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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

CLYDE C. HELMS,
Plaintiff,
vs.
MARGARET MIMS, et al.,
Defendants.

1:12-cv-00897-GSA-PC

ORDER DISMISSING THIS ACTION FOR FAILURE TO STATE A CLAIM UPON WHICH RELIEF MAY BE GRANTED, WITHOUT PREJUDICE TO FILING A NEW CIVIL RIGHTS COMPLAINT ADDRESSING THE CLAIMS AGAINST DEFENDANTS RUVALCABA AND AW (Doc. 10.)

ORDER FOR THIS DISMISSAL TO BE SUBJECT TO THE THREE-STRIKES PROVISION SET FORTH IN 28 U.S.C. § 1915(g)

ORDER FOR CLERK TO CLOSE CASE

I. BACKGROUND

Clyde C. Helms (“Plaintiff”) a prisoner incarcerated at the Kern County Maximum-Medium Facility (Lerdo) in Bakersfield, California, is proceeding pro se and in forma pauperis with this civil rights action pursuant to 42 U.S.C. § 1983. Plaintiff filed the Complaint commencing this action on June 1, 2012. (Doc. 1.) On June 13, 2012, Plaintiff consented to the jurisdiction of a Magistrate Judge pursuant to 28 U.S.C. § 636(c), and no other parties have made an appearance. (Doc. 5.) Therefore, pursuant to Appendix A(k)(4) of the Local Rules of the Eastern District of California, the undersigned shall conduct any and all proceedings in the

1 case until such time as reassignment to a District Judge is required. Local Rule Appendix
2 A(k)(3).

3 On August 23, 2012, the Court dismissed the Complaint for failure to state a claim, with
4 leave to amend. (Doc. 9.) On August 30, 2012, Plaintiff filed the First Amended Complaint,
5 which is now before the Court for screening. (Doc. 10.)

6 **II. SCREENING REQUIREMENT**

7 The court is required to screen complaints brought by prisoners seeking relief against a
8 governmental entity or officer or employee of a governmental entity. 28 U.S.C. § 1915A(a).
9 The court must dismiss a complaint or portion thereof if the prisoner has raised claims that are
10 legally “frivolous or malicious,” that fail to state a claim upon which relief may be granted, or
11 that seek monetary relief from a defendant who is immune from such relief. 28 U.S.C.
12 § 1915A(b)(1),(2). “Notwithstanding any filing fee, or any portion thereof, that may have been
13 paid, the court shall dismiss the case at any time if the court determines that . . . the action or
14 appeal fails to state a claim upon which relief may be granted.” 28 U.S.C. § 1915(e)(2)(B)(ii).

15 A complaint is required to contain “a short and plain statement of the claim showing
16 that the pleader is entitled to relief” Fed. R. Civ. P. 8(a)(2). Detailed factual allegations
17 are not required, but “[t]hreadbare recitals of the elements of a cause of action, supported by
18 mere conclusory statements, do not suffice.” Ashcroft v. Iqbal, 556 U.S. 662, 678, 129 S.Ct.
19 1937, 1949 (2009) (citing Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 555, 127 S.Ct. 1955
20 (2007)). While a plaintiff’s allegations are taken as true, courts “are not required to indulge
21 unwarranted inferences,” Doe I v. Wal-Mart Stores, Inc., 572 F.3d 677, 681 (9th Cir. 2009)
22 (internal quotation marks and citation omitted). Plaintiff must set forth “sufficient factual
23 matter, accepted as true, to ‘state a claim to relief that is plausible on its face.’” Iqbal 556 U.S.
24 at 678. While factual allegations are accepted as true, legal conclusions are not. Id.

25 To state a viable claim for relief, Plaintiff must set forth sufficient factual allegations to
26 state a plausible claim for relief. Id. at 678-79; Moss v. U.S. Secret Service, 572 F.3d 962, 969
27 (9th Cir. 2009). The mere possibility of misconduct falls short of meeting this plausibility
28 standard. Id.

