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IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

**KAREEM BROWN,**

Petitioner,

**v.**

**AMY MILLER,**

Respondent.

**Case No. 1:12-cv-01787 AWI MJS (HC)**

**FINDINGS AND RECOMMENDATION  
REGARDING PETITION FOR WRIT OF  
HABEAS CORPUS**

Petitioner is a state prisoner proceeding pro se with a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254. Respondent is represented by Charles French of the office of the California Attorney General.

**I. PROCEDURAL BACKGROUND**

Petitioner is currently in the custody of the California Department of Corrections pursuant to a judgment of the Superior Court of California, County of Kern, following his conviction by jury trial on April 1, 2008, of assault with a deadly weapon by a life inmate upon a correctional officer by means of force likely to produce great bodily injury with an enhancement for inflicting great bodily injury. (Clerk's Tr. at 1196-97.) On June 13, 2008, Petitioner was sentenced to an indeterminate term of 21 years to life to be served consecutively to the sentence of 20 years to life that Petitioner was already serving. (Id.)

1 Petitioner filed a direct appeal with the California Court of Appeal, Fifth Appellate  
2 District on March 2, 2009. (Lodged Docs. 1-4.) The appeal was denied on November 5,  
3 2009. (Id.) On December 11, 2009, Petitioner filed a petition for review with the California  
4 Supreme Court. (Lodged Doc. 5.) The petition was summarily denied on January 13,  
5 2010. (Lodged Doc. 6.)

6 Petitioner filed a habeas petition with the Fifth District Court of Appeal on July 13,  
7 2010. (Lodged Doc. 8.) The petition was denied without prejudice on March 7, 2011 for  
8 failing to exhaust remedies in the superior court. (Lodged Doc. 11.) On April 8, 2011,  
9 Petitioner filed a petition for writ of habeas corpus with the Kern County Superior Court.  
10 (Lodged Doc. 7.) The petition was denied on April 25, 2011. (Lodged Doc. 10.) Finally,  
11 Petitioner filed a habeas petition with the California Supreme Court on July 2, 2012.  
12 (Lodged Doc. 9.) The petition was denied as untimely October 17, 2012. (Lodged Doc.  
13 12.)

14 Petitioner filed his federal habeas petition on November 1, 2012. (Pet., ECF No.  
15 1.) The petition raised eight different claims for relief, listed as follows:

16 1.) That appellate counsel was ineffective for failing to raise claims that the state  
17 destroyed exculpatory evidence, that there was insufficient evidence of  
18 Petitioner's intent to commit the crime, that the trial court failed to instruct the jury  
19 on Petitioner's theory of provocation, and that the trial court abused its discretion  
20 in failing to admit evidence of the victim's bad acts;

21 2.) That the trial court admitted inflammatory and prejudicial photos of the victim,  
22 violating Petitioner's due process;

23 3.) That the state violated Petitioner's due process in failing to obtain a defense  
24 witness in federal custody; and

25 4.) Cumulative error.

26 (Pet. at 4-8, ECF No. 1.)

27 Respondent filed an answer to the petition on March 11, 2013. (Answer, ECF No.  
28 15.) Petitioner filed a traverse on July 29, 2013. (ECF No. 26.) The matter stands ready

1 for adjudication.

2 **II. STATEMENT OF THE FACTS**<sup>1</sup>

3 First, we turn to the evidence at trial. Michael Lippert, a correctional  
4 officer at California Correctional Institution in Tehachapi (CCI), called  
5 Craig Terry, a correctional officer working the control room at CCI, to let  
6 him know which inmates to let out for doctor's appointments. One was  
7 Brown's cellmate, whom Terry so informed on the public address system.  
8 After giving him time to get ready, Terry opened the cell door, but Brown  
9 stepped out instead. On the public address system, Terry ordered him to  
10 return to his cell, but he did not, so Terry called Lippert and told him,  
11 "Brown was out on the tier and would not return to his cell."

12 From downstairs, Lippert "repeatedly asked [Brown] to lock up," but  
13 his response was "negative." Brown, "quite agitated," started "swearing" at  
14 Lippert. Watching Lippert and Brown talk to each other, Terry saw Brown  
15 "throw his arms up in like a defiant manner, like he was refusing a direct  
16 order." On the public address system, Terry ordered Brown "to return to  
17 his cell" four or five times, but he did not comply. Lippert walked upstairs  
18 to "order him to lock up" and pointed his pepper spray at him "in case [he]  
19 needed to use it."

20 Once he was upstairs, Lippert saw Brown facing him in "a bladed  
21 stance, slightly skewed from facing you straight on, one foot slightly in  
22 front of the other. And his arms were down to his sides, slightly bent," and  
23 his fists were "clenched" in a fighting stance. Lippert fired his pepper  
24 spray. Brown charged him and struck "three to four heavy blows" to his  
25 head. Lippert lost consciousness.

26 From the control room, Terry saw Brown "striking [Lippert] about  
27 the face and chest" a total of about 10 times, some before he fell, some  
28 after he fell. After Lippert fell, Brown straddled him and kept striking him in  
the face until a rubber bullet Terry fired hit him in the lower back. Brown  
immediately stopped the assault and, after glancing behind him, looked at  
Terry in the control room and ran back into his cell.

Testifying in his own defense, Brown acknowledged leaving his cell  
and not going back inside even after Lippert "continued to give [him] direct  
orders to go back into the cell." The prison was on lockdown, racial wars  
were in progress, and he was concerned for his and his cellmate's safety.  
Brown told Lippert he would go back into his cell as soon as his cellmate  
left the cell. Lippert told him he needed to lock up or his cellmate would  
not see the doctor. Brown told Lippert he did not care if his cellmate saw  
the doctor. Saying he would spray Brown and lock him up if he did not lock  
up voluntarily, Lippert "pointed his pepper spray" at him, "took a defensive  
stance," and again told him to lock up. Brown again told Lippert he would  
go back into his cell as soon as his cellmate left the cell. Lippert pepper  
sprayed him. Brown "automatically respond[ed] in self-defense," striking  
him "four to five times" "real hard" "with clenched fists." After Lippert lost

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<sup>1</sup>The Fifth District Court of Appeal's summary of the facts in its November 5, 2009 opinion is presumed correct. 28 U.S.C. § 2254(e)(1).

consciousness and fell, Brown did not touch him again. He never got on top of him.

People v. Brown, 2009 Cal. App. Unpub. LEXIS 8845, 3-6 (Cal. App. 5th Dist. Nov. 5, 2009).

## **II. DISCUSSION**

### **A. Jurisdiction**

Relief by way of a petition for writ of habeas corpus extends to a person in custody pursuant to the judgment of a state court if the custody is in violation of the Constitution or laws or treaties of the United States. 28 U.S.C. § 2254(a); 28 U.S.C. § 2241(c)(3); Williams v. Taylor, 529 U.S. 362, 375 fn.7 (2000). Petitioner asserts that he suffered violations of his rights as guaranteed by the U.S. Constitution. In addition, the conviction challenged arises out of the Kern County Superior Court, which is located within the jurisdiction of this court. 28 U.S.C. § 2241(d); 2254(a). Accordingly, the Court has jurisdiction over the action.

### **B. Legal Standard of Review**

On April 24, 1996, Congress enacted the Antiterrorism and Effective Death Penalty Act of 1996 ("AEDPA"), which applies to all petitions for writ of habeas corpus filed after its enactment. Lindh v. Murphy, 521 U.S. 320, 326 (1997); Jeffries v. Wood, 114 F.3d 1484, 1499 (9th Cir. 1997). The instant petition was filed after the enactment of the AEDPA; thus, it is governed by its provisions.

Under AEDPA, an application for a writ of habeas corpus by a person in custody under a judgment of a state court may be granted only for violations of the Constitution or laws of the United States. 28 U.S.C. § 2254(a); Williams v. Taylor, 529 U.S. at 375 n. 7 (2000). Federal habeas corpus relief is available for any claim decided on the merits in state court proceedings if the state court's adjudication of the claim:

(1) resulted in a decision that was contrary to, or involved an unreasonable application of, clearly established federal law, as determined by the Supreme Court of the United States; or

(2) resulted in a decision that was based on an unreasonable determination of the facts in light of the evidence presented in the State court proceeding.

1 28 U.S.C. § 2254(d).

