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5 **UNITED STATES DISTRICT COURT**
6 **EASTERN DISTRICT OF CALIFORNIA**
7

8 **SARMAD SYED, individually, and on**
9 **behalf of all others similarly situated;**
10 **ASHLEY BALFOUR, individually, and on**
11 **behalf of all others similarly situated,**

12 **Plaintiffs**

13 **v.**

14 **M-I, L.L.C., a Delaware Limited Liability**
15 **Company, doing business as M-I SWACO;**
16 **and DOES 1 through 10, inclusive,**

17 **Defendant**

CASE NO. 1:12-CV-1718 AWI MJS

ORDER RE: FINDINGS AND
RECOMMENDATIONS REGARDING
MOTION TO CERTIFY CLASS

(Docs. 12 and 30)

18 **I. Background**

19 Defendant M-I SWACO is a company providing services to customers who drill for oil
20 and gas. In relevant part, Defendant has employees that are drilling fluid specialists, commonly
21 called mud engineers. They deal with various substances, termed mud, which are used to facilitate
22 the physical drilling. Mud engineers work at drill sites operated by Defendant's customers.
23 Defendant employs hundreds of mud engineers who work at drill sites across the country.
24 Defendant pays mud engineers a fixed salary and not on an hourly basis. The position (which
25 covers employees having several job titles) has a particular work schedule. Mud engineers work
26 for fourteen days at a drill site during which they may be on call the whole time and then are given
27 fourteen days off. New hires are given eight weeks of training (if they do not already have the
28 relevant skills from a previous job) before going out to drill sites. Plaintiff Sarmad Syed was a
mud engineer in training and Plaintiff Ashley Balfour is a mud engineer employed by Defendant.

Plaintiffs filed suit against Defendant on October 18, 2012. Plaintiffs seek to represent a

1 class of mud engineers employed by Defendant who they assert were not paid in accord with the
2 Fair Labor Standards Act (“FLSA”) and California law. In key part, the FLSA requires overtime
3 pay for employees who work more than forty hours a week. 29 U.S.C. § 207. Employees whose
4 positions meet certain conditions are exempt from this requirement. 29 U.S.C. § 213. Defendant
5 asserts that mud engineers are exempt from the overtime pay requirement. Plaintiffs made a
6 motion for class certification of the FLSA claim, seeking court approval for sending out notices to
7 potential class members so they can consent to join the opt-in class. Doc. 12. Defendant opposes
8 the motion. Doc. 21. Magistrate Judge Michael Seng issued a findings and recommendation
9 (“F&R) that approved of conditional certification of a class comprising

10 All persons who were, are, or will be employed by Defendant, on or after the date
11 that is three years before the issuance of an Order authorizing Notice (the ‘FLSA
12 Class Period’), in the job position known as drilling fluids specialist (‘DFS’), ‘mud
13 engineer’, ‘mud man’, ‘mud man trainee’, or ‘consultant mud man’, or equivalent
14 titles (the ‘FLSA Collective Plaintiffs’)

15 and largely approved of Plaintiffs’ proposed notice. Doc. 30. Defendant filed objections to the
16 F&R. Doc. 31. Plaintiffs filed a response to those objections. Doc. 32.

17 **II. Legal Standards**

18 “A judge of the court shall make a de novo determination of those portions of the report or
19 specified proposed findings or recommendations to which objection is made.” 28 U.S.C. §
20 636(b)(1)(C).

21 **III. Discussion**

22 Defendant makes five objections to the F&R: (1) the wrong standard was used for
23 conditional certification, (2) Plaintiffs are not similarly situated to other mud engineers, (3)
24 Plaintiffs did not present evidence that the various mud engineers had uniform job duties, (4)
25 consultants should not be part of the class and (5) the language of the notice should be modified
26 and distributed by a third party. Doc. 31, Defendant Objections, 1:11-2:28. In response, Plaintiffs
27 oppose these challenges and seeks equitable tolling for the time this motion has been pending.
28 Doc. 32, Plaintiffs’ Response, 14:26-15:16.

