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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

REGINALD D. MILLER,

CASE NO. 1:11-cv-01111-LJO-GBC (PC)

Plaintiff,

FINDINGS AND RECOMMENDATION
RECOMMENDING GRANTING
DEFENDANTS SUZUKI AND MCMANUS'S
MOTION TO DISMISS

v.

MATTHEW CATE, et al.,

(ECF No. 11)

Defendants.

/ OBJECTIONS DUE WITH THIRTY DAYS

FINDING AND RECOMMENDATIONS

I. PROCEDURAL HISTORY

Plaintiff Reginald D. Miller ("Plaintiff") is a civil detainee proceeding pro se in this civil rights action pursuant to 42 U.S.C. § 1983. Plaintiff originally filed this action in state court. Defendant Giurbino removed it to federal court on July 1, 2011. (ECF No. 2.)

Pending before the Court is Defendants Suzuki and McManus's Motion to Dismiss filed July 11, 2011. (ECF No. 11.) Plaintiff filed his response on July 22, 2011, Defendants

1 replied on July 29, 2011, and Plaintiff filed a surrepy on August 11, 2011.¹ (ECF Nos. 22,
2 24, & 33.)

3 **II. LEGAL STANDARD**

4 “The focus of any Rule 12(b)(6) dismissal . . . is the complaint,” Schneider v.
5 California Dept. of Corr., 151 F.3d 1194, 1197 n.1 (9th Cir. 1998), which must contain “a
6 short and plain statement of the claim showing that the pleader is entitled to relief. . . ,”
7 Fed. R. Civ. P. 8(a)(2). “To survive a motion to dismiss, a complaint must contain sufficient
8 factual matter, accepted as true, to ‘state a claim that is plausible on its face.’” Ashcroft
9 v. Iqbal, 129 S.Ct. 1937, 1949 (2009) (quoting Bell Atlantic Corp. v. Twombly, 550 U.S.
10 544, 555 (2007)); Moss v. U.S. Secret Service, 572 F.3d 962, 969 (9th Cir. 2009). The
11 mere possibility of misconduct falls short of meeting this plausibility standard. Iqbal, 129
12 S.Ct. at 1949-50; Moss, 572 F.3d at 969.

13 Detailed factual allegations are not required, but “[t]hreadbare recitals of the
14 elements of a cause of action, supported by mere conclusory statements, do not suffice,”
15 Iqbal at 1949 (citing Twombly at 555), and courts “are not required to indulge unwarranted
16 inferences,” Doe I v. Wal-Mart Stores, Inc., 572 F.3d 677, 681 (9th Cir. 2009) (internal
17 quotation marks and citation omitted).

18 **III. COMPLAINT ALLEGATIONS AS RELATED TO DEFENDANTS SUZUKI AND**
19 **MCMANUS**

20 In his Complaint, Plaintiff alleges that Defendants Suzuki and McManus committed
21 legal malpractice and violated fiduciary duties to Plaintiff.
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26 ¹ Plaintiff’s surrepy, filed August 8, 2011, is stricken from the record and will not be consider.
27 Local Rule 230(l).

1 Plaintiff alleges that Defendant McManus committed malpractice by repeatedly
2 failing to raise issues concerning Plaintiff's constitutional rights of due process and equal
3 protection during the Sexually Violent Predator Act process.² Specifically, Plaintiff states
4 that Defendant McManus failed to file an appeal challenging a court's decision not to hear
5 Plaintiff's motion to dismiss for unlawful custody. Plaintiff states that that court did not deny
6 the motion but just declined to hear it, and then instructed McManus to appeal the decision.
7 McManus did not appeal.
8

9 Plaintiff alleges that Defendant Suzuki was aware of the incompetence of McManus
10 and did nothing to remove McManus from Plaintiff's case. This failure then violated
11 Suzuki's fiduciary duties to Plaintiff as McManus's supervisory.
12

13 **IV. ARGUMENTS**

14 Defendants Suzuki, Supervising Deputy Public Defender, and McManus, Deputy
15 Public Defender, argue that Plaintiff cannot state a federal civil rights claim against public
16 defenders because public defenders do not act under color of state law. Defendants
17 reference Polk County v. Dodson, 454 U.S. 312 (1981), which states that public defenders
18 do not act under color of state law for purposes of Section 1983. The Court in Polk County
19 went on to state that public defenders must exercise independent and professional
20 judgment and act with undivided loyalty to their clients, which places them in a dual role
21 as both a state agent and an adversary of the state. Id. at 318. Thus, it is inappropriate
22 to make them subject to suit for federal civil rights violations under Section 1983. Id.
23

