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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

SCOTT K. RICKS,
Plaintiff,
v.
C. KAMENA, et al.,
Defendants.

Case No. 1:15-cv-00715-DAD-BAM (PC)
**FINDINGS AND RECOMMENDATIONS TO
DISMISS CERTAIN CLAIMS AND
DEFENDANTS**
FOURTEEN (14) DAY DEADLINE

Plaintiff Scott K. Ricks (“Plaintiff”) is a state prisoner proceeding pro se and in forma pauperis in this civil rights action pursuant to 42 U.S.C. § 1983. Plaintiff has consented to Magistrate Judge jurisdiction. (ECF No. 4.)

I. Procedural Background and Williams v. King

On July 6, 2016, the Court screened Plaintiff’s complaint and found that he stated a cognizable claim against Defendant Kamena for the failure to protect him from his cellmate’s attack as he was attacked, and for deliberate indifference to Plaintiff’s serious medical need following the attack, in violation of the Eighth Amendment. (ECF No. 16.) After Plaintiff notified the Court that he wished to proceed only on the claims found cognizable, the Court dismissed all other claims and defendants from this action. (ECF Nos. 17, 18.) This case has proceeded on Plaintiff’s Eighth Amendment claims against Defendant Kamena.

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1 On November 9, 2017, the Ninth Circuit Court of Appeals ruled that 28 U.S.C.
2 § 636(c)(1) requires the consent of all named plaintiffs and defendants, even those not served
3 with process, before jurisdiction may vest in a Magistrate Judge to dispose of a civil case.
4 Williams v. King, 875 F.3d 500 (9th Cir. 2017). Accordingly, the Ninth Circuit held that a
5 Magistrate Judge does not have jurisdiction to dismiss a case during screening even if the plaintiff
6 has consented to Magistrate Judge jurisdiction. Id.

7 Here, all Defendants were not yet served at the time that the Court screened the complaint
8 and therefore had not appeared or consented to Magistrate Judge jurisdiction. Because all
9 Defendants had not consented, the undersigned's dismissal of Plaintiff's claims is invalid under
10 Williams. Because the undersigned nevertheless stands by the analysis in the previous screening
11 order, she will below recommend to the District Judge that the non-cognizable claims be
12 dismissed.¹

13 **II. Findings and Recommendations on Complaint**

14 **A. Screening Requirement and Standard**

15 The Court is required to screen complaints brought by prisoners seeking relief against a
16 governmental entity and/or against an officer or employee of a governmental entity. 28 U.S.C.
17 § 1915A(a). Plaintiff's complaint, or any portion thereof, is subject to dismissal if it is frivolous
18 or malicious, if it fails to state a claim upon which relief may be granted, or if it seeks monetary
19 relief from a defendant who is immune from such relief. 28 U.S.C. § 1915A(b)(1), (2); 28 U.S.C.
20 § 1915(e)(2)(B)(ii).

21 A complaint must contain "a short and plain statement of the claim showing that the
22 pleader is entitled to relief. . . ." Fed. R. Civ. P. 8(a)(2). Detailed factual allegations are not
23 required, but "[t]hreadbare recitals of the elements of a cause of action, supported by mere
24 conclusory statements, do not suffice." Ashcroft v. Iqbal, 556 U.S. 662, 678 (2009) (citing Bell
25 Atlantic Corp. v. Twombly, 550 U.S. 544, 555 (2007)). While a plaintiff's allegations are taken

26 ¹ On October 2, 2017, Defendant Kamena filed a motion for summary judgment. (ECF No. 32.) As discussed
27 herein, these findings and recommendations are based upon a screening of the allegations in Plaintiff's complaint
28 pursuant to 28 U.S.C. § 1915A(a) and 28 U.S.C. § 1915(e)(2)(B), at the time that it was filed. The Court makes no
findings on the merits of the arguments, defenses, or affirmative defenses raised in the pending motion for summary
judgment. Separate findings and recommendations will issue on that motion in due course.

1 as true, courts “are not required to indulge unwarranted inferences.” Doe I v. Wal-Mart Stores,
2 Inc., 572 F.3d 677, 681 (9th Cir. 2009) (internal quotation marks and citation omitted).