1 FLSA allows a plaintiff to bring a class action on behalf of “other employees similarly
2 situated” with the wrinkle that the law permits only opt-in classes, rather than the more familiar
3 opt-out classes. 29 U.S.C. § 216(b). This gives rise to a class certification process that is different
4 than that used in most other class actions. As Judge Seng pointed out, the Ninth Circuit has not
5 directly stated how FLSA class certification should be structured. A majority of district courts
6 have used a two step process:

7 The first step under the two-tiered approach considers whether the proposed class
8 should be given notice of the action. This decision is based on the pleadings and
9 affidavits submitted by the parties. The court makes this determination under a
10 fairly lenient standard due to the limited amount of evidence before it. The usual
11 result is conditional class certification. In the second step, the party opposing the
certification may move to decertify the class once discovery is complete and the
case is ready to be tried. *Id.* If the court finds that the plaintiffs are not similarly
situated at that step, ‘the court may decertify the class and dismiss opt-in plaintiffs
without prejudice.’

12 Adams v. Inter-Con Sec. Sys., 242 F.R.D. 530, 536 (N.D. Cal. 2007), citing Leuthold v.
13 Destination Am., 224 F.R.D. 462, 467 (N.D. Cal. 2004). The parties have not objected to use of
14 the overall two step framework.

15 16 **A. Standard for Conditional Certification**

17 Judge Seng evaluated the motion under the first step standard. Defendant argues that the
18 first step should be skipped and the second step standard should apply. Several courts have stated
19 that the second step should take place “once discovery is complete.” Adams v. Inter-Con Sec.
20 Sys., 242 F.R.D. 530, 536 (N.D. Cal. 2007); Leuthold v. Destination Am., 224 F.R.D. 462, 467
21 (N.D. Cal. 2004). Other courts state that the second step motion “is made after discovery is
22 largely complete.” Wynn v. National Broadcasting Co., Inc., 234 F.Supp. 2d 1067, 1082 (C.D.
23 Cal. 2002); Pfohl v. Farmers Ins. Group, 2004 WL 554834, *2 (C.D. Cal. 2004). The difference
24 between “complete” and “largely complete” is the issue. An earlier opinion of the Eastern District
25 directly examined Defendant’s argument and concluded “Courts within this circuit instead refuse
26 to depart from the notice stage analysis prior to the close of discovery. Several courts have held
27 that the notice stage analysis applies whenever ‘discovery has not yet been completed and [the]
28 case is not ready for trial.’ Other courts have held that the notice stage analysis applies at least

1 when discovery pertinent to collective certification is outstanding.... Skipping to the second stage
2 not only requires the court to evaluate an incomplete (although potentially substantial) factual
3 record- it interferes with the future completion of that record.” Kress v. PricewaterhouseCoopers,
4 LLP, 263 F.R.D. 623, 629 (E.D. Cal. 2009), citations omitted. The first step standard should be
5 used until discovery is complete.

6 Even assuming arguendo that “largely complete” is the correct threshold, Defendant has
7 not shown that discovery has reached that stage. Of note, Judge Seng stated that Defendant did
8 not provide disclosure of mud engineers in a timeframe that would allow Plaintiffs a “meaningful
9 opportunity to question those witnesses.” Doc. 30, F&R, 10:3-4. Defendant has also objected to
10 multiple requests for production of documents concerning potential class members on the basis
11 that they were “premature prior to the Court ruling on Plaintiffs’ Motion For Conditional
12 Certification.” Doc. 32, Plaintiffs Reply, 5:26-27. As recognized by Judge Seng, the record does
13 reflect that the parties did indeed assume that separate motions would be made for first and second
14 stage certification issues. See Doc. 30, F&R, 9:13-15; Doc. 20, Dec. 17, 2013 Stipulation, 3:11-13
15 and 4:8-10. This suggests that the parties themselves recognized that discovery would be
16 incomplete in a manner such that a second stage analysis would be premature. The first stage
17 standard for class certification is appropriate at this juncture.

18 19 **B. Similarly Situated**

20 Plaintiffs allege that “Defendant failed to pay overtime compensation to their employees
21 who worked two-week long rotations where they were either working or on-call to work 24 hours
22 per day. Defendant, which implemented the shift system and the exempt classification, knew that
23 mud men did not qualify for the exempt classification it applied to them.” Doc. 12, Plaintiffs
24 Motion, 7:24-27. Regarding employees falling under five job titles (Drilling Fluid Specialist I-IV
25 and Drilling Fluid Specialist Senior), the Magistrate Judge concluded that Plaintiffs satisfied the
26 “similarly situated” requirement by “showing that plaintiffs were subject to the same FLSA
27 exemption classification and performed similar job duties.” Doc. 30, F&R, 6:16-17. Defendant
28 challenges both conclusions. Plaintiffs’ evidentiary burden at this stage is to make “substantial

1 allegations, supported by declarations or discovery, that ‘the putative class members were together
2 the victims of a single decision, policy, or plan.’” Lewis v. Wells Fargo & Co., 669 F.Supp.2d
3 1124, 1127 (N.D. Cal. 2009), quoting Thiessen v. General Electric Capital Corp., 267 F.3d 1095,
4 1102 (10th Cir. 2001).