2 1. Contrary to or an Unreasonable Application of Federal Law

3 A state court decision is "contrary to" federal law if it "applies a rule that  
4 contradicts governing law set forth in [Supreme Court] cases" or "confronts a set of facts  
5 that are materially indistinguishable from" a Supreme Court case, yet reaches a different  
6 result." Brown v. Payton, 544 U.S. 133, 141 (2005) citing Williams, 529 U.S. at 405-06.  
7 "AEDPA does not require state and federal courts to wait for some nearly identical  
8 factual pattern before a legal rule must be applied. . . . The statute recognizes . . . that  
9 even a general standard may be applied in an unreasonable manner" Panetti v.  
10 Quarterman, 551 U.S. 930, 953 (2007) (citations and quotation marks omitted). The  
11 "clearly established Federal law" requirement "does not demand more than a 'principle'  
12 or 'general standard.'" Musladin v. Lamarque, 555 F.3d 830, 839 (2009). For a state  
13 decision to be an unreasonable application of clearly established federal law under §  
14 2254(d)(1), the Supreme Court's prior decisions must provide a governing legal principle  
15 (or principles) to the issue before the state court. Lockyer v. Andrade, 538 U.S. 63, 70-  
16 71 (2003). A state court decision will involve an "unreasonable application of" federal  
17 law only if it is "objectively unreasonable." Id. at 75-76, quoting Williams, 529 U.S. at  
18 409-10; Woodford v. Visciotti, 537 U.S. 19, 24-25 (2002). In Harrington v. Richter, the  
19 Court further stresses that "an *unreasonable* application of federal law is different from  
20 an *incorrect* application of federal law." 131 S. Ct. 770, 785 (2011), (citing Williams, 529  
21 U.S. at 410) (emphasis in original). "A state court's determination that a claim lacks  
22 merit precludes federal habeas relief so long as 'fairminded jurists could disagree' on the  
23 correctness of the state court's decision." Id. at 786 (citing Yarborough v. Alvarado, 541  
24 U.S. 653, 664 (2004)). Further, "[t]he more general the rule, the more leeway courts  
25 have in reading outcomes in case-by-case determinations." Id.; Renico v. Lett, 130 S.  
26 Ct. 1855, 1864 (2010). "It is not an unreasonable application of clearly established  
27 Federal law for a state court to decline to apply a specific legal rule that has not been  
28 squarely established by this Court." Knowles v. Mirzayance, 129 S. Ct. 1411, 1419

1 (2009), quoted by Richter, 131 S. Ct. at 786.

2 2. Review of State Decisions

3 "Where there has been one reasoned state judgment rejecting a federal claim,  
4 later unexplained orders upholding that judgment or rejecting the claim rest on the same  
5 grounds." See Ylst v. Nunnemaker, 501 U.S. 797, 803 (1991). This is referred to as the  
6 "look through" presumption. Id. at 804; Plascencia v. Alameida, 467 F.3d 1190, 1198  
7 (9th Cir. 2006). Determining whether a state court's decision resulted from an  
8 unreasonable legal or factual conclusion, "does not require that there be an opinion from  
9 the state court explaining the state court's reasoning." Richter, 131 S. Ct. at 784-85.  
10 "Where a state court's decision is unaccompanied by an explanation, the habeas  
11 petitioner's burden still must be met by showing there was no reasonable basis for the  
12 state court to deny relief." Id. ("This Court now holds and reconfirms that § 2254(d) does  
13 not require a state court to give reasons before its decision can be deemed to have been  
14 'adjudicated on the merits.'").

15 Richter instructs that whether the state court decision is reasoned and explained,  
16 or merely a summary denial, the approach to evaluating unreasonableness under §  
17 2254(d) is the same: "Under § 2254(d), a habeas court must determine what arguments  
18 or theories supported or, as here, could have supported, the state court's decision; then  
19 it must ask whether it is possible fairminded jurists could disagree that those arguments  
20 or theories are inconsistent with the holding in a prior decision of this Court." Id. at 786.  
21 Thus, "even a strong case for relief does not mean the state court's contrary conclusion  
22 was unreasonable." Id. (citing Lockyer v. Andrade, 538 U.S. at 75). AEDPA "preserves  
23 authority to issue the writ in cases where there is no possibility fairminded jurists could  
24 disagree that the state court's decision conflicts with this Court's precedents." Id. To put  
25 it yet another way:

26 As a condition for obtaining habeas corpus relief from a federal  
27 court, a state prisoner must show that the state court's ruling on the claim  
28 being presented in federal court was so lacking in justification that there  
was an error well understood and comprehended in existing law beyond  
any possibility for fairminded disagreement.

1 Id. at 786-87. The Court then explains the rationale for this rule, i.e., "that state courts  
2 are the principal forum for asserting constitutional challenges to state convictions." Id. at  
3 787. It follows from this consideration that § 2254(d) "complements the exhaustion  
4 requirement and the doctrine of procedural bar to ensure that state proceedings are the  
5 central process, not just a preliminary step for later federal habeas proceedings." Id.  
6 (citing Wainwright v. Sykes, 433 U.S. 72, 90 (1977)).

### 7 3. Prejudicial Impact of Constitutional Error

8 The prejudicial impact of any constitutional error is assessed by asking whether  
9 the error had "a substantial and injurious effect or influence in determining the jury's  
10 verdict." Brecht v. Abrahamson, 507 U.S. 619, 623 (1993); see also Fry v. Pliler, 551  
11 U.S. 112, 121-22 (2007) (holding that the Brecht standard applies whether or not the  
12 state court recognized the error and reviewed it for harmlessness). Some constitutional  
13 errors, however, do not require that the petitioner demonstrate prejudice. See Arizona v.  
14 Fulminante, 499 U.S. 279, 310 (1991); United States v. Cronin, 466 U.S. 648, 659  
15 (1984). Furthermore, where a habeas petition governed by AEDPA alleges ineffective  
16 assistance of counsel under Strickland v. Washington, 466 U.S. 668 (1984), the  
17 Strickland prejudice standard is applied and courts do not engage in a separate analysis  
18 applying the Brecht standard. Avila v. Galaza, 297 F.3d 911, 918, n. 7 (2002). Musalin  
19 v. Lamarque, 555 F.3d at 834.

## 20 **III. REVIEW OF PETITION**

### 21 **A. Claim One: Ineffective Assistance of Counsel**

22 In his first claim, Petitioner contends that appellate counsel was ineffective for  
23 failing to raise several issues on appeal. (See Pet. at 4-7.)

#### 24 1. State Court Decision

25 Petitioner first presented this claim by way of a petition for writ of habeas corpus  
26 to the California Court of Appeal, Fifth Appellate District. (Lodged Doc. 8.) The court  
27 denied the petition without prejudice for Petitioner's failure to properly exhaust the claim  
28 in the superior court. (Lodged Doc. 11.) Petitioner returned to the Kern County Superior

1 Court, filed a petition for writ of habeas corpus, and the superior court denied it in a  
2 reasoned decision. (Lodged Docs. 7, 10.) Petitioner then filed a petition for writ of  
3 habeas corpus with the California Supreme Court. (Lodged Doc. 9.) The court denied the  
4 petition with citations to In re Robbins, 18 Cal.4th 770, 780 (1998) and In re Clark, 5  
5 Cal.4th 750, 767-769 (1993), indicating that the petition was untimely filed. See Walker  
6 v. Martin, 131 S. Ct. 1120, 1124 (2011). While the State court's denial of the claims on  
7 procedural grounds generally gives rise to a procedural bar that would foreclose this  
8 Court's review of Petitioner's claim, procedural default is an affirmative defense that  
9 Respondent was obligated to raise and preserve. See Trest v. Cain, 522 U.S. 87, 89,  
10 118 S. Ct. 478, 139 L. Ed. 2d 444 (1997); Franklin v. Johnson, 290 F.3d 1223, 1232 (9th  
11 Cir. 2002).

12 As Respondent did not plead that these claims are barred by procedural default,  
13 the Court finds this defense has been waived. See Morrison v. Mahoney, 399 F.3d 1042,  
14 1046 (9th Cir. 2005) (noting that affirmative defenses, such as procedural default, should  
15 be raised in the first responsive pleading in order to avoid waiver).

16 Here, where the last state court decision did not address the merits of the petition,  
17 the court, under § 2254(d), must determine what arguments or theories could have  
18 supported, the state court's decision and determine whether it is possible fairminded  
19 jurists could disagree that those arguments or theories are inconsistent with Supreme  
20 Court law. Richter, 131 S. Ct. at 786. Because the California Supreme Court's opinion  
21 denied the claims on alternative procedural grounds, this Court shall review the decision  
22 of the superior court for its persuasive authority.

23 In denying Petitioner's claim, the Kern County Superior Court explained:

24 Petitioner claims that his appellate counsel was ineffective for  
25 failing to raise the following issues: A. the jury failed to receive instructions  
26 on provocation; B. the state failed to prove malice beyond a reasonable  
27 doubt; C. the state deliberately destroyed a shirt worn during the struggle  
28 with Officer Lippert, and the prosecution was a party to such destruction;  
D. the trial court erred in not admitting prior bad acts of Officer Lippert; E.  
the trial court failed to ensure compulsory process by ordering the removal  
of Wesley Stanley from federal custody; F. The court erred in admitting  
photographs of Officer Lippert's medical condition; G. there was juror



misconduct somewhere since a guilty finding occurred on the last day that a juror was allegedly to be paid by her employer.