3 Prisoners proceeding pro se in civil rights actions are entitled to have their pleadings
4 liberally construed and to have any doubt resolved in their favor. Hebbe v. Pliler, 627 F.3d 338,
5 342 (9th Cir. 2010) (citations omitted). To survive screening, Plaintiff’s claims must be facially
6 plausible, which requires sufficient factual detail to allow the Court to reasonably infer that each
7 named defendant is liable for the misconduct alleged, Iqbal, 556 U.S. at 678 (quotation marks
8 omitted); Moss v. U.S. Secret Serv., 572 F.3d 962, 969 (9th Cir. 2009). The sheer possibility that
9 a defendant acted unlawfully is not sufficient, and mere consistency with liability falls short of
10 satisfying the plausibility standard. Iqbal, 556 U.S. at 678 (quotation marks omitted); Moss, 572
11 F.3d at 969.

12 **B. Plaintiff’s Allegations**

13 Plaintiff is currently a state prisoner in custody at Salinas Valley State Prison in Soledad,
14 California. His complaint concerns events that occurred while he was housed at North Kern State
15 Prison. Plaintiff names the following Defendants: (1) Correctional Officer C. Kamena,
16 (2) Correctional Sergeant E. Gonzalez, (3) Correctional Lieutenant P. Davis, (4) Warden S.
17 Alfaro, (5) Chief of Appeals R. Briggs, and (6) Licensed Vocational Nurse (“LVN”) J. Angulo.
18 All Defendants are being sued in both their individual and official capacities.

19 **1. Beating and Treatment of Plaintiff**

20 On December 19, 2013, Plaintiff was transferred from San Bernardino County Jail to
21 North Kern State Prison. Plaintiff is white and was placed in a cell with inmate Scott Garcia, a
22 Hispanic gang member. Plaintiff got into numerous cell fights with inmate Garcia but custody
23 refused to separate them. On January 13, 2014, inmate Garcia was physically assaulted by two
24 other gang members in another cell. Upon inmate Garcia returning to his cell, Plaintiff alleges in
25 his complaint:

26 Garcia yelled at me, “You fucking racist!!!” and began hitting me
27 in the back of the head with a cup. This action caused me to have
an epileptic seizure.

28 A while later, as I regained consciousness, [inmate] Garcia was

1 standing over me, kicking me repeatedly. Warily, I somehow
2 managed to crawl to the cell door, and I began yelling,
3 “Correctional Officer, man down, Cell 120. Man down, Cell 120.”
4 After several moments, Correctional Officer C. Kamena came to
5 the door. As I was standing there at the door I had blood pouring
6 profusely from my nose, saturating my white t-shirt, I told C/O C.
7 Kamena, “My cellie just attacked me and I had a seizure! Please
8 help me! Open this door!!!”

9
10 Correctional Officer C. Kamena just laughed at me and shook his
11 head, spun on his heel, and walked away from the cell door, to the
12 Control Booth Tower, to talk to [Correctional Officer] Gentiles. I
13 heard him say, clear as a bell, “He says his cellie just attacked him,
14 what should I do?” (ECF No. 1, p. 4, 6–7.)

15
16 Plaintiff next alleges that Garcia threatened to kill him because he was a “rat” and began
17 hitting Plaintiff in the head with the cup again, while Plaintiff yelled for help. Plaintiff was then
18 knocked unconscious for a second time.

19
20 When Plaintiff regained consciousness, he was handcuffed and Licensed Vocational
21 Nurse (“LVN”) J. Angulo was using ammonia to revive him. Plaintiff’s left eye was swollen
22 almost shut and he was completely blind out of that eye. Plaintiff was taken to the holding cages
23 by the Facility “D” Program Office and “was glanced at,” (ECF No. 1, p. 8), by LVN Angelo.
24 Plaintiff told LVN Angelo that he had been attacked, had a seizure, and was blind out of his left
25 eye.

