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**UNITED STATES DISTRICT COURT**  
EASTERN DISTRICT OF CALIFORNIA

DALE L. COTTRELL,

Plaintiff,

v.

FELIX IGBINOSA, et al.,

Defendants.

Case No. 1:13-cv-01530-LJO-SAB (PC)

FINDINGS AND RECOMMENDATIONS  
RECOMMENDING GRANTING IN PART  
AND DENYING IN PART DEFENDANTS'  
MOTION FOR SUMMARY JUDGMENT

(ECF Nos. 78, 85-92, 96)

OBJECTIONS DUE WITHIN THIRTY  
DAYS

Plaintiff Dale L. Cottrell is appearing pro se and in forma pauperis in this civil rights action pursuant to 42 U.S.C. § 1983. Currently before the Court is Defendants Berard, Das, Duenas, Igbinoso, Lackey, Ogbuehi, and Park’s motion for summary judgment, filed July 25, 2016.<sup>1</sup> (ECF No. 78.)

**I.**

**BACKGROUND**

On September 25, 2010, Plaintiff suffered from a heart attack while he was incarcerated at Pleasant Valley State Prison (“PVSP”). (Second Am. Compl. 12-13,<sup>2</sup> ECF No. 23.) Plaintiff

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<sup>1</sup> Defendants provided Rand notice of the requirements to oppose the motion to dismiss in the July 25, 2016 motion. See ECF No. 78-12.

<sup>2</sup> All references to pagination of specific documents pertain to those as indicated on the upper right corners via the CM/ECF electronic court docketing system.

1 filed this action on September 23, 2013, alleging deliberate indifference to his need for medical  
2 care in violation of the Eighth Amendment. (ECF No. 1.) On March 25, 2014, the undersigned  
3 screened Plaintiff's complaint and an order issued dismissing the complaint for failure to state a  
4 claim. (ECF No. 10.) On June 30, 2014, Plaintiff filed a first amended complaint. (ECF No.  
5 17.)

6 Plaintiff's first amended complaint was screened on August 1, 2014, and found to state a  
7 claim for deliberate indifference to his serious medical needs against Defendants Lackey, Berard,  
8 Ogbuehi, Das, Igbinsosa, Park, and Duenas. (ECF No. 20.) Plaintiff was ordered to either file an  
9 amended complaint or notify the Court that he was willing to proceed on the claims found to be  
10 cognizable. (Id. at 7.) On October 10, 2014, Plaintiff filed a second amended complaint. (ECF  
11 No. 23.)

12 On October 23, 2014, Plaintiff's second amended complaint was screened and was found  
13 to state a cognizable claim against Defendants Berard, Das, Duenas, Igbinsosa, Lackey, Ogbuehi,  
14 Park and Does 1 through 3, for deliberate indifference to a serious medical need in violation of  
15 the Eighth Amendment. (ECF No. 24.) Plaintiff submitted service documents on January 5,  
16 2015, and Defendants Berard, Das, Duenas, Igbinsosa, and Ogbuehi filed an answer on April 15,  
17 2015. (ECF No. 35.) A discovery and scheduling order was filed on April 16, 2016. (ECF No.  
18 36.)

19 On July 13, 2015, Defendant Park filed an answer to the second amended complaint; and  
20 an order was filed on July 14, 2015, extending the April 16, 2015 discovery and scheduling order  
21 to Defendant Park. (ECF Nos. 44, 45.) On August 25, 2015, Defendant Lackey filed an answer  
22 to the second amended complaint and on August 27, 2015, an order was filed extending the April  
23 16, 2015 discovery and scheduling order to Defendant Lackey. (ECF Nos. 47, 48.)

24 On September 10, 2015, Defendants Berard, Das, Duenas, Igbinsosa, Lackey, Ogbuehi,  
25 and Park filed a motion for summary judgment. (ECF No. 50.) After receiving an extension of  
26 time, Plaintiff filed an opposition to the motion on February 22, 2016. (ECF Nos. 64-66.) After  
27 receiving an extension of time, Defendants filed a reply on March 18, 2016. (ECF No. 75.) On  
28 March 28, 2016, Plaintiff filed a surreply. (ECF No. 76.) On July 18, 2016, an order issued

1 dismissing the motion for summary judgment without prejudice for failure to provide Plaintiff  
2 with Rand notice. (ECF No. 77.)

3 On July 25, 2016, Defendants refiled the motion for summary judgment and provided  
4 Rand notice to Plaintiff. (ECF No. 78.) Plaintiff filed an opposition to Defendants' motion for  
5 summary judgment on December 21, 2016. (ECF Nos. 85-92.) Defendants filed a reply on  
6 January 10, 2017. (ECF No. 96.)

## 7 II.

### 8 SUMMARY JUDGMENT LEGAL STANDARD

9 Any party may move for summary judgment, and the Court shall grant summary  
10 judgment if the movant shows that there is no genuine dispute as to any material fact and the  
11 movant is entitled to judgment as a matter of law. Fed. R. Civ. P. 56(a) (quotation marks  
12 omitted); Washington Mutual Inc. v. U.S., 636 F.3d 1207, 1216 (9th Cir. 2011). Each party's  
13 position, whether it be that a fact is disputed or undisputed, must be supported by (1) citing to  
14 particular parts of materials in the record, including but not limited to depositions, documents,  
15 declarations, or discovery; or (2) showing that the materials cited do not establish the presence or  
16 absence of a genuine dispute or that the opposing party cannot produce admissible evidence to  
17 support the fact. Fed. R. Civ. P. 56(c)(1) (quotation marks omitted). The Court may consider  
18 other materials in the record not cited to by the parties, but it is not required to do so. Fed. R.  
19 Civ. P. 56(c)(3); Carmen v. San Francisco Unified School Dist., 237 F.3d 1026, 1031 (9th Cir.  
20 2001); accord Simmons v. Navajo County, Ariz., 609 F.3d 1011, 1017 (9th Cir. 2010).

21 Plaintiff bears the burden of proof at trial, and to prevail on summary judgment, he must  
22 affirmatively demonstrate that no reasonable trier of fact could find other than for him.  
23 Soremekun v. Thrifty Payless, Inc., 509 F.3d 978, 984 (9th Cir. 2007). Defendants do not bear  
24 the burden of proof at trial and in moving for summary judgment they need only prove an  
25 absence of evidence to support Plaintiff's case. In re Oracle Corp. Sec. Litig., 627 F.3d 376, 387  
26 (9th Cir. 2010). If Defendants meet their initial burden, the burden then shifts to Plaintiff "to  
27 designate specific facts demonstrating the existence of genuine issues for trial." In re Oracle  
28 Corp., 627 F.3d at 387 (citing Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986)). This requires



1 Physician's Assistant, Berard was a Registered Nurse, and Lackey was a Licensed Vocational  
2 Nurse. (Igbinsosa Decl. ¶ 3; Duenas Decl. ¶ 3; Park Decl. ¶ 2; Ogbuehi Decl. ¶ 1; Das Decl. ¶ 5;  
3 Berard Decl. ¶ 3; Lackey Decl. ¶ 3.)

4 3. From early 2007 to the end of 2008, Plaintiff sought and received medical  
5 attention on numerous occasions for asthma, allergies, a hernia, eczema and psoriasis, chronic  
6 lower-back pain, right-shoulder pain, constipation, and hyperlipidemia.<sup>4</sup> (Ex. A, M-1563, 1567-  
7 70, 1572, 1586-88.)

8 4. On March 1, 2007, Plaintiff was seen for a consultation with Arturo Palencia,  
9 M.D., concerning his complaint of low back pain. (Ex. A, M-1792-93.)

10 5. Dr. Palencia's impression was mechanical low back pain, left sacroillitis, cervical  
11 degenerative disc disease, and "rule out cervical facet joint arthropathy." (Ex. A, M-1793.)

12 6. On March 8, 2007, Plaintiff was given a blood test panel that was normal except  
13 for a slightly elevated mean cell volume (MCV) and a slightly low reading for red blood cell  
14 distribution (RDW).<sup>5</sup> (Ex. A, M-1742-43.)

15 7. On October 18, 2007, after seeing Plaintiff for his psoriasis, Dr. Ehrman, ordered  
16 comprehensive blood tests for Plaintiff. (Ex. A, M-1526, 1568.)

17 8. The blood test ordered by Dr. Ehrman showed a borderline-high level of  
18 cholesterol and a high level of LDL, although his cardiac risk was within the normal reference  
19 range.<sup>6</sup> (Ex. A, M-1739-40.)

20 9. On November 15, 2007, based on Plaintiff's higher-than-normal levels of  
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22 <sup>4</sup> Plaintiff disputes the statement that he sought treatment for constipation stating the medical record shows  
23 otherwise. While Plaintiff argues that his medical record shows no history of constipation, the record demonstrates  
24 that Plaintiff had a history and diagnosis of constipation. (Exhibit A at M-1570).

25 <sup>5</sup> Plaintiff disputes that the test results were normal arguing that the elevated MCV and low RDW show abnormal  
26 cardiac risk. As discussed infra at IV.A.2, expert testimony in Federal Court is governed by Federal Rule of  
27 Evidence 702. In order to testify to a medical opinion the witness must demonstrate that he is qualified as an expert  
28 by knowledge, skill, experience, training, or education. Fed. R. Evid. 702. Plaintiff has not demonstrated that he  
qualifies as a medical expert and cannot opine to a medical opinion. While Plaintiff identifies lab tests showing he  
had abnormal results Plaintiff is not qualified to interpret the lab results. Review of the lab results in question is  
consistent with the fact as stated.

<sup>6</sup> Plaintiff argues that the lab results indicate a high cardiac risk, however, review of the record demonstrates that it  
reports results but does not make any conclusions as to Plaintiff's cardiac risk.

1 cholesterol and LDL, Dr. Ehrman prescribed simvastatin and 81 mg aspirin for Plaintiff. (Ex. A,  
2 M-1533.)

3 10. On November 29, 2007, Plaintiff had an EKG that was normal. (Ex. A, M-1748.)

4 11. On March 13, 2008, when seen for his psoriasis and a hyperactive bladder,  
5 Plaintiff denied having chest pain and there was no complaint of shortness of breath. (Ex. A, M-  
6 1566.)

7 12. On November 14, 2008, another blood test showed that cholesterol/LPL levels,  
8 although lower than they were in October 2007, were still borderline high.<sup>7</sup> (Ex. A, M-1734-35.)

9 13. In 2009, Plaintiff was prescribed 81 mg aspirin as a prophylaxis against a heart  
10 attack and simvastatin 20 mg daily to lower cholesterol and reduce the risk of a heart attack.  
11 (Ex. A, M-268, 272, 277, 281.)

12 14. On April 27, 2009, Plaintiff saw Defendant Das for follow up on Plaintiff's  
13 asthma, glaucoma, and allergy, and Plaintiff expressed no complaint of chest pain, shortness of  
14 breath, or vomiting.<sup>8</sup> (Das Decl. ¶¶ 7, 21-22; Ex. A, M-595.)

15 15. During Defendant Das's exam of Plaintiff on April 27, 2009, Defendant Das  
16 found that Plaintiff's vital signs were normal and his oxygen saturation level (at 100 percent)  
17 was excellent; Plaintiff was alert and oriented and in no acute distress, and Defendant Das  
18 detected no abnormalities concerning his head, eyes, ears, nose, and throat, no pallor, and no  
19 abnormal heart sounds or wheezing. (Das Decl. ¶ 8; Ex. A, M-595.)

