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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

JUAN P. ORTIZ,

Plaintiff,

v.

CAROLYN W. COLVIN, Acting
Commissioner of Social Security,

Defendant.

Case No. 1:13-cv-01792-GSA

**ORDER REGARDING PLAINTIFF'S
SOCIAL SECURITY COMPLAINT**

I. INTRODUCTION

Plaintiff Juan P. Ortiz (“Plaintiff”) filed this action seeking judicial review of the final decision of Defendant Commissioner of Social Security (“Defendant” or “Commissioner”) denying Plaintiff’s application for disability insurance benefits pursuant to Title II and for supplemental security income pursuant to Title XVI of the Social Security Act. (ECF No. 1). The matter is pending before the Court on the parties’ briefs, which were submitted without oral argument to the Honorable Gary S. Austin, United States Magistrate Judge.¹

Plaintiff applied for Social Security benefits due to impairments related to a seizure disorder. For the reasons set forth below, the case is remanded to the ALJ for further proceedings consistent with this opinion.

¹ The parties consented to the jurisdiction of a United States Magistrate Judge. (ECF Nos. 7 & 8).

1 **II. SUMMARY OF THE ADMINISTRATIVE PROCEEDINGS**

2 **A. Procedural History**

3 In a decision dated February 24, 2003, Plaintiff was found disabled as of October 22,
4 2001, and thus entitled to disability insurance benefits pursuant to Title II of the Social Security
5 Act and supplemental security income pursuant to Title XVI of the Social Security Act. (AR 60-
6 62).² However, on September 30, 2010, after a continuing disability review was conducted, the
7 Social Security Administration determined that Plaintiff was no longer disabled as of October 1,
8 2010. (AR 152-159). Plaintiff requested reconsideration of the denial on or around October 12,
9 2010. (AR 160). Plaintiff’s request for reconsideration was denied on August 11, 2011. (AR 192-
10 202). Plaintiff requested a hearing on or around October 31, 2011. (AR 209-211).

11 On July 17, 2012, a hearing took place before Administrative Law Judge Danny Pittman
12 (“the ALJ”). (AR 31-55). Plaintiff was represented by counsel and testified at the administrative
13 hearing. (AR 31-55). In a decision issued on August 24, 2012, the ALJ found that Plaintiff’s
14 disability ended as of October 1, 2010. (AR 12-22). Plaintiff filed an appeal of this decision with
15 the Appeals Council. On August 28, 2013, the Appeals Council denied Plaintiff’s request for
16 review of the ALJ’s decision, rendering the ALJ’s decision the final decision of the
17 Commissioner. (AR 4-6).

18 **B. The Disability Determination Standard and Process**

19 To qualify for benefits, a claimant must establish that he or she is unable to engage in
20 substantial gainful activity due to a medically determinable physical or mental impairment which
21 has lasted or can be expected to last for a continuous period of not less than twelve months. See
22 42 U.S.C. § 423(d)(2)(A). An individual shall be considered to have a disability only if:

23 . . . his physical or mental impairment or impairments are of such severity that
24 he is not only unable to do his previous work, but cannot, considering his
25 age, education, and work experience, engage in any other kind of substantial
26 gainful work which exists in the national economy, regardless of whether such
work exists in the immediate area in which he lives, or whether a specific job
vacancy exists for him, or whether he would be hired if he applied for work.

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² References to the Administrative Record are designated as “AR,” followed by the appropriate page number.

1 42 U.S.C. § 423(d)(2)-(3), 1382c(a)(3)(B), (D).

2 To achieve uniformity in the decision-making process, the Commissioner has established
3 an eight-step process for evaluating a claimant's Title II claim and a seven-step process for
4 evaluating a claimant's Title XVI claim. 20 C.F.R. § 404.1594, 404.1520, and 416.920. The ALJ
5 proceeds step by step in order and stops upon reaching a dispositive finding that the claimant is
6 or is not disabled. 20 C.F.R. § 404.1520(a)(4) and 416.920(a)(4).