26
27 Plaintiff met with Sergeant Gonzalez and told him what had occurred. Plaintiff alleges
28 that Gonzalez told Plaintiff that he could either sign a marriage chrono,² or be placed in
Administrative Segregation until his Rules Violation Report (“RVR”) hearing. Plaintiff further
alleges that Sergeant Gonzalez asked whether Plaintiff was going to sign the chrono and go back
to the unit, or “go to the hole tonight and think about things?” (ECF No. 1, p. 8.) Plaintiff alleges
that he felt that he did not have a choice and signed the marriage chrono. Plaintiff was moved
two cells away from inmate Garcia. The next day, inmate Garcia yelled that he was going to kill
Plaintiff. Inmate Garcia then got into another fight with another cellmate, and was moved out of
the building that same day.

² “A statement that [Plaintiff] was comfortable being housed with [inmate Garcia].” (ECF No. 1, p. 8.)

1 Plaintiff received a copy of his RVR, written by Officer Kamera, on January 17, 2015, and
2 alleges that the report written by Kamena was a complete fabrication and contained nothing but
3 lies. Plaintiff requested that Officer Kamena be present at his RVR Hearing. Plaintiff had an
4 inmate testify to what that inmate saw at Plaintiff's hearing. Plaintiff tried to request almost
5 twenty other inmates who saw or heard the entire incident to testify during the RVR Hearing, but
6 that request was refused. Plaintiff further alleges that because of his severe mental disabilities, he
7 was assigned a staff assistant to assist him by conducting interviews and gather facts related to the
8 RVR. However, the staff assistant did not do anything to help him and did not conduct any
9 interviews.

10 Plaintiff alleges that his requests to see a doctor were refused from January 18, 2014 to
11 February 18, 2014. In between Plaintiff's requests, he had two more seizures. Plaintiff was then
12 seen by Dr. Austria, who did nothing and said that Plaintiff would be fine.

13 **2. Plaintiff's RVR Hearing and Appeal Process**

14 On February 19, 2014, Correctional Lieutenant P. Davis conducted Plaintiff's RVR
15 Hearing in her office. Plaintiff told Davis that the hearing was more than thirty days since he
16 received his copy of the RVR and Kamena was not present at the meeting, which Plaintiff claims
17 violated state laws. Plaintiff's witness, Inmate Carmony, testified for Plaintiff and reported a
18 similar allegation of events as Plaintiff. Plaintiff again requested that he be allowed to interview
19 Kamena and the twenty other inmates Plaintiff claims saw or heard the incident, but the request
20 was refused and Davis found Plaintiff guilty of the RVR.

21 Plaintiff filed an appeal in order to receive the final copy of the RVR so he could file a
22 staff complaint against Officer Kamena and Sergeant Gonzalez; Plaintiff also wanted inmate
23 Garcia listed as an enemy. That appeal was rejected. Plaintiff filed another appeal to receive the
24 final copy of the RVR which was rejected. On April 21, 2014, Plaintiff re-filed the appeal to
25 receive the final copy of the RVR, which was accepted. On April 29, 2014, he received the final
26 copy of the RVR. Lieutenant Davis fabricated and falsified statements on the final copy of the
27 RVR that Officer Kamena was called as a witness, and that Plaintiff had questioned him.

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1 On May 22, 2014, Plaintiff alleges he was called into the program office for the second
2 level interview with Davis. Plaintiff claims that this appeal was partially against Davis, and
3 therefore her conducting the interview violated his right to due process. This interview was
4 filmed, and inmate Carmony was also interviewed for the appeal. The appeal was partially
5 granted on June 30, 2014, stating that there was sufficient evidence to warrant an investigation of
6 a staff complaint against Kamena and Gonzalez. Plaintiff also states he filed a complaint with the
7 Government Claims Board.

8 On July 1, 2014, Plaintiff's appeal that was partially granted was filed with the Chief of
9 Appeals R. Briggs. On September 8, 2014, Plaintiff alleges that the appeal was "illegally rejected
10 by Chief of Appeals R. Briggs" (ECF No. 1, p. 16.) claiming that Plaintiff failed to attach two
11 CDC-1858 Rights and Responsibility Statements, which are required to be attached for a staff
12 complaint. Plaintiff alleges that the statements, dated May 5, 2014 were already attached to the
13 original appeal. Also, at the second level interview with Davis, Plaintiff filled out an additional
14 set of two forms and Davis signed them both as a witness.