The court finds no merit in these claims and denies the petition for writ of habeas corpus. The crimes occurred October 14, 2004 at California Correctional Institute in Tehachapi. According to the appellate opinion, petitioner's cellmate had a medical appointment. When the cell door was opened, petitioner came outside instead of his cellmate.

Petitioner was ordered to return to his cell but did not. He assumed an aggressive stance. The officer sprayed him with pepper spray. Petitioner struck Officer Lippert several times on the head knocking him unconscious. Petitioner straddled the officer, beating him until he was subdued. Petitioner raised self-defense as well as provocation by the officer, but the jury did not find his defense credible. Petitioner alluded to racial tensions and modified programming based upon lockdowns. He contends that Officer Lippert had a history of animus against African/American inmates.

At the outset, the standard for appellate counsel is very similar to trial counsel regarding ineffective representation. Petitioner must show that counsel's conduct fell below professional norms causing prejudice, the absence of which would result in a different outcome. Strickland v. Washington (1984) 466 U.S. 668, 694. Petitioner contends that the evidence his appellate counsel failed to present would have resulted in an acquittal or reduction in culpability. Appellate counsel is not required to raise every conceivable claim in the appeal, jettisoning unmeritorious claims. In re Robbins (1998) 18 Cal.4th 770, 810, 812, Knowles v. Mirzayance (2009) 556 U.S. 173.

Petitioner presents numerous letters addressed to Richard Power, but fails to provide return correspondence for the court to evaluate. It is petitioner's responsibility to provide complete but not selective documentation enabling the court to ascertain whether there is a viable claim for review. People v. Duvall (1995) 9 Cal.4th 464, 474. The return correspondence would provide the court with a glimpse of the rationale appellate counsel used in his decision making. The court is left with the documents petitioner provides and the criminal file to arrive at a decision.

There are no trial transcripts provided by petitioner, or any in the criminal file. Petitioner contends that the jury received erroneous instructions on provocation. The [a]ppellate court found otherwise. The appellate court found that petitioner and the prosecution agreed on CALJIC 5.17 regarding self defense and imperfect self defense which applied to the facts in the case.

The facts do not show any provocation on the part of Officer Lippert, nor are there any facts showing that petitioner acted in a heat of passion characteristic of voluntary manslaughter. In fact, petitioner chose to disobey Officer Lippert's orders along with the control tower announcement for petitioner to return to his cell. He assumed an aggressive stance and continued pummeling Officer Lippert after Officer Lippert lost consciousness. Petitioner admits that his counsel raised this claim on appeal. He cites People v. St. Martins (1970) 1 Cal.3d 524 as authority that appellate counsel's analysis fell short. The court held that where there is evidence of provocation, the trial court has a duty to instruct

1 the jury on provocation, where there is corroborating evidence. People v.  
2 St. Martins (1970) 1 Cal.3d 524, 532. Other decisions held that where  
3 there is one adequate jury instruction covering possible defenses, failure  
4 to issue another competing jury instruction is harmless error since the  
5 jurors are not confused. People v. Canaday (1972) 8 Cal.3d 379, 389.

6 The cited authority does not apply since the facts do not support  
7 the defense of provocation as mentioned above. The jury instruction given  
8 covered self-defense and imperfect self-defense, which presupposes  
9 provocation and reduced culpability where petitioner used unreasonable  
10 force. Petitioner presents no declarations or witness testimony to bolster  
11 his claim of self-defense or provocation. Inmate Stanley, who allegedly  
12 could have testified that [O]fficer Lippert had animus against African  
13 American inmates, does not appear to have witnessed the incident. The  
14 petitioner provides numerous rules violations in which Officer Lippert was  
15 involved, but there is no evidence of their disposition or how they involved  
16 petitioner. The jury believed the prosecution, and the appellate court  
17 concurred, that petitioner failed to obey orders when told to return to his  
18 cell. He grew angrier and assaulted the officer after the officer used  
19 reasonable force to subdue him. To put it even more plainly, when ordered  
20 to return to his cell, petitioner did not cease his actions until [O]fficer  
21 Lippert was gravely injured. Where the appellate court adversely ruled  
22 against petitioner, he cannot raise this matter again in habeas corpus  
23 especially here under the guise of ineffective assistance of counsel. In re  
24 Waltreus (1965) 62 Cal.2d 218, 225.

25 Petitioner next contends that the state deliberately destroyed the  
26 shirt he wore on the day of the incident, and prosecutors failed to turn it  
27 over during discovery. Now that the trial is over, petitioner's only remedy  
28 would be post-conviction discovery embodied in P.C. Section 1054.9. The  
shirt was taken to the evidence locker. The prosecutor attempted to obtain  
the shirt, but it was nowhere to be found.

Petitioner contends that he wanted an expert to opine whether  
there was blood on the shirt supporting his claim of self-defense.  
Petitioner contends that Officer Manuel Vasquez deliberately destroyed  
the shirt due to the amount of pepper spray. Officer Vasquez allegedly  
changed his story offering a memo May 4, 2007 stating that it had been  
inadvertently destroyed. He further stated that the evidence was sent to  
the laboratory at the request of the District Attorney but failed to return.  
The prosecution can only turn over evidence that is in its possession even  
if the evidence is not material and exculpatory. Harmon v. Superior Court  
(2010) 50 Cal.4th 890, 894, In re Steel (2004) 32 Cal.4th 682, 695-696.

It is impossible to say whether the missing shirt would affect the  
outcome one way or the other. There is no dispute that petitioner received  
pepper spray, and the shirt was covered with it. There may be even blood  
on the shirt. However, the facts remain that Officer Lippert received  
serious injuries resulting from the application of unreasonable force by  
petitioner inflicting blows long after the officer lost consciousness. While  
the prosecution must turn over exculpatory evidence to comport with due  
process and discovery statutes, Brady v. Maryland (1963) 373 U.S. 83,  
Trombetta v. California (1984) 467 U.S. 479, 486, the state need not turn  
over evidence lost or destroyed in good faith. Trombetta 489.

The court finds no error by either the trial court or prosecution.

1           Petitioner's argument that the state failed to prove beyond guilt a  
2           reasonable doubt (sic) is erroneous. Petitioner contends that there is  
3           insufficient evidence to sustain the verdict. Sufficiency of the evidence  
4           claims are not cognizable in habeas corpus. In re Lindley (1947) 29 Cal.2d  
5           709, 723. Moreover, the jury verdict speaks for itself in that the  
6           prosecution was able to prove all the elements of assault on an officer by  
7           a prisoner with malice aforethought. Malice can be either express or  
8           implied. This means that petitioner intended to assault the officer or did so  
9           knowing the risks of infliction of bodily harm or did so with an abandoned  
10          and malignant heart. People v. Jeter (2005) 125 Cal.App.4th 1212, 1216.

11          From the facts, petitioner knew his continual disobedience of an  
12          order could lead to the officer taking reasonable means to subdue him. He  
13          seized this opportunity to inflict serious harm on Officer Lippert knowing  
14          full well that [O]fficer Lippert lost consciousness. There is more than ample  
15          evidence to sustain the jury verdict including the element of malice.  
16          Petitioner's next argument revolves around [the] trial court's failure to  
17          admit or exclude evidence.

18          Petitioner contends that the trial court erred in permitting the  
19          prosecution to admit a prior conviction for second degree murder. Official  
20          records such as the prior conviction, guilty pleas, appellate opinion are  
21          useable to prove the prior conviction. There is no doubt that petitioner was  
22          convicted for second degree murder in Los Angeles County. People v.  
23          Guerrero (1988) 44 Cal.3d 343. There is nothing to suggest that the  
24          prosecution went beyond the record, which is forbidden. People v. Trujillo  
25          (2006) 40 Cal.4th 165, 180. The record can include the entire court file.  
26          People v. Castellanos (1990) 219 Cal.App.3[d] 1163, 1172.

27          Petitioner took the stand, and thus assumed the risk that the  
28          murder conviction could be used as impeachment. People v. Brown  
(1963) 222 Cal.App.2d 739, 741.

          It is understandable why the prosecution would not stipulate to a  
prior felony conviction given the facts of the case, but sought to impeach  
petitioner with the prior murder conviction which was also alleged in the  
accusatory pleading. Petitioner also contends that it was prejudicial to  
admit photographs of Officer Lippert's injuries. The trial court may exclude  
evidence which results in prejudice, undue delay or be a waste of time.