7 The first step for the Title II claim is to determine whether the claimant is presently
8 engaging in substantial gainful activity. 20 C.F.R. § 404.1594(f)(1). If so, the claimant is no
9 longer disabled. 20 C.F.R. § 404.1594(f)(1). The performance of substantial gainful activity is
10 not a factor used to determine if the claimant's disability continues for a Title XVI claim. 20
11 C.F.R. § 416.994(b)(5). The ALJ found that Plaintiff had not engaged in substantial gainful
12 activity since October 1, 2010, the date the claimant's disability ended. (AR 14).

13 The second step for the Title II claim and first step for the Title XVI claim is to determine
14 whether the claimant has an impairment or combination of impairments which meets or
15 medically equals an impairment listed in 20 C.F.R. Pt. 404, Subpart P, Appendix I of the Social
16 Security regulations. 20 C.F.R. §§ 404.1520(d), 404.1525, 404.1526, 416.920(d), 416.925, and
17 416.926. If the claimant does, the disability continues. 20 C.F.R. § 404.1594(f)(2) and
18 416.994(b)(5)(i). The ALJ identified a seizure disorder as a severe impairment that Plaintiff
19 continued to have as of October 1, 2010. (AR 14-15). However, the ALJ determined that the
20 severity of Plaintiff's impairment did not meet or exceed any of the listed impairments. (AR 14).

21 At step three for a Title II claim and step two for a Title XVI claim, the ALJ must
22 determine if medical improvement has occurred which results in a decrease in the medical
23 severity of the claimant's impairments. 20 C.F.R. § 404.1594(f)(3) and 416.994(b)(5). If so, the
24 analysis proceeds to the fourth step for the Title II claim and the third step for the Title XVI
25 claim. The ALJ determined that medical improvement occurred as of October 1, 2010. (AR 14).

26 At step four for the Title II claim and step three for the Title XVI claim, the ALJ must
27 determine if any medical improvement is related to the ability to work. 20 C.F.R. §
28 404.1594(f)(4) and 416.994(b)(5)(iii). Medical improvement is related to the ability to work if it

1 results in an increase in the claimant's capacity to perform basic work activities. 20 C.F.R. §
2 1594(b)(3) and 416.994(b)(1)(iii). If it does, the analysis proceeds to the sixth step for the Title II
3 claim and fifth step for the Title XVI claim. The ALJ determined that claimant's medical
4 improvement is related to the ability to work because medication controls the claimant's seizures
5 to the point that he is able to do some of the jobs that are available in significant numbers in
6 California and in the national economy. (AR 14). Therefore, the analysis proceeded to the sixth
7 step for the Title II claim and the fifth step for the Title XVI claim.

8 At step six for the Title II claim and step five for the Title XVI claim, the ALJ must
9 determine whether the claimant's impairments in combination are severe. 20 C.F.R. §
10 404.1594(f)(6) and 416.994(b)(5)(v). If not, the claimant is no longer disabled. If they do, the
11 analysis proceeds to the next step.

12 At step seven for the Title II claim and step six for the Title XVI claim, the ALJ must
13 assess the claimant's residual functional capacity (RFC) and determine if he or she can perform
14 past relevant work. 20 C.F.R. § 404.1594(f)(7) and 416.994(b)(5)(vi). If the claimant has the
15 capacity to perform past relevant work, the claimant is no longer disabled. If not, the analysis
16 proceeds to the last step. Based on a review of the record, the ALJ determined that as of October
17 1, 2010, Plaintiff has the RFC to perform work without exertional limitation and to perform
18 simple, routine tasks. (AR 15). The ALJ further determined that Plaintiff must avoid ladders,
19 ropes, scaffolds, and even moderate exposure to hazards, but he can occasionally climb ramps
20 and stairs. (AR 15). The ALJ then found that Plaintiff "is capable of performing past relevant
21 work as a cleaner, industrial-janitor," both as it is actually and generally performed. (AR 20).

22 At step eight for the Title II claim and step seven for the Title XVI claim, the ALJ must
23 determine whether the claimant can perform any other substantial gainful activity. 20 C.F.R. §
24 404.1594(f)(8) and 416.994(b)(5)(vii). If so, the claimant is no longer disabled. If not, the
25 claimant's disability continues. The ALJ found that Plaintiff could perform other jobs that exist
26 in significant numbers in the national economy. (AR 21).