15 On September 15, 2014, Plaintiff refiled the appeal with the Chief of Appeals Briggs. By
16 December 11, 2014, the appeal was over thirty-days overdue so Plaintiff filed a separate appeal
17 for the appeal being overdue. On December 15, 2014, Plaintiff's first appeal was rejected for a
18 second time. This time it was cancelled, barring it from being reviewed. Plaintiff alleges that it
19 was illegally rejected on the grounds that he had not filed the original appeal within the time
20 constraints required. Plaintiff alleges that on January 2, 2015, he filed a separate appeal for his
21 appeal that was filed seven months before, to be reviewed by Briggs. On January 18, 2015,
22 Plaintiff filed a separate appeal as a staff complaint against Briggs for violating Plaintiff's time
23 constraints and for illegally rejecting his original appeal on two separate occasions.

24 On April 2, 2015, Plaintiff received his original appeal and his appeal requesting that the
25 original appeal be reviewed by Briggs. Both were denied, exhausting Plaintiff's administrative
26 remedies. Plaintiff contents that he is still partially blind out of his left eye.

27 Plaintiff asserts that he brings claims for the violation of his right to be free from cruel and
28 unusual punishment under the Eighth Amendment, his right to due process of law under the

1 Fourteenth Amendment, his right to medical care and treatment under the Eighth Amendment,
2 and his right to be free from mental and emotional injury under the Eighth Amendment. He
3 specifically claims that Defendants Kamena and Gonzales failed to protect him and were
4 indifferent to his serious medical needs, that Defendant Angulo violated his right to medical care,
5 and that Defendants Davis, Briggs, and Alfaro violated his due process rights.

6 Plaintiff seeks a preliminary and permanent injunction to have Defendant Gonzalez,
7 Davis, Alfaro, Angulo, and Briggs fired from the California Department of Corrections
8 (“CDCR”). Plaintiff would also like inmate Garcia charged with: assault with intent to commit
9 great bodily injury, criminal threats with intent to terrorize, gang member enhancement, and that
10 it was a racially motivated crime. Plaintiff also seeks compensatory damages in the amount of
11 \$100,000 against each defendant and punitive damages in the amount of \$100,000 against each
12 defendant. Plaintiff further desires “a declaration that the acts and omissions described herein
13 violated Plaintiff’s rights under the constitution and law of the United States” (ECF No. 1, p. 22)
14 as well as a jury trial and Plaintiff’s costs in the suit.

15 **C. Discussion**

16 **1. 1983 Linkage Requirement**

17 The Civil Rights Act under which this action was filed provides:

18 Every person who, under color of [state law] ... subjects, or causes
19 to be subjected, any citizen of the United States ... to the
20 deprivation of any rights, privileges, or immunities secured by the
21 Constitution ... shall be liable to the party injured in an action at
law, suit in equity, or other proper proceeding for redress.

22 42 U.S.C. §1983. The statute plainly requires that there be an actual connection or link between
23 the actions of the defendants and the deprivation alleged to have been suffered by Plaintiff. See
24 Monell v. Dep’t of Soc. Servs., 436 U.S. 658 (1978); Rizzo v. Goode, 423 U.S. 362 (1976). The
25 Ninth Circuit has held that “[a] person ‘subjects’ another to the deprivation of a constitutional
26 right, within the meaning of section 1983, if he does an affirmative act, participates in another’s
27 affirmative acts, or omits to perform an act which he is legally required to do that causes the
28 deprivation of which complaint is made.” Johnson v. Duffy, 588 F.2d 740, 743 (9th Cir. 1978).

1 Plaintiff has failed to link Defendant S. Alfaro to any constitutional violation, since his
2 only allegation against that Defendant is the conclusory statement that he violated Plaintiff's right
3 to due process.