20 16. Defendant Das's diagnosis of Plaintiff on April 27, 2009, was that Plaintiff had a  
21 mildly persisting asthma and rhinosinusitis (or inflammation of the sinuses), for which  
22 Defendant Das refilled Plaintiff's prescription for Benadryl (an allergy medicine). (Das Decl. ¶  
23 9; Ex. A, M-595.)

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24 <sup>7</sup> While Plaintiff is correct that the record reflects several abnormal results it does not conclude that he was at a high  
25 cardiac risk.

26 <sup>8</sup> Plaintiff states that this record reflects he was "here w/o asthma", however, Plaintiff misreads the notes within the  
27 record. The record reflects "h/o asthma" and during the examination the notes reflect no chest pain or "SOB". (HR-  
28 042709)-1061. Further, Plaintiff disputes all undisputed facts on the ground that they do not reflect his previous  
abnormal heart sounds. Plaintiff references a January 26, 2009 note in which it states "S1S2 rapid then slow." HR-  
(012609)-1133. However, Plaintiff is not a medical expert and it would require medical testimony to determine the  
meaning of this note. Additionally, Defendant Das's facts accurately reflect the findings on the date stated.

1           17. Defendant Das saw Plaintiff again on May 26, 2009, for a sore throat and sinus  
2 congestion, and Defendant Das prescribed some nasal spray and medications; Defendant Das  
3 noted no complaint of chest pain, and Plaintiff expressed no complaint of shortness of breath,  
4 nausea, or vomiting, and indicated that his appetite was good. (Das Decl. ¶¶ 10, 21-22; Ex. A,  
5 M-265, 593.)

6           18. During Defendant Das's exam of Plaintiff on May 26, 2009, Defendant Das found  
7 that Plaintiff's vital signs were normal, and his oxygen saturation level (at 97.1 percent) was  
8 excellent, he was alert and oriented and in no acute distress; and, although Plaintiff was mildly  
9 congested, Defendant Das detected no abnormalities concerning his head, eyes, ears, nose, and  
10 throat, and no abnormal heart sounds or wheezing. (Das Decl. ¶ 11; Ex. A, M-593.)

11           19. Defendant Das's diagnosis of Plaintiff on May 26, 2009, was that Plaintiff had a  
12 mild case of sinusitis, a persistent but mild asthma with no recent flare up, and a radicular  
13 cervical and lower back pain. Defendant Das prescribed amoxicillin for Plaintiff's sinusitis and  
14 Neurontin for his lower-back pain. (Das Decl. ¶ 12; Ex. A, M-593.)

15           20. Following Defendant Das's examinations of Plaintiff on April 27 and May 26,  
16 2009, Defendant Das did not refer Plaintiff to a cardiologist, or prescribe him any medications to  
17 reduce cholesterol or the risk of a heart attack, because nothing Plaintiff told Defendant Das, or  
18 that Defendant Das observed in Plaintiff, indicated or suggested that Plaintiff needed to see a  
19 cardiologist or take medications to reduce the risk of a heart attack. (Das Decl. ¶ 18.)

20           21. On September 28, 2009, when Plaintiff was seen for a chronic care follow-up  
21 concerning his asthma and psoriasis, he had no complaint of chest pain and his exam was  
22 normal. (Ex. A, M-587.)

23           22. Plaintiff was seen next on November 17, 2009, for his psoriasis, glaucoma, and  
24 cervical neuropathy by a Physician Assistant, who noted Plaintiff's family history of heart  
25 attacks and hypertension.<sup>9</sup> (Barnett Decl. ¶¶ 15, 22; Ex. A, M-583.)

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26 <sup>9</sup> Plaintiff contends that he informed Physician's Assistant Wilson that he wanted statin therapy and aspirin  
27 prescribed. (ECF No. 87 at 10.) Plaintiff states that he did not decline statin therapy. (*Id.* at 10.) Plaintiff contends  
28 that the record reflects that labs were reviewed because he requested a refill of the statin and aspirin while arguing  
that he had a history of abnormal blood tests, family history of heart problems, and he had previously been  
diagnosed with cholesterol high enough to warrant treatment. (*Id.* at 11.) However, Physician's Assistant Wilson is

1 23. Blood tests on April 17, 2010, and October 19, 2010, did not indicate a need for  
2 cholesterol-lowering drugs. Nor did blood tests and physical examinations regularly given  
3 afterwards support the continued use of aspirin or statin. (Barnett Decl. ¶ 23; Ex. A, M-554, 559,  
4 560, 563, 1106, 1108, 1165.)

5 24. At various times in 2010 and 2011, Defendant Ogbuehi treated Plaintiff  
6 concerning many health issues, including asthma, allergies, psoriasis/glaucoma, chronic neck and  
7 lower back pain, hyperlipidemia, and chest pain. (Ogbuehi Decl. ¶ 3; Ex. A, M-466-67, 496-97,  
8 504, 520, 527, 563, 571-72.)

9 25. On March 17, 2010, Defendant Ogbuehi saw Plaintiff when he came to the  
10 medical line after experiencing sudden chest pain and dizziness that, he stated, had resolved in  
11 less than thirty minutes and he was no longer feeling lightheaded. Plaintiff's main focus  
12 concerned a genital issue.<sup>10</sup> (Ogbuehi Decl. ¶ 4; Ex. A, M-570-71.)

13 26. Within a half hour, Plaintiff was given an EKG that was normal.<sup>11</sup> (Ex. A, M-  
14 1165.)

15 27. During Defendant Ogbuehi's exam of Plaintiff on March 17, 2010, Plaintiff had  
16 no erythema, no murmur, no abdominal mass or tenderness, no chest wall tenderness, his neck  
17 range of motion was limited (as usual) but without tenderness. (Ogbuehi Decl. ¶¶ 5, 7-8; Ex. A,  
18 M-570-71.)

19 28. Based on Defendant Ogbuehi's examination of Plaintiff and in consultation with  
20 Dr. Nyugen, Plaintiff's chest pain was diagnosed as non-cardiac related. Defendant Ogbuehi

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21 not a defendant in this action and therefore, even if Plaintiff did have such a discussion it does not provide notice to  
22 the named defendants.

23 <sup>10</sup> Plaintiff disputes that his chest pain resolved prior to the examination, however the fact does not indicate that  
24 chest pain resolved prior to the exam, but that chest pain resolved within thirty minutes and Plaintiff does not  
dispute the fact as stated.

25 <sup>11</sup> Plaintiff argues that information of clinical studies are available online that support good cause to appoint an  
26 independent medical expert to assist the Court in understanding the evidence to determine the issues of fact.  
27 However, as Plaintiff was previously advised in the order denying his motion for appointment of an expert, Rule  
28 706(a) of the Federal Rules of Evidence does not authorize appointment of an expert on a party's behalf. Hannah v.  
United States, 523 F.3d 597, 600 (5th Cir. 2008); Conner v. Kirkegard, No. CV1500081HDLCJTJ, 2017 WL  
367957, at \*2 (D. Mont. Jan. 25, 2017); Watkins v. Baum, No. C11-5494 RBL/KLS, 2012 WL 5328734, at \*1  
(W.D. Wash. Oct. 29, 2012). Finally, discovery in this action has closed, the deadline to identify experts has passed,  
and Plaintiff has not demonstrated that good cause exists to amend the scheduling order. Fed. R. Civ. P. 16(b)(4).



1 advised Plaintiff to return to the clinic if his symptoms of chest pain or dizziness recurred, and  
2 scheduled him for a follow-up visit in accordance with Defendant Ogbuehi's custom and  
3 practice.<sup>12</sup> (Ogbuehi Decl. ¶ 6; Ex. A, M-571.)

4 29. After March 17, 2010, Plaintiff does not appear to have suffered any chest pain  
5 symptoms until September 25, 2010.<sup>13</sup> (Barnett Decl. ¶ 14.)

6 30. On March 26, 2010, Plaintiff saw Defendant Das for chronic-care follow up of his  
7 asthma, psoriasis/glaucoma, and back pain; on that occasion, Plaintiff expressed no complaint of  
8 chest pain or shortness of breath.<sup>14</sup> (Das Decl. ¶¶ 13, 21-22; Ex. A, M-568.)

9 31. During Das's exam of Cottrell on March 26, 2010, Das found that Cottrell's vital  
10 signs were normal and his oxygen saturation excellent, and Defendant Das found no  
11 abnormalities of Plaintiff's neck, lungs, heart abdomen, skin, or neuro system; in particular,  
12 Defendant Das heard no heart murmur.<sup>15</sup> (Das Decl. ¶ 14; Ex. A, M-568.)

13 32. Defendant Das's assessment of Plaintiff on March 26, 2010, was that Plaintiff's  
14 health problems—asthma, psoriasis/glaucoma, and chronic lower-back pain—were in fair  
15 control and stable; Defendant Das refilled Plaintiff's medications that had been prescribed for  
16 these conditions, and Defendant Das ordered a lipids test panel because his review of Plaintiff's  
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18 <sup>12</sup> Plaintiff argues that Defendant Ogbuehi was reckless in failing to comply with CDCR policy by not fully  
19 documenting a required CDCR form for chest pain, however, violations of policy in completing the form does not  
20 establish a dispute of the fact as stated.

21 <sup>13</sup> In dispute of this fact, Plaintiff cites several records. Plaintiff cites the 3/17/10 note which is the date Defendant  
22 Ogbuehi saw Plaintiff for chest pain (HR-(031710)-863); notes from 1/26/09 (HR-(111709)-948) and 11/17/09 (HR-  
23 (111709)-948) which are outside of the referenced period and do not contain any mention of chest pain; 5/19/10  
24 (HR-(051910)-667) and 7/21/10 (HR-(072110)-631) notes where Plaintiff was seen for neck and or lower back pain  
25 which show that cardio was within normal limits; a note from 8/21/10 (HR-(082110)-612-13) which does not  
26 indicate any shortness of breath; and a 3/26/10 ((HR-(032610)-842) note which indicates no chest pain. The final  
27 note referenced is the 9/25/10 visit where Plaintiff was having a heart attack. (HR-(092510)-559.) None of these  
28 records dispute the fact as stated.

<sup>14</sup> Plaintiff states that record for March 26, 2010 noted a discussion with him of labs "with new concerns" which was  
his chest pain. (ECF No. 87 at 19.) However, the record for this date states "no new concerns." (HR-(032610)-  
842.)

<sup>15</sup> Plaintiff disputes this fact declaring that on this date Defendant Das told Plaintiff that he had developed a heart  
murmur. (ECF No. 87 at 19.) On its face, a statement that Plaintiff had developed a heart murmur does not create a  
dispute of fact that no heart murmur was heard on March 26, 2010. Plaintiff spends much time arguing that he had  
developed a heart murmur, however, Plaintiff has submitted no competent medical evidence to establish what a  
heart murmur is or that a heart murmur creates a risk of heart attack.

1 recent lab tests showed that his cholesterol/LDL level was high. (Das Decl. ¶ 15; Ex. A, M-237,  
2 568.)

3 33. A lipid panel is a panel of blood tests that serves as an initial broad medical  
4 screening tool for abnormalities in lipids, such as cholesterol and triglycerides. The results of  
5 this test can identify certain genetic diseases and can determine approximate risks for  
6 cardiovascular disease and other diseases. (Barnett Decl. ¶ 17.)

7 34. In 2009, the conventional approach was to prescribe statins and a low-dose aspirin  
8 to patients with some increased risk of heart disease established by the combination of increased  
9 age, family history, certain medical conditions (diabetes, high blood pressure) and “bad”  
10 cholesterol level (LDL) over 160; having a low level of HDL—the “good” cholesterol—was  
11 further reason for treatment. (Barnett Decl. ¶ 18.)