6 **1. FLSA Exemption Classification**

7 The Magistrate Judge found “plaintiffs have shown that the putative class members were
8 the victims of a single decision, policy, or plan. This requirement is met upon a showing that
9 Plaintiffs were subject to the same uniform classification of exempt status under FLSA. Doc. 30,
10 F&R, 12:7-10. In response, Defendant cites to Ninth Circuit precedent which declined to “adopt a
11 rule that class certification is warranted under Rule 23(b)(3) whenever an employer uniformly
12 classifies a group of employees as exempt, notwithstanding the requirement that the district court
13 conduct an individualized analysis of each employee’s actual work activity.” Vinole v.
14 Countrywide Home Loans, Inc., 571 F.3d 935, 945-46 (9th Cir. 2009). Defendant also points out
15 that another Ninth Circuit opinion overturned a district court ruling, finding that “Wang essentially
16 creates a presumption that class certification is proper when an employer’s internal exemption
17 policies are applied uniformly to the employees. Such an approach, however, disregards the
18 existence of other potential individual issues that may make class treatment difficult if not
19 impossible. Indeed, this case is a prime example, as the district court identified ‘serious issues
20 regarding individual variations’ that were not susceptible to common proof.” Mevorah v. Wells
21 Fargo Home Mortg., 571 F.3d 953, 958 (9th Cir. 2009), citing Wang v. Chinese Daily News, Inc.,
22 231 F.R.D. 602 (C.D. Cal. 2005).

23 Reading these opinions reveals that the Ninth Circuit cautions against relying solely on an
24 exemption designation without looking at other factors that show similarity or dissimilarity. See
25 Vinole v. Countrywide Home Loans, Inc., 571 F.3d 935, 946 (9th Cir. 2009) (“a district court
26 abuses its discretion in relying on an internal uniform exemption policy *to the near exclusion of*
27 *other factors* relevant to the predominance inquiry”) emphasis added. The other district court
28 cases cited by the Magistrate Judge in the F&R take this concern into account by also looking at

1 whether the members of the proposed class share similar job duties. See Kress v.
2 PricewaterhouseCoopers, LLP, 263 F.R.D. 623, 629-30 (E.D. Cal. 2009) (“this standard might
3 allow plaintiffs to receive conditional certification solely on the basis on an employer’s uniform
4 classification decision. Cases engaging in notice stage analysis on misclassification claims,
5 however, have required plaintiffs to provide some further allegation or evidence indicating that
6 prospective class members share similar job duties”); Lewis v. Wells Fargo & Co., 669 F. Supp.
7 2d 1124, 1128 (N.D. Cal. 2009) (“Plaintiffs meet their burden of showing that all technical support
8 workers are similarly situated with respect to their FLSA claim: all technical support workers
9 share a job description, were uniformly classified as exempt from overtime pay by Defendant and
10 perform similar job duties”). The fact that the proposed class members were exempt under FLSA
11 due to Defendant’s compensation policy is not the only factor relied upon to find that they are
12 “similarly situated.”

14 **2. Evidence of Similar Job Duties**

15 The job descriptions of four positions in question (Drilling Fluid Specialist II-IV and
16 Drilling Fluid Specialist Senior) listed under “Position Function/Responsibilities: Location
17 Specific Function/Responsibilities” are identical. See Doc. 22-1, Exs. 2-5. The job description of
18 a fifth position (Drilling Fluid Specialist I) is identical except for the requirement to “Maintain
19 environmental discharge and consumption records as required by legislation or client.” See Doc.
20 22-1, Ex. 1. Plaintiffs’ declarants generally agree that “mud men across the country had the same
21 basic job duties: We would take samples of drilled mud from the mud tanks. The samples would
22 show whether the properties of the drilling fluid, also known as drilling mud, were within
23 specifications designated by Swaco. We would also keep track of inventory.” Doc. 12-3, Ashley
24 Balfour Declaration, 2:22-27; see also Doc. 12-4, Sam Syed Declaration, 1:7-12; Doc. 12-5, Alan
25 Crane Declaration, 2:13-18; Doc. 12-6, Adam Doherty Declaration, 1:23-2:3. The main duty of
26 mud engineers was to take measurements of the mud and to make recommendations as to pills
27 (mixes of materials) to send down the drill site to change the mud’s consistency. See Doc. 21-2,
28 Ex. E, Matthew Terrence Dewan Deposition, 57:14-17. Defendant admits that all proposed class

