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**UNITED STATES DISTRICT COURT**  
EASTERN DISTRICT OF CALIFORNIA

SHARON GITTHENS,

Case No. 1:12-cv-1997-SKO

Plaintiff,

**ORDER ON PLAINTIFF'S COMPLAINT**

v.

(Docket No. 1)

CAROLYN W. COLVIN,  
Acting Commissioner of Social Security,

Defendant.

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**INTRODUCTION**

Plaintiff Sharon Gitthens ("Plaintiff") seeks judicial review of a final decision of the Commissioner of Social Security (the "Commissioner" or "Defendant") denying her application for Disability Insurance Benefits ("DIB") pursuant to Title II of the Social Security Act. 42 U.S.C. § 405(g). The matter is currently before the Court on the parties' briefs, which were submitted, without oral argument, to the Honorable Sheila K. Oberto, United States Magistrate Judge.<sup>1</sup>

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<sup>1</sup> The parties consented to the jurisdiction of a U.S. Magistrate Judge. (Docs. 8, 9.)

1 **BACKGROUND**

2 Plaintiff was born in 1948, and has a bachelor's degree in sociology. (AR 16, 23, 35.) She  
3 worked for nearly 19 years as a family service specialist and employment training specialist.  
4 (AR 36, 134.)

5 **A. Relevant Medical History**

6 In 2005, Plaintiff was diagnosed with fibromyalgia. (AR 208.) Treatment notes also  
7 indicate that Plaintiff was diagnosed with mild degenerative disc disease at L4-L5, and  
8 degenerative facet hypertrophy at L2-S1, L4-L5, and at L3-L4. (AR 208.) A magnetic resonance  
9 imaging scan ("MRI") of Plaintiff's lumbar spine showed a "disk/osteophyte complex and a  
10 posterior element hypertrophy resulting in severe narrowing of the left L3-L4 neural foramen" as  
11 well as mild to moderate spinal canal stenosis, mild degenerative disc disease at L4-L5, and  
12 degenerative facet hypertrophy at L5-S1, L4-L5, and L3-L4. (AR 208.)

13 On January 20, 2006, Plaintiff was admitted to the hospital for treatment of a heart attack.  
14 (AR 209.) She underwent an angioplasty with two stents (AR 39), and did not return to work  
15 following her heart attack (AR 40).

16 On March 1, 2006, Plaintiff was seen by Andrew Finlay, M.D., who noted that Plaintiff  
17 complained of pain in her neck and lower back and pain from fibromyalgia in her right wrist.  
18 (AR 210.) Dr. Finlay recommended heat, massage, stretching, a home exercise program, and  
19 acupuncture. (AR 211.) Dr. Finlay also indicated that Plaintiff was to use a lateral epicondylitis  
20 band from a sporting-goods store. (AR 211.) At a follow-up appointment on April 10, 2006,  
21 Plaintiff reported the same symptoms, but the treatment note indicates that Plaintiff was  
22 experiencing no wrist pain. (AR 214.) Dr. Finlay referred Plaintiff for a second epidural shot.  
23 (AR 214.) On June 14, 2006, Plaintiff reported to Dr. Finlay that the first epidural had not helped;  
24 her pain was improved for a couple days, but then returned to the same levels. (AR 215.) Further,  
25 her cardiologist had not permitted her to have the second epidural for which Dr. Finlay had  
26 referred her. (AR 215.) Plaintiff also noted wrist pain that would worsen with motion. (AR 215.)

27 On August 14, 2006, Plaintiff again reported neck, lower back, and wrist pain as well as  
28 thigh pain. (AR 218.) She indicated she was sleeping poorly due to the pain, and she was

1 experiencing anxiety. (AR 218.) On September 27, 2006, Plaintiff reported the same  
2 symptomatology at a follow-up visit. (AR 220-21.) Plaintiff was prescribed narcotic medication  
3 for pain because Dr. Finlay indicated that other medications had not been successful. (AR 223.)  
4 On December 20, 2006, Dr. Finlay prescribed up to 9 Norco tablets per day for pain. (AR 226.)

5 On January 14, 2007, Plaintiff was examined by Sun Hansrote, M.D., a neurologist. She  
6 presented for evaluation of a stroke. (AR 227.) Plaintiff indicated that she suffered a heart attack  
7 in the prior year, she was on Norco for pain and usually took 3 to 4 per day, but sometimes took  
8 up to 9 per day. (AR 227.) Dr. Hansrote reported that Plaintiff "certainly has risk factors for  
9 CVA's – genetic predisposition, [hypertension], hyperchol, CAD, and smoking – [h]owever, she  
10 does not have any focal neuro deficit suggestive of a stroke." (AR 228.) Dr. Hansrote indicated  
11 that Plaintiff's diffuse symptoms were likely from the narcotic influence and counseled Plaintiff to  
12 continue her medication and to stop smoking. (AR 228.) A head computed tomography ("CT")  
13 was ordered, which was completed on January 22, 2007; the results were negative and did not  
14 indicate a stroke. (AR 274-75.)

15 On January 25, 2007, Plaintiff was seen for a follow-up; Dr. Finlay indicated Plaintiff was  
16 to take one or two Norco every four to six hours for pain, and not to exceed nine Norco per day.  
17 (AR 231.)

18 On March 19, 2007, Dr. Finlay ordered an MRI of Plaintiff's lumbar spine (AR 234),  
19 which was obtained on April 16, 2007 (AR 270-71). The radiologist interpreting the MRI  
20 reported the following impression:

21 Combination of bulging disk, posterior spurs and hypertrophic ligamentum flavum  
22 is causing moderate degree of spinal stenosis at L3-4 with moderate narrowing of  
23 the left L3-4 neural foramen, more than on the right side. Appearance has not  
24 changed from 10/04/2005.

24 (AR 271.)

25 On October 3, 2007, Plaintiff was examined by Maria Antonio Depina, M.D. (AR 235.)  
26 Dr. Depina noted that depression was the "primary encounter diagnosis," and that Plaintiff would  
27 continue on Zoloft. (AR 235.) Dr. Depina also noted Plaintiff had chronic pain syndrome; she  
28 prescribed Nabumetone and decreased Plaintiff's prescribed dosage of Norco. (AR 235.) Finally,

1 Plaintiff was noted to have a peripheral nervous system disorder that was characterized as "stable."  
2 (AR 235.)

3 On October 17, 2008, treatment notes reflect that Plaintiff was examined and her  
4 medication was refilled. (AR 240-42.) The physician noted that Plaintiff reported taking two to  
5 three Norco tablets daily, and he would "give her 75 every month. She was prescribed 900 tablets  
6 one year ago." (AR 242.)

7 On November 1, 2008, a treatment note signed by Lwin Htun, M.D., indicates Plaintiff  
8 reported taking only two Norco per day, three at the most. (AR 244.) Dr. Htun indicated that, as  
9 of October 31, 2008, Plaintiff was to take 1 tablet of Norco every 8 to 12 hours as needed, but she  
10 should not exceed three tablets in a 24-hour period. (AR 243.)

11 On November 5, 2008, Plaintiff was seen by Dilip Banerjee, M.D., for "vague non  
12 descript" chest pain. (AR 245.) He indicated she would return to the office in a month for a  
13 cardiolute test, and would continue to follow her present medication routine. (AR 246.) Dr.  
14 Banerjee also noted "[s]ignificant discussion took place about abstinence from smoking."  
15 (AR 246.) On November 20, 2008, Plaintiff underwent the cardiolute test ordered by Dr. Banerjee,  
16 which resulted in a "[n]ormal pharmacologic stress test." (AR 246-47.)