12 35. Following Defendant Das’s examination of Plaintiff on March 26, 2010,  
13 Defendant Das did not refer Plaintiff to a cardiologist or prescribe any medications to reduce the  
14 risk of heart attack, because Plaintiff did not complain of any chest pain or shortness of breath, or  
15 any other problem associated with a heart condition; and although Defendant Das noted that  
16 Cottrell’s cholesterol/LDL level was high, Defendant Das wanted to see the result of further lab  
17 tests before prescribing any medications to reduce the level. (Das Decl. ¶ 19.)

18 36. The lipid test was performed on April 19, 2010, and was normal for cholesterol  
19 and within target ranges for LDL, and indicated that the risk for heart attack was low/normal.  
20 (Barnett Decl. ¶ 19; Ex. A, M-1108.)

21 37. On May 12, 2010, Plaintiff sought medical attention to discuss an increase to his  
22 baclofen (a muscle relaxant) and Neurontin (an analgesic). (Ex. A, M-564.)

23 38. On May 19, 2010, Defendant Ogbuehi saw Plaintiff concerning his request for a  
24 refill of his baclofen. (Ogbuehi Decl. ¶ 9; Ex. A, M-563-64.)

25 39. When Defendant Ogbuehi saw Plaintiff on May 19, 2010, Plaintiff stated that  
26 only baclofen helped his chronic neck spasm and pain and that Neurontin (generically,  
27 gabapentin) helped control the occasional numbness in his arms; he had no shortness of breath or  
28

1 asthma attack.<sup>16</sup> (Ogbuehi Decl. ¶¶ 10, 12; Ex. A, M-553.)

2 40. During Defendant Ogbuehi’s exam of Plaintiff on May 19, 2010, Defendant  
3 Ogbuehi noted tenderness and limited range of motion in Plaintiff’s cervical spine, for which  
4 Defendant Ogbuehi continued Plaintiff’s prescribed Neurontin, but not the baclofen because it  
5 was nonformulary and had been discontinued; and Defendant Ogbuehi continued his current  
6 medications—Florent and albuterol—for his asthma. (Ogbuehi Decl. ¶ 11.)

7 41. Following Defendant Ogbuehi’s exam of Plaintiff on May 19, 2010, Defendant  
8 Ogbuehi did not refer Cottrell to a cardiologist, or prescribe any medications to reduce the risk of  
9 heart attack, because Plaintiff did not complain of any shortness of breath, or any other problem  
10 associated with a heart condition. (Ogbuehi Decl. ¶ 13.)

11 42. On July 17, 2010, Plaintiff sought medical attention for pain in his neck and back  
12 that he reported was from “disk and nerve damage.” (Ex. A, M-560.)

13 43. Four days later, Defendant Park saw Plaintiff for his neck and back pain. (Park  
14 Decl. ¶ 3; Ex. A, M-559.)

15 44. During Defendant Park’s exam of Plaintiff on April 21, 2010, Defendant Park  
16 reviewed the lipid test results from April 21, 2010, reassured Plaintiff that the lipid results were  
17 normal, continued prescribing gabapentin for low back pain, and scheduled Plaintiff’s next visit  
18 in thirty days.<sup>17</sup> (Park Decl. ¶ 4; Ex. A, M-559.)

19 45. When Defendant Park examined Plaintiff on July 21, 2010, Plaintiff expressed no  
20 complaint of chest pain or shortness of breath, and Defendant Park found that Plaintiff was  
21 within normal limits in all respects except for the neck and shoulder pain that brought Plaintiff to  
22 her. (Park Decl. ¶¶ 5, 7-8.)

23 46. Defendant Park did not refuse to refer Plaintiff to a cardiologist or prescribe  
24 anticoagulant medications; Plaintiff did not request a cardiology consultation or anticoagulant  
25 medications, and nothing Defendant Park saw and noted from her exam of Plaintiff warranted his  
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27 <sup>16</sup> Plaintiff states that he complained of ongoing chest pain. (ECF No. 87 at 25.)

28 <sup>17</sup> The Court notes that as stated in previous fact, Defendant Park saw Plaintiff on July 21, 2010.

1 referral to a cardiologist or a prescription for anticoagulant medications.<sup>18</sup> (Park Decl. ¶ 6.)

2 47. On August 21, 2010, Defendant Duenas saw Plaintiff to follow up on his asthma,  
3 psoriasis, and elevated lipids. (Duenas Decl. ¶ 5; Ex. A, M-554.)

4 48. When Defendant Duenas examined Plaintiff on August 21, 2010, Plaintiff had no  
5 complaint of chest pains or shortness of breath, and his exam was normal. (Duenas Decl. ¶¶ 6,  
6 11-12; Ex. A, M-554.)

7 49. During Defendant Duenas's exam of Plaintiff, she reviewed Plaintiff's lab report  
8 from April 17, 2010, showing that his cholesterol level was good, and his LDL level at 121 was  
9 near/above optimal. (Duenas Decl. ¶ 7; Ex. A, M-1108.)

10 50. Defendant Duenas found that Plaintiff had good control of his asthma, glaucoma,  
11 and lipids, refilled all of Plaintiff's medications, and issued him a chrono for sunglasses.  
12 (Duenas Decl. ¶ 8; Ex. A, M- 219-21, 554.)

13 51. Plaintiff wanted tramadol for his pain, which Defendant Duenas declined to  
14 prescribe in favor of Tylenol because of tramadol's addictive qualities, and tramadol was not  
15 indicated for Plaintiff's chronic back pain. (Duenas Decl. ¶ 9.)

16 52. Defendant Duenas did not refuse to either refer Plaintiff to a cardiologist or  
17 prescribe anticoagulant medications. Given that Plaintiff had no chest pain or shortness of  
18 breath, his referral to a cardiologist, or a prescription for anticoagulant medications, were not  
19 warranted. (Duenas Decl. ¶ 10.)

20 53. On September 9, 2010, Plaintiff sought to renew his baclofen prescription and  
21 sought medical attention for his psoriasis and back problems. (Ex. A, M-552.)

22 54. In response, Plaintiff's medical prescription was refilled and he was referred to a  
23 medical doctor. (Ex. A, M-550-51.)

24 55. On September 25, 2010, at approximately 8:30 a.m., Defendant Lackey was  
25 dispensing medications to inmates on the second floor of Building 4, Facility B, at PVSP.

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26 <sup>18</sup> Plaintiff argues that Defendant Park saw Plaintiff three times in contradiction to her declaration. (ECF No. 87 at  
27 30.) The record demonstrates that Defendant Park signed off on a medication reconciliation and a note that states  
28 "MD line 30 days." (HR-060210)-658-59. However there are no notes to indicate that Defendant Park saw Plaintiff  
on this date. There is one other note for December 22, 2010, however, since it was after Plaintiff had his heart  
attack it is irrelevant in this action. (HR-(122210)-307).

1 (Lackey Decl. ¶ 5.)

2 56. While Defendant Lackey was dispensing medications, an inmate working in the  
3 building as a porter told him that an inmate was having chest pain, and he pointed at Plaintiff's  
4 cell door to indicate the inmate having the chest pain. (Lackey Decl. ¶ 12.)

5 57. Defendant Lackey went to Plaintiff's cell to inquire about his condition, and  
6 Plaintiff told Defendant Lackey that he thought he was having a heart attack because his chest  
7 hurt and his father died of a heart attack at age forty-five. (Lackey Decl. ¶¶ 13-15.)

8 58. Defendant Lackey told Defendant Berard of Plaintiff's latest complaint of chest  
9 pain and that his father died at forty-five of a heart attack. (Lackey Decl. ¶ 18.)

10 59. An officer hit his alarm to call for an emergency response. (Lackey Decl. ¶ 19.)

11 60. Officers promptly arrived, removed Plaintiff from his cell, placed him on the  
12 dayroom floor, and another LVN arrived and began taking his vital signs. (Lackey Decl. ¶ 20.)

13 61. The other LVN asked Defendant Lackey if he had completed dispensing  
14 medications, and when Defendant Lackey replied that he had not, stated that she would take care  
15 of Plaintiff and that Defendant Lackey should finish distributing medications. (Lackey Decl. ¶  
16 21.)

17 62. Defendant Berard's first of two encounters with Plaintiff on September 25, 2010,  
18 was at approximately 9:00 a.m., in the dayroom of his assigned housing unit. (Berard Decl. ¶¶  
19 13-14; Ex. A, M-545-47.)

20 63. Defendant Berard, based on her observations, and after conferring with R. Das,  
21 the Physician Assistant on call that day, suspected that Plaintiff was suffering from  
22 gastroesophageal reflux disease (GERD); and Defendant Das ordered a medication, Mylanta, to  
23 treat that condition with the instruction to call the Treatment and Triage Area (TTA) if he did not  
24 improve. (Berard Decl. ¶ 16; Lackey Decl. ¶ 22; Ex. A, M-218, 547-48.)

25 64. Pain from a gastrointestinal condition can radiate to the chest, and a GI cocktail is  
26 an effective diagnostic tool used to rule out a gastrointestinal problem, if it does not successfully  
27 resolve the pain. (Lackey Decl. ¶ 23.)

28 65. Defendant Berard saw Plaintiff again at approximately 9:55 a.m., when Plaintiff

1 appeared at the TTA complaining of no relief from his chest pain. (Berard Decl. ¶ 17; Ex. A, M-  
2 545-46.)

3 66. Plaintiff had an EKG at 9:55 a.m. which was irregular. (Ex. A, M-545.)

4 67. Defendant Berard, again after conferring with Defendant Das, gave Plaintiff  
5 oxygen, aspirin, and nitroglycerin, and some blankets because he complained of feeling cold.  
6 (Berard Decl. ¶ 18, Ex. A, M-217, 544-46.)

7 68. At approximately 10:30 a.m., on September 25, 2010, Plaintiff was transferred to  
8 a hospital for further treatment. (Berard Decl. ¶ 19; Ex. A, M-217.)

9 69. On September 25, 2010, Plaintiff was transferred from PVSP's acute care hospital to  
10 the Community Regional Medical Center in Fresno, California, where he remained until  
11 September 29, 2010. (Ex. A, M-1467-77.)

12 70. Examinations of Plaintiff at the Community Regional Medical Center disclosed  
13 no heart murmurs or rubs but did disclose a near total occlusion of the left circumflex artery for  
14 which a drug-eluting stent was placed. (Ex. A, M-1470, 1474, 1476.)

15 71. On October 1, 2010, Defendant Das saw Plaintiff for a complaint of irritation in  
16 his right arm. Plaintiff told Defendant Das that he had a heart condition that required stenting on  
17 September 25, 2010. The right arm irritation he complained of was near the site of the coronary  
18 heart disease, near where an intravenous (IV) needle had been placed while he was in the  
19 hospital for the stenting. (Das Decl. ¶ 16; Ex. A, M-540.)

20 72. During Defendant Das's exam of Plaintiff on October 1, 2010, Plaintiff did not  
21 complain of chest or jaw pain, or shortness of breath; Defendant Das diagnosed his arm irritation  
22 as possibly due to phlebitis—or inflammation of a vein usually caused from the insertion of an  
23 intravenous catheter; and Defendant Das prescribed no medications for the condition prescribed,  
24 preferring instead to see if the problem would resolve on its own.<sup>19</sup> (Das Decl. ¶¶ 17, 21-22; Ex.  
25 A, M-540.)

26 73. Following Defendant Das's examination of Plaintiff on October 1, 2010,  
27

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28 <sup>19</sup> Plaintiff contends that the pain was in his left arm and he had told Defendant Das that it was possibly caused by his handcuffs being overly tight on his return from the hospital after his stent placement. (ECF No. 87 at 52.)

