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ROBERT LEWIS SMITH,

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S. PINA, et al.,

Plaintiff.

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UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF CALIFORNIA

CASE NO. 1:11-cv-1651-LJO-MJS (PC)

FINDINGS AND RECOMMENDATIONS
FINDING THAT PLAINTIFF STATES A
COGNIZABLE CLAIM AND
RECOMMENDING DISMISSAL OF CERTAIN
CLAIMS AND DEFENDANTS

Defendants. (ECF No. 17)

OBJECTIONS DUE WITHIN THIRTY DAYS

Plaintiff Robert Lewis Smith ("Plaintiff") is a state prisoner proceeding pro se and in forma pauperis in this civil rights action pursuant to 42 U.S.C. § 1983.

Plaintiff initiated this action on September 29, 2011. (Compl., ECF No. 1.) No other parties have appeared in this action. The Court screened Plaintiff's initial Complaint and dismissed it for failure to state a claim, but Plaintiff was given leave to file an amended complaint. (ECF No. 14.)

Plaintiff filed an Amended Complaint on May 3, 2012. (Am. Compl., ECF No. 17.)

Plaintiff's Amended Complaint is currently before the Court for screening.

### SCREENING REQUIREMENT

The Court is required to screen complaints brought by prisoners seeking relief against a governmental entity or officer or employee of a governmental entity. 28 U.S.C.

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§ 1915A(a). The Court must dismiss a complaint or portion thereof if the prisoner has raised claims that are legally "frivolous, malicious," or that fail to state a claim upon which relief may be granted, or that seek monetary relief from a defendant who is immune from such relief. 28 U.S.C. § 1915A(b)(1),(2). "Notwithstanding any filing fee, or any portion thereof, that may have been paid, the court shall dismiss the case at any time if the court determines that . . . the action or appeal . . . fails to state a claim upon which relief may be granted." 28 U.S.C. § 1915(e)(2)(B)(ii).

Section 1983 "provides a cause of action for the 'deprivation of any rights, privileges, or immunities secured by the Constitution and laws' of the United States." Wilder v. Virginia Hosp. Ass'n, 496 U.S. 498, 508 (1990) (quoting 42 U.S.C. § 1983). Section 1983 is not itself a source of substantive rights, but merely provides a method for vindicating federal rights conferred elsewhere. Graham v. Connor, 490 U.S. 386, 393-94 (1989).

# II. SUMMARY OF COMPLAINT

Plaintiff is incarcerated at California State Prison, Sacramento. He was previously incarcerated at California State Prison, Corcoran ("CSP-COR"), where the events alleged in the Complaint occurred. Plaintiff alleges that the following individuals violated his rights under the Eighth Amendment: 1) S. Pina, Lieutenant, 2) H. Sumaya, Correctional Officer ("C/O") at CSP-COR, 3) P. Munoz, C/O at CSP-COR, and 4) Estrada, C/O at CSP-COR.

Plaintiff seeks declaratory damages, nominal damages of \$1, punitive damages of \$50,000 from each Defendant, and a jury trial. (Am. Compl. at 2.) Plaintiff also asks for \$150,000 in compensatory damages from each Defendant. (Am. Compl. at 7.)

Plaintiff's allegations revolve around Defendants' disregard of a medical chrono recommending Plaintiff not be placed on a top tier or upstairs bunk, and injuries Plaintiff suffered when he was placed in an upstairs bunk. (Am. Compl. at 3-8.)

In 2007, Plaintiff was diagnosed with a heart condition that could lead to fainting.

(Am. Compl. at 7.) Due to this condition, Plaintiff needed to be housed in a bottom bunk on the downstairs tier, so that if he fainted he would not injure himself when he fell. (Id.)

During the summer of 2010, Defendant Munoz tried to have Plaintiff transferred to

an upstairs housing assignment. (Am. Compl. at 2.) Plaintiff informed Defendants Munoz and Sumaya and Defendant Pina, the supervising officer, about his medical chrono. (Id. at 4-6.)

On October 2, 2010, while Plaintiff was escorted up a set of stairs by Defendant Estrada to his upstairs bunk, Plaintiff suffered from a fainting spell, fell down the stairs, and injured his right knee, back, and neck. (Am. Compl. at 5.)

Defendants Munoz, Sumaya, and Pina did not accommodate Plaintiff's medical needs. (Am. Compl. at 5.) This violated Plaintiff's rights under the Eighth and Fourteenth Amendments, as the Eighth Amendment applies to "the state through the Due Process Clause of the Fourteenth Amendment." (Id. at 5-6.)

## IV. ANALYSIS

### A. Section 1983 Claims

To state a claim under Section 1983, a plaintiff must allege two essential elements: (1) that a right secured by the Constitution or laws of the United States was violated and (2) that the alleged violation was committed by a person acting under the color of state law. See West v. Atkins, 487 U.S. 42, 48 (1988); Ketchum v. Alameda Cnty., 811 F.2d 1243, 1245 (9th Cir. 1987).

A complaint must contain "a short and plain statement of the claim showing that the pleader is entitled to relief . . . ." Fed. R. Civ. P. 8(a)(2). Detailed factual allegations are not required, but "[t]hreadbare recitals of the elements of a cause of action, supported by mere conclusory statements, do not suffice." Ashcroft v. Iqbal, 129 S.Ct. 1937, 1949 (2009) (citing Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 555 (2007)). Plaintiff must set forth "sufficient factual matter, accepted as true, to 'state a claim that is plausible on its face.'" Id. Facial plausibility demands more than the mere possibility that a defendant committed misconduct and, while factual allegations are accepted as true, legal conclusions are not. Id. at 1949-50.

