motion for summary judgment, Defendant served Plaintiff with the  
28 requisite notice of the requirements for opposing the motion. Woods v. Carey, 684 F.3d 934, 939-41 (9th Cir. 2012); Rand v. Rowland, 154 F.3d 952, 960-61 (9th Cir. 1998). (ECF No. 31 at 2-3.)

1 softener, Pepcid, and Tylenol (non-aspirin). The next day Plaintiff was escorted to USP-  
2 Atwater and saw the on-duty Physician’s Assistant, Rufo Refendor. Refendor reviewed  
3 Plaintiff’s hospital discharge records and said that he wasn’t going to order the prescription.  
4 Plaintiff had spent five days in the hospital, had a colonoscopy and hemorrhoid surgery, and  
5 had a serious need for the medication.

6 On April 21, 2010 while defecating, Plaintiff lost a lot of blood and heard a loud plump  
7 in the water. He looked and the toilet water was dark red. Plaintiff suffered agonizing pain,  
8 fear of dying, and loss of four pints/units of blood. Plaintiff was re-admitted to the hospital on  
9 April 22, 2010 at about 2 a.m.

10 Plaintiff requests monetary damages as relief.

11 **III. NEGLIGENCE CLAIM**

12 In California, the elements of a negligence cause of action are the existence of a legal  
13 duty of care, breach of that duty, and the breach as the proximate cause of the resulting injury.  
14 Flores v. Emerich & Fike, No. 1:05-CV-0291 AWI DLB, 2008 WL 2489900, at \*35 (E.D. Cal.  
15 June 18, 2008), citing Ladd v. County of San Mateo, 12 Cal.4th 913, 917-18 (1996); Mendoza  
16 v. City of Los Angeles, 66 Cal.App.4th 1333, 1339 (1998). “The elements of a medical  
17 malpractice claim are (1) the duty of the professional to use such skill, prudence, and diligence  
18 as other members of his profession commonly possess and exercise; (2) a breach of that duty;  
19 (3) a proximate causal connection between the negligent conduct and resulting injury; and (4)  
20 actual loss or damage resulting from the professional's negligence.” Clifton v. Pierre, No. 1:13-  
21 CV-01325 DLB PC, 2014 WL 6773765, at \*4 (E.D. Cal. Nov. 7, 2014), quoting Avivi v.  
22 Centro Medico Urgente Medical Center, 159 Cal.App.4th 463, 468, n. 2 (2008). A public  
23 entity or a public employee (acting within the scope of his employment) is liable for failing to  
24 take action in response to a “prisoner” in need of immediate medical care. Gov.Code, § 845.6;  
25 Lawson v. Superior Court of San Diego County, 180 Cal.App.4th 1372, 1383-84 (2010).

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1 **IV. RULE 12(b)(6) MOTION TO DISMISS FOR FAILURE TO STATE A CLAIM**

2 **A. Legal Standards**

3 In considering a motion to dismiss, the Court must accept all allegations of material fact  
4 in the complaint as true. Erickson v. Pardus, 551 U.S. 89, 93–94 (2007); Hosp. Bldg. Co. v.  
5 Rex Hosp. Trustees, 425 U.S. 738, 740 (1976). The Court must also construe the alleged facts  
6 in the light most favorable to the plaintiff. Scheuer v. Rhodes, 416 U.S. 232, 236 (1974),  
7 overruled on other grounds by Davis v. Scherer, 468 U.S. 183 (1984); Barnett v. Centoni, 31  
8 F.3d 813, 816 (9th Cir.1994) (*per curiam*). All ambiguities or doubts must also be resolved in  
9 the plaintiff's favor. See Jenkins v. McKeithen, 395 U.S. 411, 421(1969). In addition, *pro se*  
10 pleadings are held to a less stringent standard than those drafted by lawyers. See Haines v.  
11 Kerner, 404 U.S. 519, 520 (1972).