1 Defendant Das did not refer Plaintiff to a cardiologist or prescribe any medications to reduce the  
2 risk of heart attack, because Plaintiff did not complain of any chest pain or shortness of breath, or  
3 any other problem associated with a heart condition; and given that he had just been to the  
4 hospital for placement of a stent, Defendant Das assumed that he was already being treated for  
5 his heart problem. (Das Decl. ¶¶ 20-22.)

6 74. During Defendant Igbiosa's tenure as Chief Medical Officer at PVSP from  
7 February 2006 to November 2013, he did not examine or treat Plaintiff. (Igbiosa Decl. ¶¶ 3, 6.)

8 75. The appearance of Defendant Igbiosa's name on a lab report of a blood test and  
9 urinalysis that was routinely given to clear Plaintiff for hernia surgery does not indicate that  
10 Defendant Igbiosa ordered the tests or that he was Plaintiff's treating physician at the time.  
11 (Igbiosa Decl. ¶¶ 7-9.)

12 76. Plaintiff was transferred again to the Community Medical Center on October 9,  
13 2010, where he remained until October 10, 2010, for additional tests, including a treadmill test.  
14 (Ex. A, M-1463-66.)

15 77. Plaintiff's treadmill test produced "good" results. (Ex. A, M-1464.)

16 78. On October 10, 2010, Dr. Rasmussen, Plaintiff's physician at Community  
17 Medical Center, prescribed aspirin, statin, and nitroglycerin. (Ex. A, M-1465.)

18 79. Lab tests taken on October 19, 2010, showed low levels of cholesterol and LDL.  
19 (Ex. A, M-1106-07.)

20 80. Lab tests taken at the Community Regional Medical Center on October 20, 2010,  
21 showed that Plaintiff's cholesterol and LDL levels were within normal ranges, and his  
22 cholesterol/HDL ration was slightly below the low end of the range. (Ex. A, M-1484.)

23 81. A cardiac stress test given to Plaintiff on June 16, 2011, was negative for angina  
24 and ischemia, and his exercise tolerance was described by his examining physician as  
25 "excellent." (Ex. A, M-1427-28.)

26 82. A chest x-ray on June 16, 2011, showed that Plaintiff's heart was of normal limits  
27 of size. (Ex. A, M-1426.)

28 83. On June 22, 2011, Defendant Duenas and the Clinical Case Management Review

1 Committee evaluated Plaintiff for pain management evaluation. (Ex. A, M-1300-02.)

2 84. Defendant Duenas and the committee addressed Plaintiff's complaint of pain in  
3 his neck, midback, and lower back, and Plaintiff's request for tramadol to relieve the pain. (Ex.  
4 A, M-1300.)

5 85. Defendant Duenas and the committee concluded that Plaintiff's pain could be  
6 managed with his current regimen of medications, which did not include tramadol. (Ex. A, M-  
7 1302.)

8 86. Defendant Duenas and the committee also noted that Plaintiff "had an excellent  
9 stress test [on June 16, 2011] and he tolerated it well;" and that Plaintiff "had no evidence of  
10 active ischemia or reversible ischemia on that test." (Ex. A, M-1300.)

11 87. On February 18, 2015, Brian Strunk, M.D., assessed Plaintiff for clearance before  
12 neck surgery. (Ex. A, M-1333-35.)

13 88. Plaintiff had no heart symptoms and no significant changes in his EKG other than  
14 the old inferior myocardial infarction (MI) from September 2010, his heart rate was normal, his  
15 heart sounds were normal, and he had no murmurs. (Ex. A, M-1333-34.)

16 89. Defendant Berard was found to have violated CDCR policy in her initial  
17 encounter with Plaintiff on September 25, 2010, by not fully documenting her encounter with  
18 Plaintiff, and not bringing him to the TTA for monitoring after he was given the GERD  
19 medication. (Berard Decl. ¶ 20.)

20 90. Plaintiff's heart attack on September 25, 2010, was caused by the occlusion of a  
21 major blood vessel in his heart that was neither predictable nor preventable. Nothing in  
22 Plaintiff's medical record suggests otherwise. (Barnett Decl. ¶ 27.)

23 91. In 2015, physicians regularly determine the likelihood of a heart attack and the  
24 level of treatment to prescribe for a patient according to coronary risk analysis based on the  
25 projected number of patients with similar profiles suffering a heart attack within the next ten  
26 years. For a calculated risk below 6-10% there is no established need to prescribe daily aspirin  
27 because the rate of complications for such therapy has been found to exceed the benefits. Using  
28 the ten-year Risk Calculator from the National Institutes of Health, Plaintiff's coronary risk on



1 and around March 2010 was 3%. (Barnett Decl. ¶ 26.)

2 92. Before September 25, 2010, Plaintiff was not overtly at a high risk for a heart  
3 attack and there was no reason to believe he suffered with longstanding heart disease. He did not  
4 have high blood pressure, his LDL was within normal range, he was not obese, he was provided  
5 in prison with a heart-healthy diet (in compliance with American Heart Association Guidelines),  
6 he did not drink or smoke in prison, and he was physically active. All of these factors reduced  
7 his risk of having a heart attack to relatively low levels, and thus provided no indication of a  
8 need for prophylactic treatment with drugs. (Barnett Decl. ¶ 28.)

9 93. There are many causes for chest pain besides heart disease. To immediately send  
10 anyone to the hospital because of chest pain complaint without some evaluation falls below  
11 applicable standards of care. Indiscriminate admissions to hospital for all kinds of chest pain  
12 would increase the risk of deaths among patients unable to gain prompt access to care in  
13 hospitals overcrowded by patients with chest pain highly unlikely to be caused by heart disease.  
14 (Barnett Decl. ¶ 29.)

15 94. Even if heart attack is suspected, a distinction is properly made between  
16 myocardial infarction (MI) with an EKG showing ST elevation, and the MI with an EKG  
17 showing no ST elevation (or non-STEMI) as the treatment for these conditions differ. In most  
18 cases of non-STEMI drug treatments are used without more aggressive or immediate  
19 interventions. (Barnett Decl. ¶ 30.)

20 95. Plaintiff was stable while under care in the prison when he complained of chest  
21 pain on September 25, 2010. He was treated according to protocols for acute coronary  
22 syndrome. Under American Heart Association Guidelines in effect at that time, Plaintiff was  
23 timely sent to the acute hospital in stable condition for further care where he was diagnosed as  
24 having sustained a non-STEMI. (Barnett Decl. ¶ 31.)

25 96. On January 9, 2014, Plaintiff was given an exercise stress test that was  
26 “nondiagnostic”—or “submaximal” but not necessarily abnormal. (Barnett Decl. ¶ 32; Ex. A,  
27 M-1117, 1121.)

28 97. Defendants did not deny Plaintiff necessary or reasonable treatments that could

1 have prevented the heart attack he suffered on September 25, 2010, and his claims of permanent  
2 irreversible significant injury are exaggerated. (Barnett Decl. ¶ 10.)

#### 3 IV.

#### 4 ANALYSIS

##### 5 A. Evidentiary Objections

6 Plaintiff argues that Dr. Barnett is not a qualified expert to provide testimony in this  
7 action because he is not a cardiologist. Defendants contend that Plaintiff is relying on  
8 inadmissible evidence in support of his opposition to the motion for summary judgment.

##### 9 1. Dr. Barnett is Qualified to Provide an Expert Opinion in this Action

10 Expert witnesses in federal litigation are governed by Rules 702 to 705 of the Federal  
11 Rules of Evidence. The district court has a gatekeeping obligation to ensure that all expert  
12 testimony is reliable and relevant. Kumho Tire Co. v. Carmichael, 526 U.S. 137, 147, (1999).  
13 Rule 702 provides:

- 14 A witness who is qualified as an expert by knowledge, skill, experience, training,  
15 or education may testify in the form of an opinion or otherwise if:  
16 (a) the expert's scientific, technical, or other specialized knowledge will help the  
17 trier of fact to understand the evidence or to determine a fact in issue;  
18 (b) the testimony is based upon sufficient facts or data;  
19 (c) the testimony is the product of reliable principles and methods; and  
20 (d) the witness has applied the principles and methods reliably to the facts of the  
21 case.

22 Fed. R. Evid. 702. An expert may testify regarding scientific, technical or other specialized  
23 knowledge if it will assist the trier of fact to understand the evidence or to determine a fact in  
24 issue. Daubert v. Merrell Dow Pharm., Inc., 509 U.S. 579, 589 (1993).

25 Defendants have proffered the declaration of Bruce P. Barnett, M.D. (ECF No. 78-2.)  
26 Dr. Burnett is the Chief Medical Officer of the California Prison Health Care Services. (Id. at ¶  
27 1.) Dr. Burnett graduated from Harvard Medical School with an M.D. degree in 1975 and  
28 completed his residency in Family Practice at the University of California. (Id. at ¶ 2.) He has  
been licensed to practice medicine in California since 1978, is board-certified in Family  
Medicine, and has more than thirty years of experience in the fields of Family Medicine, Urgent  
Care, and Emergency Services. (Id. at 3.) Based upon the declaration submitted, Dr. Burnett has

1 established that he has the requisite medical training and experience to qualify as an expert in the  
2 field of medicine. The Court finds that Dr. Barnett is qualified to proffer expert medical  
3 testimony in this action.

4 2. Plaintiff is not Qualified to Proffer a Medical Opinion

5 In his opposition, Plaintiff points to evidence in the record and gives his opinion of the  
6 evidence. However, as discussed above, in order to testify to a medical opinion the witness must  
7 demonstrate that he is qualified as an expert by knowledge, skill, experience, training, or  
8 education. Fed. R. Evid. 702. Plaintiff has not demonstrated that he qualifies as a medical  
9 expert and cannot provide a medical opinion. Therefore, the Court finds that Plaintiff's opinion  
10 regarding his interpretation of medical test results, medical records, and diagnoses are  
11 inadmissible in this action.

12 3. Medical Texts are Not Admissible Evidence

13 In opposing Defendants' motion for summary judgment, Plaintiff relies on medical texts.  
14 For example, Plaintiff submits references to the Harvard Medical School Family Health Guide  
15 (ECF No. 87 at 1, 15, 67; ECF No. 88 at 25), MERCK MANUAL of Medical Information (ECF  
16 No. 87 at 70; ECF No. 88 at 14, 23), and M.D. Emergency Medicine 3d. ed. (ECF No. 87 at 72).  
17 A statement contained in a treatise, periodical, or pamphlet is not hearsay if "the statement is  
18 called to the attention of an expert witness on cross-examination or relied on by the expert on  
19 direct examination; and the publication is established as a reliable authority by the expert's  
20 admission or testimony, by another expert's testimony, or by judicial notice." Fed. R. Evid.  
21 803(18).

22 However, "[m]edical articles may only be admitted as substantive evidence if the  
23 statement is established to be from a reliable medical authority and is relied upon by a medical  
24 expert witness" and "must also be authenticated before it can be admissible." Combs v.  
25 Washington State, No. C12-5280 RBL, 2014 WL 4293960, at \*2 (W.D. Wash. Aug. 29, 2014),  
26 aff'd sub nom. Combs v. Washington, 660 F. App'x 515 (9th Cir. 2016). Plaintiff has not  
27 established any exception to the hearsay rule for the admissibility of the periodicals, and the  
28 Court will not consider Plaintiff's references to medical texts as they are inadmissible hearsay.