1 **III. SUMMARY OF FIRST AMENDED COMPLAINT**

2 The events at issue in the First Amended Complaint occurred at the Fresno County Jail
3 (Jail) in Fresno, California, when Plaintiff was housed there as a pretrial detainee. Plaintiff
4 names as defendants Margaret Mims (Fresno County Sheriff), Edward Moreno (M.D.), George
5 Laird (Ph.D.), Pratap Narayen (M.D.), Dr. Paul Ruvalcaba, and Dr. Aw. Plaintiff’s factual
6 allegations follow.

7 Plaintiff is physically disabled within the meaning of the ADA, due to a hip
8 replacement and several reconstructive surgeries. In addition, Plaintiff’s right knee is
9 completely destroyed, and he is in need of knee surgery. He is also in need of a wheelchair,
10 because his right knee cannot support his 350-pound frame.

11 Defendants Sheriff Mims, Dr. Moreno, and Laird (Ph.D.) work together to supervise the
12 delivery of health care at the Jail. Under direct orders from these supervisors, Dr. Ruvalcaba
13 and Dr. Aw provide inadequate medical care for Jail inmates, including Plaintiff. Plaintiff has
14 been wrongly housed, denied access to his wheelchair, and forced to walk on a severely
15 damaged leg and hip.

16 On April 21, 2012, Plaintiff submitted his first request to be seen by medical personnel
17 about a crack under his toe. Now Plaintiff has an infected hole under his big toe and is in
18 serious threat of losing his big toe. Early in 2012, Plaintiff’s attorney attempted to contact Dr.
19 Laird by email about the seriousness of his medical condition, his improper housing, and his
20 need for a wheelchair, but all attempts were ignored.

21 Dr. Ruvalcaba refused to properly diagnose the problem. On July 30, 2012, Plaintiff
22 saw Dr. Ruvalcaba for the hole in his left big toe. Plaintiff explained his reasoning behind the
23 injured toe, repeatedly explaining about his disabilities. Dr. Ruvalcaba responded sarcastically
24 with his own opinions, showing deliberate indifference to the seriousness of Plaintiff’s wound,
25 which was caused by Dr. Ruvalcaba’s prior lack of treatment and Plaintiff being forced to walk
26 on severely damaged legs.

27 On August 21, 2012, Plaintiff saw Dr. Aw, “who tried a form of Healistic (*sic*) Healing,
28 stating my pain and conditions are caused by stress.” Second Amd Cmp, Doc. 10 at 6.

1 Plaintiff told Dr. Aw that his condition was caused by severe trauma to his body due to
2 motorcycle accidents, and to please check his medical records in the computer. Dr. Aw ignored
3 Plaintiff's request and told him to lie down on the examining table. Dr. Aw "began his
4 treatment of self hypnotic (*sic*) state," telling Plaintiff to repeat "I feel no pain," even though
5 Plaintiff's left foot and leg, and right knee, felt as if they were on fire. Id. Dr. Aw completed
6 the examination without looking at Plaintiff's knee or toe. When the nurse asked the doctor if
7 he wanted to look at the wound, Dr. Aw was deliberately indifferent and stated that he could
8 smell it from where he was sitting and to "go ahead and redress it." Id. Plaintiff asked Dr. Aw
9 if he could get a soft shoe chrono allowing him to have his shoes in booking, and Dr. Aw
10 ignored him. When Plaintiff was halfway back to the housing unit, the nurse chased him down
11 and asked if he wanted a cast boot. Plaintiff explained to the nurse that he would need both
12 right and left boots because the boot is an inch taller than the shower shoe issued to inmates,
13 and his right knee is injured and cannot support his weight. The nurse stated, "It's what Dr.
14 Aw ordered, are you refusing treatment?" Id. at 7. Not wanting to pursue a losing argument,
15 Plaintiff accepted a cast boot he cannot wear.

16 Between November 1, 2011 and August 28, 2012, Plaintiff submitted twenty-seven
17 medical request forms and eight grievances, pleading for adequate medical treatment.

18 Plaintiff requests monetary damages, injunctive relief, attorney's fees, and costs of suit.

19 **IV. PLAINTIFF'S CLAIMS**

20 The Civil Rights Act under which this action was filed provides:

21 Every person who, under color of [state law] . . . subjects, or
22 causes to be subjected, any citizen of the United States . . . to the
23 deprivation of any rights, privileges, or immunities secured by
24 the Constitution . . . shall be liable to the party injured in an
action at law, suit in equity, or other proper proceeding for
redress.