17 On February 3, 2009, Plaintiff was referred to Robert Eaton Lefevre, M.D., for chronic  
18 back pain and neuropathy. (AR 247.) Plaintiff reported a 20-year history of pain, worse with  
19 standing and lifting; she had undergone three epidurals eight years prior that had not helped; she  
20 had fibromyalgia; she suffered a work-place injury 15 years ago; she had experienced headaches  
21 in the previous month and a half; she experienced numbness from her knees down and could not  
22 get her legs and feet warm; and she suffered from fatigue and grogginess. (AR 247-48.) On  
23 examination, Dr. Lefevre noted she exhibited no edema, but she had multiple tender points on her  
24 neck, sternum, lower back, and elbows. (AR 249.) He gave an impression of chronic pain  
25 syndrome for which he prescribed hydrocodone-acetaminophen; and peripheral neuropathy, for  
26 which he indicated Plaintiff could increase her prescription of Norco, and if there were side  
27 effects, she could try Oxycontin or a duragesic patch. (AR 249.) Plaintiff elected to increase her  
28 prescription of Norco, and Dr. Lefevre prescribed a maximum dose of 10 tablets per day.

1 (AR 249.)

2 On July 27, 2009, Plaintiff was examined by Dr. Htun. (AR 251.) Plaintiff reported a  
3 headache for the prior three weeks associated with numbness in her arm and hand as well as  
4 dizziness. (AR 251.) Plaintiff had also experienced an increase in her neck pain and chills during  
5 hot days. (AR 251.) Her headaches were noted to be "likely due to viral infection." (AR 253.)

6 On November 18, 2009, Plaintiff underwent a comprehensive internal medicine evaluation  
7 by Manmeet Shergill, M.D. (AR 285-90.) Plaintiff's chief complaints included fibromyalgia,  
8 lower back pain, and heart disease. (AR 285.) Plaintiff reported she was first diagnosed with  
9 fibromyalgia 12 years prior to the examination; the pain is intense all over her body. (AR 286.)  
10 She has good days when she is able to groom herself well, change her clothes, and go shopping;  
11 then she will have bad days where she is unable to do anything. (AR 286.) As to her lower back,  
12 Plaintiff explained that it had gradually worsened over time, she had been given anti-inflammatory  
13 medication, and then underwent an MRI which showed a bulging disc and degenerative changes.  
14 She was not prescribed surgery or referred for orthopedic evaluation, but she was considered for  
15 medical management and she had been taking pain medication. The pain is located in her lower  
16 lumbar spine with no radiation. It worsens when she walks for a long period of time. (AR 286.)

17 Plaintiff reported her heart problems started in 2006 and since then she has been "good."  
18 Dr. Shergill noted that Plaintiff was "very vague and a very poor historian as far as her symptoms  
19 are concerned. She frequently directs into some other stories." (AR 286.) He also noted that the  
20 impact of her conditions on her activities of daily living was "[e]ssentially nothing. She can  
21 groom herself, do housework, do yard work, cook for herself, and do vacuuming. However, she  
22 does take breaks while she does the house and yard work." (AR 286.)

23 On examination, Dr. Shergill noted diffuse tenderness to palpation of the lower lumbar  
24 spine and lower thoracic spine. Plaintiff complained of pain to the touch without significant  
25 pressure in her shoulders, neck, intrascapular region, upper arms, bilateral hips, thighs, and lower  
26 leg. Plaintiff also reported continued pain with mild touch of the skin, generally. (AR 289.) Dr.  
27 Shergill diagnosed lower back pain, a history of coronary artery disease, and a history of  
28 hypothyroidism, depression, gastroesophageal reflux disease, allergic rhinitis, and

1 hypercholesterolemia. (AR 289.) Dr. Shergill provided a functional assessment of Plaintiff,  
2 opining that she could stand and walk up to six hours in a day with no limitation on her ability to  
3 sit; she needed no assistive devices; she could lift and carry 20 pounds occasionally, and 10  
4 pounds frequently; she could make postural and manipulative motions on a frequent basis; and she  
5 had no environmental limitations. (AR 289.)

6 On December 14, 2009, state agency physician Matthew C. Gleason, M.D., reviewed  
7 Plaintiff's records and opined that she would be able to perform light work with postural  
8 limitations. (AR 298.) Dr. Gleason noted that, although Dr. Shergill found Plaintiff would be able  
9 to make postural motions on a frequent basis, given Plaintiff's MRI and her complaints of pain,  
10 only occasional postural motions would be reasonable. (AR 298.) Dr. Gleason also indicated that  
11 Plaintiff's obesity would further aggravate her back pain. (AR 298.)

12 On December 19, 2009, Plaintiff underwent a comprehensive psychiatric evaluation with  
13 Christopher Sanders, Ph.D. (AR 300-04.) Plaintiff's chief complaint was depression. (AR 300.)  
14 She indicated she began experiencing pain as a result of fibromyalgia in 1992 with increased pain  
15 over time. Her mood began to fluctuate with her pain level. (AR 300.) She reported suicidal  
16 ideation, but she had no plan or intent. (AR 300.) On examination, Dr. Sanders assigned Plaintiff  
17 a Global Assessment of Functioning ("GAF") score of 65,<sup>2</sup> and indicated that she was  
18 experiencing depression related to her physical pain. (AR 303.) She was noted to be compliant  
19 with her psychiatric medications and other medications and reported that her mood was improved.  
20 Dr. Sanders listed her prognosis for continued improvement within the next year as good.  
21 (AR 303.) He opined that Plaintiff had a very good ability to understand and remember short and  
22 simple instructions; a good ability to understand and remember detailed instructions, maintain  
23 concentration and attention; she would be able to accept instructions from a supervisor and  
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25 <sup>2</sup> The GAF scale is a tool for "reporting the clinician's judgment of the individual's overall level of functioning." Am.  
26 Psychiatric Ass'n, *Diagnosis & Statistical Manual of Mental Disorders* 32 (4th ed. 2000). The clinician uses a scale of  
27 zero to 100 to consider "psychological, social, and occupational functioning on a hypothetical continuum of mental  
28 health- illness," not including impairments in functioning due to physical or environmental limitations. *Id.* at 34. A  
GAF score between 61 and 70 indicates mild symptoms or some difficulty in social, occupational, or school  
functioning but generally functioning pretty well. *Id.*

1 respond appropriately; she would be able to complete a normal workday/workweek without  
2 interruptions; she would be able to effectively interact with co-workers; she would be able to deal  
3 with various changes in a work setting; and the likelihood she would emotionally deteriorate in the  
4 work environment was low. (AR 304.)

5 On January 21, 2010, state agency psychiatrist P. Davis reviewed Plaintiff's medical  
6 records and indicated that Plaintiff had a nonsevere affective disorder that caused only mild  
7 limitations. (AR 305-17.)

8 On January 26, 2010, Plaintiff was seen by Donna Anne Kalauokalani, M.D., who was  
9 filling in for Plaintiff's primary care physician, Dr. Lefevre. (AR 349.) Plaintiff complained of  
10 her fibromyalgia pain, and reported that her pain had significantly limited her ability to go to  
11 work, perform household chores, do yard work or shopping, socialize with friends, participate in  
12 recreation, physical exercise, driving, or caring for herself. (AR 349.) She reported that she can  
13 walk only one block before having to stop due to pain; she can sit for about five minutes before  
14 having to get up and move; she can stand for about 10 minutes before having to sit down; and she  
15 often has to lie down during the day because of pain. (AR 349.) Dr. Kalauokalani provided the  
16 following assessment:

17 We had an extensive discussion regarding the importance of physical therapy in her  
18 ongoing rehabilitation and the importance of engaging in a regular home exercise  
19 program that incorporated specific exercises tailored for her by physical therapy.  
20 She would benefit from a physical therapy evaluation, and engaging in online  
21 educational resources.