          However, given the extent of Officer Lippert's injuries, evidence of  
such injuries is highly relevant not only to bolster the prosecution case but  
to rebut any defense claim of self-defense. The purpose of the  
photographs was to assist the jury. People v. Logan (1953) 41 Cal.2d 279,  
285. Photographs are also usable to show victim's credibility. People v.  
Radial (1977) 76 Cal.App.3d 702, 710 (burglary and fear of daughter).  
Here, petitioner refused to stipulate to great bodily harm, and continued to  
adhere to his theory of self-defense. Petitioner finally contends that the  
trial judge abused his discretion in refusal to admit Officer Lippert's prior  
bad acts. Petitioner wanted to show that Officer Lippert had racial animus  
against African American prisoners. He presents as an exhibit a list of  
rules violations in which Officer Lippert allegedly played a role. However,  
such evidence is hearsay and not admissible.

          Petitioner presented no evidence of the disposition of these rules

1 violations, nor had anyone on the stand to authenticate what may be an  
2 official record. He provides no information about the outcome of these  
3 rules violations. He also presents no witness declarations with this petition  
4 to bolster his claim of racial animus. Petitioner contends that the court  
5 erred in refusing to permit the removal of Wesley Stanley from federal  
6 prison. He cites a habeas corpus purportedly filed with this court which  
7 Hon. Lee Felice allegedly refused to sign. There is no record of any such  
8 petition filed with this court.

9 This court has no jurisdiction to order the federal courts to produce  
10 a witness. Petitioner would have to file a writ or request in federal court to  
11 remove Mr. Stanley. Admissibility of evidence is not within the scope of  
12 habeas corpus. People v. Harris (1993) 5 Cal.4th 813, 826.

13 (Lodged Doc. 10.)

14 2. Law Applicable to Ineffective Assistance of Counsel Claims

15 The law governing ineffective assistance of counsel claims is clearly established  
16 for the purposes of the AEDPA deference standard set forth in 28 U.S.C. § 2254(d).  
17 Canales v. Roe, 151 F.3d 1226, 1229 (9th Cir. 1998). In a petition for writ of habeas  
18 corpus alleging ineffective assistance of counsel, the Court must consider two factors.  
19 Strickland v. Washington, 466 U.S. 668, 104 S. Ct. 2052, 80 L. Ed. 2d 674 (1984); Lowry  
20 v. Lewis, 21 F.3d 344, 346 (9th Cir. 1994). First, the petitioner must show that counsel's  
21 performance was deficient, requiring a showing that counsel made errors so serious that  
22 he or she was not functioning as the "counsel" guaranteed by the Sixth Amendment.  
23 Strickland, 466 U.S. at 687. The petitioner must show that counsel's representation fell  
24 below an objective standard of reasonableness, and must identify counsel's alleged acts  
25 or omissions that were not the result of reasonable professional judgment considering  
26 the circumstances. Id. at 688; United States v. Quintero-Barraza, 78 F.3d 1344, 1348  
27 (9th Cir. 1995). Judicial scrutiny of counsel's performance is highly deferential. A court  
28 indulges a strong presumption that counsel's conduct falls within the wide range of  
reasonable professional assistance. Strickland, 466 U.S. at 687; see also, Harrington v.  
Richter, 131 S. Ct. 770, 178 L. Ed. 2d 624 (2011).

Second, the petitioner must demonstrate that "there is a reasonable probability  
that, but for counsel's unprofessional errors, the result ... would have been different,"  
Strickland, 466 U.S. at 694. Petitioner must show that counsel's errors were so

1 egregious as to deprive defendant of a fair trial, one whose result is reliable. Id. at 687.  
2 The Court must evaluate whether the entire trial was fundamentally unfair or unreliable  
3 because of counsel's ineffectiveness. Id.; Quintero-Barraza, 78 F.3d at 1348; United  
4 States v. Palomba, 31 F.3d 1456, 1461 (9th Cir. 1994).

5 A court need not determine whether counsel's performance was deficient before  
6 examining the prejudice suffered by the petitioner as a result of the alleged deficiencies.  
7 Strickland, 466 U.S. at 697. Since the defendant must affirmatively prove prejudice, any  
8 deficiency that does not result in prejudice must necessarily fail. However, there are  
9 certain instances which are legally presumed to result in prejudice, e.g., where there has  
10 been an actual or constructive denial of the assistance of counsel or where the State has  
11 interfered with counsel's assistance. Id. at 692; United States v. Cronin, 466 U.S., at 659,  
12 and n.25 (1984).

13 As the Supreme Court reaffirmed recently in Harrington v. Richter, meeting the  
14 standard for ineffective assistance of counsel in federal habeas is extremely difficult:

15 The pivotal question is whether the state court's application of the  
16 Strickland standard was unreasonable. This is different from asking  
17 whether defense counsel's performance fell below Strickland's standard.  
18 Were that the inquiry, the analysis would be no different than if, for  
19 example, this Court were adjudicating a Strickland claim on direct review  
20 of a criminal conviction in a United States district court. Under AEDPA,  
21 though, it is a necessary premise that the two questions are different. For  
22 purposes of § 2254(d)(1), "an unreasonable application of federal law is  
23 different from an incorrect application of federal law." Williams, supra, at  
24 410, 120 S. Ct. 1495, 146 L. Ed. 2d 389. A state court must be granted a  
25 deference and latitude that are not in operation when the case involves  
26 review under the Strickland standard itself.

27 A state court's determination that a claim lacks merit precludes  
28 federal habeas relief so long as "fairminded jurists could disagree" on the  
correctness of the state court's decision. Yarborough v. Alvarado, 541  
U.S. 652, 664, 124 S. Ct. 2140, 158 L. Ed. 2d 938 (2004). And as this  
Court has explained, "[E]valuating whether a rule application was  
unreasonable requires considering the rule's specificity. The more general  
the rule, the more leeway courts have in reaching outcomes in case-by-  
case determinations." Ibid. "[I]t is not an unreasonable application of  
clearly established Federal law for a state court to decline to apply a  
specific legal rule that has not been squarely established by this Court."  
Knowles v. Mirzayance, 556 U.S. 111, 129 S. Ct. 1411, 1419, 173 L. Ed.  
2d 251, 261 (2009) (internal quotation marks omitted).

Harrington v. Richter, 131 S. Ct. at 785-86.

1 "It bears repeating that even a strong case for relief does not mean the state  
2 court's contrary conclusion was unreasonable." Id. at 786. "As amended by AEDPA, §  
3 2254(d) stops short of imposing a complete bar on federal court relitigation of claims  
4 already rejected in state proceedings." Id. "As a condition for obtaining habeas corpus  
5 from a federal court, a state prisoner must show that the state court's ruling on the claim  
6 being presented in federal court was so lacking in justification that there was an error  
7 well understood and comprehended in existing law beyond any possibility for fairminded  
8 disagreement." Id. at 786-87.

9 Here, Petitioner claims ineffective assistance of appellate counsel. The Due  
10 Process Clause of the Fourteenth Amendment guarantees a criminal defendant the  
11 effective assistance of counsel on his first appeal as of right. Evitts v. Lucey, 469 U.S.  
12 387, 391-405, 105 S. Ct. 830, 83 L. Ed. 2d 821 (1985). Claims of ineffective assistance  
13 of appellate counsel are reviewed according to the standard set out in Strickland v.  
14 Washington, 466 U.S. 668, 104 S. Ct. 2052, 80 L. Ed. 2d 674 (1984). Smith v. Robbins,  
15 528 U.S. 259, 285, 120 S. Ct. 746, 145 L. Ed. 2d 756 (2000); Moormann v. Ryan, 628  
16 F.3d 1102, 1106 (9th Cir. 2010). The petitioner must show that counsel's performance  
17 was objectively unreasonable, which in the appellate context requires the petitioner to  
18 demonstrate that counsel acted unreasonably in failing to discover and brief a merit-  
19 worthy issue. Smith, 528 U.S. at 285; Moormann, 628 F.3d at 1106. The petitioner also  
20 must show prejudice, which in this context requires the petitioner to demonstrate a  
21 reasonable probability that, but for appellate counsel's failure to raise the issue, the  
22 petitioner would have prevailed in his appeal. Smith, 528 U.S. at 285-86; Moormann,  
23 628 F.3d at 1106.

24 Accordingly, even if Petitioner presents a strong case of ineffective assistance of  
25 counsel, this Court may only grant relief if no fairminded jurist could agree on the  
26 correctness of the state court decision.