## B. Eighth Amendment

Plaintiff alleges violations of his Eighth Amendment rights, but the Court is unable

to determine if he wants his claims analyzed under a conditions of confinement or failure to protect framework. The Court provided both standards to Plaintiff in its original Screening Order. The Amended Complaint alleges facts which appear best suited to a failure to protect claim.

Plaintiff alleges that Defendants Pina, Munoz, Sumaya, and Estrada violated his rights under the Eighth Amendment when they allowed his placement in a top tier housing area despite his medical housing chrono. Due to Defendants' alleged failure to protect Plaintiff, he was injured when he suffered a fainting spell while being transported upstairs to his bed.

"[A] prison official may be held liable under the Eighth Amendment for denying humane conditions of confinement only if he knows that inmates face a substantial risk of serious harm and disregards that risk by failing to take reasonable measures to abate it." Farmer v. Brennan, 511 U.S. 825, 847 (1994). Prison officials are required to take reasonable measures to guarantee the safety of inmates and officials have a duty to protect prisoners from violence at the hands of other prisoners. Farmer, 511 U.S. at 832–33; Frost v. Agnos, 152 F.3d 1124, 1128 (9th Cir. 1998). An inmate has no constitutional right, however, to enjoy a particular security classification or housing. See Meachum v. Fano, 427 U.S. 215, 224–25 (1976) (no liberty interest protected by the Due Process Clause is implicated in a prison's reclassification and transfer decisions); see also Myron v. Terhune, 476 F.3d 716, 718 (9th Cir. 2007). Further, "[v]erbal harassment or abuse ... is not sufficient to state a constitutional deprivation[.]" Oltarzewski v. Ruggiero, 830 F.2d 136, 139 (9th Cir. 1987) (quoting Collins v. Cundy, 603 F.2d 825 (10th Cir. 1979)).

Rather, to state a claim for threats to safety, an inmate must allege facts to support that he was incarcerated under conditions posing a substantial risk of harm and that prison officials were "deliberately indifferent" to those risks. Farmer, 511 U.S. at 834; Frost, 152 F.3d at 1128; Redman v. County of Los Angeles, 942 F.2d 1435, 1443 (9th Cir. 1991) (en banc). To adequately allege deliberate indifference, a plaintiff must set forth facts to

support that a defendant knew of, but disregarded, an excessive risk to inmate safety. Farmer, 511 U.S. at 837. That is, "the official must both [have been] aware of facts from which the inference could be drawn that a substantial risk of serious harm exist[ed], and he must also [have] draw[n] the inference." Farmer, 511 U.S. at 837; Frost, 152 F.3d at 1128; Redman, 942 F.2d at 1442.

Here, Plaintiff has alleged that he was subject to an excessive risk as a result of being placed in a top tier bunk. Plaintiff alleges that he needed to be placed in a bottom tier bottom bunk so that if his heart condition caused him to faint, he would not injure himself when he fell. Plaintiff has satisfied the first prong of an Eighth Amendment failure to protect claim.

Plaintiff has met the first requirement for such a claim. Moreover, he has stated sufficient facts to allege deliberate indifference on the part of Defendant Pina, insofar as he has alleged he made Defendant Pina aware of the risks of placement in an upstairs bunk and Defendant Pina, who had the authority to protect Plainitff from such placement, placed him there nevertheless. Plaintiff has satisfied the second prong of an Eighth Amendment failure to protect claim against Defendant Pina.

Plaintiff has not satisfied the second prong as to the other named Defendants. Although Plaintiff alleges that Defendants Munoz, Sumaya, and Estrada were aware of his medical chrono, he has not sufficiently alleged facts showing they were aware of the reasons for the medical chrono and the dangers from disregarding it. Plaintiff has not satisfied the second prong of an Eighth Amendment failure to protect claim against Defendants Munoz, Sumaya, Estrada.

Plaintiff has stated an Eighth Amendment failure to protect claim against Defendant
Pina, but has failed to state one against Defendants Munoz, Sumaya, and Estrada.
Plaintiff will not be given further leave to amend.

### C. Fourteenth Amendment

Plaintiff also mentions the Fourteenth Amendment in his Amended Complaint.

However, it does not appear that he is alleging a claim under this statute. He only refers

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to it in connection with his Eighth Amendment claim, because the Fourteenth Amendment

is the basis for the Eighth Amendment applying to the states.

Based on the foregoing, it is HEREBY RECOMMENDED that:

Plaintiff stated a cognizable claim against Defendant Pina for failure to protect in

Plaintiff be allowed to proceed on his failure to protect claim against

Plaintiff's claims against Defendants Munoz, Sumaya, and Estrada be

Defendants Munoz, Sumaya, and Estrada be dismissed from this action.

These Findings and Recommendations are submitted to the United States District

Judge assigned to the case, pursuant to the provisions of Title 28 U.S.C. § 636(b)(1).

Within thirty days after being served with these findings and recommendations, any party

Any reply to the objections shall be served and filed within ten days after service of the

may waive the right to appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153

IV. **CONCLUSION AND ORDER** 

Defendant Pina;

dismissed with prejudice; and

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violation of the Eighth Amendment. Plaintiff has failed to state a claim under the Eighth Amendment against Defendants Munoz, Sumaya, and Estrada for failure to protect under the Eighth Amendment.

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(9th Cir. 1991).

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may file written objections with the Court and serve a copy on all parties. Such a document 17 should be captioned "Objections to Magistrate Judge's Findings and Recommendations." 18

objections. The parties are advised that failure to file objections within the specified time 20

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IT IS SO ORDERED.

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Dated: May 24, 2012

Ist Michael J. Seng DISTATES MAGISTRATE II

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