12 A motion to dismiss pursuant to Rule 12(b)(6) operates to test the sufficiency of the  
13 complaint. Rule 8(a)(2) requires only “a short and plain statement of the claim showing that  
14 the pleader is entitled to relief” in order to “give the defendant fair notice of what the ... claim is  
15 and the grounds upon which it rests.” Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 555  
16 (2007) (quoting Conley v. Gibson, 355 U.S. 41, 47 (1957)). “The issue is not whether a  
17 plaintiff will ultimately prevail but whether the claimant is entitled to offer evidence to support  
18 the claims.” Scheuer, 416 U.S. at 236 (1974).

19 The first step in testing the sufficiency of the complaint is to identify any conclusory  
20 allegations. Ashcroft v. Iqbal, 556 U.S. 662, 679 (2009). “Threadbare recitals of the elements  
21 of a cause of action, supported by mere conclusory statements, do not suffice.” Id. at 1949  
22 (citing Twombly, 550 U.S. at 555). “[A] plaintiff’s obligation to provide the grounds of his  
23 entitlement to relief requires more than labels and conclusions, and a formulaic recitation of the  
24 elements of a cause of action will not do.” Twombly, 550 U.S. at 555 (citations and quotation  
25 marks omitted).

26 After assuming the veracity of all well-pleaded factual allegations, the second step is for  
27 the Court to determine whether the complaint pleads “a claim to relief that is plausible on its  
28 face.” Iqbal, 556 U.S. at 678 (citing Twombly, 550 U.S. at 556) (rejecting the traditional

1 12(b)(6) standard set forth in Conley, 355 U.S. at 45-46). A claim is facially plausible when  
2 the plaintiff “pleads factual content that allows the court to draw the reasonable inference that  
3 the defendant is liable for the misconduct alleged.” Id. at 678 (citing Twombly, 550 U.S. at  
4 556). The standard for plausibility is not akin to a “probability requirement,” but it requires  
5 “more than a sheer possibility that a defendant has acted unlawfully.” Id.

6 In deciding a Rule 12(b)(6) motion, the Court generally may not consider materials  
7 outside the complaint and pleadings. Cooper v. Pickett, 137 F.3d 616, 622 (9th Cir. 1998);  
8 Branch v. Tunnell, 14 F.3d 449, 453 (9th Cir. 1994).<sup>3</sup>

9 **B. Defendant’s Motion to Dismiss**

10 Defendant argues that Plaintiff fails to state a plausible claim that any medical provider  
11 breached a duty of care or was in any way negligent. Defendant contends that Plaintiff does  
12 not have sufficient admissible evidence on the breach of any standard of care or causation of  
13 any damages that any rational trier of fact could find in his favor. Defendant argues that  
14 Plaintiff’s Second Amended Complaint contains only threadbare recitals and conclusory  
15 statements, which do not satisfy Rule 8 or provide enough factual support to survive a motion  
16 to dismiss.

17 The Court looks to Plaintiff’s allegations in the Second Amended Complaint, taking as  
18 true any of Plaintiff’s well-pleaded factual allegations and construing the alleged facts in the  
19 light most favorable to Plaintiff. Plaintiff did not submit any evidence with the Second  
20 Amended Complaint. Here, the Court finds that Plaintiff’s Second Amended Complaint  
21 satisfies the requirements of Rule 8. Plaintiff alleges that Rufo Refendor, an on-duty  
22 Physician’s Assistant at USP-Atwater, owed him a duty to provide him with adequate medical  
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24 <sup>3</sup> Defendant requests the Court to take judicial notice of Plaintiff’s original Complaint and its  
25 exhibits, filed on March 21, 2011 (ECF No. 1) from consolidated case 1:11-cv-000470-GSA-PC (Franklin v.  
26 United States, et al.), and the declaration of Sherry Franco, in support of its motion to dismiss, or in the alternative,  
27 motion for summary judgment. Defendant has not offered any argument why the Court should dispense with the  
28 general rule not to consider materials outside of the operative complaint when deciding a Rule 12(b)(6) motion to  
dismiss. Moreover, an amended complaint supercedes the original complaint, Lacey v. Maricopa County, 693 F.  
3d. 896, 907 n.1 (9th Cir. 2012) (en banc). Therefore, the Court shall not consider any of Plaintiff’s prior  
complaints in deciding whether the Second Amended Complaint states a claim. Therefore, the Court denies the  
request for judicial notice in support of the motion to dismiss. With respect to judicial notice in support of the  
motion for summary judgment, the Court shall consider Defendant’s request later in this order.