### 27 3. Analysis

28 Petitioner presents four claims of ineffective assistance of appellate counsel. The

1 Court shall address each in turn.

2 a. Destruction of Exculpatory Evidence

3 Petitioner asserts that his undershirt that he was wearing during the confrontation  
4 was destroyed prior to trial. Petitioner asserts that the destruction of the evidence  
5 prevented him from determining if there was blood splatter on the shirt, and therefore  
6 denied him the ability to present a complete defense. (Pet. at 6.)

7 The state court determined that as the shirt was destroyed it was not possible to  
8 determine if it would have assisted in Petitioner's defense. However, as described by  
9 Petitioner's appellate counsel, the jury heard that the shirt was destroyed (because it  
10 was still emanating strong pepper spray fumes), and the prosecution stipulated to the  
11 fact that the only blood on the shirt was that of Petitioner and not Officer Lippert.  
12 (Traverse at 65-66.) While Petitioner argued that the blood splatter evidence would show  
13 that he did not mount Officer Lippert during the fight, counsel contends that there was no  
14 evidence to support that theory, and that the prosecution stipulated to evidence in his  
15 favor. (*Id.*) The superior court agreed with appellate counsel. Despite any evidence  
16 presented by the shirt, the Court noted that there was no prejudice because the "facts  
17 remain that Officer Lippert received serious injuries resulting from the application of  
18 unreasonable force by petitioner inflicting blows long after the officer lost  
19 consciousness." (Lodged Doc. 10.) The Court agrees with the conclusions of counsel  
20 and the superior court. Petitioner has not shown that counsel's performance was  
21 objectively unreasonable in failing to present this issue on appeal, and not has he shown  
22 that there was a reasonable probability that the claim would have prevailed on appeal.  
23 Smith, 528 U.S. at 285-86; Moormann, 628 F.3d at 1106. Accordingly, Petitioner is not  
24 entitled to relief with regard to his first claim of ineffective assistance of appellate  
25 counsel.

26 b. Insufficient Evidence of Petitioner's Intent

27 Next, Petitioner asserts that counsel was ineffective for not presenting claims that  
28 he lacked the requisite malice required for the offense. The Fourteenth Amendment's

1 Due Process Clause guarantees that a criminal defendant may be convicted only by  
2 proof beyond a reasonable doubt of every fact necessary to constitute the charged  
3 crime. Jackson v. Virginia, 443 U.S. 307, 315-16, 99 S. Ct. 2781, 61 L. Ed. 2d 560  
4 (1979). Under the Jackson standard, "the relevant question is whether, after viewing the  
5 evidence in the light most favorable to the prosecution, *any* rational trier of fact could  
6 have found the essential elements of the crime beyond a reasonable doubt." Jackson,  
7 443 U.S. at 319 (emphasis in original).

8 In applying the Jackson standard, the federal court must refer to the substantive  
9 elements of the criminal offense as defined by state law. Jackson, 443 U.S. at 324 n.16.  
10 A federal court sitting in habeas review is "bound to accept a state court's interpretation  
11 of state law, except in the highly unusual case in which the interpretation is clearly  
12 untenable and amounts to a subterfuge to avoid federal review of a constitutional  
13 violation." Butler v. Curry, 528 F.3d 624, 642 (9th Cir. 2008) (quotation omitted).

14 Petitioner asserts that there was insufficient evidence to support finding that he  
15 had the requisite malice. The Court of Appeal denied the claim, explaining:

16 From the facts, petitioner knew his continual disobedience of an  
17 order could lead to the officer taking reasonable means to subdue him. He  
18 seized this opportunity to inflict serious harm on Officer Lippert knowing  
19 full well that [O]fficer Lippert lost consciousness. There is more than ample  
20 evidence to sustain the jury verdict including the element of malice.

21 (Lodged Doc. 10.)

22 The Court agrees that Petitioner's claim is without merit. Any rational trier  
23 of fact could, based on the evidence presented of Petitioner's assault on Officer Lippert,  
24 find that the act was committed with malice. Prosecution witnesses testified that  
25 Petitioner continued to strike the victim after he was on the ground, and therefore any  
26 argument that Petitioner acted in self-defense was without merit. Petitioner has not  
27 shown that counsel's performance was objectively unreasonable in failing to present this  
28 issue on appeal, and not has he shown that there was a reasonable probability that the  
claim would have prevailed on appeal. Smith, 528 U.S. at 285-86; Moormann, 628 F.3d  
at 1106. Accordingly, Petitioner is not entitled to relief with regard to his second claim of



1 ineffective assistance of appellate counsel.

2 c. Provocation Jury Instruction

3 Petitioner next asserts that appellate counsel was ineffective for presenting a  
4 claim based on the trial court denying Petitioner the right to present an instruction  
5 regarding a provocation defense. However, appellate counsel did present such a claim,  
6 which was denied in a reasoned decision by the appellate court. Accordingly, Petitioner's  
7 claim for ineffective assistance of appellate counsel is denied. Construing Petitioner's  
8 claims liberally, the Court shall review the claim as a challenge to failure to instruct the  
9 jury on provocation. The state Court held:

10 1. Provocation Instruction

11 Brown argues that the court's denial of his request for a provocation  
12 instruction was prejudicial error. The Attorney General argues the  
contrary.

13 First, we turn to the evidence at trial. Michael Lippert, a correctional  
14 officer at California Correctional Institution in Tehachapi (CCI), called  
15 Craig Terry, a correctional officer working the control room at CCI, to let  
16 him know which inmates to let out for doctor's appointments. One was  
17 Brown's cellmate, whom Terry so informed on the public address system.  
After giving him time to get ready, Terry opened the cell door, but Brown  
stepped out instead. On the public address system, Terry ordered him to  
return to his cell, but he did not, so Terry called Lippert and told him,  
"Brown was out on the tier and would not return to his cell."

18 From downstairs, Lippert "repeatedly asked [Brown] to lock up," but  
19 his response was "negative." Brown, "quite agitated," started "swearing" at  
20 Lippert. Watching Lippert and Brown talk to each other, Terry saw Brown  
21 "throw his arms up in like a defiant manner, like he was refusing a direct  
22 order." On the public address system, Terry ordered Brown "to return to  
his cell" four or five times, but he did not comply. Lippert walked upstairs  
to "order him to lock up" and pointed his pepper spray at him "in case [he]  
needed to use it."

23 Once he was upstairs, Lippert saw Brown facing him in "a bladed  
24 stance, slightly skewed from facing you straight on, one foot slightly in  
25 front of the other. And his arms were down to his sides, slightly bent," and  
his fists were "clenched" in a fighting stance. Lippert fired his pepper  
spray. Brown charged him and struck "three to four heavy blows" to his  
head. Lippert lost consciousness.

26 From the control room, Terry saw Brown "striking [Lippert] about  
27 the face and chest" a total of about 10 times, some before he fell, some  
28 after he fell. After Lippert fell, Brown straddled him and kept striking him in  
the face until a rubber bullet Terry fired hit him in the lower back. Brown  
immediately stopped the assault and, after glancing behind him, looked at

1 Terry in the control room and ran back into his cell.

2 Testifying in his own defense, Brown acknowledged leaving his cell  
3 and not going back inside even after Lippert "continued to give [him] direct  
4 orders to go back into the cell." The prison was on lockdown, racial wars  
5 were in progress, and he was concerned for his and his cellmate's safety.  
6 Brown told Lippert he would go back into his cell as soon as his cellmate  
7 left the cell. Lippert told him he needed to lock up or his cellmate would  
8 not see the doctor. Brown told Lippert he did not care if his cellmate saw  
9 the doctor. Saying he would spray Brown and lock him up if he did not lock  
10 up voluntarily, Lippert "pointed his pepper spray" at him, "took a defensive  
11 stance," and again told him to lock up. Brown again told Lippert he would  
12 go back into his cell as soon as his cellmate left the cell. Lippert pepper  
13 sprayed him. Brown "automatically respond[ed] in self-defense," striking  
14 him "four to five times" "real hard" "with clenched fists." After Lippert lost  
15 consciousness and fell, Brown did not touch him again. He never got on  
16 top of him.

17 Next, we turn to the instructions. During the instructional colloquy,  
18 Brown requested modification of CALJIC No. 8.42 ("Sudden Quarrel or  
19 Heat of Passion and Provocation Explained") to help the jury understand  
20 the concept of provocation. The prosecutor, on the other hand, asked the  
21 court to modify CALJIC No. 5.17 ("Actual but Unreasonable Belief in  
22 Necessity to Defend -- Manslaughter") to explain to the jury how malice  
23 aforethought can be negated by proof of sufficient provocation or actual  
24 but unreasonable self-defense in a non-homicide case. The court  
25 observed that the use note to CALJIC No. 7.35 ("Assault by Life Prisoner  
26 with a Deadly Weapon or by Means of Force Likely to Produce Great  
27 Bodily Injury with Malice Aforethought") states that "CALJIC [No.] 5.17 or  
28 [CALJIC Nos.] 8.42 through 8.44" can be modified "to show how malice  
aforethought can be negated." (Italics added.) CALJIC No. 5.17, the  
prosecutor argued, was "more on point with the facts."