4 **2. Supervisory Liability Under Section 1983 – Warden S. Alfaro**

5 Insofar as Plaintiff brings suit against Defendant Alfaro based solely on his supervisory
6 role as warden, he may not do so. Supervisory personnel may not be held liable under section
7 1983 for the actions of subordinate employees based on respondeat superior or vicarious liability.
8 Crowley v. Bannister, 734 F.3d 967, 977 (9th Cir. 2013); accord Lemire v. Cal. Dep't of Corr. &
9 Rehab., 726 F.3d 1062, 1074–75 (9th Cir. 2013); Lacey v. Maricopa Cty., 698 F.3d 896, 915–16
10 (9th Cir. 2012) (en banc). A supervisor, such as the warden, may be liable under section 1983
11 upon a showing of (1) personal involvement in the constitutional deprivation or (2) a sufficient
12 causal connection between the supervisor's wrongful conduct and the constitutional violation.
13 Redman v. Cty. of San Diego, 942 F.2d 1435, 1446 (9th Cir.1991) (en banc) (citation omitted),
14 abrogated in part on other grounds by Farmer v. Brennan, 511 U.S. 825, 114 S. Ct. 1970, 128
15 L.Ed.2d 811 (1994). “Supervisory liability exists even without overt personal participation in the
16 offensive act if supervisory officials implement a policy so deficient that the policy “itself is a
17 repudiation of constitutional rights” and is “the moving force of the constitutional violation.”
18 Redman, 942 F.2d at 1146 (citations omitted). Thus, supervisory officials “cannot be held liable
19 unless they themselves” violated a constitutional right. Iqbal, 556 U.S. 662, 676 (2009).

20 Here, Plaintiff fails to allege facts showing that Warden Alfaro was personally involved in
21 Plaintiff's constitutional deprivation, or any connections between Alfaro's conduct and the
22 constitutional violation. As noted above, his conclusory allegation that Warden Alfaro violated
23 his due process rights is insufficient to support a claim.

24 **3. Eleventh Amendment – Official Capacity**

25 To the extent Plaintiff seeks to bring claims for damages against defendants in their
26 official capacities, he may not do so. The Eleventh Amendment prohibits suits for monetary
27 damages against a State, its agencies, and state officials acting in their official capacities.
28 Aholelei v. Dep't of Public Safety, 488 F.3d 1144, 1147 (9th Cir. 2007). As such, the Eleventh

1 Amendment bars any claim for monetary damages against defendants acting in their official
2 capacities.

3 **4. Eighth Amendment – Deliberate Indifference & Failure to Protect**

4 The Eighth Amendment protects prisoners from inhumane methods of punishment and
5 from inhumane conditions of confinement. Morgan v. Morgensen, 465 F.3d 1041, 1045 (9th Cir.
6 2005). Prison officials must provide prisoners with medical care and personal safety and must
7 take reasonable measures to guarantee the safety of the inmates. Farmer, 511 U.S. at 832–33, 114
8 S. Ct. at 1976 (internal citations and quotations omitted). In a “failure-to-protect” Eighth
9 Amendment violation claim, an inmate must show that a prison official’s act or omission (1) is
10 objectively, sufficiently serious, and (2) the official is deliberately indifferent to inmate’s health
11 or safety. Id. at 834, 1977; Hearns v. Terhune, 413 F.3d 1036, 1042 (9th Cir. 2005). The failure
12 of prison officials to protect inmates from attacks by other inmates may rise to the level of an
13 Eighth Amendment violation where prison officials know of and disregard a substantial risk of
14 serious harm to the plaintiff. E.g., Farmer, 522 U.S. at 847; Hearns, 413 F.3d at 1040.

15 Plaintiff alleges that he was placed in a cell with inmate Garcia against state law, and that
16 they had numerous fights, but “custody” refused to separate them. He was then attacked by
17 inmate Garcia on January 13, 2014. He links no particular prison official(s) to these matters, and
18 has not alleged sufficient factual detail to state a claim for the failure to prevent inmate Garcia’s
19 assault of him against any defendant.

20 Plaintiff has also failed to allege any claim against Defendant Gonzalez for deliberate
21 indifference to any risk to Plaintiff’s safety or a failure to protect him. As pleaded, Defendant
22 Gonzalez gave Plaintiff a choice to either sign a marriage chrono or to be placed in administrative
23 segregation, and Plaintiff opted to sign the chrono. Afterwards, Plaintiff was placed two cells
24 away from inmate Garcia, and inmate Garcia was moved out of the building the next day.
25 Plaintiff’s allegations do not indicate that Defendant Gonzalez was deliberately indifferent to
26 Plaintiff’s safety, nor did any harm occur to Plaintiff resulting from Defendant Gonzalez’s
27 actions.