22 In terms of medications, I would recommend no changes at this time. I have  
23 stressed the recommendation to limit her use of Norco to 3 tabs each day and utilize  
24 to facilitate enhanced activity, i.e., [g]oing shopping, or exercise. Her next refill  
25 should not be due until end of Feb and she generally gets [a] 3[-]month supply to  
26 reduce copay. Consideration should be given to the addition of a TCA of  
27 gabapentin to address her largely neuropathic symptoms.

28 Medication Agreement and Opioid informed consent: not done today but may be  
appropriate in the future. We discussed the risks and benefits of continued use of  
opioid analgesia.

There appears to be the need for further evaluation. Consideration may be given to  
the following:

1 -Rheumatology consult to verify dx of fibromyalgia syndrome and  
screening labs for other rheumatologic illness.

2 -Recheck TSH as trend for last 2 (between 10/07 and 7/09) have shown  
3 increasing trend.

4 -Patient advised to get ophthalmology exam since complaints of right eye  
5 visual issues since her MI in 2006.

6 -Given the presence of coexisting neurovegetative symptoms, I anticipate  
7 she would benefit from referral to mental health. PHQ 9=18.

8 (AR 352-53.)

9 On February 4, 10, 24, and 26, 2010, Plaintiff attended chronic pain management sessions  
10 with Pamela Van Allen, a psychologist. (AR 357-58, 364, 368.)

11 On April 20, 2010, Plaintiff was seen by a registered nurse and reported pain. (AR 369-  
12 72.) Plaintiff indicated the Norco medication did not help as much as it had in the past, and she  
13 was experiencing grogginess and sleepiness from her medications. (AR 371.) The recommended  
14 treatment plan included physical therapy and that Plaintiff continue her current medication regime  
15 and follow-up in one month. (AR 372.)

16 On May 25, 2010, Plaintiff followed-up with Dr. Van Allen for a psychological  
17 assessment. (AR 381-84.) Plaintiff reported depressed mood, anhedonia, appetite change,  
18 insomnia, decreased concentration, and pain with gastrointestinal distress. (AR 382.) Dr. Van  
19 Allen listed a diagnosis of depression and characterized Plaintiff's level of distress as 6 out of 10,  
20 with 10 being maximum. (AR 382.) Plaintiff appeared "not pulled together," she exhibited  
21 psychomotor agitation, she was hypervocal, irritable, but her thought process was logical and her  
22 thought content was normal. (AR 382-83.) Plaintiff was fully oriented, exhibited normal attention  
23 and concentration, her memory was intact, her impulse control was good, and her insight and  
24 judgment were fair. (AR 383.) Upon evaluation, Dr. Van Allen noted that Plaintiff frequently  
25 "uses denial as a defense," and indicated this would make brief therapy challenging. (AR 383.)  
26 She also reported Plaintiff had a difficult communication style which was part of her defensive  
27 structure to prevent her from hearing something that might be threatening. (AR 383.) Because of  
28 this communication style, Dr. Van Allen indicated she was unable to complete or structure the



1 interview adequately to definitively diagnose Major Depression, so she listed a working diagnosis  
2 of depression, not otherwise specified. (AR 383.)

3 Also in May 2010, Plaintiff was referred to Win Minn Lim, M.D., for possible rheumatoid  
4 arthritis. (AR 376.) Plaintiff reported pain in her wrist, elbow, shoulder, knee, hips, and ankles;  
5 she described the pain as moderate sharp numbness and aching constantly that increased with  
6 activities. (AR 376.) She reported that Norco decreased her pain, and that her symptoms were  
7 worse in the morning and at night. (AR 376.) Upon examination, Dr. Lim noted there was "no  
8 joint tenderness, deformity or swelling, full range of motion without pain + tenderpoints."  
9 (AR 378.) He diagnosed Plaintiff with chronic pain syndrome, and they discussed the importance  
10 of regular exercise and sleep. (AR 380.) Plaintiff was also given instructions for stretching.  
11 (AR 380.)

12 On June 15, 2010, Plaintiff was seen by a nurse at Kaiser Permanente and requested to  
13 discuss which of her medications may be causing her to feel sleepy. (AR 392.) Plaintiff also  
14 reported some back pain which she attempted to relieve by stretching. (AR 392.) In terms of  
15 exercise, Plaintiff indicated she tried to do something every day, including stretching in the  
16 morning and sometimes at night. (AR 392.) She used to love to garden but she "often overdoes  
17 it." (AR 392.) She reported taking her dog out for walks. (AR 392.) Plaintiff reported waking  
18 frequently due to pain a few times a week, and that she naps in the afternoon. (AR 392.) Some  
19 days she sleeps all day. She takes Temazepam to help her fall asleep, but sometimes it does not  
20 help. (AR 392.)

21 On July 27, 2010, Plaintiff again saw the nurse and reported having some nausea and  
22 feeling faint, which she thought was related to her Effexor medication. (AR 400.) She felt her  
23 balance and coordination were not as good recently, and she noticed she was sweating a lot more  
24 that summer. (AR 400.) On August 19, 2010, Plaintiff again reported nausea and faintness, and  
25 the nurse indicated these symptoms could be caused by the medication Effexor. (AR 411.)

26 On June 23, 2011, Kaiser Permanente treating records indicate Plaintiff was seen for a  
27 headache, light-headedness, and near syncope. (AR 420-21.) Dr. Htun could not rule out stroke,  
28 and they discussed stroke prevention. (AR 422.) Dr. Htun diagnosed hyperlipidemia, headache,

1 chronic pain syndrome, peripheral neuropathy in her leg, and suggested that Plaintiff ask for a  
2 cardiology follow up. (AR 422.)

3 On July 25, 2011, Plaintiff was seen by Dr. Htun for joint pain in her hip and leg that had  
4 been affecting Plaintiff for a week. (AR 431.) She could not walk due to pain, but she could  
5 "transfer." (AR 431.) Dr. Htun prescribed prednisone for her leg pain and ordered an MRI.  
6 (AR 432.)

7 On July 27, 2011, Plaintiff again saw Dr. Htun and reported that her back pain was better,  
8 but her leg pain was not. On the same day, Plaintiff underwent an MRI of her lumbar spine. (AR  
9 461-62.) The radiologist reported the following impression:

- 10 1. Marked L3-4 and mild L2-3 and L4-3 disc degeneration. The multilevel  
11 disc and marked facet joint degeneration results in at most mild thecal sac  
12 compression.
- 13 2. The right lateral recess is narrowed at L3-4 and L4-5, potentially affecting  
14 the L4-L5 nerves respectively.
- 15 3. Moderate right L3-4 and L4-5 and at least moderate left L3-4 neural  
16 foraminal narrowing.

16 (AR 461-62.)

17 On August 1, 2011, Plaintiff was referred to Edgar Hse-Hwa Han, D.O., for her lower back  
18 pain. (AR 438.) Dr. Han recommended a long-acting opiate medication for the time being, as her  
19 pain was reported to be unbearable and limited her functioning. (AR 444.) He also recommended  
20 an epidural injection, superficial heat/ice, and gave her a handout outlining a home exercise  
21 program. (AR 444.)