1 members “are in charge of the drilling fluid” but argues that “What that means and what each
2 employee does while they are in charge of the drilling fluid varies dramatically.” Doc. 31,
3 Defendant Objections, 13:3-5. However, Defendant provides no evidence to support that
4 argument. The Magistrate Judge pointed out that Defendant’s own declarants support Plaintiffs’
5 contention that all of them shared similar job duties, namely the “primary responsibility” of
6 ensuring that the consistency of the mud is suitable for drilling. Doc. 30, F&R, 15:4-16:9. The
7 Magistrate Judge concluded that “While the job function and responsibilities for each position are
8 similar, the positions entail progressively less supervision, and include the training of lower level
9 drilling fluid specialists.” Doc. 30, F&R, 14:18-21. Review of the proffered evidence supports
10 this conclusion.

11 Defendant argues “the Magistrate [Judge] did not discuss the potential exemption(s) at
12 issue, let alone consider how common evidence can be used to show that hundreds or thousands of
13 mud engineers, who worked at drilling rigs located throughout the United States, were uniformly
14 misclassified as exempt.” Doc. 31, Defendant Objections, 11:4-7. Defendant makes scattershot
15 arguments regarding the exemptions. See Doc. 21, Defendant Opposition, 18:13-20:28.
16 Defendant does not go into detail on any of them, choosing instead to provide vague speculations
17 as to how they potentially could apply to mud engineers. Examining the exemptions directly does
18 not support Defendant’s assertion of dissimilarity. The salient legal question is whether these
19 employees were properly classified as exempt under FLSA. So long as all of the proposed class
20 members are together on one side of the line (either all exempt or all not exempt), they are
21 similarly situated for class certification purposes. FLSA states that employees who are “employed
22 in a bona fide executive, administrative, or professional capacity” are exempt from the “minimum
23 wage and maximum hour requirements.” 29 U.S.C. § 213(a)(1). Defendant argues that only
24 analysis of individual job experiences can determine whether each potential class member was
25 properly classified. Doc. 31, Defendant Objections 10:16-11:3. However, there is no evidence at
26 this point that these employees’ duties differed such that they would fall on opposite sides of that
27 legal line.

28 Defendant cites to the “applicability of the administrative exemption.” Doc. 21, Defendant

1 Opposition, 19:1. To qualify for an “administrative capacity” exemption, among other
2 requirements, an employee must have a “primary duty [which] is the performance of office or non-
3 manual work directly related to the management or general business operation of the employer or
4 the employer’s customers.” 29 C.F.R. § 541.200(a)(2). “To meet this requirement, an employee
5 must perform work directly related to assisting with the running or servicing of the business, as
6 distinguished, for example, from working on a manufacturing production line or selling a product
7 in a retail or service establishment.... Work directly related to management or general business
8 operations includes, but is not limited to, work in functional areas such as tax; finance; accounting;
9 budgeting; auditing; insurance; quality control; purchasing; procurement; advertising; marketing;
10 research; safety and health; personnel management; human resources; employee benefits; labor
11 relations; public relations, government relations; computer network, internet and database
12 administration; legal and regulatory compliance; and similar activities.” 29 C.F.R. § 541.201(a)
13 and (b). As stated above the primary responsibility of these employees was to take samples of
14 mud and change its consistency when necessary. Defendant does not provide any legal support for
15 the contention that these duties constitute “office or non-manual work directly related to the
16 management or general business operation.” See Doc. 21, Defendant Opposition, 18:17-20:26;
17 Doc. 31, Defendant Objection, 11:13-12-10. This may be a central legal dispute on the merits.
18 Class certification is not the appropriate stage to decide such issues. Stanfield v. First NLC Fin.
19 Servs., 2006 U.S. Dist. LEXIS 98267, *13 (N.D. Cal. Nov. 1, 2006). Regardless, this legal
20 determination would not defeat class certification as all proposed class members had the same
21 primary job responsibility; the whole proposed class would presumably be uniformly exempt or
22 uniformly not exempt. Nothing in the evidence presented by both parties suggests that the mud
23 engineers’ other duties took up substantial parts of their time.