1           **B. Defendants’ Motion for Summary Judgment**

2           Defendants Das, Ogbuehi, Park, Duenas, Lackey, Berard, and Igbinsosa move for  
3 summary judgment on the ground that they did not violate Plaintiff’s right to be free from  
4 deliberate indifference to his medical needs under the Eighth Amendment to the Constitution of  
5 the United States.

6           1.       Applicable Legal Standard

7           While the Eighth Amendment of the United States Constitution entitles Plaintiff to  
8 medical care, the Eighth Amendment is violated only when a prison official acts with deliberate  
9 indifference to an inmate’s serious medical needs. Snow v. McDaniel, 681 F.3d 978, 985 (9th  
10 Cir. 2012), overruled in part on other grounds, Peralta v. Dillard, 744 F.3d 1076, 1082-83 (9th  
11 Cir. 2014); Wilhelm v. Rotman, 680 F.3d 1113, 1122 (9th Cir. 2012); Jett v. Penner, 439 F.3d  
12 1091, 1096 (9th Cir. 2006). In order to prevail on his Eighth Amendment claim, Plaintiff must  
13 show “(1) a serious medical need by demonstrating that failure to treat [his] condition could  
14 result in further significant injury or the unnecessary and wanton infliction of pain,” and (2) that  
15 “the defendant’s response to the need was deliberately indifferent.” Wilhelm, 680 F.3d at 1122  
16 (citing Jett, 439 F.3d at 1096). Deliberate indifference is shown by “(a) a purposeful act or  
17 failure to respond to a prisoner’s pain or possible medical need, and (b) harm caused by the  
18 indifference.” Id. (citing Jett, 439 F.3d at 1096). The requisite state of mind is one of subjective  
19 recklessness, which entails more than ordinary lack of due care. Snow, 681 F.3d at 985 (citation  
20 and quotation marks omitted); Wilhelm, 680 F.3d at 1122.<sup>20</sup>

21           “A difference of opinion between a physician and the prisoner - or between medical  
22 professionals - concerning what medical care is appropriate does not amount to deliberate  
23 indifference.” Snow, 681 F.3d at 987 (citing Sanchez v. Vild, 891 F.2d 240, 242 (9th Cir.  
24 1989)); Wilhelm, 680 F.3d at 1122-23 (citing Jackson v. McIntosh, 90 F.3d 330, 332 (9th Cir.  
25 1986)). Rather, Plaintiff “must show that the course of treatment the doctors chose was  
26 medically unacceptable under the circumstances and that the defendants chose this course in

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27 <sup>20</sup> Plaintiff relies on cases addressing deliberate indifference in the context of a pretrial detainee’s claims under the  
28 Due Process Clause of the Fourteenth Amendment. However, Plaintiff is a prisoner and his claims arise under the  
Cruel and Unusual Punishment Clause of the Eighth Amendment.

1 conscious disregard of an excessive risk to [his] health.” Snow, 681 F.3d at 988 (citing Jackson,  
2 90 F.3d at 332) (internal quotation marks omitted).

3 2. Plaintiff’s Heart Disease is a Serious Medical Condition

4 Deliberate indifference includes “both an objective standard—that the deprivation was  
5 serious enough to constitute cruel and unusual punishment—and a subjective standard—  
6 deliberate indifference.” Colwell v. Bannister, 763 F.3d 1060, 1066 (9th Cir. 2014) (quoting  
7 Snow, 681 F.3d at 985). To meet the objective standard a plaintiff must prove the existence of a  
8 serious medical need. Colwell, 763 F.3d at 1066. In this instance, Plaintiff has demonstrated,  
9 and Defendants do not dispute, that he has a serious medical need that a reasonable doctor would  
10 find important or worthy of comment or treatment. Id.

11 3. Whether Defendants Were Deliberately Indifferent to Plaintiff’s Serious Medical  
12 Condition

13 Defendants seek summary judgment in this action arguing that none of them were aware  
14 of Plaintiff’s heart concerns prior to September 25, 2010, and budget concerns had no bearing on  
15 the treatment that Plaintiff received. Plaintiff responds that he did express cardiac concerns and  
16 showed a signs that cardiac treatment was needed. Plaintiff further argues that he was deprived  
17 of medication and referral to a cardiologist due to the cost of treatment because financial  
18 cutbacks were necessary.

19 At issue in this motion is the subjective component of deliberate indifference. A prison  
20 official is deliberately indifferent only if he knew of and disregarded an excessive risk to  
21 Plaintiff’s health. Colwell, 763 F.3d at 1066. This element focuses on the individual  
22 defendant’s mental attitude. Toguchi v. Chung, 391 F.3d 1051, 1057 (9th Cir. 2004). To prevail  
23 on his Eighth Amendment claim, Plaintiff does not have to prove that he was completely denied  
24 of medical care because deliberate indifference can be shown where “prison administrators or  
25 physicians denied, delayed, or intentionally interfered” treatment or by the way prison staff  
26 delivered medical care. Snow, 681 F.3d at 986.

27 Ordinary lack of care is insufficient; and “the official must both be aware of facts from  
28 which the inference could be drawn that a substantial risk of serious harm exists, and he must

1 also draw the inference.” Farmer v. Brennan, 511 U.S. 825, 835, 837 (1994). Further, mere  
2 indifference, negligence, medical malpractice, and even gross negligence are insufficient to  
3 establish deliberate indifference to a serious medical need. Lemire v. California Dep’t of Corr.  
4 & Rehab., 726 F.3d 1062, 1082 (9th Cir. 2013).

5 “A difference of opinion between a physician and the prisoner—or between medical  
6 professionals—concerning what medical care is appropriate does not amount to deliberate  
7 indifference.” Snow, 681 F.3d at 987. To prove deliberate indifference in such circumstances,  
8 Plaintiff “must show that the course of treatment the doctors chose was medically unacceptable  
9 under the circumstances” and that Defendants “chose this course in conscious disregard of an  
10 excessive risk to plaintiff’s health.” Colwell, 763 F.3d at 1068.

11 It is with these standards in mind that the Court evaluates whether there is a genuine issue  
12 of material fact as to whether the individual defendants were deliberately indifferent to Plaintiff’s  
13 serious medical condition.

14 **a. Defendant Das**

15 In 2007, Plaintiff had a blood test that showed a borderline-high level of cholesterol and a  
16 high level of LDL, although his cardiac risk was within the normal reference range. (Undisputed  
17 Fact (“U.F.”) 8.) On November 15, 2007, based on Cottrell’s higher-than-normal levels of  
18 cholesterol and LDL, Dr. Ehrman prescribed simvastatin and 81 mg aspirin for Plaintiff. (U.F.  
19 9.) On November 14, 2008, another blood test showed that cholesterol/LPL levels, although  
20 lower than they were in October 2007, were still borderline high. (U.F. 12.) In 2009, Plaintiff  
21 was prescribed 81 mg of aspirin as a prophylaxis against a heart attack and simvastatin 20 mg  
22 daily to lower cholesterol and reduce the risk of a heart attack. (U.F. 13.)

23 Defendant Das saw Plaintiff on April 27, 2009. (U.F. 14.) Upon examination, Defendant  
24 Das found that Plaintiff’s vital signs were normal and his oxygen saturation level (at 100  
25 percent) was excellent; Plaintiff was alert and oriented and in no acute distress, and Defendant  
26 Das detected no abnormalities concerning his head, eyes, ears, nose, and throat, no pallor, and no  
27 abnormal heart sounds or wheezing. (U.F. 15.) Defendant Das diagnosed Plaintiff with a mildly  
28 persisting asthma and rhinosinusitis (or inflammation of the sinuses), for which Defendant Das

1 refilled Plaintiff's prescription for Benadryl (an allergy medicine). (U.F. 16.)

2 Defendant Das saw Plaintiff again on May 26, 2009. (U.F. 17.) During the examination  
3 of Plaintiff, Defendant Das found that Plaintiff's vital signs were normal, and his oxygen  
4 saturation level (at 97.1 percent) was excellent, he was alert and oriented and in no acute distress;  
5 and, although Plaintiff was mildly congested, Defendant Das detected no abnormalities  
6 concerning his head, eyes, ears, nose, and throat, and no abnormal heart sounds or wheezing.  
7 (U.F. 18.) Defendant Das diagnosed Plaintiff with a mild case of sinusitis, a persistent but mild  
8 asthma with no recent flare up, and a radicular cervical and lower back pain. Defendant Das  
9 prescribed amoxicillin for Plaintiff's sinusitis and Neurontin for his lower-back pain. (U.F. 19.)

10 Defendant Das saw Plaintiff again on March 26, 2010. (U.F. 30.) Plaintiff did not  
11 complain of shortness of breath or chest pain. (U.F. 30.) Defendant Das ordered a lipids test  
12 panel because a review of Plaintiff's recent lab test showed Plaintiff's cholesterol/LDL level was  
13 high. (U.F. 32.) Defendant Das did not prescribe medication to reduce Plaintiff's cholesterol  
14 level because he wanted to see the result of further lab tests. (U.F. 35.) The test results were  
15 normal for cholesterol and within the normal range for LDL and indicated that Plaintiff's risk for  
16 heart attack was low/normal. (U.F. 36.)

17 Plaintiff contends that when he saw Defendant Das on April 27, 2009, the record notes  
18 "here w/o asthma" and therefore shortness of breath was the complaint. (ECF No. 6.) The  
19 record as issue appears to state "here h/o asthma." (HR-(012709)-1061.) This is consistent with  
20 Defendant Das declaration that he saw Plaintiff to follow up on his asthma, glaucoma, and  
21 allergies. (Decl. of R. Das ¶ 7.) Further, Plaintiff has presented no competent evidence for his  
22 statement that asthma and shortness of breath are the same. Upon examination on April 27,  
23 2009, Defendant Das noted no abnormal heart sounds or wheezing. (Id. at 8.)

24 Plaintiff alleges that he did report a "family history of myocardial infarction (MI) AKA  
25 heart attack." (ECF No. 85 at ¶ 8.) However, this reference is in a note dated November 17,  
26 2009, months after Defendant Das made the decision not to refill Plaintiff's medication.

27 Plaintiff also alleges that Defendant Das ignored the notes from January 26, 2009, in  
28 which he reported chest pain and was diagnosed with abnormal heart sounds and high lipid blood

1 tests. (ECF No. 87 at 6-7.) Plaintiff refers to a January 26, 2009 note which notes “some CP off  
2 and [with increased] HR [no] SOB”. (HR-(0126909)-1133.) Upon examination, findings were  
3 S1S2 rapid then slow. (Id.) The diagnosis included increased lipids in fair control. (Id.)  
4 Plaintiff has not presented any evidence that Defendant Das was aware of this note and the single  
5 reference in Plaintiff’s medical records prepared by another medical provider does not  
6 demonstrate that Defendant Das was aware on April 27, 2009, that Plaintiff had a cardiac  
7 condition requiring referral to a cardiologist or medication. Plaintiff does not dispute the fact  
8 that he did not complain of chest pain or shortness of breath to Defendant Das at this visit.

9 Plaintiff also references the medical record as evidence that there is a genuine dispute of  
10 fact as to whether Defendant Das should have refused to treat a heart condition. Plaintiff cites a  
11 March 9, 2007 and October 30, 2007 report that shows several high hematology results. (HR-  
12 (030807)-1473, HR-(102907)-1295-96.) However, there is no evidence in the record to interpret  
13 such results and they are more than two years prior to the actions of Defendant Das.  
14 Additionally, the October 30, 2007 report indicates that Plaintiff’s cardiac risk is within range.  
15 (HR-(102907)-1295). Plaintiff also cites to a normal EKG on November 29, 2007, and a  
16 November 15, 2007 record showing he was prescribed Simvastatin. (HR-(112907)-1273; HR-  
17 111507-1281.)

