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UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA

JAVON’Z SMITH,

Plaintiff,

vs.

M. GARCIA,

Defendant.

1:13-cv-02003-AWI-GSA PC

ORDER SETTING SETTLEMENT  
CONFERENCE

Plaintiff is a prisoner proceeding pro se in this civil rights action pursuant to 42 U.S.C. §1983. The Court has determined that this case will benefit from a settlement conference. Therefore, this case will be referred to Magistrate Judge Carolyn K. Delaney for the Court’s Settlement Week program to conduct a settlement conference at the U. S. District Court, 501 I Street, Sacramento, California 95814 in Courtroom #24 on June 1, 2015 at 1:00 p.m.

A separate order and writ of habeas corpus ad testificandum will issue concurrently with this order.

In accordance with the above, IT IS HEREBY ORDERED that:

1. A settlement conference has been set for June 1, 2015 at 1:00 p.m. in Courtroom #24 before Magistrate Judge Carolyn K. Delaney at the U. S. District Court, 501 I Street, Sacramento, California 95814.

- 1           2. Parties are instructed to have a principal with full settlement authority present at the  
2           Settlement Conference or to be fully authorized to settle the matter on any terms. The  
3           individual with full authority to settle must also have “unfettered discretion and  
4           authority” to change the settlement position of the party, if appropriate. The purpose  
5           behind requiring the attendance of a person with full settlement authority is that the  
6           parties’ view of the case may be altered during the face to face conference. An  
7           authorization to settle for a limited dollar amount or sum certain can be found not to  
8           comply with the requirement of full authority to settle<sup>1</sup>.
- 9           3. Parties are directed to submit confidential settlement statements no later than May 25,  
10          2015 to [ckdorders@caed.uscourts.gov](mailto:ckdorders@caed.uscourts.gov). Plaintiff shall mail his confidential settlement  
11          statement to Sujean Park, ADR Division, 501 I Street, Suite 4-200, Sacramento,  
12          California 95814 so it arrives no later than May 25, 2015. If a party desires to share  
13          additional confidential information with the Court, they may do so pursuant to the  
14          provisions of Local Rule 270(d) and (e). Parties are also directed to file a “Notice of  
15          Submission of Confidential Settlement Statement” (See L.R. 270(d)).
- 16          Settlement statements **should not be filed** with the Clerk of the court **nor served on**  
17          **any other party**. Settlement statements shall be clearly marked “confidential” with  
18          the date and time of the settlement conference indicated prominently thereon.

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21       <sup>1</sup> While the exercise of its authority is subject to abuse of discretion review, “the district court has the  
22       authority to order parties, including the federal government, to participate in mandatory settlement  
23       conferences... ” United States v. United States District Court for the Northern Mariana Islands, 694 F.3d 1051,  
24       1053, 1057, 1059 (9th Cir. 2012)(“the district court has broad authority to compel participation in mandatory  
25       settlement conference[s].”). The term “full authority to settle” means that the individuals attending the  
26       mediation conference must be authorized to fully explore settlement options and to agree at that time to any  
27       settlement terms acceptable to the parties. G. Heileman Brewing Co., Inc. v. Joseph Oat Corp., 871 F.2d 648,  
28       653 (7th Cir. 1989), cited with approval in Official Airline Guides, Inc. v. Goss, 6 F.3d 1385, 1396 (9th Cir.  
2012). The individual with full authority to settle must also have “unfettered discretion and authority” to  
change the settlement position of the party, if appropriate. Pitman v. Brinker Int’l, Inc., 216 F.R.D. 481, 485-  
86 (D. Ariz. 2003), amended on recon. in part, Pitman v. Brinker Int’l, Inc., 2003 WL 23353478 (D. Ariz. 2003).  
The purpose behind requiring the attendance of a person with full settlement authority is that the parties’  
view of the case may be altered during the face to face conference. Pitman, 216 F.R.D. at 486. An authorization  
to settle for a limited dollar amount or sum certain can be found not to comply with the requirement of full  
authority to settle. Nick v. Morgan’s Foods, Inc., 270 F.3d 590, 596-97 (8th Cir. 2001).

1 The confidential settlement statement shall be **no longer than five pages** in length,  
2 typed or neatly printed, and include the following:

- 3 a. A brief statement of the facts of the case.
- 4 b. A brief statement of the claims and defenses, i.e., statutory or other grounds upon  
5 which the claims are founded; a forthright evaluation of the parties' likelihood of  
6 prevailing on the claims and defenses; and a description of the major issues in  
7 dispute.
- 8 c. A summary of the proceedings to date.
- 9 d. An estimate of the cost and time to be expended for further discovery, pretrial, and  
10 trial.
- 11 e. The relief sought.
- 12 f. The party's position on settlement, including present demands and offers and a  
13 history of past settlement discussions, offers, and demands.
- 14 g. A brief statement of each party's expectations and goals for the settlement  
15 conference.

16 IT IS SO ORDERED.

17  
18 Dated: April 27, 2015

/s/ Gary S. Austin  
UNITED STATES MAGISTRATE JUDGE