

EASTERN DISTRICT OF CALIFORNIA

Defendants.

ORDER REGARDING SETTLEMENT CONFERENCE PROCEDURES

1 On occasion, this process will lead directly to a settlement. If settlement is not achieved,
2 plaintiff's counsel shall deliver or fax copies of all settlement proposals along with his/her
3 *Confidential Settlement Conference Statement* to chambers. Copies of these documents are not
4 to be filed on the court docket.

5 B. Confidential Settlement Conference Statement

6 At least four (4) calendar days prior to the settlement conference, the parties shall submit
7 the *Confidential Settlement Conference Statement* to Judge Austin's chambers via
8 gsaorders@caed.uscourts.gov. This statement shall include the following:

9 1. A list of all elements of each cause of action or affirmative defense pled in
10 the party's respective complaint or answer;

11 2. A brief statement identifying those facts that support each cause of action
12 or affirmative defense;

13 3. A description outlining the factual and legal contentions upon which the
14 parties agree or disagree;

15 4. A description identifying the impediments to settlement, including
16 financial, emotional or legal concerns;

17 5. A summary of the settlement attempts to date;

18 6. A statement of the specific relief sought; and

19 7. A statement identifying any third party (i.e., lien holder, etc.) with a legal
20 interest in this action.

21 C. Attendance

22 The attorneys who will try the case shall appear at the settlement conference accompanied
23 by the named parties and all persons having authority to negotiate the settlement.¹ If appropriate,
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25 ¹Insurance carriers, business organizations, and governmental agencies whose settlement agreements are
26 subject to approval by legislative bodies, executive committees, boards of directors, et cetera, shall be represented by
27 a person or persons who occupy high executive positions in the party organization and who will be directly involved
in the process of approval of any settlement offers or agreements.

1 the principal representative shall have approval to settle the action on the terms consistent with the
2 opposing party's most recent demand.

3 D. Mediation Format

4 A mediation format will be employed during the settlement conference. The lawyers, the
5 parties, and all representatives must be fully prepared and will be expected to participate. The
6 Court encourages all participants to be flexible and to reassess their previous positions, as well as
7 put to forth their best efforts toward reaching a mutually agreeable settlement.

8 E. Statements Inadmissible

9 The Court expects full and candid participation during the settlement conference. With
10 this in mind, statements made by any party or attorney during the settlement conference are not to
11 be used in discovery and will not be admissible at trial.

12 F. Sanctions

13 Failure to follow this procedure will result in removal of the settlement conference from
14 the Court's calendar and may result in additional sanctions.

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16 IT IS SO ORDERED.

17 **Dated: March 28, 2013**

/s/ Gary S. Austin
UNITED STATES MAGISTRATE JUDGE