## 22 **B. Lay Testimony**

23 On October 25, 2009, Plaintiff completed a function report. (AR 159-66.) Plaintiff  
24 described her daily activities as follows: when she wakes, it takes time for her body to function;  
25 she lets the dogs out and eats something so that she can take her medication; she feeds and waters  
26 the dogs, and then lies down, sometimes sleeping for a bit; if she has to go out, she will wash her  
27 hair and get dressed, but if she is in a lot of pain, she normally will not get dressed; she tries to  
28 perform some household chores such as laundry or sweeping, but that usually causes pain and she

1 will then lie down again or watch television; she prepares lunch, and depending on her condition,  
2 she may lie down again or try to do something; she will then prepare dinner, feed her dogs, take  
3 her medication, and get ready for bed. (AR 159.)

4 Her dogs are five pounds each, and she feeds, baths, and plays with them. (AR 160.)  
5 However, her daughter helps her when she is unable to do things, such as care for her dogs or  
6 herself. (AR 160.) She used to be able to clean the house, care for her backyard, and show her  
7 dogs; now these things are very difficult. (AR 160.) She has breathing problems, pain in her back  
8 and legs, and her arms go numb; the pain affects her ability to sleep. (AR 160.)

9 Due to numbness and pain in her arms, it is difficult to do her hair because that requires  
10 repetitive arm lifting. (AR 160.) She prepares some meals limited to "quick and easy" things  
11 such as frozen dinners, sandwiches, and vegetables. (AR 161.) She does not perform any yard  
12 work, and does her household chores a little at a time. (AR 161.) When she mops, she has  
13 problems moving for days afterwards and if she could afford it, she would have help with some of  
14 the household chores. (AR 161.) She is able to drive a vehicle, but she will not go very far as she  
15 has to stop and get out due to pain in her back and legs. (AR 162.)

16 Her conditions affect her ability to lift, squat, bend, stand, reach, walk, sit, kneel, stair  
17 climb, use her hands, complete tasks, concentrate, and retain memories. (AR 164.) She does not  
18 lift anything over ten pounds usually because it makes her back pain worse. (AR 164.) She  
19 cannot stand or sit for very long because of pain and trouble getting up from a seated position.  
20 (AR 164.) Any repetitive use of her hands causes pain. (AR 164.) She can walk for a total of five  
21 to ten minutes; her attention span is shorter than in the past; and she sometimes has difficulty  
22 remembering spoken instructions. (AR 164.)

23 She does not handle stress very well at times, but she is "okay" handling changes in  
24 routine; she has a fear of falling, having a heart attack, or dying and that her dogs will be left with  
25 no one to care for them. (AR 165.)

26 Finally, she is on a lot of medication that sometimes makes her groggy. She will  
27 reschedule her day if she is too groggy, and then she will just lie down. (AR 166.) She also has  
28 neck problems and a spastic colon that sometimes requires frequent trips to the bathroom.

1 (AR 166.) She has a solid prior work history and would go back to work if she could. However,  
2 with a combination of grogginess from multiple medications, fibromyalgia, problems with her  
3 back, not being able to stand or sit for a long period of time, leg pain, heart problems with some  
4 permanent damage in the lower part of her heart, colon problems, and high blood pressure, she is  
5 unable to hold a job. (AR 166.)

6 **C. Administrative Proceedings**

7 The Commissioner denied Plaintiff's application initially and again on reconsideration;  
8 consequently, Plaintiff requested a hearing before an Administrative Law Judge ("ALJ"). (AR 66,  
9 79-84, 85-86.) On June 9, 2011, the ALJ held a hearing. Plaintiff testified, through the assistance  
10 of counsel. (AR 28-65.)

11 **1. Plaintiff's Hearing Testimony**

12 Plaintiff was born on February 2, 1948; she lives alone in a house. (AR 33-34.) Her  
13 daughters help her with things around her house that she cannot do. (AR 34.) She has a driver's  
14 license and she is able to drive two to three times per week, and can drive for approximately one  
15 hour without stopping. (AR 35.) Her physical problems have been worsening in the last couple  
16 years. (AR 35.)

17 She completed a bachelor's degree in sociology, and worked as a family service specialist,  
18 until her heart attack in 2006 when she did not return to work. (AR 35-36.) That job required her  
19 to sit for an extended period of time, type at a computer, and stand to perform the training. (AR  
20 36.) She sat for approximately six hours a day and was on her feet about three hours a day. (AR  
21 43.) Some days, however, required more time on her feet because she was involved in assessment  
22 testing. When she was performing those tasks, she was on her feet approximately six hours per  
23 day. (AR 43.) On an average month she was generally sitting more than she was required to  
24 stand. (AR 44.) The job also required her to concentrate, understand and interpret federal and  
25 local regulations, and talk with clients. (AR 36.) Now, however, she is unable to remember  
26 technical things like she used to, and she has a problem with understanding. (AR 37.)

27 When she experienced her heart attack in 2006, she remained in the hospital for four or  
28 five days, and followed up with a cardiologist. (AR 40.) Her doctor told her that she had damage

1 to the lower part of her heart, but she could not recall whether he made any recommendations  
2 about working after the heart attack. (AR 40.) Following her heart attack she did not look for  
3 other work; she collected California State Disability Benefits. (AR 41.)

4         Aside from her heart condition, she has fibromyalgia, but it has been twelve years since  
5 she has received medical treatment for that condition, even though it is worsening. (AR 45.) Her  
6 hands go numb more quickly than they used to, and she can only use her hands for typing or  
7 grasping for approximately five minutes before needing to rest. (AR 46.) If she lifts more than  
8 ten pounds, she has severe back pain for a period of time. (AR 46.) The most she can be on her  
9 feet at one time is twenty or thirty minutes without severe pain. (AR 46.) To relieve the pain, she  
10 performs stretching exercises, applies heat, lies down between tasks, and she attended some pain  
11 management classes. (AR 47.)

12         She experiences adverse side effects from her medication including fatigue and sleepiness.  
13 (AR 47.) Conversely, she experiences insomnia at night due to pain. (AR 47-48.) As a result, she  
14 has trouble getting to sleep and then is drowsy during the day. (AR 49.) The medication also  
15 causes concentration and memory problems. (AR 48.)

16         As it pertains to her back, there is a disintegrating disc and something that is closing in on  
17 the nerves. (AR 49.) She has discussed this with her doctor, and a number of years ago surgery  
18 was recommended, but her most recent insurer, Kaiser, does not "seem enthusiastic about it."  
19 (AR 49.) She also experiences chest pain, along with shortness of breath and fatigue, which her  
20 doctor attributes to her heart condition. (AR 50.)

21         Plaintiff takes an over-the-counter medication for her spastic colon most of the time, and  
22 she also takes prescription strength medication once a week. (AR 51.) She must use the restroom  
23 four to five times a day during a span of eight hours, and she requires the use of the facilities for  
24 twenty to twenty-five minutes when she is experiencing problems. (AR 52.) She experiences  
25 these problems about six days per month. Plaintiff has suffered with this condition for twenty  
26 years. (AR 53.) However, it has worsened with time, despite that she worked around the problem  
27 in the past. (AR 53.)