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1 Plaintiff has sufficiently alleged a cognizable claim for failure to protect against
2 Defendant Kamena for allegedly failing to protect him as he was being attacked by his cellmate.

3 **5. Eighth Amendment—Deliberate Indifference to Serious Medical Needs**

4 Plaintiff alleges he had a serious medical need and the failure to treat his condition
5 resulted in further significant injury and “the ‘unnecessary and wanton infliction of pain.’” (ECF
6 No. 1, p. 20.) “[T]o maintain an Eighth Amendment claim based on prison medical treatment, an
7 inmate must show ‘deliberate indifference to serious medical needs.’” Jett v. Penner, 439 F.3d
8 1091, 1096 (9th Cir. 2006) (quoting Estelle, 429 U.S. at 104). The two part test for deliberate
9 indifference requires the plaintiff to show (1) “a ‘serious medical need’ by demonstrating that
10 failure to treat a prisoner's condition could result in further significant injury or the ‘unnecessary
11 and wanton infliction of pain,’ “and (2) “the defendant's response to the need was deliberately
12 indifferent.” Jett, 439 F.3d at 1096; Wilhelm v. Rotman, 680 F.3d 1113, 1122 (9th Cir. 2012).

13 Deliberate indifference is shown where the official is aware of a serious medical need and
14 fails to adequately respond. Simmons v. Navajo Cty., Ariz., 609 F.3d 1011, 1018 (9th Cir. 2010).
15 “A ‘serious’ medical need exists if the failure to treat a prisoner’s condition could result in further
16 significant injury or the ‘unnecessary and wanton infliction of pain.’ ” McGuckin v. Smith, 914
17 F.2d 1050, 1059 (9th Cir. 1991) (quoting Estelle, 429 U.S. at 104). “The existence of an injury
18 that a reasonable doctor or patient would find important and worthy of comment or treatment; the
19 presence of a medical condition that significantly affects an individual’s daily activities; or the
20 existence of chronic and substantial pain are examples of indications that a prisoner has a
21 ‘serious’ need for medical treatment.” Id. (citing Wood v. Housewright, 900 F.2d 1332, 1337–41
22 (9th Cir. 1990)); Hunt v. Dental Dept., 865 F.2d 198, 200–01 (9th Cir. 1989).

23 “Deliberate indifference is a high legal standard.” Id. at 1019; Toguchi v. Chung, 391
24 F.3d 1051, 1060 (9th Cir. 2004). The prison official must be aware of facts from which he could
25 make an inference that “a substantial risk of serious harm exists” and he must make the inference.
26 Farmer, 511 U.S. at 837. A deliberately indifferent response may be shown by allegations of
27 “(a) a purposeful act or failure to respond to a prisoner’s pain or possible medical need and
28 (b) harm caused by the indifference.” Id. In contrast, “mere negligence in diagnosing or treating

1 a medical condition, without more, does not violate a prisoner’s Eighth Amendment rights.” *Id.*

2 Plaintiff has failed to state a cognizable claim of deliberate indifference to serious medical
3 need against Defendant LVN Angulo. According to Plaintiff, when he was unconscious, LVN
4 Angulo revived him using ammonia. These allegations do not demonstrate a failure to respond to
5 Plaintiff’s condition at that point. After Plaintiff was taken to holding cages with a swollen eye,
6 he explained to LVN Angulo that he had been attacked, could not see out of his swollen eye, and
7 had a seizure, and LVN Angulo “glanced” at him. Plaintiff’s allegations that he had an eye
8 swollen to the point where he was blinded in that eye establish a serious medical need. Further,
9 the allegation that LVN Angulo only glanced at him, when liberally construed and taken as true,
10 sufficiently pleads a deliberately indifferent response. However, Plaintiff does not allege any
11 facts discussing whether and how he was harmed by LVN Angulo’s lack of response.

12 Plaintiff’s conclusory allegations that Defendant Gonzalez was deliberately indifferent to
13 his serious medical need, and that the failure to treat his condition resulted in further injury and
14 pain, are also insufficient to state a claim. Plaintiff fails to allege what Defendant Gonzalez did or
15 did not do that resulted in a violation of his rights.