25 42 U.S.C. § 1983. "Section 1983 . . . creates a cause of action for violations of the federal
26 Constitution and laws." Sweaney v. Ada County, Idaho, 119 F.3d 1385, 1391 (9th Cir. 1997)
27 (internal quotations omitted). "To the extent that the violation of a state law amounts to the
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1 deprivation of a state-created interest that reaches beyond that guaranteed by the federal
2 Constitution, Section 1983 offers no redress.” Id.

3 To state a claim under section 1983, a plaintiff must allege that (1) the defendant acted
4 under color of state law and (2) the defendant deprived him of rights secured by the
5 Constitution or federal law. Long v. County of Los Angeles, 442 F.3d 1178, 1185 (9th Cir.
6 2006). “A person ‘subjects’ another to the deprivation of a constitutional right, within the
7 meaning of section 1983, if he does an affirmative act, participates in another’s affirmative acts,
8 or omits to perform an act which he is legally required to do that causes the deprivation of
9 which complaint is made.” Johnson v. Duffy, 588 F.2d 740, 743 (9th Cir. 1978). “The
10 requisite causal connection can be established not only by some kind of direct, personal
11 participation in the deprivation, but also by setting in motion a series of acts by others which
12 the actors knows or reasonably should know would cause others to inflict the constitutional
13 injury.” Id. at 743-44).

14 **A. Claims Against Defendants Ruvalcaba and Aw**

15 Plaintiff’s First Amended Complaint adds two new defendants to this action, Dr.
16 Ruvalcaba and Dr. Aw, for events occurring after this action was filed on June 1, 2012.
17 Plaintiff may not bring claims in this action for which he has not exhausted administrative
18 remedies before filing suit.

19 Section 1997e(a) of the Prison Litigation Reform Act of 1995 provides that “[n]o action
20 shall be brought with respect to prison conditions under [42 U.S.C. § 1983], or any other
21 Federal law, by a prisoner confined in any jail, prison, or other correctional facility until such
22 administrative remedies as are available are exhausted.” 42 U.S.C. § 1997e(a). Prisoners are
23 required to exhaust the available administrative remedies *prior to filing suit*. Jones v. Bock,
24 549 U.S. 199, 211, 127 S.Ct. 910, 918-19 (2007); McKinney v. Carey, 311 F.3d 1198, 1199-
25 1201 (9th Cir. 2002) (emphasis added). Exhaustion is required regardless of the relief sought
26 by the prisoner and regardless of the relief offered by the process, Booth v. Churner, 532 U.S.
27 731, 741, 121 S.Ct. 1819 (2001), and the exhaustion requirement applies to all prisoner suits
28 relating to prison life, Porter v. Nussle, 435 U.S. 516, 532, 122 S.Ct. 983 (2002).

1 Because it is not possible for Plaintiff to have exhausted remedies for these after-
2 occurring claims against defendants Ruvalcaba and Aw before this action was filed, these
3 claims and defendants shall be dismissed from this action, without prejudice to Plaintiff filing a
4 new civil rights action addressing these claims.^{1 2}

5 **B. Personal Participation and Supervisory Liability**

6 Plaintiff seeks to hold defendants Mims, Moreno, and Laird liable in their supervisory
7 capacities. Under section 1983, Plaintiff must demonstrate that each defendant *personally*
8 participated in the deprivation of his rights. Jones v. Williams, 297 F.3d 930, 934 (9th Cir.
9 2002) (emphasis added). Plaintiff must demonstrate that each defendant, through his or her
10 own individual actions, violated Plaintiff's constitutional rights. Iqbal, 556 U.S. at 676-77.
11 Plaintiff is also advised that liability may not be imposed on supervisory personnel under
12 section 1983 on the theory of *respondeat superior*, as each defendant is only liable for his or
13 her own misconduct. Id.; Ewing v. City of Stockton, 588 F.3d 1218, 1235 (9th Cir. 2009). A
14 supervisor may be held liable only if he or she "participated in or directed the violations, or
15 knew of the violations and failed to act to prevent them." Taylor v. List, 880 F.2d 1040, 1045
16 (9th Cir. 1989); accord Starr v. Baca, 652 F.3d 1202, 1205-06 (9th Cir. 2011); Corales v.
17 Bennett, 567 F.3d 554, 570 (9th Cir. 2009); Preschooler II v. Clark County School Board of
18 Trustees, 479 F.3d 1175, 1182 (9th Cir. 2007); Harris v. Roderick, 126 F.3d 1189, 1204 (9th
19 Cir. 1997). Therefore, to the extent that Plaintiff seeks to impose liability upon defendants in
20 their supervisory capacity, Plaintiff fails to state a claim.