1 care and breached that duty when he refused to order the medication the doctor at Mercy  
2 Medical Center had prescribed to Plaintiff after he had hemorrhoid surgery there. Plaintiff  
3 alleges that as a result of Rufo Refendor’s conduct, he suffered agonizing pain, fear of dying,  
4 and loss of four pints of blood. Based on these allegations, the Court finds that Plaintiff states a  
5 cognizable claim for negligence under the FTCA, against defendant United States for the  
6 conduct of Rufo Refendor. Therefore, Defendant’s motion to dismiss Plaintiff’s negligence  
7 claim is denied.

## 8 **V. MOTION FOR SUMMARY JUDGMENT**

### 9 **A. Legal Standard**

10 Any party may move for summary judgment, and the Court shall grant summary  
11 judgment if the movant shows that there is no genuine dispute as to any material fact and the  
12 movant is entitled to judgment as a matter of law. Fed. R. Civ. P. 56(a) (quotation marks  
13 omitted); Washington Mutual Inc. v. U.S., 636 F.3d 1207, 1216 (9th Cir. 2011). Each party’s  
14 position, whether it be that a fact is disputed or undisputed, must be supported by (1) citing to  
15 particular parts of materials in the record, including but not limited to depositions, documents,  
16 declarations, or discovery; or (2) showing that the materials cited do not establish the presence  
17 or absence of a genuine dispute or that the opposing party cannot produce admissible evidence  
18 to support the fact. Fed. R. Civ. P. 56(c)(1). The Court may consider other materials in the  
19 record not cited to by the parties, but it is not required to do so. Fed. R. Civ. P. 56(c)(3);  
20 Carmen v. San Francisco Unified School Dist., 237 F.3d 1026, 1031 (9th Cir. 2001); accord  
21 Simmons v. Navajo County, Ariz., 609 F.3d 1011, 1017 (9th Cir. 2010).

22 Defendant does not bear the burden of proof at trial and in moving for summary  
23 judgment, he need only prove an absence of evidence to support Plaintiff’s case. In re Oracle  
24 Corp. Securities Litigation, 627 F.3d 376, 387 (9th Cir. 2010) (citing Celotex Corp. v. Catrett,  
25 477 U.S. 317, 323 (1986)). If Defendant meets his or her initial burden, the burden then shifts  
26 to Plaintiff “to designate specific facts demonstrating the existence of genuine issues for trial.”  
27 Id. (citing Celotex Corp., 477 U.S. at 323). This requires Plaintiff to “show more than the mere

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1 existence of a scintilla of evidence.” Id. (citing Anderson v. Liberty Lobby, Inc., 477 U.S. 242,  
2 252 (1986)).

3 In judging the evidence at the summary judgment stage, the Court may not make  
4 credibility determinations or weigh conflicting evidence, Soremekun v. Thrifty Payless, Inc.,  
5 509 F.3d 978, 984 (9th Cir. 2007), and it must draw all inferences in the light most favorable to  
6 the nonmoving party and determine whether a genuine issue of material fact precludes entry of  
7 judgment, Comite de Jornaleros de Redondo Beach v. City of Redondo Beach, 657 F.3d 936,  
8 942 (9th Cir. 2011), cert. denied, 132 S.Ct. 1566 (2012). The Court determines only whether  
9 there is a genuine issue for trial and in doing so, it must liberally construe Plaintiff’s filings  
10 because he is a *pro se* prisoner. Thomas v. Ponder, 611 F.3d 1144, 1150 (9th Cir. 2010).