Noting that "there is no evidence to support a sudden quarrel or  
heat of passion," the court commented, "The evidence in this case that the  
defense would be arguing to the jury would be the necessity for self-  
defense. Correct?" Brown replied, "Yes." After a brief dialogue, the court  
said, "So I don't see this as a sudden quarrel or heat of passion case. [P]  
Do you agree, Mr. Brown?" He replied, "Yes." The court observed that  
"[CALJIC No.] 8.42 goes into more detail about sudden quarrel or heat of  
passion and provocation" and characterized CALJIC No. 5.17 as "actually  
the more appropriate instruction to use, because this is an issue of self-  
defense. And whether it's perfect self-defense or imperfect self-defense,  
that's your theory. Correct, Mr. Brown?" He replied, "Yes." Working  
together, the court, the prosecutor, and Brown modified CALJIC No. 5.17  
both as to title ("Actual but Unreasonable Belief in Necessity to Defend")  
and as to text (with the court instructing the jury as follows): "A person who  
assaults another person in the actual but unreasonable belief in the  
necessity to defend against imminent peril to life or great bodily injury  
assaults unlawfully but does not harbor malice aforethought. This would  
be so even though a reasonable person in the same situation seeing and  
knowing the same facts would not have had the same belief."

"As used in this instruction, an 'imminent' peril means one that is  
apparent, present, immediate and must be instantly dealt with, or must so  
appear at the time to the person who assaults."

1 "However, this principle is not available, and malice aforethought is  
2 not negated, if the defendant by his unlawful or wrongful conduct created  
the circumstances which legally justified his adversary's use of force."

3 Now we turn to the law. The rule is settled that in a criminal case  
4 the court must instruct on the general principles of law that are relevant to  
the evidentiary issues, that are closely and openly connected with the  
5 facts before the court, and that are necessary for the jury's understanding  
of the case. (People v. Breverman (1998) 19 Cal.4th 142, 154; People v.  
6 Barton (1995) 12 Cal.4th 186, 195, fn. 4.) The court's analysis of the  
evidence, engagement in dialogue with the parties about the provocation  
7 instruction and the self-defense instruction, and choice of the self-defense  
instruction with appropriate modification show a careful discharge of the  
8 court's duties. The record shows no error.

9 People v. Brown, 2009 Cal. App. Unpub. LEXIS 8845, 3-9 (Cal. App. Nov. 5, 2009).

10 This Court's review of Petitioner's claim of state instructional error is "limited to  
11 deciding whether [his] conviction violated the Constitution, laws, or treaties of the United  
States." Estelle v. McGuire, 502 U.S. 62, 68, 112 S. Ct. 475, 116 L. Ed. 2d 385 (1991);  
12 28 U.S.C. § 2241. In order to grant federal habeas relief on the basis of faulty jury  
13 instructions, the Court must first conclude that the alleged error was of constitutional  
14 magnitude. See California v. Roy, 519 U.S. 2, 117 S. Ct. 337, 136 L. Ed. 2d 266 (1996).

15 In order to grant federal habeas relief on the basis of faulty jury instructions, the  
16 Court must conclude that the alleged error "had substantial and injurious effect or  
17 influence in determining the jury's verdict." Roy, 519 U.S. at 5; Brecht, 507 U.S. at 637.  
18 Federal habeas relief is warranted only if the Court, after reviewing the record, has  
19 "grave doubt" as to the error's effect. Stanton v. Benzler, 146 F.3d 726, 728 (9th Cir.  
20 1998). "The burden of demonstrating that an erroneous instruction was so prejudicial  
21 that it will support a collateral attack on the constitutional validity of a state court's  
22 judgment is even greater than the showing required to establish plain error on direct  
23 appeal." Henderson v. Kibbe, 431 U.S. 145, 154, 97 S. Ct. 1730, 52 L. Ed. 2d 203  
24 (1977). The trial court's error in omitting a jury instruction is less likely to be prejudicial  
25 than the trial court's misstatement of the law. Henderson, 431 U.S. at 155; see also  
26 Villafuerte v. Stewart, 111 F.3d 616, 624 (9th Cir. 1997) (habeas petitioner whose claim  
27 involves a failure to give a particular instruction bears an especially heavy burden).  
28

1 To evaluate the effect of jury instructions, the Court must look at the context of the  
2 entire trial and overall charge to the jury. Estelle, 502 U.S. at 72; Prantil v. California, 843  
3 F.2d 314, 317 (9th Cir. 1988). They may not be judged in artificial isolation. Estelle, 502  
4 U.S. at 72. In addition, a reviewing court's principal constitutional inquiry is whether there  
5 is a reasonable likelihood that the jury applied the challenged instructions in a way that  
6 violates the Constitution. See id.

7 While a state is generally free to define the elements of an offense, once the state  
8 has defined the elements, due process requires that the jury be instructed on each  
9 element and instructed that they must find each element beyond a reasonable doubt.  
10 Francis v. Franklin, 471 U.S. 307, 313, 105 S. Ct. 1965, 85 L. Ed. 2d 344 (1985); In re  
11 Winship, 397 U.S. 358, 364, 90 S. Ct. 1068, 25 L. Ed. 2d 368 (1970); United States v.  
12 Perez, 116 F.3d 840, 847 (9th Cir. 1997); Stanton, 146 F.3d at 728.

13 It necessarily follows, therefore, that constitutional trial error occurs when a jury  
14 makes a guilty determination on a charged offense without a finding as to each element  
15 of the offense. According to the Supreme Court, a jury instruction that omits an element  
16 of the offense constitutes such an error. Neder v. United States, 527 U.S. 1, 8, 119 S. Ct.  
17 1827, 144 L. Ed. 2d 35 (1999). However, such an error "does not necessarily render a  
18 criminal trial fundamentally unfair or an unreliable vehicle for determining guilt or  
19 innocence." Id. at 9. Provided that such an error occurred, Petitioner's conviction can  
20 only be set aside if the error was not harmless under Chapman v. California, 386 U.S.  
21 18, 87 S. Ct. 824, 17 L. Ed. 2d 705 (1967); Neder, 527 U.S. at 15. Under the Chapman  
22 harmless error test, it must be determined "beyond a reasonable doubt" whether "the  
23 error complained of did not contribute to the verdict obtained." Chapman, 386 U.S. at 24.

24 Here, Petitioner contends the trial court erred in failing to instruct the jury on  
25 provocation as justification for Petitioner's actions. As the trial court noted, the  
26 provocation related to a heat of passion defense, and the Court concluded that there  
27 were no facts to support such a defense. Instead, the Court found that the case more  
28 resembled a self-defense situation and ordered an instruction based such a theory. The

1 Court finds the state court's determination reasonable. There was no actions by the  
2 guard that would lend to a provocation based on heat of passion defense. Consequently,  
3 the Court finds that the state court's failure to provide the jury instruction did not render  
4 Petitioner's trial fundamentally unfair or violate his due process rights. Accordingly, the  
5 Court cannot find the rejection of Petitioner's claim that appellate counsel failed to raise  
6 the instructional error claim to be unreasonable. See 28 U.S.C. § 2254(d). Petitioner is  
7 thus not entitled to federal habeas relief on this claim of ineffective assistance of  
8 appellate counsel.

9 d. Failure to Admit Evidence of Prior Bad Acts of Victim

10 Petitioner's final ineffective assistance of appellate counsel claim is based on the  
11 trial court limiting Petitioner from presenting evidence of reports of Lippert's conduct with  
12 other inmates to show that Lippert was racist and tended to escalate violence. Petitioner  
13 has attached to his traverse a copy of a complaint filed by another inmate that alleges  
14 that Lippert "rudely snatched a chess game" and cards from inmates in retaliation for  
15 other inmates smoking in the area. (Traverse, Ex. K.) The complaint requested that  
16 Lippert return his cards and provide an apology. (Id.) Petitioner alleges the trial court  
17 erroneously denied his Pitchess motion, preventing him from questioning the victim  
18 regarding his alleged racial animus, and that appellate counsel failed to raise this issue  
19 on appeal.