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23 ¹The Court expresses no opinion on the merits of Plaintiff's claims against defendants Ruvalcaba and
24 Aw.

25 ²Sua sponte dismissal for failure to exhaust administrative remedies under the PLRA is appropriate if,
26 taking the prisoner's factual allegations as true, the complaint establishes his failure to exhaust and, thus, fails to
27 state a claim upon which relief can be granted. See Jones, 549 U.S. at 214-15 (exhaustion is an affirmative
28 defense and sua sponte dismissal for failure to exhaust administrative remedies under the PLRA is only
appropriate if, taking the prisoner's factual allegations as true, the complaint establishes his failure to exhaust); 28
U.S.C. § 1915A(b)(1).

1 **C. Medical Care Claim – Fourteenth Amendment**

2 The Eighth Amendment protects inmates from cruel and unusual punishment, which
3 includes the denial of medical care. Estelle v. Gamble, 429 U.S. 97, 102-03 (1976). Pretrial
4 detainees, by contrast, are protected under the Due Process Clause of the Fourteenth
5 Amendment. Conn v. City of Reno, 591 F.3d 1081 (9th Cir. 2010), citing Or. Advocacy Ctr. v.
6 Mink, 322 F.3d 1101, 1120 (9th Cir. 2003). The Eighth and Fourteenth Amendments both
7 guarantee that inmates and detainees receive constitutionally adequate medical and mental
8 health care. Doty v. County of Lassen, 37 F.3d 540, 546 (9th Cir. 1994). “An official’s
9 deliberate indifference to a substantial risk of serious harm to an inmate - including the
10 deprivation of a serious medical need - violates the Eighth Amendment, and a fortiori, the
11 Fourteenth Amendment.” Conn, 591 F.3d at 1094, quoting Farmer v. Brennan, 511 U.S. 825
12 (1994); Frost v. Agnos, 152 F.3d 1124, (9th Cir. 1998). The two-part test for deliberate
13 indifference requires the plaintiff to show (1) “a serious medical need’ by demonstrating that
14 ‘failure to treat a prisoner’s condition could result in further significant injury or the
15 unnecessary and wanton infliction of pain,” and (2) “the defendant’s response to the need was
16 deliberately indifferent.” Jett, 439 F.3d at 1096 (quoting McGuckin v. Smith, 974 F.2d 1050,
17 1059 (9th Cir. 1992), overruled on other grounds by WMX Techs., Inc. v. Miller, 104 F.3d
18 1133, 1136 (9th Cir. 1997) (en banc) (internal quotations omitted)). Deliberate indifference is
19 shown by “a purposeful act or failure to respond to a prisoner’s pain or possible medical need,
20 and harm caused by the indifference.” Id. (citing McGuckin, 974 F.2d at 1060). Deliberate
21 indifference may be manifested “when prison officials deny, delay or intentionally interfere
22 with medical treatment, or it may be shown by the way in which prison physicians provide
23 medical care.” Id. Where a prisoner is alleging a delay in receiving medical treatment, the
24 delay must have led to further harm in order for the prisoner to make a claim of deliberate
25 indifference to serious medical needs. McGuckin at 1060 (citing Shapely v. Nevada Bd. of
26 State Prison Comm’rs, 766 F.2d 404, 407 (9th Cir. 1985)).