24 Defendant also suggests that some potential class members are exempt due to advanced
25 knowledge, licensing, and training, 29 C.F.R. § 541.301(a).” Doc. 21, Defendant Opposition,
26 19:10-11. To qualify as an exempt “professional” employee, an employee must have a “primary
27 duty [which] is the performance of work: (i) Requiring knowledge of an advanced type in a field
28 of science or learning customarily acquired by a prolonged course of specialized intellectual

1 instruction.” 29 C.F.R. § 541.300(a)(2). The five positions do not list any requirement for a
2 specific educational background. See Doc. 22-1, Exs. 1-5. This exemption is restricted to
3 “professions where specialized academic training is a standard prerequisite for entrance into the
4 profession. The best prima facie evidence that an employee meets this requirement is possession
5 of the appropriate academic degree.... The learned professional exemption also does not apply to
6 occupations in which most employees have acquired their skill by experience rather than by
7 advanced specialized intellectual instruction.” 29 C.F.R. § 541.301(d). Defendant has not
8 provided any support to suggest that any employee in one of the five positions qualifies for this
9 exemption. Plaintiffs’ declarants generally state that “I and the other mud men were not required
10 to have any particular educational background or training to apply for the job.” Doc. 12-3, Ashley
11 Balfour Declaration, 1:17-19; see also Doc. 12-4, Sam Syed Declaration, 1:15-17; Doc. 12-5, Alan
12 Crane Declaration, 1:19-21; Doc. 12-6, Adam Doherty Declaration, 1:10-12. One of Defendant’s
13 declarants with a Bachelors of Science in Medical Laboratory Sciences states that “My
14 educational background, as well as the time I had spent working in a lab environment, was
15 extremely helpful” but gives no indication that his academic training was necessary to his job.
16 Doc. 21-1, Ex. 4, Benjamin Fullmer Declaration, 1:3-8.

18 **3. Admissibility of Declarations**

19 Defendant challenges the declarations of various mud engineers provided by Plaintiffs on
20 the basis that they are inadmissible due to lack of foundation, lack of personal knowledge,
21 relevance, vague and ambiguous, contradicted by deposition testimony, legal conclusion, and/or
22 hearsay. See Doc. 31, Defendant Objections, 18:8-9; Doc. 21-3, Defendant Objections to
23 Evidence. Some courts have found that only admissible evidence be considered at this stage of the
24 proceedings. See Colson v. Avnet, Inc., 687 F. Supp. 2d 914, 928 (D. Ariz. 2010), quoting
25 Harrison v. McDonald’s Corp., 411 F. Supp. 2d 862, 865-66 (S.D. Ohio 2005). However, the
26 more prevalent practice is to relax this requirement: “Though the issue has not been squarely
27 addressed by the Ninth Circuit, certain ‘courts have held that on a motion for class certification,
28 the evidentiary rules are not strictly applied and courts can consider evidence that may not be

1 admissible at trial.” Parkinson v. Hyundai Motor Am., 258 F.R.D. 580, 599 (C.D. Cal. 2008),
2 quoting Rockey v. Courtesy Motors, Inc., 199 F.R.D. 578, 582 (W.D. Mich. 2001); see also Davis
3 v. Social Service Coordinators, Inc., 2012 WL 3744657, *7 (E.D. Cal. Aug. 28, 2012) (“Many
4 courts have relaxed the evidentiary requirements for plaintiffs at the conditional certification stage
5 because the evidence has not been fully developed through discovery and the evidence will be
6 subjected to greater scrutiny at the second stage”); Dominguez v. Schwarzenegger, 270 F.R.D.
7 477, 483 n.5 (N.D. Cal. 2010) (“unlike evidence presented at a summary judgment stage, evidence
8 presented in support of class certification need not be admissible at trial”).

