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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

DAVON ELIMU MCCOY,
Plaintiff,
v.
DR. H. TATE, et al.,
Defendants.

CASE NO. 1:15-cv-01428-MJS (PC)

ORDER

(1) GRANTING DEFENDANTS' EX PARTE APPLICATION FOR EXTENSION OF TIME;

(2) DIRECTING CLERK OF COURT TO ASSIGN DISTRICT JUDGE

AND

FINDINGS AND RECOMMENDATIONS TO GRANT IN PART DEFENDANTS' MOTION FOR SUMMARY JUDGMENT

(ECF Nos. 19, 20)

FOURTEEN-DAY DEADLINE

Plaintiff is a state prisoner proceeding pro se and in forma pauperis in this civil rights action brought pursuant to 42 U.S.C. § 1983. This matter proceeds on Plaintiff's First Amended Complaint, which was found to state Eighth Amendment medical indifference claims against Defendants Dr. Tate, Nurse De Luna, and Correctional Officers ("COs") Lenker, Hill, Twitty, Holland, and Lundy. (ECF No. 11.)

1 Pending now is Defendants' motion for summary judgment for Plaintiff's failure to
2 exhaust his administrative remedies. (ECF No. 20.) Plaintiff opposes the motion.

3 **I. Plaintiff's Allegations**

4 In May 2012, Plaintiff was transferred to California Correctional Institution ("CCI")
5 in Tehachapi, California. Plaintiff suffers from a seizure disorder and chronic pain, and
6 he needs several accommodations, including a ground floor cell, lower bunk bed,
7 frequent cell checks, and an assigned cellmate. Plaintiff's allegations may be
8 summarized as follows:

- 9 • In late-June 2012, Plaintiff's cellmate was taken to court, leaving Plaintiff
10 alone in his cell. Plaintiff told COs Holland, Hill, Lenker, Twitty, and Lundy
11 many times that he needed a cellmate because of his seizure disorder, but
12 the CO Defendants dismissed Plaintiff's request as a medical issue.
13 Plaintiff remained without a cell-mate during all times relevant to this
14 action.
- 15 • On or around July 2012, Dr. Tate abruptly and without medical reason
16 discontinued Plaintiff's seizure and pain medication and withdrew a walking
17 cane chrono.
- 18 • On October 16, 2012, Plaintiff notified Nurse De Luna and COs Holland
19 and Twitty that he felt he was going to have a seizure and might need
20 assistance. None of these Defendants took any precautions, and Plaintiff
21 then had a seizure at around 5 p.m. During the next several hours, the
22 Defendants would have passed by Plaintiff's cell multiple times. Despite
23 these many opportunities to check in on Plaintiff and despite calls for man-
24 down by a neighboring inmate, Plaintiff was not discovered until 11 p.m.

25 **II. Relevant Procedural Background**

26 Plaintiff initiated this action on September 16, 2015. On October 8, 2015,
27 Plaintiff's complaint was screened and found to state only an Eighth Amendment medical
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1 indifference claim against COs Holland and Twitty, but no other claims against any other
2 Defendant. (ECF No. 8.) Plaintiff was ordered to file either an amended complaint or
3 notify the Court of his willingness to proceed on the complaint as screened.

4 Plaintiff filed a First Amended Complaint on November 4, 2015, and it was
5 screened on February 29, 2016. (ECF Nos. 9, 11.) In the Screening Order, the
6 undersigned determined that Plaintiff had stated Eighth Amendment medical indifference
7 claims against Dr. Tate, Nurse Deluna, and COs Lenker, Hill, Twitty, Holland, and Lundy.
8 Plaintiff was again ordered to file either an amended complaint or notify the Court of his
9 willingness to proceed on the amended complaint as screened.

10 On March 11, 2016, Plaintiff filed a notice of his willingness to proceed on the
11 First Amended Complaint as screened. (ECF No. 12.) Accordingly, service was ordered
12 on the Defendants on April 18, 2016. (ECF No. 15.)

13 On November 8, 2016, the appearing Defendants¹ (De Luna, Holland, Lenker,
14 Lundy, Tate, and Twitty) filed a motion for summary judgment alleging Plaintiff had failed
15 to exhaust administrative remedies. (ECF No. 20.) These Defendants also filed an ex
16 parte application for an extension of time to respond to the amended pleading. Plaintiff
17 filed an opposition to the former motion but not the latter. (ECF No. 24.)

18 **III. Undisputed Facts**

19 At all relevant times, Plaintiff was an inmate housed at CCI. Defs.' Statement
20 Undisputed Facts ¶ 1. Following are inmate appeals filed by Plaintiff and processed at
21 CCI between July 2012 and July 2013:

22 **A. July 2012 Appeal: Dr. Tate**

23 In Appeal Log No. CCI HC 1203-4053, dated July 23, 2012, Plaintiff complained
24 about Dr. Tate's discontinuation of Plaintiff's medication and revocation of his walking
25 cane. Decl. of J. Long Decl. Ex. B (ECF No. 20-3 at 17-18).

