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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

MIGUEL A. GONZALEZ-CHAVEZ,

Plaintiff,

CHRISTOPHER MESSICK
DEAN BARTHELMES,

Defendants.

No. 1:12-CV-02053 JLT

**ORDER REGARDING SETTLEMENT
CONFERENCE PROCEDURES**

Consideration of settlement is a serious matter that requires thorough preparation prior to the settlement conference. Provided below are the procedures this Court will employ when conducting the settlement conference scheduled for November 20, 2014, at 10:30 a.m. before the Honorable Gary S. Austin.

A. Required Pre-Settlement Conference Communications

A settlement conference is more likely to be productive if the parties have exchanged written settlement proposals in advance of the conference. Accordingly, plaintiff's counsel shall submit a written itemization of damages and a good faith *settlement demand* to defendants' counsel with a brief explanation of why the demand is appropriate no later than November 4, 2014. Thereafter, defendants' counsel shall submit a good faith written *offer* to plaintiff's counsel with a brief explanation of why the offer is appropriate by November 10, 2014.

On occasion, this process will lead directly to a settlement. If settlement is not achieved,

1 plaintiff's counsel shall deliver or fax copies of all settlement proposals along with his/her
2 *Confidential Settlement Conference Statement* to chambers. Copies of these documents are not to
3 be filed on the court docket.

4 B. Confidential Settlement Conference Statement

5 The parties shall submit the *Confidential Settlement Conference Statement* to Judge
6 Austin's chambers via gsaorders@caed.uscourts.gov by noon on November 13, 2014. This
7 statement shall include the following:

8 1. A list of all elements of each cause of action or affirmative defense pled in
9 the party's respective complaint or answer;

10 2. A brief statement identifying those facts that support each cause of action
11 or affirmative defense;

12 3. A description outlining the factual and legal contentions upon which the
13 parties agree or disagree;

14 4. A description identifying the impediments to settlement, including
15 financial, emotional or legal concerns;

16 5. A summary of the settlement attempts to date;

17 6. A statement of the specific relief sought; and

18 7. A statement identifying any third party (i.e., lien holder, etc.) with a legal
19 interest in this action.

20 C. Attendance

21 The attorneys who will try the case shall appear at the settlement conference accompanied
22 by the named parties and all persons having authority to negotiate the settlement.¹ If appropriate,
23 the principal representative shall have approval to settle the action on the terms consistent with
24 the opposing party's most recent demand.

25 D. Mediation Format

26 ¹Insurance carriers, business organizations, and governmental agencies whose settlement agreements are
27 subject to approval by legislative bodies, executive committees, boards of directors, et cetera, shall be represented by
28 a person or persons who occupy high executive positions in the party organization and who will be directly involved
in the process of approval of any settlement offers or agreements.

1 A mediation format will be employed during the settlement conference. The lawyers, the
2 parties, and all representatives must be fully prepared and will be expected to participate. The
3 Court encourages all participants to be flexible and to reassess their previous positions, as well as
4 put to forth their best efforts toward reaching a mutually agreeable settlement.

5 E. Statements Inadmissible

6 The Court expects full and candid participation during the settlement conference. With
7 this in mind, statements made by any party or attorney during the settlement conference are not to
8 be used in discovery and will not be admissible at trial.

9 F. Sanctions

10 Failure to follow this procedure will result in removal of the settlement conference from
11 the Court's calendar and may result in additional sanctions.

12 IT IS SO ORDERED.

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14 DATED: 10/27/2014

/s/ GARY S. AUSTIN

15 UNITED STATES MAGISTRATE JUDGE
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