

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA

TIM RIVERA,  
  
  Plaintiff,  
  
  v.  
  
COUNTY OF FRESNO,  
  
  Defendant.

No. 1:13-cv-01004-GSA

**ORDER REGARDING SETTLEMENT  
CONFERENCE PROCEDURES**

Consideration of settlement is a serious matter that requires thorough preparation prior to the settlement conference. Provided below are the procedures this Court will employ when conducting the settlement conference scheduled for February 21, 2014, at 10:00 a.m.

A. Required Pre-Settlement Conference Communications

A settlement conference is more likely to be productive if the parties have exchanged written settlement proposals in advance of the conference. Accordingly, at least twenty-one (21) calendar days prior to the settlement conference, plaintiff’s counsel shall submit a written itemization of damages and a good faith *settlement demand* to defendant’s counsel with a brief explanation of why the demand is appropriate. Thereafter, but no later than seven (7) calendar days prior to the settlement conference, defendant’s counsel shall submit a good faith written *offer* to plaintiff’s counsel with a brief explanation of why the offer is appropriate.

///

1           On occasion, this process will lead directly to a settlement. If settlement is not achieved,  
2 plaintiff's counsel shall deliver or fax copies of all settlement proposals along with his/her  
3 *Confidential Settlement Conference Statement* to chambers. Copies of these documents are not to  
4 be filed on the court docket.

5           B. Confidential Settlement Conference Statement

6           At least four (4) calendar days prior to the settlement conference, the parties shall submit  
7 the *Confidential Settlement Conference Statement* to Judge Austin's chambers via  
8 gsaorders@caed.uscourts.gov. This statement shall include the following:

9                   1. A list of all elements of each cause of action or affirmative defense pled in  
10 the party's respective complaint or answer;

11                   2. A brief statement identifying those facts that support each cause of action  
12 or affirmative defense;

13                   3. A description outlining the factual and legal contentions upon which the  
14 parties agree or disagree;

15                   4. A description identifying the impediments to settlement, including  
16 financial, emotional or legal concerns;

17                   5. A summary of the settlement attempts to date;

18                   6. A statement of the specific relief sought; and

19                   7. A statement identifying any third party (i.e., lien holder, etc.) with a legal  
20 interest in this action.

21           C. Attendance

22           The attorneys who will try the case shall appear at the settlement conference accompanied  
23 by the named parties and all persons having authority to negotiate the settlement.<sup>1</sup> If appropriate,  
24 the principal representative shall have approval to settle the action on the terms consistent with  
25 the opposing party's most recent demand.

---

26           <sup>1</sup>Insurance carriers, business organizations, and governmental agencies whose settlement agreements are  
27 subject to approval by legislative bodies, executive committees, boards of directors, et cetera, shall be represented by  
28 a person or persons who occupy high executive positions in the party organization and who will be directly involved  
in the process of approval of any settlement offers or agreements.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

D. Mediation Format.

A mediation format will be employed during the settlement conference. The lawyers, the parties, and all representatives must be fully prepared and will be expected to participate. The Court encourages all participants to be flexible and to reassess their previous positions, as well as put to forth their best efforts toward reaching a mutually agreeable settlement.

E. Statements Inadmissible

The Court expects full and candid participation during the settlement conference. With this in mind, statements made by any party or attorney during the settlement conference are not to be used in discovery and will not be admissible at trial.

F. Sanctions

Failure to follow this procedure will result in removal of the settlement conference from the Court's calendar and may result in additional sanctions.

IT IS SO ORDERED.

Dated: January 3, 2014

/s/ Gary S. Austin  
UNITED STATES MAGISTRATE JUDGE