18 Plaintiff argues that Defendants only cite to two tests that were low while all other tests  
19 indicated his cholesterol was high. The interpretation of medical test results requires specialized  
20 education and training, and therefore evidence must come from a medical expert.

21 According to Dr. Barnett, blood tests on April 17, 2010, and October 19, 2010, did not  
22 indicate a need for cholesterol-lowering drugs. Nor did blood tests and physical examinations  
23 regularly given afterwards support the continued use of aspirin or statin. (U.F. 23.) Plaintiff  
24 seeks to cite to evidence in the record as creating a dispute of fact, but in 2009, the conventional  
25 approach was to prescribe statins and a low-dose aspirin to patients with some increased risk of  
26 heart disease established by the combination of increased age, family history, certain medical  
27 conditions (diabetes, high blood pressure) and “bad” cholesterol level (LDL) over 160; having a  
28 low level of HDL—the “good” cholesterol—was further reason for treatment. (U.F. 34.)



1 Dr. Barnett opined that Plaintiff was not overtly at a high risk of heart attack and there  
2 was no reason to believe he suffered from long standing heart disease. (Decl. of Bruce P.  
3 Barnett, M.D. ¶ 28, ECF No. 78-2.) Plaintiff did not have high blood pressure, his LDL was  
4 within normal range, he was not obese, he was provided in prison with a heart-healthy diet (in  
5 compliance with American Heart Association Guidelines), he did not drink or smoke in prison,  
6 and he was physically active. (Id.) All of these factors reduced his risk of having a heart attack  
7 to relatively low levels, and thus provided no indication of a need for prophylactic treatment with  
8 drugs. (Id.) The medical providers consider multiple factors in determining whether a patient  
9 should be proscribed medication and Plaintiff's interpretation of the medical record is  
10 insufficient to meet his burden to show a triable issue of material fact in regards to Defendant  
11 Daws decision to remove Plaintiff from his statin and aspirin regimen.

12 When Defendant Das saw Plaintiff again on March 26, 2010, Plaintiff argues that  
13 Defendant Das told him that he had developed a heart murmur, but on this date Defendant Das  
14 did not hear a heart murmur. (U.F. 31.) Further, Dr. Barnett opined that in determining  
15 Plaintiff's risk for suffering a heart attack within the next ten years his coronary risk on or  
16 around March 2010 was 3 percent. (Decl. of Bruce P. Barnett, M.D. ¶ 26.) There is no  
17 established need to prescribe daily aspirin therapy where the calculated risk is below 6 to 10  
18 percent because the rate of complications has been found to exceed the benefits. (Id.)

19 Plaintiff relies on statements made by Dr. Mouanoutoua during his heart catheterization  
20 procedure. (ECF No. 87 at 67-67.) A nonmoving party need not produce evidence in a format  
21 that would be admissible at trial in support of a motion for summary judgment, Celotex Corp.,  
22 477 U.S. at 324, and the court focuses not on the form of the evidence on summary judgment but  
23 whether the content of the evidence would be admissible at trial, Fraser v. Goodale, 342 F.3d  
24 1032, 1037 (9th Cir. 2003). Even if admissible, Dr. Mouanoutoua's statements regarding what  
25 he was observing while he was performing Plaintiff's heart catheterization are not sufficient to  
26 show that any defendant in this action was aware of Plaintiff's heart disease and failed to  
27 properly respond.

28 Plaintiff alleges that Dr. Mouanoutoua told him that he had a total occlusion of his left

1 circumflex artery that caused his heart attack. (ECF No. 87 at 66.) Dr. Mouanoutoua informed  
2 him that earlier cardiac catheterization could have shown the growing lesion and it could have  
3 been remedied. (Id. at 67.) The issue here is not whether Plaintiff's heart attack could have been  
4 prevented by earlier treatment, but whether Defendants were aware that Plaintiff had a serious  
5 medical condition and failed to adequately treat the condition.

6 Plaintiff has failed to meet his burden of establishing that a genuine issue of material fact  
7 exists as to whether Defendant Das was deliberately indifferent to his serious medical needs by  
8 not refilling his medication or referring Plaintiff to a cardiologist.

9 **b. Defendant Ogbuehi**

10 Plaintiff saw Defendant Ogbuehi when he came to the medical line on March 17, 2010  
11 complaining of sudden chest pain and dizziness. (Decl. of I. Ogbuehi ¶ 4, Ex. A, M-570-71.)  
12 Plaintiff's chest pain had resolved in less than thirty minutes. (Decl. of I. Ogbuehi ¶ 4, Ex. A,  
13 M-571.) Defendant Ogbuehi examined Plaintiff and found no chest pain, no erythema, no  
14 murmur, no abdominal mass or tenderness, no chest wall tenderness, his neck range of motion  
15 was limited (as usual) but without tenderness; and his EKG was normal. (Decl. of I. Ogbuehi ¶  
16 5, Ex. A, M-571.) Based on her examination of Plaintiff and in consultation with Dr. Nyugen,  
17 who reviewed Plaintiff's EKG, Plaintiff's chest pain was diagnosed as non-cardiac related.  
18 (Decl. of I. Ogbuehi ¶ 6, Ex. A, M-571.) Plaintiff was advised to return to the clinic if his  
19 symptoms of chest pain or dizziness recurred, and he was scheduled for a follow-up visit. (Decl.  
20 of I. Ogbuehi ¶ 5, Ex. A, M-571.)

21 Plaintiff argues that his heart attack indicated that his heart disease was present for many  
22 years prior and was a condition serious enough to mandate treatment. However, it is undisputed  
23 that Plaintiff had a serious heart condition. The question is whether Defendant Ogbuehi was  
24 aware of the condition and disregarded it. Wilhelm, 680 F.3d at 1122.

25 Plaintiff points to Defendant Ogbuehi's failure to use the required chest pain form during  
26 her examination. The failure to follow procedure itself is not sufficient to establish a violation of  
27 the Eighth Amendment. Peralta, 744 F.3d at 1087. Plaintiff would need to show that the failure  
28 to follow procedure put him at risk and that Defendant actually knew that failing to follow the

1 procedure would put Plaintiff at risk. Id. While Plaintiff argues that using the form would have  
2 informed Defendant Ogbuehi of his family history of heart disease, he also argues that he told  
3 her of his family history. Therefore, using the form would not have made a difference under the  
4 circumstances he alleges. Further Plaintiff has not produced evidence to show that Defendant  
5 Ogbuehi knew that failing to use the form would put him at risk.

6 Finally, to the extent that Plaintiff argues that his chest pain was cardiac related and it  
7 was misdiagnosed, medical malpractice and even gross negligence are insufficient to establish  
8 deliberate indifference to a serious medical need. Lemire, 726 F.3d at 1082. In this instance, it  
9 is undisputed that Defendant Ogbuehi examined Plaintiff, an EEG was ordered, the EEG results  
10 were normal, and Plaintiff was diagnosed with non-cardiac related chest pain. (Decl. of I.  
11 Ogbuehi ¶¶ 5, 6; Ex. A, M-571.) Plaintiff symptoms resolved with thirty minutes of onset and he  
12 was instructed to return if he had any further symptoms and was provided with a follow-up  
13 appointment. (Decl. of I. Ogbuehi ¶ 6; Ex. A, M-571.)

14 Plaintiff saw Defendant Ogbuehi on May 19, 2010 for a refill of his baclofen. (U.F. 38.)  
15 Plaintiff states that he asked Defendant Ogbuehi for cardiac evaluation but she stated his cardiac  
16 concern had been documented on March 17, 2010. (ECF No. 87 at 24.) Plaintiff also contends  
17 that when he asked Defendant Ogbuehi if he was being refused treatment due to budgetary  
18 concerns Defendant Ogbuehi “harshly said CDC Title 15 does not require treatment ‘unless an  
19 inmate has great pain or is dying.’” (Id.) However, this response does not demonstrate that  
20 Defendant Ogbuehi was aware that Plaintiff was suffering from a serious medical problem and  
21 failed to respond.

22 Plaintiff states that when he informed Defendant Ogbuehi that he complained of ongoing  
23 chest pain, Defendant Ogbuehi dismissed his concerns as non-cardiac. The evidence  
24 demonstrates that Defendant Ogbuehi diagnosed Plaintiff with non-cardiac pain and to the extent  
25 that Plaintiff was misdiagnosed it does not rise to the level of deliberate indifference. Plaintiff’s  
26 lab results showed that his lipids were well controlled and Defendant’s expert has testified that  
27 this cardiac risk during this period of time was at 3 percent. (Decl. of Bruce P. Barnett M.D., ¶¶  
28 23, 26.).

1 Plaintiff argues that Defendant Ogbuehi was wrong in her declaration about Plaintiff  
2 having a stent placed because that did not occur until October 25, 2010 and if Defendant  
3 Ogbuehi would have referred him to a cardiologist his heart attack would have been prevented.  
4 However, construing the facts most favorably to Plaintiff, Defendant Ogbuehi's misdiagnosis of  
5 any complained of chest pain as non-cardiac does not rise to the level of deliberate indifference.  
6 Lemire, 726 F.3d at 1082. Plaintiff has failed to meet his burden of establishing that a genuine  
7 issue of material fact exists as to whether Defendant Ogbuehi was deliberately indifferent to his  
8 serious medical needs by not refilling his medication or referring Plaintiff to a cardiologist.

9 **c. Defendant Park**

10 Defendant Park examined Plaintiff on April 21, 2010. (U.F. 43.) Defendant Park  
11 reviewed the lipid tests and reassured Plaintiff that his lipid results were normal. (U.F. 44.)  
12 Defendant Park examined Plaintiff and found that Plaintiff was within normal limits in all  
13 respects except for the neck and shoulder pain for which she was seeing Plaintiff. (U.F. 45.)

14 Plaintiff contends that he discussed his ongoing heart pain from March 17, 2010 and that  
15 Defendant Das heard a heart murmur on March 26, 2010. (ECF No. 87 at 30.) However,  
16 Defendant Park states that she did not request a cardiology consultation or anticoagulant  
17 medications because nothing Dr. Park saw and noted from her exam of Plaintiff warranted his  
18 referral to a cardiologist or a prescription for anticoagulant medications. (U.F. 48.) Further,  
19 Defendant's expert has testified that Plaintiff's blood test at this visit was normal for cholesterol  
20 and his LDL levels were within the target range. (Decl. of Bruce P. Barnett M.D., ¶ 23.)  
21 Plaintiff's calculated risk of heart attack around this time was 3 percent. (Id. at ¶ 26.)

22 Plaintiff has failed to meet his burden of establishing that a genuine issue of material fact  
23 exists as to whether Defendant Park was deliberately indifferent to his serious medical needs by  
24 not refilling his medication or referring Plaintiff to a cardiologist.

25 **d. Defendant Duenas**

26 Plaintiff was seen by Defendant Duenas on August 21, 2010, for follow up on his asthma,  
27 psoriasis, and elevated lipids. (U.F. 47.) Plaintiff again argues that he discussed his ongoing  
28 chest pain from March 17, 2010, and that Defendant Das heard a heart murmur on March 26,

1 2010. (ECF No. 87 at 31.) During Dr. Duenas's exam of Plaintiff, she reviewed his lab report  
2 from April 17, 2010, showing that his cholesterol level was good, and his LDL level at 121 was  
3 near/above optimal. (U.F. 49.) Dr. Duenas found that Cottrell had good control of his asthma,  
4 glaucoma, and lipids. (U.F. 50.)