20 Petitioner raised this claim on direct appeal. In the last reasoned state decision  
21 the appellate court denied the claim stating:

22 4. In Camera Discovery Hearings

23 Brown requests, the Attorney General agrees, and we concur that  
24 we review documents and rulings from five in-camera discovery hearings -  
25 - one on January 26, 2006, one on February 1, 2006, two on March 23,  
26 2006, and one on March 30, 2006 -- to determine whether the court's  
27 rulings constituted an abuse of discretion in violation of his right to due  
process. We have conducted the requested review, have applied the  
relevant law, and have determined that the record shows no error. (See,  
e.g., Brady v. Maryland (1963) 373 U.S. 83; People v. Mooc (2001) 26  
Cal.4th 1216; Pitchess v. Superior Court (1974) 11 Cal.3d 531.)

28 People v. Brown, 2009 Cal. App. Unpub. LEXIS 8845, 14-15 (Cal. App. 5th Dist. Nov. 5,

1 2009).

2 "A Pitchess motion asks for 'access to records of complaints, or investigations of  
3 complaints, or discipline imposed as a result of those investigations' of 'law enforcement  
4 and custodial personnel.'" Hernandez v. Holland, 750 F.3d 843, 850, n.7 (9th Cir. 2014)  
5 (citation omitted). "The motion must include an affidavit 'showing good cause for the  
6 discovery or disclosure sought, setting forth the materiality thereof to the subject matter  
7 involved in the pending litigation and stating upon reasonable belief that the  
8 governmental agency identified has the records or information from the records.'" Id.  
9 (quoting Cal. Evid. Code § 1043(b)(3)). "The materiality prong can be satisfied by  
10 general allegations which establish some cause for discovery, but must be 'requested  
11 with adequate specificity to preclude the possibility that defendant is engaging in a  
12 fishing expedition.'" Id. (citation and internal quotation marks omitted). "Once good cause  
13 is shown, the court then makes an in camera examination of the records to see whether  
14 they are relevant to the 'pending litigation,' but with instructions that the court is to take  
15 into careful account the 'privacy interests' of the officer." Id. (quoting Cal. Evid. Code §  
16 1045). Here, the trial court held a hearing on Petitioner's Pitchess motion on March 23,  
17 2006 (See Rept'rs Tr. at 317), and granted the motion in part.

18 Initially, a federal court conducting habeas review is limited to deciding whether a  
19 state court decision violates the Constitution, laws or treaties of the United States. 28  
20 U.S.C. § 2254(a); Swarthout v. Cooke, U.S. , 131 S. Ct. 859, 861 (2011) (per  
21 curiam); Estelle v. McGuire, 502 U.S. 62, 67-68 (1991). Federal habeas corpus relief  
22 "does not lie for errors of state law." Lewis v. Jeffers, 497 U.S. 764, 780 (1990);  
23 McGuire, 502 U.S. at 67. Accordingly, to the extent this claim challenges only the trial  
24 court's application of state law, or alleges that the trial court abused its discretion, such a  
25 claim does not set forth a cognizable ground for habeas corpus relief. See Williams v.  
26 Borg, 139 F.3d 737, 740 (9th Cir. 1998) (Federal habeas relief is available "only for  
27 constitutional violation, not for abuse of discretion.").

28 Nevertheless, even though it is a creature of state law, California's Pitchess

1 procedure may implicate a defendant's due process right to receive material exculpatory  
2 and impeachment evidence. Harrison v. Lockyer, 316 F.3d 1063, 1065-66 (9th Cir.  
3 2003). Yet, even if the Court assumes that Petitioner is attempting to raise a due process  
4 challenge to the trial court's denial of his Pitchess motion,<sup>1</sup> Petitioner's claim is without  
5 merit since Petitioner has simply not shown that the personnel records in question  
6 contained any information that was material to his defense. See Pennsylvania v. Ritchie,  
7 480 U.S. 39, 58 n.15 (1987) (A criminal defendant "may not require the trial court to  
8 search through" sensitive records "without first establishing a basis for his claim that it  
9 contains material evidence."); Harrison, 316 F.3d at 1066 (inmate not denied due  
10 process of law when he was denied access to police officer's personnel file when inmate  
11 "made no showing that [the officer's] file contained complaints material to his defense");  
12 Gutierrez v. Yates, 2008 WL 4217865, \*7 (C.D. Cal.) ("[T]he absence of proof that there  
13 was any exculpatory evidence to be found in the [police personnel] records is fatal to  
14 petitioner's due process claim."), report and recommendation adopted by, 2008 WL  
15 427600 (C.D. Cal. 2008). Petitioner elaborates in his traverse that he was not provided  
16 an appeal from an inmate Stanley that indicated that Officer Lippert "rudely" confiscated  
17 his playing cards and threatened to spray Stanley with pepper spray if he did not comply.  
18 (Traverse, Ex. 6.) Regardless of the relevancy of the report, the Court finds that any  
19 failure to allow the report into evidence, the error was harmless. Chapman, 386 U.S. 18  
20 (1967); Neder, 527 U.S. at 15. Under the Chapman harmless error test, it must be  
21 determined "beyond a reasonable doubt" whether "the error complained of did not  
22 contribute to the verdict obtained." Chapman, 386 U.S. at 24.

23 Here, Petitioner was attempting to use the evidence to show racial animus on

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24 <sup>1</sup> Since "California's Pitchess procedure is not coextensive with Brady[.] . . . mere allegations of a  
25 Pitchess violation do not state a Brady claim." Shaw v. Prosper, 2009 WL 6467033, \*5 (C.D. Cal. 2009),  
26 report and recommendation adopted by, 2010 WL 1947671 (C.D. Cal. 2010); see also City of Los Angeles  
27 v. Superior Court, 29 Cal. 4th 1, 14 (2002) (Pitchess procedure "allowing defense discovery of certain  
28 officer personnel records creates both a broader and lower threshold for disclosure than does the high  
court's decision in Brady[.]"); Lopez-Martinez v. Dovey, 2009 WL 863576, \*15 (C.D. Cal. 2009) ("If there  
was no Brady violation, Petitioner has no federally cognizable claim, regardless of whether the state  
court's handling of his Pitchess motion was erroneous under state law.").

1 behalf of the Officer Lippert. Nothing in the report shows overt acts premised on race by  
2 Officer Lippert. Further, even if Lippert's actions in the playing card incident were  
3 improper, Petitioner has not shown beyond a reasonable doubt that the evidence would  
4 have swayed the jury into finding that Lippert instigated the confrontation and that  
5 Petitioner acted in self defense.

6 Accordingly, the Court finds the state court's denial of this claim of ineffective  
7 assistance of counsel reasonable. See 28 U.S.C. § 2254(d). Petitioner is thus not  
8 entitled to federal habeas relief on his evidentiary ruling claim.

9 **B. Claim Six<sup>2</sup>: Admitting Photographs of Injuries**

10 Petitioner next contends the trial court violated his due process rights by admitting  
11 irrelevant and unduly prejudicial evidence. During trial the prosecutor moved to introduce  
12 evidence relating to the injuries suffered by Officer Lippert. Petitioner asserts that the  
13 photographs inflamed the jury, and were not relevant because he did not dispute that the  
14 altercation occurred or that Lippert suffered the injuries. (See Traverse at 35.)

15 1. State Court Decision

16 Like Petitioner's first claim for ineffective assistance of appellate counsel, this  
17 claim was denied by the California Supreme Court on procedural grounds. (Lodged Doc.  
18 9.) The California Supreme Court denied the petition with citations to In re Robbins, 18  
19 Cal.4th 770, 780 (1998) and In re Clark, 5 Cal.4th 750, 767-769 (1993), indicating that  
20 the petition was untimely filed. See Walker v. Martin, 131 S. Ct. 1120, 1124 (2011).  
21 Where the last state court decision did not address the merits of the petition, the court,  
22 under § 2254(d), must determine what arguments or theories could have supported, the  
23 state court's decision and determine whether it is possible fairminded jurists could  
24 disagree that those arguments or theories are inconsistent with Supreme Court law.  
25 Richter, 131 S. Ct. at 786. Because the California Supreme Court's opinion denied the  
26 claims on alternative procedural grounds, this Court shall review the decision of the

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27 <sup>2</sup> Petitioner labeled his ineffective assistance of appellate counsel claims as claims 1-5 of his  
28 petition. Consistent with the petition, the Court shall consider the next claim as claim 6 of the petition.



1 superior court for its persuasive authority.

2 In denying Petitioner's claim, the Kern County Superior Court explained:

3 Petitioner also contends that it was prejudicial to admit photographs  
4 of Officer Lippert's injuries. The trial court may exclude evidence which  
results in prejudice, undue delay or be a waste of time.

5 However, given the extent of Officer Lippert's injuries, evidence of  
6 such injuries is highly relevant not only to bolster the prosecution case but  
7 to rebut any defense claim of self-defense. The purpose of the  
8 photographs was to assist the jury. People v. Logan (1953) 41 Cal.2d 279,  
285. Photographs are also usable to show victim's credibility. People v.  
9 Radial (1977) 76 Cal.App.3d 702, 710 (burglary and fear of daughter).  
Here, petitioner refused to stipulate to great bodily harm, and continued to  
adhere to his theory of self-defense.