27 Therefore, the ALJ concluded that Plaintiff's disability ended on October 1, 2010, and
28 that Plaintiff has not become disabled again since that date. (AR 21).

1 **III. STANDARD OF REVIEW**

2 Under 42 U.S.C. § 405(g), this Court reviews the Commissioner’s decision to determine
3 whether (1) it is supported by substantial evidence and (2) it applies the correct legal standards.
4 See Carmickle v. Commissioner, 533 F.3d 1155, 1159 (9th Cir. 2008); Hoopai v. Astrue, 499
5 F.3d 1071, 1074 (9th Cir. 2007).

6 “Substantial evidence” means more than a scintilla, but less than a preponderance.
7 Thomas v. Barnhart, 278 F.3d 947, 954 (9th Cir. 2002). It is “relevant evidence which,
8 considering the record as a whole, a reasonable person might accept as adequate to support a
9 conclusion.” Id. Where the evidence is susceptible to more than one rational interpretation, one
10 of which supports the ALJ’s decision, the ALJ’s conclusion must be upheld.” Id.

11 **IV. Discussion**

12 **A. Relevant Medical Evidence**

13 **i. Dr. Michael Froehler**

14
15 In this case, Dr. Michael Froehler, M.D., an examining physician, authored a summary
16 report of Plaintiff’s neurological consultation dated July 17, 2010. (AR 456-458). Dr. Froehler
17 noted that Plaintiff complained of “seizures” and “increasing memory difficulty.” (AR 456). Dr.
18 Froehler noted that Plaintiff had “great difficulty with registration and recall, specifically he has
19 significant difficulty with encoding new information.” (AR 457). However, he also noted that
20 Plaintiff “is able to register three digit spans but cannot do four digit spans or greater.” (AR
21 457). Dr. Froehler further noted that Plaintiff “was unable to encode a new name and address.
22 His registration is one out of five.” (AR 457). Dr. Froehler diagnosed Plaintiff with epilepsy
23 and anterograde amnesia. (AR 458). Dr. Froehler concluded:

24 “[Plaintiff] has great difficulty with encoding new information.
25 . . . Based on these findings, he does have significant impairments.
26 First and foremost he does continue to suffer from epilepsy and
27 therefore seizure precautions should be taken and he should not be
28 allowed to drive heavy machinery or operate a motor vehicle. He
 should also avoid ladders and climbing. With regard to the
 memory difficulty, he does have substantial impairment in this
 regard as well and will need aids in order to encode new
 information.

1
2 (AR 458).

3 **ii. Dr. Richard Engeln**

4 Richard Engeln, Ph. D., a clinical psychologist, conducted a psychosocial evaluation of
5 the Plaintiff pursuant to a referral by the Department of Social Services, Disability Evaluation
6 Division. Dr. Engeln wrote that “Verbally, cognitively, and socially [Plaintiff] appears capable
7 of entry level job assignment.” (AR 486). Dr. Engeln’s report identified that Plaintiff performed
8 “poor[ly] on auditory memory materials, but generally within normal limits,” and his “working
9 memory was measured low average.” (AR 484-485). Dr. Engeln also identified Plaintiff’s
10 Immediate Auditory Memory as 53, Delayed Auditory Memory as 55, and Auditory Recognition
11 Delayed as 55, which Dr. Engeln described as “in the moderate range of mental retardation and
12 appeared to reflect [Plaintiff’s] concentration style of shutting down and withdrawing when
13 multiple units of information were presented at one time.” (AR 485). Dr. Engeln, after
14 considering Plaintiff’s deficiencies in his ability to understand and remember detailed or
15 complex instructions, found that Plaintiff had “mild to moderate restrictions around work
16 history” for understanding and remembering detailed or complex instructions. (AR 486). Dr.
17 Engeln noted:

18 Understand and remember very short and simple instructions: *No restrictions*
19 Understand and remember detailed or complex instructions: *Mild to moderate*
20 *restrictions around work history*
21 Carry out simple instructions: *No restrictions*
22 Attend and concentrate: *No restrictions*
23 Interact with the public, coworkers, and supervisor: *No restrictions*
24 Perform work activities without special or additional supervision: *No restrictions*
25 Adapt to the usual stresses encountered in a work setting: *No restrictions*
26 Be aware of normal hazards in the workplace and react appropriately: *No*
27 *restrictions*
28 Use public transportation and travel to unfamiliar places: *No restrictions*
29 Manage benefits in his/her own interest: *No restrictions*
30 *Primary restrictions to any of the above dimensions would be related to medical*
31 *issues around seizure diagnosis.*

32 (AR 486).