28

1 She believes she cannot return to her former job primarily because of the pain she suffers  
2 in her arms, neck, and back. (AR 56.) Her pain is concentrated in her lower back. She  
3 experiences numbness in her arms, legs, and hips. (AR 57.) She believes the numbness and pain  
4 are caused by fibromyalgia. (AR 58.) She also believes she is unable to work because of  
5 sleepiness and fatigue, and because a "job requires concentration and [] the ability to understand a  
6 lot of technical things and do assessments," which she feels she is now unable to do. (AR 58.)

## 7 **2. The ALJ's Decision**

8 On October 13, 2011, the ALJ issued a decision finding Plaintiff not disabled. (AR 14-23.)  
9 Specifically, the ALJ found that Plaintiff (1) has not engaged in substantial gainful activity since  
10 January 20, 2006, the date of alleged onset; (2) has the following medically severe combination of  
11 impairments: fibromyalgia, obesity, status post myocardial infarction and stent placement, history  
12 of coronary artery disease, hypertension, hypothyroidism, degenerative disc disease of the lumbar  
13 spine, peripheral nervous system disorder, and gastroesophageal reflux disease; (3) does not have  
14 an impairment or combination of impairments that meets or medically equals the severity of an  
15 impairment listed in 20 C.F.R. Part 404, Subpart P, Appendix 1; (4) has the residual functional  
16 capacity ("RFC")<sup>3</sup> to perform light work with only occasional postural activities like climbing of  
17 ramps, stairs, ladders, ropes, scaffolds, and can perform only occasional stooping, kneeling,  
18 crawling, crouching, and balancing; and (5) Plaintiff is capable of performing her past relevant  
19 work as an Employment Training Specialist or as a vocational counselor. (AR 14-23.)

## 20 **3. Plaintiff's Appeal of the ALJ's Decision**

21 Plaintiff sought review of the ALJ's decision before the Appeals Council. (AR 13-14.) On  
22 June 7, 2012, the Appeals Council denied review. (AR 1-8.) Therefore, the ALJ's decision  
23 became the final decision of the Commissioner. 20 C.F.R. § 404.981.

24  
25 \_\_\_\_\_  
26 <sup>3</sup> RFC is an assessment of an individual's ability to do sustained work-related physical and mental activities in a work  
27 setting on a regular and continuing basis of 8 hours a day, for 5 days a week, or an equivalent work schedule. Social  
28 Security Ruling 96-8p.<sup>1</sup> The RFC assessment considers only functional limitations and restrictions that result from an  
individual's medically determinable impairment or combination of impairments. *Id.* "In determining a claimant's  
RFC, an ALJ must consider all relevant evidence in the record including, *inter alia*, medical records, lay evidence, and  
'the effects of symptoms, including pain, that are reasonably attributed to a medically determinable impairment.'" *Robbins v. Soc. Sec. Admin.*, 466 F.3d 880, 883 (9th Cir. 2006).

1 On December 7, 2012, Plaintiff filed a complaint in this Court seeking judicial review of  
2 the ALJ's decision. Plaintiff contends that the ALJ improperly considered her credibility, the lay  
3 testimony offered by a third-party witness, and improperly evaluated the medical evidence.

#### 4 **SCOPE OF REVIEW**

5 The ALJ's decision denying benefits "will be disturbed only if that decision is not  
6 supported by substantial evidence or it is based upon legal error." *Tidwell v. Apfel*, 161 F.3d 599,  
7 601 (9th Cir. 1999). In reviewing the Commissioner's decision, the Court may not substitute its  
8 judgment for that of the Commissioner. *Macri v. Chater*, 93 F.3d 540, 543 (9th Cir. 1996).  
9 Instead, the Court must determine whether the Commissioner applied the proper legal standards  
10 and whether substantial evidence exists in the record to support the Commissioner's findings. See  
11 *Lewis v. Astrue*, 498 F.3d 909, 911 (9th Cir. 2007). "Substantial evidence is more than a mere  
12 scintilla but less than a preponderance." *Ryan v. Comm'r of Soc. Sec.*, 528 F.3d 1194, 1198 (9th  
13 Cir. 2008). "Substantial evidence" means "such relevant evidence as a reasonable mind might  
14 accept as adequate to support a conclusion." *Richardson v. Perales*, 402 U.S. 389, 401 (1971)  
15 (quoting *Consol. Edison Co. of N.Y. v. NLRB*, 305 U.S. 197, 229 (1938)). The Court "must  
16 consider the entire record as a whole, weighing both the evidence that supports and the evidence  
17 that detracts from the Commissioner's conclusion, and may not affirm simply by isolating a  
18 specific quantum of supporting evidence." *Lingenfelter v. Astrue*, 504 F.3d 1028, 1035 (9th Cir.  
19 2007) (citation and internal quotation marks omitted).

#### 20 **APPLICABLE LAW**

21 An individual is considered disabled for purposes of disability benefits if he or she is  
22 unable to engage in any substantial, gainful activity by reason of any medically determinable  
23 physical or mental impairment that can be expected to result in death or that has lasted, or can be  
24 expected to last, for a continuous period of not less than twelve months. 42 U.S.C.  
25 §§ 423(d)(1)(A), 1382c(a)(3)(A); *see also Barnhart v. Thomas*, 540 U.S. 20, 23 (2003). The  
26 impairment or impairments must result from anatomical, physiological, or psychological  
27 abnormalities that are demonstrable by medically accepted clinical and laboratory diagnostic  
28 techniques and must be of such severity that the claimant is not only unable to do her previous

1 work, but cannot, considering her age, education, and work experience, engage in any other kind  
2 of substantial, gainful work that exists in the national economy. 42 U.S.C. §§ 423(d)(2)-(3),  
3 1382c(a)(3)(B), (D).

4 The regulations provide that the ALJ must undertake a specific five-step sequential  
5 analysis in the process of evaluating a disability. In the First Step, the ALJ must determine  
6 whether the claimant is currently engaged in substantial gainful activity. 20 C.F.R. §§  
7 404.1520(b), 416.920(b). If not, in the Second Step, the ALJ must determine whether the claimant  
8 has a severe impairment or a combination of impairments significantly limiting her from  
9 performing basic work activities. *Id.* §§ 404.1520(c), 416.920(c). If so, in the Third Step, the ALJ  
10 must determine whether the claimant has a severe impairment or combination of impairments that  
11 meets or equals the requirements of the Listing of Impairments ("Listing"), 20 C.F.R. 404, Subpart  
12 P, App. 1. *Id.* §§ 404.1520(d), 416.920(d). If not, in the Fourth Step, the ALJ must determine  
13 whether the claimant has sufficient residual functional capacity despite the impairment or various  
14 limitations to perform her past work. *Id.* §§ 404.1520(f), 416.920(f). If not, in Step Five, the  
15 burden shifts to the Commissioner to show that the claimant can perform other work that exists in  
16 significant numbers in the national economy. *Id.* §§ 404.1520(g), 416.920(g). If a claimant is  
17 found to be disabled or not disabled at any step in the sequence, there is no need to consider  
18 subsequent steps. *Tackett v. Apfel*, 180 F.3d 1094, 1098-99 (9th Cir. 1999); 20 C.F.R. §§  
19 404.1520, 416.920.