9 Defendant’s central objection appears to be that the individual declarants only know their
10 own working conditions/job duties and have no basis to state what they are for other mud
11 engineers working at other sites. Doc. 21, Defendant Objections, 18:14-21. The court notes that
12 (as stated above) Defendant’s own declarants concur with Plaintiffs’ declarants as to what the
13 substance of the mud engineer job was. Further it appears that the basis for declarants’
14 generalizations about the mud engineer position may have been conversations with other mud
15 engineers. See e.g., Doc. 12-3, Ashley Balfour Declaration, 4:25-27 (“based on my conversations
16 with other mud men...”). At this stage, the limitation on hearsay is relaxed. The evidence
17 provided by both Plaintiffs and Defendant support the conclusion that mud engineers generally
18 had similar job duties.

19 20 **D. Consultants**

21 Defendant argues that mud engineers who were consultants may not be part of the
22 proposed class as they are independent contractors who are not subject to the FLSA. Doc. 31,
23 Defendant Objections, 17:9-18:6. In briefing the underlying motion before the Magistrate Judge,
24 Defendant did not make this argument; instead, Defendant asserted that consultants were not
25 similarly situated to the rest of the mud engineers because they were paid more money, exercised
26 more discretion, and had more experience. See Doc. 21, Defendant Opposition, 23:8-28. In key
27 part, Defendant never argued that consultants were independent contractors. It is not known at
28 this point if Plaintiffs agree that consultants were independent contractors or if they consider

1 Defendant a joint employer. A district court has discretion to consider or decline new arguments
2 raised for the first time in an objection to a findings and recommendations. See Brown v. Roe, 279
3 F.3d 742, 745 (9th Cir. 2002); see also Hanna v. County of Mariposa, 2014 U.S. Dist. LEXIS
4 71396, *3-4 (E.D. Cal. May 22, 2014); Razzoli v. Fed. Bureau of Prisons, 2014 U.S. Dist. LEXIS
5 74148, *13 (S.D.N.Y. May 30, 2014) (“new arguments and factual assertions cannot properly be
6 raised for the first time in objections to the report and recommendation, and indeed may not be
7 deemed objections at all”). This court will not examine the issue at this point. This is only the
8 first step in class certification and the question may be better resolved at a later stage when the
9 evidence is more complete.

11 **E. Proposed Notice**

12 Defendant has made several objections to the proposed notice process. The F&R made a
13 few modifications to the proposed text. In approving of the language contained in these notices,
14 “trial courts must take care to avoid even the appearance of judicial endorsement of the merits of
15 the action.” Hoffmann-La Roche v. Sperling, 493 U.S. 165, 174 (1989). Those changes have not
16 been challenged by the parties and are accepted.

17 Defendant makes a number of additional minor objections to the text of the proposed
18 notice. Under the heading “Your Current Legal Rights And Options In This Lawsuit” and “Do
19 Nothing,” the language “in the FLSA claims asserted” if to be removed. The sentence should read
20 “If you fail to return the Consent to Join form promptly, you will not be given the opportunity to
21 participate in this lawsuit” to clarify that failure to consent does not foreclose recovery of FLSA
22 claims in a separate action. The other minor changes requested by Defendant are unnecessary.

23 More prominently, Defendant asks that the notices be sent out by a third party
24 administrator rather than Plaintiffs’ counsel directly. Doc. 31, Defendant Objection, 21:15.
25 Plaintiffs agree “provided that, Plaintiffs’ counsel still receives (and, thus, jointly with the [third-
26 party administrator]) from Defendant a class list, in Microsoft excel, including the names, last
27 known mailing addresses, telephone numbers, and email addresses.” Doc 32, Plaintiffs Response,
28 14:9-11. This does not resolve the issue as Defendant specifically argues “There is no legitimate

1 reason why Plaintiffs’ counsel needs the class contact information at this stage of the case.” Doc.
2 31, Defendant Objection, 21:20-21. The real dispute is over Plaintiffs’ counsel’s access to that
3 information.