33 **iii. Dr. M. Vea**

34 Dr. M. Vea, MD, a non-examining physician completed a psychiatric review technique

1 form which indicated that Plaintiff had moderate limitation in maintaining concentration,
2 persistence, or pace. (AR 501). Dr. Vea found that Plaintiff had a mild degree of limitation in
3 activities of daily living and maintaining social functioning. (AR 501). Dr. Vea found that there
4 have been no episodes of decompensation. (AR 501).

5 Dr. Vea completed a mental residual functional capacity assessment and found that
6 Plaintiff was moderately limited in his ability to understand and remember detailed instructions
7 and carry them out and not significantly limited in his ability to understand and remember very
8 short and simple instructions and carry them out. (AR 504). Dr. Vea concluded that Plaintiff had
9 sufficient understanding and memory for short and simple tasks, had sufficient concentration and
10 persistence for unskilled work, could maintain attention in 2 hour increments, and could tolerate
11 and maintain ordinary schedule without need for close supervision. (AR 506).

12 **iv. Dr. E. Murillo**

13 Dr. E Murillo, MD, a non-examining physician, completed a mental residual functional
14 capacity assessment and found that Plaintiff was moderately limited in his ability to understand
15 and remember detailed instructions and carry them out. (AR 522). Dr. Murillo also found that
16 Plaintiff was not significantly limited in his ability to understand and remember very short and
17 simple instructions and carry them out and in his ability to remember locations and work-like
18 procedures. (AR 522). Dr. Murillo found that Plaintiff had the ability to concentrate and
19 remember for 2 hour increments, interact with coworkers, supervisors, and the public
20 appropriately, and adapt to simple changes in the work place. (AR 524). As part of the
21 psychiatric review technique form, Dr. Murillo found that Plaintiff had moderate limitations in
22 maintaining concentration, persistence, or pace, and mild limitations in maintaining social
23 functioning and activities of daily living. (AR 533). In a CDR analysis, Dr. Murillo affirmed the
24 prior decision that Plaintiff's mental residual functioning capacity is simple repetitive tasks. (AR
25 539).

26 **B. The ALJ's Failure to Reference Opinion Evidence From Dr. Froehler, the** 27 **Consultative Examiner, in his Decision**

28 Plaintiff argues that the ALJ erred by failing to address the entire opinion of neurologist

1 Michael Froehler, M.D., which suggested that Plaintiff has “substantial impairment” of his
2 memory and “will need aids in order to encode new information.”³ (ECF No. 14 at 6-8).
3 Specifically, Plaintiff argues that the ALJ’s RFC that Plaintiff “is capable of carrying out simple
4 repetitive tasks in the workplace” does not incorporate Dr. Froehler’s opinion that Plaintiff has
5 “substantial impairment” of his memory and that he “will need aids to encode new information.”
6 (ECF No. 14 at 6-8). Plaintiff also argues that because an application for Social Security
7 Insurance need not take the possibility of reasonable accommodations for a position into account,
8 the ALJ should have determined whether “aids to encode new information” would have
9 constituted a reasonable accommodation for the purposes of his analysis. (ECF No. 14 at 6-8).
10 Defendant argues that the ALJ properly translated the limitations set forth by Dr. Froehler into an
11 RFC that was consistent with Dr. Engeln, Dr. Murillo, and Dr. Vea. (ECF No.18 at 6-7).
12 Furthermore, Defendant argues that the ALJ did not reject Dr. Froehler’s opinion, but
13 contextualized his statement of limitations within the other medical opinion evidence. (ECF No.
14 18 at 7).