## 20 DISCUSSION

### 21 A. The ALJ Failed to State Clear and Convincing Reasons to Reject Plaintiff's 22 Credibility

23 Plaintiff argues the ALJ erred in assessing her credibility. In evaluating the credibility of a  
24 claimant's testimony regarding subjective pain, an ALJ must engage in a two-step analysis.  
25 *Vasquez v. Astrue*, 572 F.3d 586, 591 (9th Cir. 2009). First, the ALJ must determine whether the  
26 claimant has presented objective medical evidence of an underlying impairment that could  
27 reasonably be expected to produce the pain or other symptoms alleged. *Id.* The claimant is not  
28 required to show that her impairment "could reasonably be expected to cause the severity of the



1 symptom she has alleged; she need only show that it could reasonably have caused some degree of  
2 the symptom." *Id.* (quoting *Lingenfelter*, 504 F.3d at 1036). If the claimant meets the first test  
3 and there is no evidence of malingering, the ALJ can only reject the claimant's testimony about the  
4 severity of the symptoms if he gives "specific, clear and convincing reasons" for the rejection. *Id.*  
5 As the Ninth Circuit has explained:

6       The ALJ may consider many factors in weighing a claimant's credibility, including  
7       (1) ordinary techniques of credibility evaluation, such as the claimant's reputation  
8       for lying, prior inconsistent statements concerning the symptoms, and other  
9       testimony by the claimant that appears less than candid; (2) unexplained or  
10       inadequately explained failure to seek treatment or to follow a prescribed course of  
11       treatment; and (3) the claimant's daily activities. If the ALJ's finding is supported  
12       by substantial evidence, the court may not engage in second-guessing.

13 *Tommasetti v. Astrue*, 533 F.3d 1035, 1039 (9th Cir. 2008) (citations and internal quotation marks  
14 omitted); *see also Bray v. Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219, 1226-27 (9th Cir. 2009);  
15 20 C.F.R. §§ 404.1529, 416.929. Other factors the ALJ may consider include a claimant's work  
16 record and testimony from physicians and third parties concerning the nature, severity, and effect  
17 of the symptoms of which he complains. *Light v. Soc. Sec. Admin.*, 119 F.3d 789, 792 (9th Cir.  
18 1997).

### 19       **1. Inconsistent Statements**

20       Plaintiff asserts she consistently complained of disabling pain and fatigue, and the ALJ  
21 erred by failing to articulate clear and convincing reasons for not crediting her testimony in this  
22 regard. (Doc. 16, 15:20-21.) The ALJ found Plaintiff not fully credible due to a pattern of  
23 inconsistent statements including the amount of narcotic pain medication she takes on a daily  
24 basis. Plaintiff asserts that the ALJ failed to cite any specific evidence in support of this finding,  
25 and any characterization of Plaintiff's statements about her medication as being inconsistent is  
26 inaccurate because her dosages were changed frequently by her physicians. Thus, the fact that  
27 Plaintiff reported that she took differing amounts of medication at different times does not make  
28 her testimony inconsistent.

      The Commissioner contends the ALJ properly noted that Plaintiff admitted taking up to 9  
Norco tablets per day, but that in November 2008, she reported taking only 2 to 3 Norco tablets

1 per day. (Doc. 17, 18:11-14.) The Commissioner notes that, while Plaintiff argues her physicians  
2 adjusted her medication over time, "there is no evidence that any inconsistency 'was the result of'  
3 physicians['] orders.'" (Doc. 17, 18:14-16.) The Commissioner highlights that Dr. Finlay  
4 authorized up to 10 tablets of Norco per day, but he also noted that because Plaintiff was taking  
5 such a small amount of Norco, she had elected to continue with this medication rather than try a  
6 different type. (Doc. 17, 18:16-18 (citing AR 249, 252).) Moreover, Dr. Kalauokalani noted that  
7 Plaintiff should limit her Norco use except when engaged in an "enhanced activity." The  
8 Commissioner argues this evidence establishes inconsistencies about how much medication  
9 Plaintiff required, and while this could be read to suggest that Plaintiff's needs varied over time,  
10 the ALJ was entitled to interpret Plaintiff's statements about her medication as inconsistent.

11 The ALJ reasoned that it was emphasized in November 2008 by a treating physician that  
12 Plaintiff was only to take 1 Norco tablet every 8 to 12 hours (2 to 3 per day), and not to exceed 3  
13 tablets for a 24 hour period. (AR 19.) The ALJ noted Plaintiff reported she was compliant with  
14 this by taking only 2 to 3 Norco per day, but the ALJ also indicated that she had admitted taking  
15 up to 9 tablets a day, which the ALJ interpreted as "contrary" to her "claims of only taking 2-3  
16 tablets a day." (AR 19.)

17 Despite the Commissioner's argument, when viewing the record as a whole, it is not  
18 susceptible to the interpretation offered by the ALJ with respect to Plaintiff's statements about her  
19 medication. On January 14, 2007, Plaintiff reported to Dr. Hansrote that she usually took 3 to 4  
20 Norco per day, but at times she had taken up to 9 a day in the year after her heart attack and prior  
21 to the examination. (AR 227.) At a follow-up appointment on January 25, 2007, Dr. Finlay  
22 prescribed up to 9 Norco per day. (AR 231.) Dr. Finlay again prescribed up to 9 Norco per day in  
23 March 2007. (AR 234.) In October 2007, it was noted that Plaintiff's prescription for Norco was  
24 decreased, and she was given a prescription for Nabumetone, an anti-inflammatory medication  
25 prescribed to reduce pain and inflammation. (AR 235.) A year later, in October 2008, Plaintiff  
26 reported that she was taking 2 to 3 tablets of Norco (hydrocodone) daily, and the physician  
27 prescribed 75 Norco per month (2.5 per tablets day), noting that she had been prescribed the same  
28 amount (900 yearly pills) one year ago, referencing the decrease in Norco in October 2007.

1 (AR 242.) Her prescription was again increased by July 2009, as Dr. Htun prescribed 1 to 2  
2 tablets of Norco every 4 to 6 hours, and noted Plaintiff should not take more than 10 tablets daily.

3 (AR 252.)

4 The fact that Plaintiff reported taking up to 9 Norco daily at times between 2006 and 2007  
5 is not inconsistent with her statement that she was taking 2 to 3 Norco per day at the end of  
6 October 2008, particularly as that was the amount she was prescribed at that time, i.e., the  
7 reduction of her prescription for Norco in October 2007 matches the report she provided in  
8 October 2008. These statements were all made to treating physicians, who were actively  
9 monitoring and adjusting Plaintiff's prescriptions. It is not the case that Plaintiff told her  
10 physicians she was compliant with her medication at 2 to 3 Norco tablets per day in October 2008,  
11 but then contemporaneously reported elsewhere that she was taking up to 9 Norco tablets a day in  
12 an effort to exaggerate the amount of pain she was suffering. Moreover, the fact that Plaintiff  
13 reported taking different amounts of Norco on two occasions nearly two years apart (compare  
14 January 2007 with October 2008) is not only consistent with the prescribed dosages as reflected by  
15 the treatment notes, but also indicates that Plaintiff's prescribed dosage changed over time, not that  
16 she was inconsistently reporting her usage. Reviewing the record as a whole, the evidence is not  
17 reasonably susceptible to an interpretation that Plaintiff's reporting was inconsistent regarding her  
18 medication such that it constitutes a clear and convincing basis to discount her credibility.