11 **B. Defendant’s Request for Judicial Notice**

12 Under Federal Rule of Evidence 201(b) (“Rule 201”), “The court may judicially notice  
13 a fact that is not subject to reasonable dispute because it: (1) is generally known within the trial  
14 court’s territorial jurisdiction; or (2) can be accurately and readily determined from sources  
15 whose accuracy cannot reasonably be questioned.” Judicial notice is particularly appropriate  
16 for the Court’s own records in prior litigation related to the case before it. Amphibious  
17 Partners, LLC v. Redman, 534 F.3d 1357, 1361–62 (10th Cir. 2008) (district court was entitled  
18 to take judicial notice of its memorandum of order and judgment from previous case involving  
19 same parties); see also United States v. Howard, 381 F.3d 873, 876 n. 1 (9th Cir. 2004) (taking  
20 judicial notice of court records in another case). Documents that are part of the public record  
21 may be judicially noticed to show, for example, that a judicial proceeding occurred or that a  
22 document was filed in another Court case, but a Court may not take judicial notice of findings  
23 of facts from another case. See Wyatt v. Terhune, 315 F.3d 1108, 1114 & n. 5 (9th Cir. 2003);  
24 Lee v. City of Los Angeles, 250 F.3d 668, 689 (9th Cir. 2001); Jones, 29 F.3d at 1553. Nor  
25 may the Court take judicial notice of any matter that is in dispute. Lee, 250 F.3d at 689–90;  
26 Lozano v. Ashcroft, 258 F.3d 1160, 1165 (10th Cir. 2001).

27 Defendant United States requests that the Court take notice of the following documents  
28 and adjudicative facts pursuant to Rule 201 of the Federal Rules of Evidence:

1 Exhibit “1”. Complaint filed under penalty of perjury by Emery L. Franklin,  
2 III in the United States District Court, Eastern District of  
3 California, Fresno Division, in the case styled Emery L. Franklin,  
III, v. United States, Case No. 1:11-cv-00470 GSA-PC, on March  
21, 2011, Docket No. 1.

4 Exhibit “2”. Declaration of Sherry Franco filed in the United States District  
5 Court, Eastern District of California, Fresno Division, in the case  
6 styled Emery L. Franklin, III, v. United States, Case No. 1:11-cv-  
00470 GSA-PC, on December 16, 2013, Docket No. 15,  
attachment #2.

7 Plaintiff has not opposed Defendant’s request.

8 The Court-filed complaint and declaration are properly subject to judicial notice as  
9 Court records in a related case. However, a Court can only take judicial notice of the *existence*  
10 of those matters of public record (the existence of a motion or of representations having been  
11 made therein) but not of the *veracity* of the arguments and disputed facts contained therein. *Id.*  
12 (quoting Robinson, 971 F.2d at 248). That said, the Court notes that the prior complaint was  
13 filed by the Plaintiff, who endorsed its veracity through filing. Moreover, “the amended  
14 complaint may only allege ‘other facts consistent with the challenged pleading.’” Reddy v.  
15 Litton Industries, Inc., 912 F.2d 291, 296-97 (9th Cir. 1990), accord United States v. Corinthian  
16 Colleges, 655 F.3d 984, 995 (9th Cir. 2011). The Court therefore grants Defendant’s request  
17 for judicial notice.

18 **C. Defendant’s Undisputed Facts**

19 Defendant argues that under the following undisputed facts, Plaintiff cannot show any  
20 negligence. On April 20, 2010, the hospital sent Franklin’s “Patient’s Home + Current  
21 Medications for Discharge” (“Medication List”) to Health Services at USP Atwater via  
22 facsimile. (Request for Judicial Notice (“RJN”) Ex. 1, Compl. at p. 5, Ex. 4; RJN Ex. 2,  
23 Declaration of Sherry Franco (“Franco Decl.”), ¶9.) The Medication List is not a prescription.  
24 (RJN Ex. 1, Compl. at Ex. 4; RJN Ex. 2, Franco Decl. at Ex. 1.) The hospital did not provide  
25 BOP with a prescription for a stool softener for Franklin at the relevant time. (RJN Ex. 2,  
26 Franco Decl. at ¶ 9.) Mr. Refendor examined the Medication List and told Franklin, “these  
27 medications are what they ordered for you. But you already have them in your cell. So I’m not  
28 going to order you anything.” (RJN Ex. 1, Compl. at p. 5.) Mr. Refendor made a written note