15 A claimant’s RFC is “the most [the claimant] can still do despite [his or her] limitations.”
16 20 C.F.R. § 404.1545(a)(1) and 416.945(a)(1). When determining the RFC, the ALJ considers
17 the plaintiff’s ability to “meet the physical, mental, sensory, and other requirements of work,” as
18 described in 20 C.F.R. § 404.1545(b), (c), and (d) and 416.945(b), (c), and (d). See 20 C.F.R. §
19 404.1545(a)(4) and 416.945(a)(4). At Step Five of the sequential analysis, “the burden shifts to
20 the Commissioner to prove that, based on the claimant’s residual functional capacity, age,
21 education, and past work experience, she can do other work.” Smolen v. Chater, 80 F.3d 1273,
22 1291 (9th Cir. 1996) (citing Bowen v. Yuckert, 482 U.S. 137, 142 (1987) and 20 C.F.R. §
23 404.1520(f)).

24 When evaluating the mental abilities, the ALJ assesses the “nature and extent of
25 [plaintiff’s] mental limitations and restrictions and then determines [plaintiff’s] residual
26 functional capacity for work activity on a regular and continuing basis.” 20 C.F.R. § 404.1545(c)

27
28 ³ The meaning of Dr. Froehler’s statement that Plaintiff has “substantial impairment” of his memory and “will need aids in order to encode new information” is unclear.

1 and 416.945(c). There are four broad functional areas in which the ALJ rates the degree of
2 functional limitation resulting from a claimant's mental impairment(s): activities of daily living;
3 social functioning; concentration, persistence or pace; and episodes of decompensation. 20
4 C.F.R. § 404.1520a(c)(3) and 416.920a(c)(3). The ALJ is required to incorporate into his or her
5 written decision pertinent findings and conclusions based on the “special technique.” 20 C.F.R. §
6 404.1520a(e)(4) and 416.920a(e)(4).

7 The first three functional areas are rated on a five-point scale of none, mild, moderate,
8 marked, and extreme. 20 C.F.R. § 404.1520a(c)(4) and 416.920a(c)(4). The final functional area
9 is rated on a four-point scale of none, one or two, three, and four or more. Id. The ratings in the
10 functional areas correspond to a determination of severity of mental impairment. 20 C.F.R. §
11 404.1520a(d)(1) and 416.920a(d)(1). If the ALJ rates the first three functional areas as none or
12 mild and the fourth area as none, then generally the impairment is not considered severe. Id.
13 Otherwise, the impairment is considered severe, and the ALJ must determine whether it meets or
14 is equivalent in severity to a listed mental disorder. 20 C.F.R. § 404.1520a(d)(2) and
15 416.920a(d)(2). If the mental impairment does not meet or is not equivalent to any listing, then
16 the ALJ will assess the claimant's RFC. 20 C.F.R. § 404.1520a(d)(3) and 416.920a(d)(3). The
17 ALJ must document use of the special technique by incorporating the pertinent findings and
18 conclusions into the written decision. Id. § 404.1520a(e)(2). The decision must elaborate on
19 significant medical history, including examination and laboratory findings, and the functional
20 limitations that were considered in reaching a conclusion about the mental impairment's severity.

21 When formulating the RFC, the ALJ noted the following:

22 I agree with the several medical doctors who have stated that there are no
23 exertional limitations. The psychologists and psychiatrists, including Dr. Engeln,
24 Murillo and Veja have no significant disparity in their opinions. The claimant is
25 capable of carrying out simple repetitive tasks in the workplace. The doctors who
26 have discussed the seizure disorder agree that the claimant must have the usual
27 seizure precautions, and I certainly concur with that.

28 (AR 20).

 The ALJ summarized Dr. Froehler’s report in his decision and noted that Plaintiff “has
substantial impairment in memory,” but did not address Dr. Froehler’s opinion that Plaintiff

1 “will need aids to encode new information.” (AR 17-18).