4 Some courts have resisted providing contact information to plaintiffs’ counsel at this stage
5 “given privacy concerns implicit in information derived from personnel records.” Torres v. CSK
6 Auto, Inc., 2003 U.S. Dist. LEXIS 25092, *9 (W.D. Tex. Dec. 17, 2003); Davenport v. Charter
7 Communs., LLC, 2014 U.S. Dist. LEXIS 40574, *24 (E.D. Mo. Mar. 27, 2014). However, that
8 does not appear to be the practice of other courts, notably the Northern District. See Deatrick v.
9 Securitas Sec. Servs. USA, 2014 U.S. Dist. LEXIS 148835, *15 (N.D. Cal. Oct. 20, 2014) (“The
10 Court therefore rejects Defendant Securitas’s suggestion that disclosure of this information to
11 Plaintiff violates employees’ privacy rights”); Otey v. CrowdFlower, Inc., 2013 WL 4552493, *6
12 (N.D. Cal. 2013) (“courts routinely require defendants to produce the contact information of
13 putative class members”); Lewis v. Wells Fargo & Co., 669 F. Supp. 2d 1124, 1128 (N.D. Cal.
14 2009) (“the Court is authorized to order the production of potential class members’ contact
15 information to Plaintiff’s counsel”).

16 Defendant also objects to providing the “telephone numbers or email addresses of current
17 and former employees. Providing names and last known mailing addresses to a third party
18 administrator should be sufficient, and is all that is contemplated by the notice which Plaintiffs
19 have requested.” Doc. 31, Defendant Objections 22:15-18. However, Plaintiffs ask for both
20 physical and email delivery of the notice so a physical mailing address is insufficient. Doc. 12-1,
21 Plaintiffs Proposed Order, 2:28-3:2. In one case, the one court noted, “potential class members,
22 technical support workers, are likely to be particularly comfortable communicating by email and
23 thus this form of communication is just as, if not more, likely to effectuate notice than first class
24 mail.” Lewis v. Wells Fargo & Co., 669 F. Supp. 2d 1124, 1128-29 (N.D. Cal. 2009). Though this
25 case does not involve information technology employees, email is an increasingly important
26 means of contact.

27 Upon consideration, the concerns about privacy are significant. Plaintiffs’ counsel will not
28 be given potential class members’ contact information; that data will be provided to the third party

1 administrator only, who must take a more active role in this process. Defendant must turn over to
2 the administrator (1) names, (2) current and past physical addresses, (3) current and past email
3 addresses, (4) current and past telephone numbers, and (5) social security numbers. The
4 administrator is to mail the notice to the current physical address(es) and e-mail the notice to the
5 current e-mail address(es). If the administrator is notified that the current physical address is
6 incorrect (for example, if the notice is returned as undeliverable), the administrator is to then take
7 additional measures to discover a correct address and resend the notice. It is in everyone's interest
8 to ensure that the notices reach the entire potential class to avoid having to revisit the issue at a
9 later date. See De Asencio v. Tyson Foods, Inc., 342 F.3d 301, 313 (3rd Cir. 2003) (reopening
10 FLSA opt-in period due to large number of incorrect addresses and failure to mail notices to new
11 employees).

12 Defendant would like responses to the notice (consent to join the class) to be sent to the
13 third party administrators rather than Plaintiffs' counsel. Doc. 31, Defendant Objections, 20:9-11.
14 Once a party consent to join this suit, there is no need to hide their identity from Plaintiffs'
15 counsel; the privacy concerns would no longer have the same weight.

16 Defendant requests that contact information for its own counsel be provided in the notice.
17 Doc. 31, Defendant Objections, 20:12-13. The request is denied as "Courts in this circuit have
18 similarly held that requiring the inclusion of defense counsel's name is without a basis in either
19 law or logic." Chastain v. Cam, 2014 U.S. Dist. LEXIS 102465, *26 (D. Or. July 28, 2014), citing
20 Adams v. Inter-Con Sec. Sys., 242 F.R.D. 530, 541 (N.D. Cal. 2007); Morden v. T-Mobile USA,
21 Inc., 2006 U.S. Dist. LEXIS 68696, *11 (W.D. Wash. Sept. 12, 2006).

22 Plaintiffs request that potential class members be given 120 days to respond to the notice.
23 Doc. 12-1, Proposed Order, 3:3-5. Defendant requests that the deadline be reduced to 45 days.
24 Doc. 31, Defendant Objections, 20:17-19. Several courts have found that 120 days is excessive.
25 See Gamble v. Boyd Gaming Corp., 2014 U.S. Dist. LEXIS 78069, *15 (D. Nev. June 6, 2014)
26 (granting 60 days); Guy v. Casal Inst. of Nev., LLC, 2014 U.S. Dist. LEXIS 65056, *16-17 (D.
27 Nev. May 12, 2014) (granting 90 days); Davenport v. Charter Communs., LLC, 2014 U.S. Dist.
28 LEXIS 40574, *25 (E.D. Mo. Mar. 27, 2014) (granting 60 days); Afsur v. Riya Chutney Manor