5 For the same reasons applicable to the previous defendants, Plaintiff has failed to meet  
6 his burden of establishing that a genuine issue of material fact exists as to whether Defendant  
7 Duenas was deliberately indifferent to his serious medical needs by not refilling his medication  
8 or referring Plaintiff to a cardiologist.

9 **e. Lackey**

10 On September 25, 2010, Plaintiff was experiencing sharp chest pain, a burning sensation  
11 in his chest, nausea, and something wrong with his arm. (ECF No. 87 at 34.) At approximately  
12 8:30 a.m., Defendant Lackey was dispensing medications on the floor. (U.F. 55.) Defendant  
13 Lackey went to Plaintiff's cell. (U.F. 57.) Here, the parties provide conflicting evidence on  
14 what occurred next.

15 Defendant Lackey contends that Plaintiff complained of a burning sensation in his  
16 midsection from from his navel to the base of his sternum, and denied that he was experiencing  
17 nausea, vomiting, or any other symptoms, and expressed no complaint of chest pain. (Lackey  
18 Decl. ¶¶ 6-7.) Defendant Lackey states he immediately went downstairs, contacted the TTA, and  
19 informed Defendant Berard of Plaintiff's complaints of gastric pain. (Lackey Decl. ¶ 9.)  
20 Defendant Lackey states that Defendant Berard told him that she would review Plaintiff's  
21 medical chart and call him back. (Lackey Decl. ¶ 10.) After waiting a few minutes for  
22 Defendant Berard's return call, an officer suggested to Defendant Lackey that he proceed with  
23 dispensing medications to other inmates in the building and he (the officer) would let Defendant  
24 Lackey know when Defendant Berard's return call came. (Lackey Decl. ¶ 11.)

25 While Lackey was dispensing medications, an inmate working in the building as a porter  
26 told him that an inmate was having chest pain, and he pointed at Plaintiff's cell door to indicate  
27 the inmate having the chest pain. (U.F. 56.) Defendant Lackey went to Plaintiff's cell to inquire  
28 about his condition, and Plaintiff told Defendant Lackey that he thought he was having a heart

1 attack because his chest hurt and his father died of a heart attack at age forty-five. (U.F. 57.)  
2 While Defendant Lackey was speaking to Plaintiff, Defendant Berard returned the call. ((Lackey  
3 Decl. ¶ 16.) Defendant Lackey told Defendant Berard of Plaintiff’s latest complaint of chest  
4 pain and that his father died at forty-five of a heart attack. (Lackey Decl. ¶ 18.) Officer’s arrived  
5 to remove Plaintiff from his cell. (U.F. 60.)

6           However, Plaintiff submits the declarations that tell a different story. Johnny Fore was  
7 Plaintiff’s cell mate on September 25, 2010. (Decl. of Johnny Fore 1, ECF No. 90.) Inmate Fore  
8 states that Plaintiff was not feeling well, and Inmate Fore got the attention of a porter and yelled  
9 to him to get Defendant Lackey because his “cellie needs to see him now!” (Id.) Defendant  
10 Lackey stopped passing out medications and came to the cell door. (Id.) Plaintiff got up and  
11 told Defendant Lackey that he was having a heart attack. (Id.) When Defendant Lackey asked  
12 him why he thought he was having a heart attack, Plaintiff explained that his chest hurt really  
13 bad and is burning, something was wrong with his arm, he was sick, and he had a family history  
14 of heart attacks with many of the men in his family having heart attacks at a young age. (Id.)  
15 Defendant Lackey told Plaintiff he would be back after he finished passing out medications in  
16 the building. (Id.) Plaintiff laid back down, and Inmate Fore noticed that he looked ashen and  
17 Plaintiff said he was not doing too well. (Id.) Inmate Fore stated yelling, “Man Down! Man  
18 Down!” (Id.) Correctional Officer Monroy came and opened up the cell and the alarm sounded.  
19 (Id.) Several correctional officers came and brought Plaintiff down to the day room floor on a  
20 litter. (Id.)

21           Inmate Andre Wilson was celled next to Plaintiff on September 25, 2010. (Decl. of  
22 Andre Wilson 1, ECF No. 92.) At approximately 8:10 a.m., he heard Inmate Fore call to  
23 Defendant Lackey that his cellie needed medical help. (Id.) When Defendant Lackey asked  
24 Plaintiff what the problem was, Plaintiff said he was having a heart attack. (Id.) Defendant  
25 Lackey told Plaintiff he would be back after he finished handing out medications in the building.  
26 (Id.) A few minutes later, Inmate Fore started yelling, “Man Down! Man Down!” (Id.)  
27 Correctional Officer Monroy came and opened the cell door. (Id.) Officer Monroy asked what  
28 the problem was and then an emergency alarm activated. (Id.) Many correctional officers

1 responded and Plaintiff was taken down to the day room floor on a stretcher. (Id.)

2 Plaintiff states that Defendant Lackey never came back to the cell after went to finish  
3 dispensing medications and that it was Correctional Officer Monroy who responded and  
4 activated the alarm while Defendant Lackey was still dispensing medications. (ECF No. 87 at  
5 39.)

6 Plaintiff has submitted evidence to create a genuine issue of material fact as to whether  
7 Defendant Lackey was aware that Plaintiff was suffering a serious medical problem and failed to  
8 adequately respond by leaving Plaintiff in his cell and continuing to dispense medications after  
9 being informed that Plaintiff had chest pain and thought he was having a heart attack. The Court  
10 recommends that Defendant Lackey's motion for summary judgment be denied.

11 **f. Defendant Berard**

12 In September 2010, Defendant Berard was working as a registered nurse in the TTA at  
13 PVSP. (Decl. of K. Berard ¶¶ 1, 4, ECF No. 78-6.) Defendant Berard responded on September  
14 25, 2010, and saw Plaintiff at approximately 9:00 a.m.in the day room. (U.F. 62.) Plaintiff was  
15 complaining of chest pain. (ECF No. 78-6 at ¶ 14.) Plaintiff was anxious and breathing rapidly,  
16 but his pallor appeared normal and he had no shortness of breath or difficulty breathing. (Id. at ¶  
17 15.) Based upon her observations and, after conferring with Defendant Das, Defendant Berard  
18 suspected that Plaintiff was suffering from gastroesophageal reflux disease (GERD). (Id. at ¶  
19 16.) Defendant Das ordered Mylanta to treat Plaintiff's condition and instructed to call the TTA  
20 if Plaintiff did not improve. (Id.)

21 Defendants argue that the error in treating Plaintiff for GERD instead of a heart attack  
22 was unintentional, solitary, and corrected within ninety minutes, and thus does not amount to  
23 deliberate indifference. (ECF No. 78-1 at 15.) While it is true that an error in diagnosing and  
24 treating Plaintiff's condition does not arise to the level of deliberate indifference, Lemire, 726  
25 F.3d at 1082, Defendant Berard has testified that Defendant Das told her to treat the condition  
26 with the instruction to call the TTA if Plaintiff did not improve. Defendant Berard has presented  
27 no evidence that she or anyone else continued to observe Plaintiff to determine if his condition  
28 improved with the proffered treatment. While Defendant presents evidence that a GI cocktail is

1 an effective diagnostic tool to rule out gastrointestinal problems if it does not successfully  
2 resolve the pain, no one observed Plaintiff after the GI cocktail was administered to determine if  
3 it would resolve Plaintiff's pain.

4 Plaintiff alleges that he told Defendant Berard that he was having a heart attack, felt sharp  
5 chest pains, a burning sensation in his chest, nausea, arm pain, dizziness, was blacking out, and  
6 sweating. (ECF No. 87 at 46.) Plaintiff states that Defendant Berard told him that she did not  
7 believe him and asked if he knew how many patients that were taken to the hospital with chest  
8 pain only to learn they were having heart burn. (Id.) Defendant Berard told Plaintiff she could  
9 tell he was not having a heart attack by looking at his skin color. (Id.) Further, Plaintiff alleges  
10 that after providing him with medication, Defendant Berard instructed all medical responders to  
11 abandon Plaintiff on the day room floor. (Id.)

12 While the initial misdiagnosis would not be sufficient to arise to the level of deliberate  
13 indifference, Defendant Berard cancelled the emergency and all medical personnel left the  
14 building. (Decl. of James Keith 3, ECF No. 91.) Plaintiff was left on the day room floor  
15 unattended (id.), and as a prisoner he could not just call the TTA if his condition did not  
16 improve. As Plaintiff lay on the floor, he called to Officer Monroy who was in the building that  
17 something was still wrong. (Id.) Officer Monroy responded it's their call not mine. (Id.)  
18 Plaintiff eventually got himself up off the floor and went into the yard where he found an officer  
19 on a golf cart to take him to the B-yard clinic. (ECF No. 87 at 49.)

20 Defendant Berard contends that when she saw Plaintiff the second time at 9:55 a.m. he  
21 was given an EKG, nitroglycerin and aspirin and some blankets because he was feeling cold.  
22 (U.F. 65, 67.) At approximately 10:30 a.m., Plaintiff was transported to a hospital for further  
23 treatment. (U.F. 68.) Plaintiff was found to have a near total occlusion of the left circumflex  
24 artery for which a stent was inserted. (U.F. 70.)

25 Dr. Barnett testifies that Plaintiff was stable while under the care in the prison on  
26 September 25, 2010, and was treated accordingly to protocols for acute coronary syndrome.  
27 (Decl. of Bruce P. Barnett ¶ 31, ECF No. 78-2.) However, Plaintiff did not receive treatment  
28 accordingly to protocols for a heart attack until his second encounter with Defendant Berard. Dr.



1 Barnett does not address whether the period of time from when Plaintiff first notified prison  
2 officials of his heart attack, approximately 8:30 a.m., and when he eventually received treatment  
3 for his heart attack, 9:55 a.m., contributed to the damage done by the heart attack. The record is  
4 devoid of any evidence that this one and one half hour delay in treatment was harmless; and  
5 Plaintiff has testified that during this time period he was continuing to suffer from the symptoms  
6 of the heart attack.

7 Accordingly, Plaintiff has submitted evidence to create a genuine issue of material fact as  
8 to whether Defendant Berard was aware that Plaintiff was suffering a serious medical problem  
9 and failed to adequately respond by leaving Plaintiff in the day room without any medical  
10 monitoring after administering Mylanta. Defendant Berard's motion for summary judgment  
11 should be denied.

12 **g. Defendant Igbiosa**

13 Defendants contend that Defendant Igbiosa is not liable in this action because the  
14 decision to terminate Plaintiff's medication and not refer him to a cardiologist was based on the  
15 medical evidence which indicated that such treatment was not medically necessary and had  
16 nothing to do with budgetary constraints. (ECF No. 78-1 at 14.) Plaintiff counters that  
17 Defendant Das told him on March 26, 2010, that he would not prescribe anticoagulants or refer  
18 Plaintiff to a cardiologist and the decision was based largely on a meeting he attended that was  
19 conducted by Defendant Igbiosa. (ECF No. 87 at 53.) Plaintiff states that Defendant Das told  
20 him that Defendant Igbiosa instructed medical personnel not to provide certain preventative  
21 treatments because financial cutbacks were necessary due to budget shortfalls. (Id.)

22 Under section 1983, supervisors may not be held liable for the actions or omissions of  
23 their subordinates under the theory of respondeat superior. Ashcroft v. Iqbal, 555 U.S. 662, 677  
24 (2009); Jones, 297 F.3d at 934. A supervisor may be held liable only if he is personally involved  
25 in the constitutional violation or there is "a sufficient causal connection between the supervisor's  
26 wrongful conduct and the constitutional violation." Snow, 681 F.3d at 989 (quoting Hansen v.  
27 Black, 885 F.2d 642, 645-46 (9th Cir.1989)).