1 stating Franklin has Metamucil in his cell and gave him a lay-in for 7 days. (Id. at Compl. at  
2 Ex. 2; RJN No. 2, Franco Decl. at Ex. 2.) Mr. Refendor requested that the encounter be  
3 reviewed by BOP supervising physician, Jon F. Franco M.D., who co-signed the note the same  
4 day. (RJN Ex. 1, Compl. at Ex. 2; RJN Ex., 2, Franco Decl. at Ex. 2.) Stool softeners were  
5 advertised for sale over-the-counter at the USP Atwater commissary. (RJN Ex. 1, Compl. at  
6 Ex. 1, p. 15, (Fiber Laxative \$5.35, Milk of Magnesia \$2.50) and p. 17 (Docusate Sodium  
7 (Stool Softener) \$3.65); see also Ex. 5 (Milk of Magnesia \$2.50 and Fiber Powder (laxative)  
8 \$5.35)). The following morning on April 21, 2010, Franklin went to the “pill line” and asked  
9 Mr. Refendor for a pain reliever, which he provided. (RJN Ex. 1, Compl. at p. 7.)

10 **D. Defendant’s Burden**

11 The Court finds that Defendant has met its burden on summary judgment for Plaintiff’s  
12 negligence claim against Defendant. Defendant’s evidence shows that there was no  
13 “prescription” given to Plaintiff by the doctor at the outside hospital, and therefore Defendant  
14 could not have breached a duty to order a “prescription” for Plaintiff. Undisputed evidence  
15 shows that while Plaintiff’s Second Amended Complaint alleges there was a “prescription” for  
16 stool softeners (ECF No. 17 at 5 ¶IV), Plaintiff testified in a prior verified complaint that “on  
17 page two of that document it says, “THIS IS NOT A PRESCRIPTION – Used as a tool for  
18 clarification of discharge medications,” which is evidence that Plaintiff is actually referring to  
19 the Medication List, which is not a “prescription.” (Complaint, Exh. 1 to Defendant’s Request  
20 for Judicial Notice (“RJN”), ECF No. 31-3, at p. 5.) Defendant’s evidence shows that Sherry  
21 Franco, Medical Record Technician at USP-Atwater, declared that “BOP medical records do  
22 not reflect any prescription stool softeners for Emery Franklin by MMC on April 20, 2010, . .  
23 [but] sent a facsimile of a Medication List . . . for Emery Franklin . . . [which] includes an  
24 advisory ‘\*THIS IS NOT A PRESCRIPTION – USED AS A TOOL FOR CLARIFICATION  
25 OF DISCHARGE MEDICATIONS\*,’ and MMC did not create a separate prescription . . . for  
26 Emery Franklin.” (Franco Decl., Exh. 1 to RJN, at ¶ 9.)

27 Defendant also argues that there was no breach of care because the medications needed  
28 by Plaintiff were available for purchase by Plaintiff in the prison commissary, as shown by the

1 copies of commissary shopping lists submitted by Plaintiff with his Complaint. (Exhs. 1, 2,  
2 and 5 to Complaint.) Given that evidence, Plaintiff cannot establish that Defendant breached a  
3 duty of care to Plaintiff by failing to provide medical care or medications to him. The burden  
4 now shifts to Plaintiff to designate specific facts demonstrating the existence of genuine issues  
5 for trial on his negligence claim.

6 **E. Plaintiff's Position**

7 Plaintiff's evidence consists of Plaintiff's Affidavit and its exhibits.

8 Plaintiff testifies that on April 19, 2010, he had hemorrhoid surgery at Mercy Medical  
9 Center. (Plaintiff's Affidavit, ECF No. 33 ¶4.) On April 19, 2010, prior to discharge, Dr.  
10 Malabed told Plaintiff that she was ordering stool softener, Pepsid, and Tylenol, which Plaintiff  
11 would have at USP Atwater. (Id. ¶5.) Plaintiff's Exhibit B is a copy of Meditech Report  
12 #0505-0185 by Dr. Malabed dated 5/16/10 which states that on 4/20/10 Plaintiff "tolerated this  
13 procedure well and he was subsequently discharged back to the USP in stable condition to take  
14 stool softeners and continue PPI Prophylaxis." (Id. Exh. B.)