2 Defendant has cited to Stubbs–Danielson v. Astrue, 539 F.3d 1169, 1173 (9th Cir. 2008)
3 for the premise that the RFC represents what the claimant can do rather than focusing on a
4 claimant's limitations and that the ALJ properly translated the limitations set forth by Dr.
5 Froehler into the RFC. Typically the ALJ will translate limitations in memory, concentration,
6 and attention into an opinion about the kind of work a claimant can perform. For example, a
7 limitation to simple, repetitive work can account for moderate difficulties in concentration,
8 persistence or pace. See Sabin v. Astrue, 337 Fed. App'x 617, 621 (9th Cir. 2009) (moderate
9 concentration, persistence or pace difficulties consistent with medical evidence that claimant
10 could perform simple and repetitive tasks). The ALJ translated Plaintiff's condition, including
11 the mental limitations, into the RFC that Plaintiff is “capable of entry level job adjustment, in a
12 context where instructions are unidimensional and normal supervision.” (AR 486). However, the
13 instant case is not analogous to Stubbs-Danielson, because the Court is unable to tell whether Dr.
14 Froehler's opinion is consistent with Dr. Engeln's opinion.

15 The Court is unable to determine whether the other doctors' opinions are consistent with
16 Dr. Froehler's opinion, which found that Plaintiff “has great difficulty with registration and
17 recall, specifically he has significant difficulty with encoding new information. He is able to
18 register three digit spans but cannot do four digit spans or greater. He was unable to encode a
19 new name and address. His registration is one out of five.” (AR 457). Dr. Froehler's opinion that
20 Plaintiff “will need aids to encode new information” and has “substantial impairment” of his
21 memory is vague and ambiguous in terms of delineating Plaintiff's work-related functional
22 limitations.

23 An ALJ has a duty to “fully and fairly develop the record and to assure that the
24 claimant's interests are considered.” Tonapetyan v. Halter, 242 F.3d 1144, 1150 (9th Cir. 2001).
25 This duty is triggered when there is “[a]mbiguous evidence” or on “the ALJ's own finding that
26 the record is inadequate to allow for proper evaluation of the evidence.” Id. Once the duty is
27 triggered, the ALJ must “conduct an appropriate inquiry,” which can include “subpoenaing the
28 claimant's physicians, submitting questions to the claimant's physicians, continuing the hearing,

1 or keeping the record open after the hearing to allow supplementation of the record.” Id.

2 Furthermore, if Dr. Froehler’s opinion was contradicted by the other doctors and was
3 rejected by the ALJ, the ALJ needed to provide legitimate reasons for rejecting it. See Lester v.
4 Chater, 81 F.3d 821, 830-31 (9th Cir. 1995) (citing Andrews v. Shalala, 53 F.3d 1035, 1043 (9th
5 Cir. 1995) (“[T]he opinion of an examining doctor, even if contradicted by another doctor, can
6 only be rejected for specific and legitimate reasons that are supported by substantial evidence in
7 the record.”)

8 As Dr. Froehler’s opinion is ambiguous, the Court is unable to determine whether it is
9 consistent with Dr. Engeln’s opinion and the opinion of the other doctors in the record.
10 Therefore, the ALJ’s RFC may constitute a rejection of Dr. Froehler’s opinion. If Dr. Froehler’s
11 opinion was inconsistent with the RFC, then the ALJ should have provided reasons for the
12 rejection of Dr. Froehler’s opinion.

13 **C. Further Administrative Proceedings Are Necessary**

14 Remand for further administrative proceedings is appropriate if enhancement of the
15 record would be useful. Benecke v. Barnhart, 379 F.3d 587, 593 (9th Cir. 2004). “Conversely,
16 where the record has been developed fully and further administrative proceedings would serve
17 no useful purpose, the district court should remand for an immediate award of benefits.” Id.
18 “More specifically, the district court should credit evidence that was rejected during the
19 administrative process and remand for an immediate award of benefits if (1) the ALJ failed to
20 provide legally sufficient reasons for rejecting the evidence; (2) there are no outstanding issues
21 that must be resolved before a determination of disability can be made; and (3) it is clear from
22 the record that the ALJ would be required to find the claimant disabled were such evidence
23 credited.” Id. (citing Harman, 211 F.3d at 1178).

24 Here, the ALJ failed to provide sufficient reasons to reject Dr. Froehler’s opinion that
25 Plaintiff has “substantial impairment” of his memory and “will need aids to encode new
26 information.” Plaintiff argues that due to the alleged errors made by the ALJ the Court should
27 remand for payment of benefits.