24 Defendants also argue that they are entitled to dismissal of Plaintiff's California Tort
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26 ² A process which is still ongoing.
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1 Claims Act (“CTCA”) claims because Plaintiff failed to comply with the claim presentation
2 requirements of that Act. Defendants state that, according to Plaintiff, the injury occurred
3 January 14, 2006 when Defendant McManus failed to appeal a court’s decision not to hear
4 a motion to dismiss and Defendant Suzuki committed malpractice by failing to appoint
5 competent counsel to replace McManus. Defendant then argues that Plaintiff had, at most,
6 one year to comply with the Act, which he failed to do. Plaintiff filed his claim with the
7 CTCA more than five years later on January 20, 2011. (ECF No. 2, pp. 61 & 63.)

9 Defendants also argue that Plaintiff’s legal malpractice claims should be dismissed,
10 or in the alternative, remanded to state court for determination.

11 In response, Plaintiff argues that because he does not believe the removal to federal
12 court was proper, all filings made by all Defendants are not timely.³ Plaintiff states that
13 “because there is an obvious difference in the threshold . . . between the [state and federal]
14 judicial systems, any decision to retain this case in the Federal court must also permit him
15 to amend his complaint” (ECF No. 22, p. 3.) Plaintiff makes statements about
16 Defendants “serving two masters” to his detriment. As to the CTCA claim, Plaintiff
17 basically concedes Defendants’ argument that the CTCA claim was not timely. Plaintiff
18 even quotes the rejection letter. (ECF No. 22, p. 5.) However, then Plaintiff argues that
19 it was not addressed on the merits and that his claim should be allowed to move forward
20 regardless of his failure to file it timely.
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23 In their Reply, Defendants contend that Plaintiff did not refute that public defenders
24 do not act under color of state law, that their filing was timely, and that Plaintiff failed to
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26 ³ The Court previously found that removal was proper. (ECF No. 47.)
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1 refute his failure to comply with CTCA.

2 **V. ANALYSIS**

3 **A. Section 1983**

4
5 Plaintiff seeks to sue his public defender and the supervising public defender who
6 are representing him during his criminal and related civil commitment proceedings for legal
7 malpractice and criminal negligence. (ECF No. 2, pp. 24-25, 29, 35-38.) A person “acts
8 under color of state law [for purposes of Section 1983] only when exercising power
9 ‘possessed by virtue of state law and made possible only because the wrongdoer is
10 clothed with the authority of state law.’” Polk County, 454 U.S. at 317–18 (quoting United
11 States v. Classic, 313 U.S. 299, 326 (1941)). Attorneys appointed to represent a criminal
12 defendant during trial, do not generally act under color of state law because representing
13 a client “is essentially a private function . . . for which state office and authority are not
14 needed.” Polk County, 454 U.S. at 319; United States v. De Gross, 960 F.2d 1433, 1442
15 n. 12 (9th Cir. 1992). Thus, when publicly appointed counsel are performing as advocates,
16 i.e., meeting with clients, investigating possible defenses, presenting evidence at trial and
17 arguing to the jury, they do not act under color of state law for Section 1983 purposes. See
18 Georgia v. McCollum, 505 U.S. 42, 53 (1992); Polk County, 454 U.S. at 320–25; Miranda
19 v. Clark County, 319 F.3d 465, 468 (9th Cir. 2003) (en banc) (finding that public defender
20 was not a state actor subject to suit under Section 1983 because, so long as he performs
21 a traditional role of an attorney for a client, “his function,” no matter how ineffective, is “to
22 represent his client, not the interests of the state or county.”).

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25 Plaintiff does not allege that Defendants are not performing as advocates. As such,
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1 neither Defendant McManus, who represent Plaintiff nor Defendant Suzuki who supervise
2 are “state actors” for Section 1983 purposes. Plaintiff did not dispute this fact. Because
3 Defendants McManus and Suzuki are not state actors, Plaintiff can not state a Section
4 1983 against them. Accordingly, Plaintiff’s claims against Defendants McManus and
5 Suzuki should be dismissed for failure to state a claim upon which Section 1983 relief may
6 be granted. See 28 U.S.C. § 1915(e)(2)(B)(ii) & 1915A(b); Lopez v. Smith, 203 F.3d 1122,
7 1126–27 (9th Cir. 2000); Resnick, 213 F.3d at 446. Furthermore, Plaintiff should not be
8 granted leave to amend as the claims against Defendants McManus and Suzuki can not
9 be cured by the addition of facts. Lopez, 203 F.3d at 1130–31 (citing Doe v. United States,
10 58 F.3d 494, 497 (9th Cir. 1995)).
11