19 As it pertains to general inconsistencies in Plaintiff's statements regarding her  
20 symptomatology, the ALJ discussed various disability reports Plaintiff submitted describing her  
21 limitations and activities.<sup>4</sup> Plaintiff's original Adult Disability Report stated that her heart attack,  
22 fibromyalgia, chronic pain in her neck, back, and legs, colon spasms, arthritis, degenerative discs,  
23 and depression were all impairments that precluded her from working beginning January 20, 2006.  
24 (AR 159-66.) In a subsequent disability report submitted in September 2009, Plaintiff explained

25 \_\_\_\_\_  
26 <sup>4</sup> The ALJ did not expressly conclude that Plaintiff's disability reports were inconsistent with her hearing testimony  
27 regarding the extent of her limitations, and did not list inconsistent statements in her disability reports as a reason for  
28 discrediting Plaintiff's testimony. Rather, the ALJ stated that Plaintiff was found not fully credible due to "a pattern of  
inconsistent statements including the amount of narcotic pain medication she takes on a daily basis." (AR 18.) To the  
extent that the ALJ considered Plaintiff's disability reports as containing inconsistent statements and a basis to reject  
Plaintiff's hearing testimony, as discussed below, the ALJ mischaracterized the content of Plaintiff's disability reports.

1 that she took a lot of medication; could not sit or stand for very long, and if she does, she  
2 experiences excruciating pain; her arms go numb if she holds the mouse at her computer for very  
3 long; she has a spastic colon; she experiences terrible headaches; she has cramps in her legs; she  
4 has sleeping problems because of the pain; a memory problem; and she is tired all the time.  
5 (AR 142.)

6 In March 2010, Plaintiff submitted another disability report stating that her impairments  
7 had no effect on her ability to care for her own personal needs and that there was *no change* in her  
8 daily activities since she last completed a disability report. (AR 175.) In August 2010, Plaintiff  
9 submitted yet another disability report admitting that her impairments had no effect on her ability  
10 to care for her own personal needs and that there was *no change* in her daily activities since she  
11 had submitted her previous report. (AR 182.) The ALJ mischaracterized her statement regarding  
12 her daily activities to be that there was "no effect upon her ability to care for her personal needs or  
13 upon her activities of daily living." (AR 18.) Plaintiff did not state that her impairments had no  
14 effect upon her activities of daily living; rather, she indicated in each disability report that there  
15 had been *no change* in her daily activities since her last report. (AR 182.)<sup>5</sup> To the extent that the  
16 ALJ considered this as an inconsistency in Plaintiff's statements regarding how her impairments  
17 limited her daily activities, the Court finds no such inconsistency sufficient to discredit her  
18 testimony regarding the extent of her subjective symptomatology and limitation.

## 19 2. Vague or Insufficient Reporting

20 The ALJ also rejected Plaintiff's credibility due to "instances of providing vague or  
21 insufficient information." (AR 18.) Plaintiff asserts this reasoning lacks explanation and  
22 specificity to be considered a clear and convincing basis to reject her credibility. Moreover, any  
23 "vagueness" on Plaintiff's part is consistent with her complaints that she felt confused, no longer  
24 "sharp," fatigued, and drowsy.

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25 <sup>5</sup> Dr. Shergill reported Plaintiff's conditions had essentially no impact on her activities of daily living (AR 286), which  
26 the ALJ noted in describing Dr. Shergill's examination report (AR 20.) To the extent that this report was inconsistent  
27 with other lay testimony, the ALJ discussed only the perceived inconsistency between Plaintiff's various disability  
28 reports with regard to her daily activities. Of course, Dr. Shergill's examination report also indicated that Plaintiff  
reported both good days, where she could do most activities, and bad days when "she is not able to do anything."  
(AR 286.) The notation that Plaintiff did not describe any effect on her activities of daily living is not actually  
consistent with the other reports Plaintiff made that were noted during the course of the examination.

1           The Commissioner contends the ALJ properly cited instances of Plaintiff's vague and  
2 insufficient reporting; the ALJ noted, for example, that Plaintiff reported for a gallbladder  
3 examination in June 2007 complaining of abdominal pain and bloating, but the testing yielded  
4 "unremarkable" findings (AR 202-04); further, after complaining of shortness of breath and chest  
5 pain, test results showed normal findings (AR 246-47). (Doc. 17, 18:27-19:3.) While these  
6 examples were not contained within the credibility discussion of the ALJ's decision, the  
7 Commissioner contends they are examples of vague information that was inconsistent with the  
8 medical evidence and discussed by the ALJ in other portions of the decision.

9           The two examples cited by the Commissioner do not involve Plaintiff providing  
10 insufficient or vague information. In these examples, Plaintiff merely presented for examination  
11 based on a particular symptomatology, but the test results returned "unremarkable" or normal  
12 findings. (See AR 202-04 (tests unremarkable for gallbladder examination), 245-47 (normal  
13 pharmacologic stress test after complaints of shortness of breath and chest pain).) These examples  
14 relate to whether objective medical testing explained Plaintiff's reported symptoms. Rejecting  
15 Plaintiff's statements in this way would be equivalent to rejecting lay statements because they  
16 were not adequately corroborated by objective medical testing which, in and of itself, is an  
17 insufficient basis to discredit a claimant. *Bunnell v. Sullivan*, 947 F.2d 341, 345 (9th Cir. 1991)  
18 ("once [a] claimant produces objective medical evidence of an underlying impairment, [the ALJ]  
19 may not reject [the] claimant's subjective complaints based solely on a lack of objective medical  
20 evidence to fully corroborate the alleged severity of the pain").

21           In short, none of the evidence discussed by the ALJ revealed instances of vagueness or  
22 insufficient information given by Plaintiff. Although Plaintiff struggled to provide clear answers  
23 to some of the ALJ's questions during the course of the hearing, these instances were not discussed  
24 or even noted by the ALJ.<sup>6</sup> The Court also notes that Dr. Shergill found Plaintiff to be "very

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25 <sup>6</sup> At the hearing, Plaintiff gave the following testimony in response to the ALJ's questions:

26           Q [ALJ:] When was the heart attack? What was the exact date?

27           A [Plaintiff:] In '06.

28           Q What was the date?

              A I'm sorry, I don't remember.

              Q This was a life shaping event, was it not?

              A Yes.

1 vague and a very poor historian as far as her symptoms are concerned. She frequently directs into  
2 some other stories." (AR 286.) While this does appear to demonstrate some vague and  
3 insufficient reporting of symptoms, again, this evidence was neither discussed nor even referenced  
4 in the ALJ's decision. Without any specific examples of vagueness or insufficient responses that  
5 are discussed or cited somewhere within the ALJ's decision, the ALJ's reasoning lacks specificity  
6 such that this cannot be considered a clear and convincing basis to discredit Plaintiff. *Embrey v.*  
7 *Bowen*, 849 F.2d 418, 421 (9th Cir. 1988).

### 8           **3. Daily Activities**

9           The Commissioner also asserts the ALJ properly discounted Plaintiff's testimony regarding  
10 the severity of her pain and fatigue due to the extent of her daily activities. The ALJ did not  
11 expressly state that the extent of Plaintiff's daily activities was a factor in the credibility  
12 determination. Rather, the ALJ reasoned that Plaintiff was not credible "due to a pattern of  
13 inconsistent statements . . . as well as instances of providing vague or insufficient information."  
14 (AR 18.) Nonetheless, the ALJ did note that Plaintiff was "able to do a wide range of activities of  
15 daily living." (AR 18.)