15 When he returned to USP Atwater on April 20, 2010, Plaintiff saw his primary health  
16 care provider, Mr. Refendor, who is not a doctor, who reviewed Plaintiff's medical records. (Id.  
17 ¶6.) Plaintiff told Mr. Refendor that he had just had surgery and that Dr. Malabed ordered stool  
18 softeners, Tylenol, and Pepsid. (Id. ¶7.) Plaintiff's Exhibit F is an undated, unsigned copy of  
19 the Complaint which Plaintiff signed under penalty of perjury and filed On May 21, 2011 in  
20 Plaintiff's case 1:11-cv-00470-GSA-PC (ECF No. 1.), in which Plaintiff alleges that "the nurse  
21 at Mercy Medical Center . . . said, 'it was good to take the fiber laxative, aspirin and rantidine  
22 before you had the surgery. But now the doctor's ordering you Pepsid, stool softeners and  
23 Tylenol to take. Do not take the over-the-counter medications until you are healed.'" (Id. Exh.  
24 F page 5.)

25 Prior to surgery, Plaintiff was taking aspirin (NSAIDs) for a back injury from 2008.  
26 (Id. ¶8.) Dr. Malabed recommended after surgery, in Meditech Report #0419-0212 dated  
27 5/3/10, that Plaintiff "stop the aspirin for now." (Id. Exh. C page 2.) Dr. Malabed told

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1 Plaintiff that aspirin will thin your blood and make you bleed, so Plaintiff should stop the  
2 aspirin until he was healed. (Id. ¶8.)

3 Mr. Refendor wanted Plaintiff to take aspirin (NSAID) for the pain. (Id.) Plaintiff told  
4 Mr. Refendor that Dr. Malabed stopped Plaintiff from taking aspirin because he had just been  
5 discharged from surgery. (Id.) Mr. Refendor said, “I’m not going to order the stool softeners,  
6 Pepsid or Tylenol because they will not approve it.” (Id.) Plaintiff’s Exhibit F contains  
7 Plaintiff’s Complaint in which he alleges Mr. Refendor also said, “You already have fiber  
8 laxative, aspirin and ranitidine in your cell and they will not approve it if you have money on  
9 your account.” (Id. Exh. F page 3.) Plaintiff understood that they would not approve it because  
10 prison officials were trying to save money. (Id. ¶8.)

11 Plaintiff did not have the prescription Tylenol, stool softener, or Metamucil in his cell  
12 because USP Atwater Health Care Services failed to give them to him. (Id. ¶9.) Plaintiff’s  
13 Exhibit E is a copy of his Summary/Medication Sheet for USP Atwater, showing evidence of  
14 medications ordered for Plaintiff between 8/18/05 and 6/30/08. (Id. Exh. E.) Plaintiff testifies  
15 that the USP Atwater Commissary did not sell Docusate Sodium (stool softeners) at that time,  
16 and there was no Docusate Sodium on the USP commissary lists or the Medication List. (Id.  
17 ¶10.) Plaintiff submits as evidence a USP Atwater Commissary Over-the-Counter Medication  
18 List dated 4-21-10, and a USP Atwater Commissary shopping list dated 9/25/09, neither which  
19 includes Docusate Sodium as a choice for purchase. (Id. Exhs. H, L.) Mr. Refendor refused to  
20 give Plaintiff the medication and then he lied and said that Plaintiff had the prescription in his  
21 cell. (Id. ¶10.) Plaintiff’s Exhibit D is a copy of a BOP Health Services Clinical Encounter –  
22 Administrative Note by Rufo Renendor dated 4/20/10 which states “Patient [Emery Franklin]  
23 came home from the hospital after being admitted for 5 days at Mercy Hospital. He underwent  
24 Colonoscopy and hemorrhoidectomy. Has prescription for pain, ranitidine and metamucil  
25 which the patient has in his cell.” (Id. Exh. D.) Plaintiff testifies that the last time he had a  
26 prescription for those medications was over a year ago with maybe five refills, or a five month  
27 supply. (Id. Exh. E (Summary/Medication List reflecting prescriptions from 8/18/05 to  
28 6/30/08.))