16 Plaintiff does not name Dr. Austria as a defendant, but makes allegations that he was seen by
17 Dr. Austria who “did absolutely nothing for me, and said that I ‘would be fine.’” (ECF No. 1, p.
18 11.) To the extent Plaintiff attempts to state a claim against Dr. Austria, he has failed to do so.

19 Plaintiff has stated a claim for deliberate indifference to a serious medical need against
20 Officer Kamena for his alleged indifference to his injuries from being attacked by his cellmate.

21 **6. Fourteenth Amendment – Due Process**

22 **a. Heck Analysis**

23 Plaintiff is informed that to the extent his due process claims imply that his guilty finding
24 on his RVR was invalid, such claims may be Heck barred. In Heck, the United States Supreme
25 Court held that a section 1983 claim cannot proceed when “a judgment in favor of the plaintiff
26 would necessarily imply the invalidity of his conviction or sentence.” Heck v. Humphrey, 512
27 U.S. 477, 486–87 (1994). Accordingly, “a state prisoner’s [section] 1983 action is barred (absent
28 prior invalidation)—no matter the relief sought (damages or equitable relief), no matter the target

1 of the prisoner’s suit (state conduct leading to conviction or internal prison proceedings)—if
2 success in that action would necessarily demonstrate the invalidity of confinement or its
3 duration.” *Id.* at 81–82. The favorable termination rule, also known as the Heck bar, applies to
4 prison disciplinary proceedings if those proceedings resulted in the loss of good-time or behavior
5 credits. Edwards v. Balisok, 520 U.S. 641, 646–48 (1997). A prisoner’s section 1983 challenge
6 to disciplinary hearing procedures is barred if a judgment in his favor would necessarily imply the
7 invalidity of the resulting loss of good-time credits. Edwards v. Balisok, 520 U.S. 641, 646
8 (1997).

9 Here, Plaintiff does not directly allege whether the RVR Hearing resulted in the loss of
10 good-time or behavior credits. However, he makes various allegations concerning alleged
11 improprieties with his RVR, the RVR hearing, and the subsequent appeals process, including
12 state law and procedural violations, fabricated and falsified statements, and incorrect or falsified
13 findings that he failed to meet certain deadlines or provide certain necessary documentation.
14 Thus, Plaintiff appears to assert claims that would necessarily invalidate the guilty finding on his
15 RVR.

16 Plaintiff may not pursue any due process challenges that implicate the validity or duration
17 of his incarceration. Plaintiff may only pursue due process claims related to his RVR guilty
18 finding if he can demonstrate that his disciplinary conviction has been invalidated, Heck 512 U.S.
19 at 489, or if he can otherwise allege facts that show that success on his claims would not result in
20 a speedier release from his confinement. Wilkinson v. Dotson, 544 U.S. 74, 81–82 (2005).

21 **b. Due Process Violation**

22 Assuming Plaintiff’s due process claims are not Heck barred, the Due Process clause
23 provides prisoners two separate sources of protection against unconstitutional state disciplinary
24 actions. Ramirez v. Galaza, 334 F.3d 850, 860 (9th Cir. 2003). First, a prisoner may challenge a
25 disciplinary action which deprives or restrains a state-created liberty interest in some “unexpected
26 manner.” Sandin v. Conner, 515 U.S. 472, 483–84 (1995). Second, a prisoner may challenge a
27 state action which does not restrain a protected liberty interest, but which nonetheless imposes
28 some “atypical and significant hardship on the inmate in relation to the ordinary incidents of

1 prison life.” Sandin, 515 U.S. at 484; Keenan v. Hall, 83 F.3d 1083, 1088 (9th Cir. 1996). If the
2 hardship is sufficiently significant, then the court must determine whether the procedures used to
3 deprive that liberty satisfied Due Process. Sandin, 515 U.S. at 484; Keenan, 83 F.3d at 1089.