10 (Lodged Doc. 10.)

11 3. Analysis

12 To the extent that Petitioner contends that the photographic injuries of the victim's  
13 injuries should have been excluded pursuant to California state evidentiary law, his claim  
14 fails because habeas corpus will not lie to correct errors in the interpretation or  
15 application of state law. Estelle v. McGuire, 502 U.S. 62, 67, 112 S. Ct. 475, 116 L. Ed.  
16 2d 385 (1991).

17 With respect to Petitioner's due process claim, the United States Supreme Court  
18 has held that habeas corpus relief should be granted where constitutional errors have  
19 rendered a trial fundamentally unfair. Williams v. Taylor, 529 U.S. 362, 375, 120 S. Ct.  
20 1495, 146 L. Ed. 2d 389 (2000). No Supreme Court precedent has made clear, however,  
21 that admission of irrelevant or overly prejudicial evidence can constitute a due process  
22 violation warranting habeas corpus relief. See Holley v. Yarborough, 568 F.3d 1091,  
23 1101 (9th Cir. 2009) ("The Supreme Court has made very few rulings regarding the  
24 admission of evidence as a violation of due process. Although the Court has been clear  
25 that a writ should be issued when constitutional errors have rendered the trial  
26 fundamentally unfair, it has not yet made a clear ruling that admission of irrelevant or  
27 overtly prejudicial evidence constitutes a due process violation sufficient to warrant  
28 issuance of the writ." (citation omitted)).

1 Even assuming that improper admission of evidence under some circumstances  
2 rises to the level of a due process violation warranting habeas corpus relief under  
3 AEDPA, this is not such a case. Petitioner's claim would fail even under Ninth Circuit  
4 precedent, pursuant to which an evidentiary ruling renders a trial so fundamentally unfair  
5 as to violate due process only if "there are *no* permissible inferences the jury may draw  
6 from the evidence." Windham v. Merkle, 163 F.3d 1092, 1102 (9th Cir. 1998) (emphasis  
7 in original) (quoting Jammal v. Van de Kamp, 926 F.2d 918, 920 (9th Cir. 1991)). See  
8 also Boyde v. Brown, 404 F.3d 1159, 1172 (9th Cir. 2005) ("A habeas petitioner bears a  
9 heavy burden in showing a due process violation based on an evidentiary decision.").  
10 There are permissible inferences the jury could have drawn from the photographs,  
11 specifically that the scope of the injuries refute Petitioner's claim that he acted in self-  
12 defense. Petitioner's trial was not rendered fundamentally unfair in violation of due  
13 process based on admission of the photographic evidence.

14 In any event, the admission of the challenged evidence did not deny Petitioner a  
15 fair trial. After a review of the record, this Court finds that the trial court's admission of  
16 the photographs would not have had a "substantial and injurious effect" on the verdict.  
17 Brecht, 507 U.S. at 623. See also Penry v. Johnson, 532 U.S. 782, 793-96, 121 S. Ct.  
18 1910, 150 L. Ed. 2d 9 (2001). Here, there was overwhelming evidence of Petitioner's  
19 guilt, based on the testimony of several witnesses. In light of the evidence as a whole,  
20 there is no reasonable probability the verdict would have been different if the evidence  
21 had been excluded. Petitioner is not entitled to federal habeas corpus relief on claim six.

22 **C. Claim Seven: The State Prevented Attendance of Witness**

23 In his seventh claim, Petitioner asserts that his due process was violated by the  
24 court limiting his ability to call a witness that was in federal custody.

25 1. State Court Decision

26 Like Petitioner's first and sixth claims, this claim was denied by the California  
27 Supreme Court on procedural grounds. (Lodged Doc. 9.) Because the California  
28 Supreme Court's opinion denied the claims on alternative procedural grounds, this Court

1 shall review the decision of the superior court for its persuasive authority.

2 In denying Petitioner's claim, the Kern County Superior Court explained:

3 Petitioner contends that the court erred in refusing to permit the  
4 removal of Wesley Stanley from federal prison. He cites a habeas corpus  
5 purportedly filed with this court which Hon. Lee Felice allegedly refused to  
6 sign. There is no record of any such petition filed with this court.

7 This court has no jurisdiction to order the federal courts to produce  
8 a witness. Petitioner would have to file a writ or request in federal court to  
9 remove Mr. Stanley. Admissibility of evidence is not within the scope of  
10 habeas corpus. People v. Harris (1993) 5 Cal.4th 813, 826.

11 (Lodged Doc. 10.)

12 3. Analysis

13 Respondent asserts that there is no evidence in the appellate record that  
14 Petitioner requested the witness from federal custody. Moreover, the state court, in  
15 reviewing the claim, reached the same conclusion. (See Lodged Doc. 10.) Petitioner  
16 provides no evidence in his petition or traverse that the request for the witness was  
17 denied. As Petitioner has not presented evidence to support his claim, the claim lacks  
18 merit.

19 Regardless, even if the witness was improperly denied, Petitioner has not shown  
20 that he prejudiced by the denial. The witness was to be presented to describe the  
21 actions of Officer Lippert, in an attempt to show that he was racist and had a propensity  
22 of escalating violence. Petitioner attempted to present this evidence to support his claim  
23 that his actions were either provoked or made in self-defense. However, the evidence  
24 shows that Lippert was attempting to administer pepper spray on Petitioner based on his  
25 failure to follow orders. The officer's actions appeared to be warranted and authorized in  
26 light of Petitioner's conduct.

27 Accordingly, the failure to allow Petitioner to present a peripheral witness in  
28 support of his defense did not deny Petitioner a fair trial. After a review of the record, this  
Court finds that the omission of the witness testimony would not have had a "substantial  
and injurious effect" on the verdict. Brecht, 507 U.S. at 623. In light of the evidence as a  
whole, there is no reasonable probability the verdict would have been different if the

1 evidence had been presented. Petitioner is not entitled to federal habeas corpus relief on  
2 claim seven.

3 **D. Claim Eight – Cumulative Error**

4 In his final claim for relief, Petitioner argues that the cumulative effect of the errors  
5 at his trial violated his right to due process and a fair trial.

6 The Ninth Circuit has concluded that under clearly established United States  
7 Supreme Court precedent, the combined effect of multiple trial errors may give rise to a  
8 due process violation if it renders a trial fundamentally unfair, even where each error  
9 considered individually would not require reversal. Parle v. Runnels, 505 F.3d 922, 927  
10 (9th Cir. 2007) (citing Donnelly v. DeChristoforo, 416 U.S. 637, 643 (1974) and  
11 Chambers v. Mississippi, 410 U.S. 284, 290 (1973)). See also Hayes v. Ayers, 632 F.3d  
12 500, 524 (9th Cir. 2011) (if no error of constitutional magnitude occurred at trial, "no  
13 cumulative prejudice is possible"). "The fundamental question in determining whether the  
14 combined effect of trial errors violated a defendant's due process rights is whether the  
15 errors rendered the criminal defense 'far less persuasive,' Chambers, 410 U.S. at 294,  
16 and thereby had a 'substantial and injurious effect or influence' on the jury's verdict."  
17 Parle, 505 F.3d at 927 (quoting Brecht, 507 U.S. at 637).

18 This Court has addressed each of Petitioner's claims raised in the instant petition  
19 and has concluded that no error of constitutional magnitude occurred at his trial in state  
20 court. This Court also concludes that the errors alleged by Petitioner, even when  
21 considered together, did not render his defense "far less persuasive," nor did they have  
22 a "substantial and injurious effect or influence on the jury's verdict." Petitioner is not  
23 entitled to relief on his claim of cumulative error.

24 **IV. RECOMMENDATION**

25 Accordingly, it is hereby recommended that the petition for a writ of habeas  
26 corpus be DENIED with prejudice.

27 This Findings and Recommendation is submitted to the assigned District Judge,  
28 pursuant to the provisions of Title 28 U.S.C. § 636(b)(1). Within thirty (30) days after

1 being served with the Findings and Recommendation, any party may file written  
2 objections with the Court and serve a copy on all parties. Such a document should be  
3 captioned "Objections to Magistrate Judge's Findings and Recommendation." Any reply  
4 to the objections shall be served and filed within fourteen (14) days after service of the  
5 objections. The parties are advised that failure to file objections within the specified time  
6 may waive the right to appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153  
7 (9th Cir. 1991).

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9 IT IS SO ORDERED.

10 Dated: August 31, 2014

/s/ Michael J. Seng  
11 UNITED STATES MAGISTRATE JUDGE  
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