28 The ordinary remand rule provides that when “the record before the agency does not

1 support the agency action, ... the agency has not considered all relevant factors, or ... the
2 reviewing court simply cannot evaluate the challenged agency action on the basis of the record
3 before it, the proper course, except in rare circumstances, is to remand to the agency for
4 additional investigation or explanation.” Treichler v. Comm'r of Soc. Sec. Admin., 775 F.3d
5 1090, 1099 (9th Cir. 2014). This applies equally in Social Security cases. Treichler, 775 F.3d at
6 1099. Under the Social Security Act “courts are empowered to affirm, modify, or reverse a
7 decision by the Commissioner ‘with or without remanding the cause for a rehearing.’ ” Garrison
8 v. Colvin, 759 F.3d 995, 1019 (9th Cir. 2014) (emphasis in original) (quoting 42 U.S.C. §
9 405(g)). The decision to remand for benefits is discretionary. Treichler, 775 F.3d at 1100. In
10 Social Security cases, courts generally remand with instructions to calculate and award benefits
11 when it is clear from the record that the claimant is entitled to benefits. Garrison, 759 F.3d at
12 1019.

13 The Ninth Circuit has “devised a three-part credit-as-true standard, each part of which
14 must be satisfied for a court to remand to an ALJ with instructions to calculate and award
15 benefits: (1) the record has been fully developed and further administrative proceedings would
16 serve no useful purpose; (2) the ALJ has failed to provide legally sufficient reasons for rejecting
17 evidence, whether claimant testimony or medical opinion; and (3) if the improperly discredited
18 evidence were credited as true, the ALJ would be required to find the claimant disabled on
19 remand.” Garrison, 759 F.3d at 1020. The credit as true doctrine allows “flexibility” which “is
20 properly understood as requiring courts to remand for further proceedings when, even though all
21 conditions of the credit-as-true rule are satisfied, an evaluation of the record as a whole creates
22 serious doubt that a claimant is, in fact, disabled. Id. at 1021. Even when the circumstances are
23 present to remand for benefits, “[t]he decision whether to remand a case for additional evidence
24 or simply to award benefits is in our discretion.” Treichler, 775 F.3d at 1102 (quoting Swenson
25 v. Sullivan, 876 F.2d 683, 689 (9th Cir. 1989)).

26 In this instance, the ALJ has failed to develop the record to determine whether Dr.
27 Froehler’s opinion is contradictory to Dr. Engeln’s opinion and the other doctors in the record.
28 Looking at the record as a whole there may be conflicts between the medical opinions. Remand

1 is appropriate for the ALJ to resolve any ambiguities in Dr. Froehler's opinion, to redetermine
2 Plaintiff's RFC, if necessary, and, if Dr. Froehler's opinion is contradictory to the other doctor's
3 opinions, to provide legally sufficient reasons for rejecting Dr. Froehler's opinion.

4 Based upon the record, the Court cannot determine that the Commissioner would be
5 required to award benefits in this instance because it is unclear whether Dr. Froehler's opinion is
6 contradicted by any of the other physicians and was rejected by the ALJ. The Court finds that
7 there is an outstanding issue that needs to be resolved by the Commissioner; thus, this action
8 shall be remanded for further proceedings consistent with this opinion.

9 **V. CONCLUSION**

10 Based upon the foregoing, the Court finds that the ALJ erred by failing to provide
11 adequate reasons to reject Dr. Froehler's opinions regarding Plaintiff's mental limitations. The
12 Court finds that further administrative proceedings are necessary to resolve outstanding issues
13 before a determination of disability can be made.

14 Accordingly, it is HEREBY ORDERED that:

- 15 1. Plaintiff's appeal from the administrative decision of the Commissioner is
16 PARTIALLY GRANTED;
- 17 2. This action is REMANDED to the Commissioner for further administrative
18 proceedings;
- 19 3. JUDGMENT is entered in favor of Plaintiff Juan P. Ortiz and against Defendant
20 Commissioner of Social Security; and
- 21 4. The Clerk of the Court is directed to CLOSE this action.

22 IT IS SO ORDERED.

23 Dated: March 27, 2015

24 /s/ Gary S. Austin
25 UNITED STATES MAGISTRATE JUDGE