1 LLC, 2013 U.S. Dist. LEXIS 96654, *8 (D.N.J. July 10, 2013) (granting 60 days). Other courts
2 have approved a 120 day response period. See Kassman v. KPMG LLP, 2014 U.S. Dist. LEXIS
3 93022, *24 (S.D.N.Y. July 8, 2014); Mott v. Driveline Retail Merch., Inc., 2014 U.S. Dist. LEXIS
4 69520, *19 (E.D. Pa. May 21, 2014). In approving longer periods of time, courts have accepted
5 arguments that “the potential class is transitory and there is a high turnover rate, meaning that
6 additional investigation may be required in order to contact potential opt-in plaintiffs.” Anyere v.
7 Wells Fargo, Co., 2010 U.S. Dist. LEXIS 35599, *15 (N.D. Ill. Apr. 12, 2010); Carrillo v.
8 Schneider Logistics, Inc., 2012 U.S. Dist. LEXIS 26927, *56-57 (C.D. Cal. Jan. 31, 2012)
9 (granting 180 days because “This case concerns low-wage, immigrant workers in an industry with
10 high turnover. Thus, it is reasonable to expect that potential opt-in plaintiffs who worked for
11 defendants in the past are likely to have moved several times since then, and often away from the
12 area”). Where such an explanation is given, granting an extended the time period is reasonable.
13 But, Plaintiffs have not provided argument that the proposed class would be a particularly difficult
14 group to contact. In the absence of special circumstances, “timeframes of sixty to ninety days
15 appear to have become the presumptive standard in this District.” Benedict v. Hewlett-Packard
16 Co., 2014 U.S. Dist. LEXIS 18594, *58 (N.D. Cal. Feb. 13, 2014) (granting 90 days instead of
17 120); Adams v. Inter-Con Sec. Sys., 242 F.R.D. 530, 542 (N.D. Cal. 2007) (granting 90 days
18 instead of 120). A 90 day period for returning the consent forms will be permitted in this case.
19

20 **F. Equitable Tolling**

21 Due to the lengthy amount of time this motion has been under consideration, Plaintiffs
22 request that equitable tolling be applied to the statute of limitations. Procedural delay may also be
23 a valid reason to apply equitable tolling. See Helton v. Factor 5, Inc., 2011 WL 5925078, *2 (N.D.
24 Cal. 2011). This motion was originally filed on July 8, 2013. The motion was set for hearing on
25 March 28, 2014. The parties agreed to toll the running of the statute of limitations from August 5,
26 2013 to the hearing date. Doc. 15. No hearing was held. The F&R was issued on July 30, 2014.
27 This motion will not be resolved until a final version of the proposed notice is approved.
28 Equitable tolling is warranted given amount of time this issue has been before the court.

1
2 **IV. Order**

3 The Finding and Recommendations issued July 30, 2014, are ADOPTED with
4 modifications.

5 The Court conditionally certifies a class consisting of: “All persons who were or are
6 employed by Defendant, on or after August 5, 2010 (the ‘FLSA Class Period’), in the job position
7 known as drilling fluids specialist (‘DFS’), ‘mud engineer,’ ‘mud man,’ ‘mud man trainee,’ or
8 ‘consultant mud man,’ or equivalent titles (the ‘FLSA Collective Plaintiffs’).”

9 By 4:00 PM, January 8, 2015, Defendant is ORDERED to have prepared in Microsoft
10 excel, a class list that includes, to the extent known by Defendant, the names, last known mailing
11 addresses, all prior mailing address, last known email addresses, all prior email addresses, last
12 known telephone number, all prior telephone numbers, and social security numbers of present and
13 former employees falling within the above class description and to file a notice certifying that the
14 dataset is ready to be given to a third party administrator. By 4:00 PM, January 8, 2015, Plaintiffs
15 are ORDERED to file with the court an updated proposed notice that complies with the rulings of
16 this order and to name a third party administrator that is able and willing to comply with the
17 procedures specified in this order.

18 Equitable tolling applies through January 13, 2015, when this court anticipates issuing a
19 final order approving issuance of the notices to potential class members.

20
21 IT IS SO ORDERED.

22 Dated: November 26, 2014



23 SENIOR DISTRICT JUDGE
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