28 Plaintiff contends that the record shows that Defendant Igbiosa personally treated him

1 based upon Defendant Igbinsosa's name on lab reports. Further, Plaintiff states he saw Defendant  
2 Igbinsosa about the 2007 lab results; and Defendant Igbinsosa told Plaintiff that he was going to  
3 closely monitor his treatment for high cholesterol/LDL. (ECF No. 87 at 55.) However, Plaintiff  
4 points to no medical record that demonstrates that Defendant Igbinsosa personally treated Plaintiff  
5 or any record to demonstrate that during the relevant time period Defendant Igbinsosa would be  
6 aware that Plaintiff needed treatment and refused to act.

7 Defendant Igbinsosa, as Chief Medical officer at PVSP, supervised physician-surgeons  
8 and other medical clinicians and oversaw the dispensation of healthcare to other patients. (Decl.  
9 of F. Igbinsosa ¶¶ 3, 4.) Defendant Igbinsosa did not serve as the primary treating physician for  
10 inmates and his name on reports does not indicate that he ordered the tests or that he was the  
11 treating physician. (*Id.* at ¶¶ 5, 7.) Defendant Igbinsosa's name appeared on reports due to his  
12 position as Chief Medical Officer at PVSP. (*Id.* at ¶ 9.) Defendant Igbinsosa did not examine or  
13 treat Plaintiff during his tenure as Chief Medical Officer at PVSP. (*Id.* at ¶ 6.) There is no  
14 evidence in the record, nor has Plaintiff testified that he received treatment from Defendant  
15 Igbinsosa. Plaintiff has presented no evidence that Defendant Igbinsosa was aware that Plaintiff  
16 was at a substantial risk of harm from being removed from his medications or needed to be  
17 referred to a cardiologist for a serious heart condition.

18 Plaintiff alleges that Defendant Igbinsosa was deliberately indifferent in this action  
19 because he instructed medical personnel not to provide certain preventative treatments as a cost  
20 savings device.<sup>21</sup> However, construing such evidence in the light most favorable to Plaintiff, it is  
21 insufficient to create a genuine issue of material fact. Defendants have presented evidence as  
22 discussed herein, that the decision to discontinue medication and not refer Plaintiff to a  
23 cardiologist was based on his relatively normal blood test results, normal EEGs, and other  
24 medical factors that placed him at low risk of heart disease. (U.F. 91, 92.)

25 Finally, Plaintiff argues that Defendant Igbinsosa was aware that there was a policy of

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26 <sup>21</sup> Plaintiff references statics contained in Plata Receiver, 563 U.S. (2011) No. 09-1233. (ECF No. 88 at 12.) While  
27 judicial notice may be taken "of court filings and other matters of public record[.]" Reyn's Pasta Bella, LLC v. Visa  
28 USA, Inc., 442 F.3d 741, 746 n.6 (9th Cir. 2006), the Court may not take judicial notice of facts contained with the  
opinions for the truth of the matter asserted, Wyatt v. Terhune, 315 F.3d 1108, 1114 (9th Cir. 2003) overruled on  
other grounds by Albino v. Baca, 747 F.3d 1162 (9th Cir. 2014).

1 denying treatment or medical care for the purpose of saving money based on Defendant Berard's  
2 statement that due to the relatively scarce resources for emergencies and urgent situations in the  
3 TTA, Defendant Berard found it important to question an inmate about his condition to  
4 determine whether admission to the TTA was warranted when she believed the inmate was  
5 seeking medical attention in the TTA for reasons other than his actual medical condition. (Decl.  
6 of K. Berard ¶ 11.) However, the fact that Defendant Berard recognizes the limitations imposed  
7 by the resources available and finds it prudent to question inmates who she believes are seeking  
8 to gain access to the TTA for reasons other than a medical condition does not create a genuine  
9 issue of material fact as to whether Defendant Igbinsosa was aware of a policy of denying  
10 treatment to save money.

11 Plaintiff has failed to meet his burden of establishing that a genuine issue of material fact  
12 exists as to whether Defendant Igbinsosa was deliberately indifferent to his serious medical needs.  
13 The Court recommends that summary judgment be granted in favor of Defendant Igbinsosa.

#### 14 **B. Qualified Immunity**

15 Defendants argue that they are entitled to qualified immunity because there is no  
16 evidence that they disregarded Plaintiff's serious medical condition. Plaintiff responds that the  
17 defendants violated his clearly established rights which a reasonable person would have known  
18 and the actions exceeded malpractice.

19 Qualified immunity shields government officials from civil damages unless their conduct  
20 violates "clearly established statutory or constitutional rights of which a reasonable person would  
21 have known." Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982). "Qualified immunity balances  
22 two important interests – the need to hold public officials accountable when they exercise power  
23 irresponsibly and the need to shield officials from harassment, distraction, and liability when  
24 they perform their duties reasonably," Pearson v. Callahan, 555 U.S. 223, 231 (2009), and it  
25 protects "all but the plainly incompetent or those who knowingly violate the law," Malley v.  
26 Briggs, 475 U.S. 335, 341 (1986).

27 In resolving the claim of qualified immunity, the Court must determine whether, taken in  
28 the light most favorable to Plaintiff, Defendants' conduct violated a constitutional right, and if

1 so, whether the right was clearly established. Saucier v. Katz, 533 U.S. 194, 201 (2001); Mueller  
2 v. Auker, 576 F.3d 979, 993 (2009). While often beneficial to address in that order, the Court  
3 has discretion to address the two-step inquiry in the order it deems most suitable under the  
4 circumstances. Pearson, 555 U.S. at 236 (overruling holding in Saucier that the two-step inquiry  
5 must be conducted in that order, and the second step is reached only if the court first finds a  
6 constitutional violation); Mueller, 576 F.3d at 993-94.

7 In this instance, the Court has found that Defendants Das, Duenas, Igbinosa, Ogbuehi,  
8 and Park were not deliberately indifferent to Plaintiff's serious medical need. However, the  
9 evidence viewed in the light most favorable to Plaintiff demonstrates there are triable issues of  
10 fact as to whether Defendants Lackey and Berard violated his constitutional rights on September  
11 25, 2010 by disregarding Plaintiff's complaints that he was having a heart attack. Therefore, the  
12 Court proceeds without further discussion to the second step of the inquiry.

13 "For a constitutional right to be clearly established, its contours must be sufficiently clear  
14 that a reasonable officer would understand that what he is doing violates that right." Hope v.  
15 Pelzer, 536 U.S. 730, 739 (2002). While the reasonableness inquiry may not be undertaken as a  
16 broad, general proposition, neither is official action entitled to protection "unless the very action  
17 in question has previously been held unlawful." Hope, 536 U. S. at 739. "Specificity only  
18 requires that the unlawfulness be apparent under preexisting law," Clement v. Gomez, 298 F.3d  
19 898, 906 (9th Cir. 2002) (citation omitted), and prison personnel "can still be on notice that their  
20 conduct violates established law even in novel factual circumstances[.]" Hope, 536 U.S. at 741.

21 While an inadvertent failure to provide medical care standing alone does not constitute  
22 deliberate indifference to medical needs, Estelle v. Gamble, 429 U.S. 97, 106 (1976); it is clearly  
23 established that the failure to act in response to a prisoner's known serious medical need would  
24 violate the Eighth Amendment, Jett, 439 F.3d at 1096. Here, both Defendant Lackey and Berard  
25 were trained medical personnel. Plaintiff alleges that he complained to both of them that he was  
26 having symptoms consistent with a heart attack.

27 There is a triable issue of fact as to whether Defendant Lackey addressed Plaintiff's  
28 medical need as he contends or ignored the need stating he would return later as Plaintiff

1 contends. It is clearly established that prison personnel would violate the Eighth Amendment by  
2 completely ignoring a prisoner's complaint that he was having chest pain and thought he was  
3 having a heart attack. Jett, 439 F.3d at 1096 (deliberate indifference is purposeful failure to  
4 respond to prisoner's pain or medical need).

5 Further, there is a triable issue of fact as to whether Defendant Berard was deliberately  
6 indifferent by leaving Plaintiff on the day room floor after giving him Mylanta without any  
7 medical supervision to make sure that his symptoms subsided. It was clearly established at the  
8 time of Plaintiff's heart attack that the failure to provide treatment to an inmate while knowing  
9 that he was suffering from a serious medical condition would constitute deliberate indifference.  
10 Wilhelm, 680 F.3d at 1122 Defendants also argue that Plaintiff's allegation of serious permanent  
11 damage to his heart is exaggerated. It was clearly established that deliberate indifference can be  
12 demonstrated by delay in providing treatment for a serious medical condition. See Meador v.  
13 Hammer, No. 2:11-CV-03342 KJM AC, 2015 WL 1238363, at \*9 (E.D. Cal. Mar. 16, 2015),  
14 report and recommendation adopted, No. 2:11-CV-3342 KJM, 2015 WL 1520307 (E.D. Cal.  
15 Mar. 31, 2015) (three hour delay in treating inmate's chest pain sufficient to support deliberate  
16 indifference claim); Williams v. Sotelo, 295 F. App'x 208, 209 (9th Cir. 2008)<sup>22</sup> (reasonable  
17 jury could conclude that delay in providing medical treatment due to inaction caused plaintiff a  
18 constitutional injury). Here, Plaintiff was suffering from chest pain for an additional hour before  
19 finally getting himself to the medical unit for treatment.

20 The Court finds that Defendants Lackey and Berard are not entitled to qualified immunity  
21 in this action. Defendants Lackey and Berard's motion for summary judgment on this ground  
22 should be denied.

## 23 V.

### 24 CONCLUSION AND RECOMMENDATION

25 Based on the foregoing, IT IS HEREBY RECOMMENDED that:

26 \_\_\_\_\_  
27 <sup>22</sup> Unpublished dispositions and orders of this Court issued on or after January 1, 2007 may be cited to the courts of  
28 this circuit in accordance with FRAP 32.1. Ninth Circuit Rule 36-3(b); see Animal Legal Def. Fund v. Veneman,  
490 F.3d 725, 733 (9th Cir. 2007) ("as of January 1, 2007, we must now allow parties to cite even unpublished  
dispositions and unpublished orders as persuasive authority").

1 1. Defendants' motion for summary judgment be GRANTED IN PART AND  
2 DENIED IN PART as follows:

3 a. Defendants Das, Duenas, Igbinsosa, Ogbuehi, and Park's motion for summary  
4 judgment be GRANTED; and

5 b. Defendants Lackey and Berard's motion for summary judgment be DENIED.

6 These findings and recommendations are submitted to the district judge assigned to this  
7 action, pursuant to 28 U.S.C. § 636(b)(1)(B) and this Court's Local Rule 304. Within thirty (30)  
8 days of service of this recommendation, any party may file written objections to these findings  
9 and recommendations with the Court and serve a copy on all parties. Such a document should be  
10 captioned "Objections to Magistrate Judge's Findings and Recommendations." The district  
11 judge will review the magistrate judge's findings and recommendations pursuant to 28 U.S.C. §  
12 636(b)(1)(C). The parties are advised that failure to file objections within the specified time may  
13 result in the waiver of rights on appeal. Wilkerson v. Wheeler, 772 F.3d 834, 839 (9th Cir. 2014)  
14 (citing Baxter v. Sullivan, 923 F.2d 1391, 1394 (9th Cir. 1991)).

15 IT IS SO ORDERED.

16 Dated: February 9, 2017

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19 UNITED STATES MAGISTRATE JUDGE  
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