1 Plaintiff testifies that he was in severe pain from the surgery without his discharge  
2 medication, could not sleep that night, and cried all night long. (Id. ¶13.) When Plaintiff did  
3 defecate he had a searing pain, heard a loud plump in the toilet, became dizzy, and saw that the  
4 water in the toilet was dark red with blood. (Id.) Plaintiff was readmitted to the hospital on  
5 April 2, 2010, and the doctors thought he was going to bleed severely. (Id.) Plaintiff’s Exhibit  
6 M is a copy of a Mercy Medical Center Merced Addendum by Dr. Djevalikian dated 4/22/10  
7 which states that Dr. Robinson “feels that the patient could bleed severely from his existing  
8 condition” and that “The patient will be admitted.” (Id. Exh. M.) Plaintiff lost four pints of  
9 blood and needed two blood transfusions. (Id. ¶14.)

10 Plaintiff’s Exhibit A is a Mercy Medical Center Merced report dated 4/22/10 stating  
11 that Plaintiff “was supposed to be discharged on stool softeners and Pepcid, none of which he  
12 received in his first 24 hours at penitentiary after discharge,” and Exhibit N is a Mercy Medical  
13 Center Meditech Report #0422-0225 dated 4/22/10 stating that Plaintiff “was unable to obtain  
14 his discharge medication apparently after discharge.” (Id. ¶¶16, 17, Exhs. A, N.)

15 Plaintiff testifies that the Health Service Administrator, Ms. Lourdes Metty, prepared a  
16 Tort Claim Investigation Summary concerning Plaintiff’s Claim, which states that there was a  
17 prescription April 20, 2010. (Id. ¶18.) Plaintiff’s Exhibit G is a copy of the Summary which  
18 states, “On April 20, 2010, Plaintiff was discharged from the local community hospital . . . with  
19 prescriptions of Rantadine, aspirin and Psyllim.” (Id. Exhibit G.) Plaintiff also claims that Mr.  
20 Refendor also states there was a prescription. (Id.) Plaintiff’s Exhibit D is a BOP Health  
21 Services Clinical Encounter – Administrative Note by Rufo Refendor dated 4/20/10 which  
22 States that Plaintiff “has a prescription for pain, ranitidine and metamucil which the patient has  
23 in his cell.” (Id. Exhibit D.)

24 **F. Discussion**

25 As discussed below, the Court finds based on the evidence presented that there is no  
26 genuine issue of fact for trial because the evidence presented, construed in the light most  
27 favorable to Plaintiff, does not establish that Defendant was negligent in providing medical care  
28 to Plaintiff.

1 Plaintiff now proceeds in this case only on a negligence claim against Defendant United  
2 States.<sup>4</sup> As discussed above, the elements of a negligence cause of action are the existence of a  
3 legal duty of care, breach of that duty, and the breach as the proximate cause of the resulting  
4 injury, Ladd, 12 Cal.4th at 917-18, and the elements of a medical malpractice claim are (1) the  
5 duty of the professional to use such skill, prudence, and diligence as other members of his  
6 profession commonly possess and exercise; (2) a breach of that duty; (3) a proximate causal  
7 connection between the negligent conduct and resulting injury; and (4) actual loss or damage  
8 resulting from the professional's negligence, Avivi, 159 Cal.App.4th at 468, n.2 (2008).

9 Here, the Court finds that Plaintiff has established there was a duty owed to him.  
10 Generally, prisons owe a duty to prisoners to take action in response to a "prisoner" in need of  
11 immediate medical care. Gov.Code, § 845.6; Lawson v. Superior Court of San Diego County,  
12 180 Cal.App.4th 1372, 1383-84 (2010).