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13 **B. Federal Court vs. State Court**

14 As to Plaintiff’s claim that the filing is not timely because this action belongs in state
15 court. The Court notes that it previously denied Plaintiff’s motion to remand this action
16 finding that removal was appropriate and proper. (ECF No. 47.) Therefore, any of
17 Plaintiff’s arguments based on the fact that this action does not belong in federal court are
18 improper.
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20 **C. Two Masters**

21 As to Plaintiff’s contention that Defendants “serve two masters,” Plaintiff fails to cite
22 any authority and the Court is aware of none, which validate this claim. Thus, this
23 argument is dismissed.
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1 **D. CTCA Claim**

2 Under the California Tort Claims Act (“CTCA”), a plaintiff may not maintain an
3 action for damages against a public employee unless he timely filed a notice of tort claim.
4 Cal. Gov’t Code §§ 905, 911.2, 945.4 & 950.2; Mangold v. California Pub. Utils. Comm’n,
5 67 F.3d 1470, 1477 (9th Cir. 1995). Thus, to raise a state claim, Plaintiff must allege facts
6 demonstrating compliance with the presentation requirement of the California Tort Claims
7 Act. State of California v. Superior Court, 32 Cal.4th 1234, 1243–44 (2004); Snipes v. City
8 of Bakersfield, 145 Cal.App.3d 861, 865 (Cal.App. 1983). When a plaintiff fails to allege
9 compliance, it is fatal to the cause of action and results in the dismissal of the state law
10 claim. Id.; Willis v. Reddin, 418 F.2d 702, 704 (9th Cir. 1969).

11 As stated above, Plaintiff conceded that he did not file a timely claim with the CTCA,
12 which was the reason for its dismissal without consideration. Thus, this claim is dismissed.
13

14 **E. Malpractice**

15 Further, any potential claims for legal malpractice do not come within the jurisdiction
16 of the federal courts. Franklin v. Oregon, 662 F.2d 1337, 1344 (9th Cir. 1981). Therefore,
17 any and all legal malpractice claims should be remanded for determination in the state
18 court.
19

20 **F. Leave to Amend**

21 Plaintiff states that he should be given leave to amend his complaint because it is
22 now in federal court. Under Rule 15(a) of the Federal Rules of Civil Procedure, leave to
23 amend “shall be freely given when justice so requires.” In addition, “[l]eave to amend
24 should be granted if it appears at all possible that the plaintiff can correct the defect.”
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1 Lopez v. Smith, 203 F.3d 1122, 1130 (9th Cir. 2000) (internal citations omitted). This is not
2 the case here. Additional facts would not cure the deficiencies in his allegations against
3 Defendants McManus and Suzuki. Thus, the Court finds that it does not have to give
4 Plaintiff leave to amend his complaint in this action. 28 U.S.C. § 1915(e)(2)(B)(ii).

5
6 **VII. CONCLUSION AND RECOMMENDATION**

7 The Court finds that Defendants Suzuki and McManus are entitled to dismissal of
8 the federal claims and CTCA claim against them. The Court further finds that any and all
9 state law claims, including legal malpractice, shall be remanded to state court for further
10 proceedings.

11 Accordingly, the Court HEREBY RECOMMENDS that:

- 12 1. Defendants Suzuki and McManus's Motion to Dismiss the federal and CTCA
13 claims against them be GRANTED;
- 14 2. Defendants Suzuki and McManus be DISMISSED from this action;
- 15 3. The Court should DECLINE to exercise supplemental jurisdiction over
16 Plaintiff's state law claims against Defendants Suzuki and McManus; and
17
- 18 4. Plaintiff's state law claims be DISMISSED **WITHOUT PREJUDICE** and the
19 claims be REMANDED to Fresno County Superior Court for further
20 proceedings.
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22 These Findings and Recommendations will be submitted to the United States
23 District Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1).
24 Within thirty (30) days after being served with these Findings and Recommendations, the
25 parties may file written objections with the Court. The document should be captioned
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1 “Objections to Magistrate Judge’s Findings and Recommendations.” The parties are
2 advised that failure to file objections within the specified time may waive the right to appeal
3 the District Court’s order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

4 IT IS SO ORDERED.

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6 Dated: September 16, 2011


UNITED STATES MAGISTRATE JUDGE

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