16           Even considering this as a factor influencing the ALJ's credibility determination, however,  
17 it is not a clear and convincing reason to discredit Plaintiff's statements. In *Fair v. Bowen*, the  
18 court held that "if, despite his claims of pain, a claimant is able to perform household chores and  
19 other activities that involve many of the same physical tasks as a particular type of job, it would  
20 not be farfetched for an ALJ to conclude that the claimant's pain does not prevent the claimant  
21 from working." 885 F.2d 597, 603 (9th Cir. 1989). Nonetheless, a claimant must "spend a  
22 substantial part of the day engaged" in such activities for the ALJ to have grounds for discounting  
23 the claimant's subjective pain testimony. *Id.* Further, the ALJ must make specific findings  
24 relating to the daily activities and their transferability to conclude that a claimant's daily activities  
25 warrant an adverse credibility determination. *Orn v. Astrue*, 495 F.3d 625, 639 (9th Cir. 2007).

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27           Q But it was in '06 and that's as close as you can give me. You don't remember the month that it  
              occurred?

28           A I thought it was January, I think, but I don't want to say for sure because of my (inaudible).  
(AR 37.)

1 Here, Plaintiff reported living by herself and being able to prepare her own meals, attend to  
2 her own personal care, take care of her dogs, do some household tasks such as laundry or  
3 sweeping, use a computer, watch television, talk on the phone, drive, and shop up to 1- 1/2 hours a  
4 couple times per week. (AR 159-66.) These activities do not detract from Plaintiff's credibility,  
5 however. While Plaintiff reported that she did the laundry, prepared meals, and went grocery  
6 shopping, she testified she performed these tasks infrequently – at most, a few times per week.  
7 This type of limited activity does not bear a meaningful relationship to the activities of the  
8 workplace conducted on a daily 40-hour per week basis. *Orn*, 495 F.3d at 639. Moreover, as to  
9 household chores, Plaintiff testified at the hearing that when she cleaned the house, she would  
10 have to lie down after just three to four minutes of an activity like mopping, and then resume the  
11 activity after she had rested. (AR 47.) She also reported she only performs household chores once  
12 a week, and her meal preparation is limited to "easy and quick" things such as frozen dinners or  
13 sandwiches. (AR 161.) These are not the type of extensive daily activities that undercut Plaintiff's  
14 subjective reports of pain and limitation.

15 Finally, the ALJ did not make specific findings regarding Plaintiff's daily activities and  
16 their transferability to a particular type of job. As such, even to the extent the ALJ considered  
17 Plaintiff's daily activities in the credibility calculus, they are not a clear and convincing basis to  
18 support an adverse credibility finding.

19 In sum, the ALJ's credibility finding is not predicated on clear and convincing reasons  
20 supported by substantial evidence.

21 **B. The Extent of Plaintiff's Limitations Resulting from Fibromyalgia Was Not**  
22 **Properly Considered**

23 Plaintiff asserts that the ALJ failed to properly assess the limitations arising from her  
24 fibromyalgia, which the ALJ found to be a severe condition. Plaintiff notes the ALJ relied on Dr.  
25 Shergill's opinion regarding Plaintiff's functional abilities, but Dr. Shergill did not diagnose  
26 fibromyalgia or list it as an impairment, despite that the ALJ considered it a severe impairment.  
27 Therefore, Plaintiff contends it appears that the ALJ did not properly assess the limitations arising  
28 from Plaintiff's fibromyalgia.

1 "Fibromyalgia's cause is unknown, there is no cure, and it is poorly-understood within  
2 much of the medical community. The disease is diagnosed entirely on the basis of patients' reports  
3 of pain and other symptoms." *Benecke v. Barnhart*, 379 F.3d 587, 590 (9th Cir. 2004).  
4 Fibromyalgia's "symptoms are entirely subjective. There are no laboratory tests for [its] presence  
5 or severity." *Jordan v. Northrup Grumman Corp. Welfare Benefit Plan*, 370 F.3d 869, 872 (9th  
6 Cir. 2004). Thus, when the severity or degree of limitation stemming from fibromyalgia and/or  
7 chronic pain syndrome is at issue, the claimant's credibility takes on particular significance. *See*  
8 *Rogers v. Comm'r Soc. Sec. Admin.*, 486 F.3d 234, 248 (6th Cir. 2007) ("given the nature of  
9 fibromyalgia, where subjective pain complaints play an important role in the diagnosis and  
10 treatment of the condition, providing justification for discounting a claimant's statements is  
11 particularly important").

12 Because assessment of Plaintiff's limitations regarding her fibromyalgia is inextricably  
13 intertwined with her subjective reports of pain and limitation, the flaws in the credibility finding  
14 permeate the assessment of Plaintiff's limitations stemming from her fibromyalgia. In light of the  
15 error in the credibility analysis, it does not appear that Plaintiff's fibromyalgia limitations were  
16 adequately considered by the ALJ. The ALJ should either state clear and convincing reasons why  
17 Plaintiff's statements regarding her pain and fatigue are not credible, or the ALJ should assess how  
18 Plaintiff's fatigue and pain limit her residual functional capacity.

19 **C. The July 2011 MRI Results**

20 Plaintiff complains that the ALJ overlooked her July 2011 MRI, which Plaintiff maintains  
21 shows a worsening of her degenerative disc disease. (*Compare* AR 271 *with* AR 461.) Plaintiff is  
22 not a medical professional qualified to interpret radiology reports and determine whether they  
23 show a worsening of her lumbar condition, and the radiologist interpreting the 2011 results did not  
24 opine whether the findings indicated a worsening of Plaintiff's condition compared to the 2007  
25 MRI results.

26 However, the July 2011 MRI was not discussed or referenced by the ALJ and it appears to  
27 have been overlooked or disregarded without comment. In considering the degree of Plaintiff's  
28 reported pain and the limitations resulting therefrom, the MRI findings may impact the credibility



1 determination as it tends to support Plaintiff's reports of pain. Therefore, the July 2011 MRI is  
2 both significant and probative evidence the ALJ must consider. *Vincent v. Heckler* 739 F.2d 1393,  
3 1394-95 (9th Cir. 1984). Additionally, because no physician provided an opinion regarding how  
4 the 2011 MRI findings impacting Plaintiff's ability to function, the ALJ must consider whether this  
5 MRI evidence renders the record ambiguous requiring further medical opinion evidence or  
6 clarification. *Tonapetyan v. Halter*, 242 F.3d 1144, 1150 (9th Cir. 2001) ("Ambiguous evidence,  
7 or the ALJ's own finding that the record is inadequate to allow for proper evaluation of the  
8 evidence, triggers the ALJ's duty to conduct an appropriate inquiry.") (internal quotation marks  
9 and citation omitted).

10 **D. Plaintiff's Remaining Arguments**

11 This case shall be remanded for renewed consideration of Plaintiff's credibility, the extent  
12 of Plaintiff's limitation due to pain and fatigue resulting from her fibromyalgia, as well as  
13 consideration of the July 2011 MRI findings which may require the ALJ to obtain further medical  
14 evidence. As such, the Court declines to address Plaintiff's remaining arguments regarding Dr.  
15 Gleason's opinion and the lay testimony offered by a third-party as these issues are intertwined  
16 with, and may potentially be mooted by, the issues that will be given renewed consideration on  
17 remand.

18 **CONCLUSION**

19 Based on the foregoing, the Court finds that the ALJ's decision is not supported by  
20 substantial evidence and is, therefore, REVERSED and the case REMANDED to the ALJ for  
21 further proceedings consistent with this order. The Clerk of this Court is DIRECTED to enter  
22 judgment in favor of Plaintiff Sharon Gitthens and against Defendant Carolyn W. Colvin, Acting  
23 Commissioner of Social Security.

24  
25 IT IS SO ORDERED.

26 Dated: January 21, 2014

/s/ Sheila K. Oberto  
UNITED STATES MAGISTRATE JUDGE