4 Here, Plaintiff fails to allege that the prison disciplinary action deprived him of any liberty
5 interest. Plaintiff also fails to allege that the prison system’s disciplinary action imposed any
6 “atypical and significant hardship” on him in relation to his prison life. Plaintiff’s conclusory
7 allegations that the RVR hearing and appeals process violated state law are insufficient to indicate
8 a Federal due process violation based on the Fourteenth Amendment.

9 As to procedural protections, due process requires prison officials to provide the inmate
10 with: (1) a written statement at least twenty-four hours before the disciplinary hearing that
11 includes the charges, a description of the evidence against the inmate, and an explanation for the
12 disciplinary action taken; (2) an opportunity to present documentary evidence and call witnesses,
13 unless calling witnesses would interfere with institutional security; and (3) legal assistance where
14 the charges are complex or the inmate is illiterate. See Wolff v. McDonnell, 418 U.S. 539,
15 536–70 (1974). Due Process is satisfied where the minimum requirements have been met and
16 where there is “some evidence” in the record as a whole which supports the decision of the
17 hearing officer.

18 It is well established that there is no constitutional right to a prison administrative appeal
19 or review system, see Ramirez v. Galaza, 334 F.3d 850, 860 (9th Cir. 2003); Mann v. Adams, 855
20 F.2d 639, 640 (9th Cir. 1988), and that a state’s creation of a prison administrative appeal or
21 review system does not implicate a liberty interest protected by the Due Process Clause. See
22 Antonelli v. Sheahan, 81 F.3d 1422, 1430 (7th Cir. 1996); Buckley v. Barlow, 997 F.2d 494, 495
23 (8th Cir. 1993). Prisoners also have no stand-alone due process right to the administrative
24 grievance process. See Mann v. Adams, 855 F.2d 639, 640 (9th Cir. 1988); see also Ramirez v.
25 Galaza, 334 F.3d 850, 860 (9th Cir. 2003) (holding that there is no liberty interest entitling
26 inmates to a specific grievance process). Actions in reviewing a prisoner’s administrative appeal
27 cannot serve as the basis for liability under section 1983. Buckley v. Barlow, 997 F.2d 494, 495
28 (8th Cir. 1993).

1 Plaintiff has alleged that he was provided a copy of his RVR about a month before his
2 hearing, that during his original RVR hearing, he was allowed to make statements to defend
3 himself and was also allowed to present a witness. He has alleged that he was denied other
4 witnesses, assigned staff assistance who was not helpful, but has not alleged sufficient factual
5 information to show whether these matters violated his due process rights under the standards
6 articulated above. His additional allegations that Defendant Davis fabricated and falsified that
7 Officer Kamena was called and questioned as a witness does not establish the necessary
8 deprivations of a liberty interest or atypical and significant hardship either.

9 **c. False Report by Defendant Kamena**

10 Plaintiff also alleges that Defendant Kamena's RVR against him was a complete
11 fabrication and contained nothing but lies. False charges alone are not actionable under § 1983
12 because falsely accusing an inmate of misconduct does not violate a right secured by the
13 Constitution or laws of the United States. Sandin, 515 U.S. at 472. An allegation of a false
14 charge that results in discipline that is not severe enough to amount to a deprivation of a protected
15 liberty interest under Sandin—that is, by imposing an atypical and significant hardship or by
16 inevitably affecting the duration of confinement—does not state a claim under § 1983. See Smith
17 v. Mensinger, 293 F.3d 641, 653–54 (3d Cir. 2002) (no § 1983 claim was stated for allegedly
18 false charges because the disciplinary confinement imposed was too short to amount to an
19 atypical and significant hardship under Sandin). Even if the false charge does result in discipline
20 that amounts to the deprivation of a protected liberty interest under Sandin, a § 1983 claim is not
21 stated if the inmate is afforded the procedural protections required by federal law at the
22 disciplinary hearing. See Smith, 293 F.3d at 654; Sprouse v. Babcock, 870 F.2d 450, 452 (8th
23 Cir. 1989); Freeman v. Rideout, 808 F.2d 949, 951 (2d Cir. 1986); Hanrahan v. Lane, 747 F.2d
24 1137, 1140–41 (7th Cir. 1984).

25 Here, Plaintiff's allegation that Defendant Kamena fabricated an RVR, without more, is
26 insufficient to state a claim under section 1983.

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