27 “Deliberate indifference is a high legal standard.” Toguchi v. Chung, 391 F.3d 1051,
28 1060 (9th Cir. 2004). “Under this standard, the prison official must not only ‘be aware of the

1 facts from which the inference could be drawn that a substantial risk of serious harm exists,' but
2 that person 'must also draw the inference.'" Id. at 1057 (quoting Farmer, 511 U.S. at 837. "A
3 difference of opinion between a prisoner-patient and prison medical authorities regarding
4 treatment does not give rise to a § 1983 claim." Franklin v. Oregon, 662 F.2d 1337, 1344 (9th
5 Cir. 1981) (internal citation omitted). To prevail, plaintiff "must show that the course of
6 treatment the doctors chose was medically unacceptable under the circumstances . . . and . . .
7 that they chose this course in conscious disregard of an excessive risk to plaintiff's health."
8 Jackson v. McIntosh, 90 F.3d 330, 332 (9th Cir. 1996) (internal citations omitted).

9 Plaintiff fails to allege facts demonstrating that defendants Mims, Moreno, Laird, or
10 Narayan personally and purposely acted in disregard of Plaintiff's serious medical need,
11 knowing of a serious risk to Plaintiff's health or safety. Plaintiff uses conclusory language,
12 which does not suffice to state a claim. Therefore, Plaintiff fails to state a claim for inadequate
13 medical care under the Fourteenth Amendment.

14 **D. ADA Claim**

15 To the extent that Plaintiff seeks to bring a claim under the ADA for inadequate medical
16 care by any of the Defendants, he fails to state a claim. Title II of the Americans with
17 Disabilities Act (ADA) "prohibit[s] discrimination on the basis of disability." Lovell v.
18 Chandler, 303 F.3d 1039, 1052 (9th Cir. 2002). "To establish a violation of Title II of the
19 ADA, a plaintiff must show that (1) [he] is a qualified individual with a disability; (2) [he] was
20 excluded from participation in or otherwise discriminated against with regard to a public
21 entity's services, programs, or activities; and (3) such exclusion or discrimination was by
22 reason of [his] disability." Id.

23 The treatment, or lack of treatment, concerning Plaintiff's medical condition does not
24 provide a basis upon which to impose liability under the ADA. Burger v. Bloomberg, 418 F.3d
25 882, 882 (8th Cir. 2005) (medical treatment decisions not a basis for ADA claims); Fitzgerald
26 v. Corr. Corp. of Am., 403 F.3d 1134, 1144 (10th Cir. 2005) (medical decisions not ordinarily
27 within scope of ADA); Bryant v. Madigan, 84 F.3d 246, 249 (7th Cir. 1996) ("The ADA does
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1 not create a remedy for medical malpractice.”). Therefore, Plaintiff fails to state a claim under
2 the ADA.

3 **V. CONCLUSION**

4 The Court finds that Plaintiff’s First Amended Complaint fails to state any claims upon
5 which relief can be granted under § 1983 against any of the Defendants. In this action, the
6 Court previously granted Plaintiff an opportunity to amend the complaint, with ample guidance
7 by the Court. Plaintiff has now filed two complaints without alleging facts against any of the
8 Defendants which state a claim under § 1983. The Court finds that the deficiencies outlined
9 above are not capable of being cured by amendment, and therefore further leave to amend
10 should not be granted. 28 U.S.C. § 1915(e)(2)(B)(ii); Lopez v. Smith, 203 F.3d 1122, 1127
11 (9th Cir. 2000).

12 Therefore, **IT IS HEREBY ORDERED** that:

13 1. Pursuant to 28 U.S.C. § 1915A and 28 U.S.C. § 1915(e), this action is
14 **DISMISSED** for failure to state a claim upon which relief may be granted under § 1983,
15 without prejudice to filing a new civil rights complaint addressing the claims against
16 defendants Ruvalcaba and Aw;

17 2. This dismissal is subject to the “three-strikes” provision set forth in 28 U.S.C. §
18 1915(g). Silva v. Vittorio, 658 F.3d 1090, 1098 (9th Cir. 2011); and

19 4. The Clerk is directed to **CLOSE** this case.

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23 **IT IS SO ORDERED.**

24 Dated: **April 15, 2013**

/s/ Gary S. Austin
25 UNITED STATES MAGISTRATE JUDGE
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