1 2 3 4 5 6 UNITED STATES DISTRICT COURT 7 FOR THE EASTERN DISTRICT OF CALIFORNIA 8 9 10 ROBERT GONZALES SAENZ, No. 1:11-cv-01872-AWI-SKO (PC) 11 Plaintiff, ORDER SETTING SETTLEMENT **CONFERENCE** 12 v. (Docs. 61, 62, 63) 13 CHAVEZ, et al., 14 Defendants. 15 Plaintiff, Robert Gonzales Saenz, a state prisoner proceeding pro se and in forma 16 pauperis, filed this civil rights action pursuant to 42 U.S.C. § 1983. On March 25, 2016, the 17 court ordered parties to file statements as to whether a court supervised settlement conference 18 19 would be beneficial. (Doc. 60.) After reviewing the statements from both parties, the court has determined that this case will benefit from a settlement conference. Therefore, this case will be 20 referred to Magistrate Judge Barbara A. McAuliffe to conduct a settlement conference at the U.S. 21 22 District Court, 2500 Tulare Street, Fresno, California 93721 in Courtroom #8 on June 29, 2016, at 23 9:30 a.m. Plaintiff is to appear by video conference from his present place of confinement. 24 A separate order and writ of habeas corpus ad testificandum will issue concurrently with this order. 25 In accordance with the above, IT IS HEREBY ORDERED that: 26

27

28

1. A settlement conference has been set for June 29, 2016 at 9:30 a.m. in Courtroom #8

- before Magistrate Judge Barbara A. McAuliffe at the U. S. District Court, 2500 Tulare Street, Fresno, California 93721.
- 2. Plaintiff is to appear at the settlement conference by video conference from his present place of confinement.
- 3. A representative with full and unlimited authority to negotiate and enter into a binding settlement shall attend in person.¹
- 4. Those in attendance must be prepared to discuss the claims, defenses and damages. The failure of any counsel, party or authorized person subject to this order to appear in person may result in the imposition of sanctions. In addition, the conference will not proceed and will be reset to another date.
- 5. Each party shall provide a confidential settlement statement to the following email address: bamorders@caed.uscourts.gov. Plaintiff shall mail his confidential settlement statement to Sujean Park, ADR & Pro Bono Program Director, USDC CAED, 501 I Street, Suite 4-200, Sacramento, California 95814. The envelope shall be marked "Confidential Settlement Statement". Settlement statements shall arrive no later than June 22, 2016. Parties shall also file a Notice of Submission of Confidential Settlement Conference Statement (See Local Rule 270(d)).

Settlement statements **should not be filed** with the Clerk of the Court **nor served on any other party**. Settlement statements shall be clearly marked "confidential" with the date and time of the settlement conference indicated prominently thereon.

While the exercise of its authority is subject to abuse of discretion review, "the district court has the authority to order parties, including the federal government, to participate in mandatory settlement conferences...." <u>United States v. United States District Court for the Northern Mariana Islands</u>, 694 F.3d 1051, 1053, 1057, 1059 (9th Cir. 2012)("the district court has broad authority to compel participation in mandatory settlement conference[s]."). The term "full authority to settle" means that the individuals attending the mediation conference must be authorized to fully explore settlement options and to agree at that time to any settlement terms acceptable to the parties. <u>G. Heileman Brewing Co., Inc. v. Joseph Oat Corp.</u>, 871 F.2d 648, 653 (7th Cir. 1989), <u>cited with approval in Official Airline Guides, Inc. v. Goss</u>, 6 F.3d 1385, 1396 (9th Cir. 1993). The individual with full authority to settle must also have "unfettered discretion and authority" to change the settlement position of the party, if appropriate. <u>Pitman v. Brinker Int'l., Inc.</u>, 216 F.R.D. 481, 485-86 (D. Ariz. 2003), <u>amended on recon. in part, Pitman v. Brinker Int'l., Inc.</u>, 2003 WL 23353478 (D. Ariz. 2003). The purpose behind requiring the attendance of a person with full settlement authority is that the parties' view of the case may be altered during the face to face conference. <u>Pitman</u>, 216 F.R.D. at 486. An authorization to settle for a limited dollar amount or sum certain can be found not to comply with the requirement of full authority to settle. <u>Nick v. Morgan's Foods, Inc.</u>, 270 F.3d 590, 596-97 (8th Cir. 2001).

1	The confidential settlement statement shall be no longer than five pages in length,
2	typed or neatly printed, and include the following:
3	a. A brief statement of the facts of the case.
4	b. A brief statement of the claims and defenses, i.e., statutory or other grounds upon
5	which the claims are founded; a forthright evaluation of the parties' likelihood of
6	prevailing on the claims and defenses; and a description of the major issues in
7	dispute.
8	c. A summary of the proceedings to date.
9	d. An estimate of the cost and time to be expended for further discovery, pretrial, and
10	trial.
11	e. The relief sought.
12	f. The party's position on settlement, including present demands and offers and a
13	history of past settlement discussions, offers, and demands.
14	g. A brief statement of each party's expectations and goals for the settlement
15	conference.
16	
17	IT IS SO ORDERED.
18	Dated: April 18, 2016 /s/ Sheila K. Oberto UNITED STATES MAGISTRATE JUDGE
19	CIVILD STATES WINGISTRATE JODGE
20	
21	
22	
23	
24	
25	
26	
27	
28	3