13 Plaintiff's complaint states that Defendant breached the duty of care by failing to  
14 provide a prescription. (Second Amended Complaint, ECF No. 17 at 3-4.) The Court finds  
15 that Plaintiff has failed to put forth admissible evidence establishing that there was a  
16 prescription that was not filled. Plaintiff's initial Complaint makes clear that the so-called  
17 prescription was a Medical Note which says it is not a prescription. Plaintiff has not put  
18 forward any other prescriptions that were not filled.

19 Although not entirely clear, Plaintiff's opposition to summary judgment suggests that  
20 Defendant may also have breached its duty of care by failing to make a stool softener available,  
21 even if not in prescription form. For example, Plaintiff states that it was not in his cell and not  
22 in the prison commissary. There appears to be a dispute about whether it was in his cell. See  
23 Plaintiff's Affidavit ¶¶9-12; RJN Ex. 1, Compl. at p. 5, Ex. 4; RJN Ex. 2, Franco Decl. ¶9.  
24 However, Defendant has put forth undisputed evidence that stool softeners were available in  
25 the commissary, such as Fiber Laxative, Milk of Magnesia, Docusate Sodium (Stool Softener),  
26 and Fiber Powder (laxative). And while Plaintiff testifies that the USP Atwater Commissary

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28 <sup>4</sup> All of Plaintiff's other claims and defendants were dismissed from this action for failure to  
state a claim. (ECF No. 29.)

1 did not sell Docusate Sodium (stool softeners) at that time, Plaintiff's evidence shows that on  
2 April 21, 2010 the commissary sold Milk of Magnesia. (ECF No. 33 at 44.)

3 Plaintiff also makes general allegations that Defendant should have done more, such as  
4 following up with the doctor or hospital to determine whether Plaintiff's account of his  
5 conversation with the nurse was true, checking his cell to find out what, if any, medications  
6 Plaintiff had there, and assisting Plaintiff to purchase the medications he needed at the  
7 commissary. However, the Court finds that there is insufficient evidence to set forth a disputed  
8 question of fact on these points. There is no declarant saying that prison officials were told to  
9 follow up on what the nurse told Plaintiff, check his cell, or assist him at the commissary. The  
10 Court also finds it would be reasonable to rely on the written note from the hospital. Plaintiff  
11 does not dispute that the hospital sent Plaintiff's "Patient's Home + Current Medications for  
12 Discharge" ("Medication List") to USP Atwater by facsimile on April 20, 2010, which was not  
13 a prescription but indicated Home Medications for Plaintiff of ranitidine, aspirin, and psyllim,  
14 which Rufo Refendor relied on to determine which medications the doctor meant for Plaintiff  
15 to take after discharge. (ECF No. 34 ¶¶1, 2; ECF No. 33 at 42-43). There is no evidence that  
16 Rufo Refendor was provided with any other official directive from the doctor or hospital with  
17 instructions for Plaintiff's after-surgery care.

18 Drawing all inferences in the light most favorable to Plaintiff, the Court finds no  
19 evidence that Rufo Refendor negligently failed to provide Plaintiff with appropriate medical  
20 care. Thus, Plaintiff's claim based on negligence in failing to fill the prescription is subject to  
21 summary judgment.

22 Therefore, the Court finds that Defendant is entitled to summary judgment because the  
23 evidence presented, even when construed in favor of Plaintiff, fails to raise a disputed question  
24 of fact about Defendant's breach of a duty of care.

## 25 **VI. CONCLUSION AND RECOMMENDATIONS**

26 Based on the foregoing, the Court finds that under the undisputed facts, Defendant  
27 United States is entitled to summary judgment as a matter of law on Plaintiff's negligence  
28 claim, and therefore, Defendant's motion for summary judgment shall be granted.

1 Accordingly, **IT IS HEREBY ORDERED** that:

2 1. Defendant's motion for summary judgment on Plaintiff's negligence claim, filed  
3 on May 26, 2015, is GRANTED; and

4 2. The Clerk of Court is directed to close this case and enter judgment in favor of  
5 Defendant United States.

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7 IT IS SO ORDERED.

8 Dated: February 8, 2016

9 /s/ Eric P. Groj  
10 UNITED STATES MAGISTRATE JUDGE  
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