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¹ On September 12, 2016, the summons was returned unexecuted as to Defendant Hill, who was retired and no longer at CCI at the time of attempted service. (ECF No. 12.)

1 Plaintiff's appeal was rejected on July 27, 2012, because it was illegible. Pl.'s
2 Opp'n Ex. D (ECF No. 24 at 45). After Plaintiff resubmitted the appeal, it was assigned to
3 the Health Care Appeals Office for response. See id. (ECF No. 24 at 45-46.)

4 Plaintiff was scheduled for an August 23, 2012, interview regarding his
5 allegations. Long Decl. Ex. B (ECF No. 20-3 at 20-21.) A medical progress note from Dr.
6 Tate dated that same day noted "Patient refused clinic visit. 602 Medical Appeal CCI HC
7 1203-4053 is thus CANCELED for Refusal To Appear for Interview." Id. (ECF No. 20-3 at
8 21.)

9 On September 12, 2012, Appeal Log No. CCI HC 1203-4053 appeal was formally
10 canceled at the first level of review by Dr. S. Shiesha, Chief Physician and Surgeon at
11 CCI, for failure to cooperate after noting that Plaintiff refused to be interviewed. Long
12 Decl. Ex. B (ECF No. 20-3 at 15-16.) The response gave Plaintiff instructions for
13 appealing the decision with a fourteen-day deadline. Id. Plaintiff did not appeal this
14 decision.

15 **B. August 2012 Appeal: "Disciplinary"**

16 Plaintiff's unspecified "disciplinary" appeal, assigned Appeal Log No. IAB No.
17 1201293, was received on August 6, 2012, and screened out on August 22, 2012, at the
18 third level for bypassing lower levels of review. Decl. of M. Voong ¶ 11a, Ex. B (ECF No.
19 20-2). This appeal was not resubmitted. Voong Decl. ¶ 11a.

20 **C. October 30, 2012, Appeal: Dr. Tate and CO Defendants**

21 In an appeal dated October 30, 2012, Plaintiff again accused Dr. Tate of
22 canceling Plaintiff's medication and taking his medical appliance. See Long Decl. Ex. A
23 (ECF No. 20-3 at 8-9). He also complained of the following:

24
25 And because I was being medically disregarded by state
26 medical & custody officials; I was housed in a cell by myself.
27 Therefore I was unable to call (man down!) whenever I had a
28 seizure. ... On 10/16/12, I informed several officers I was
feeling ill (to no avail.) 2nd & 3rd watch! That night (after dinner)
I had a seizure and no one responded until 1st watch did their
check (at 11:00 p.m.).

1 Id. at 9. Later in the appeal, Plaintiff sought “the names of every officer who worked in 5
2 building on 10/16/12” and “Every official who signed in that day....” Id.

3 On January 27, 2013, and June 30, 2013, Plaintiff filed CDCR 22 forms
4 (“Inmate/Parolee Request for Interview, Item or Service”) complaining that he had not yet
5 received a response to his October 2012 appeal and requesting the status of it. Long
6 Decl. Ex. A (ECF No. 20-3 at 10-11.) Each of these CDCR 22 forms was received by
7 staff on the days submitted, but there is no evidence that Plaintiff received a response to
8 either of these forms. See id.

9 On July 14, 2013, Plaintiff wrote a letter addressed to “To Whomever it May
10 Concern” again complaining that he had not yet received a response to his October 30,
11 2012, appeal. Long Decl. Ex. A (ECF No. 20-3 at 7.) It is not clear to whom this letter
12 was sent.

13 Plaintiff’s October 2012 appeal was received on July 18, 2013. Long Decl. Ex. A
14 (ECF No. 20-3 at 8-9). Once received, the appeal was treated as both a staff complaint
15 (as related to the correctional officers) and a health care complaint (as related to Dr.
16 Tate) and therefore assigned two different log numbers: Appeal Log No. CCI-0-13-01539
17 (Wood Decl. Ex. C [ECF No. 20-4 at 35]) and Appeal Log No. CCI HC 13035212 (Decl.
18 of J. Long in Supp. MSJ Ex. A [ECF No. 20-3 at 8-9]).

19 On July 26, 2013, the staff complaint, Log No. CCI-0-13-01539, was canceled as
20 untimely. Wood Decl. Ex. C (ECF No. 20-4 at 35). Also on July 26, 2013, the health care
21 complaint, Log No. CCI HC 13-035212, was canceled as untimely after noting the return
22 of Plaintiff’s earlier July 23, 2012, Appeal Log No. CCI HC 1203-4053. Long Decl. Ex. A
23 (ECF No. 20-3 at 6).

24 **D. November 9, 2012, Appeal: Confiscation of Personal Property**

25 In Appeal Log No. CCI-0-12-02751, dated on or around November 9, 2012,
26 Plaintiff complained about the destruction and/or taking of his personal property. Decl. of
27 J. Wood in Supp. MSJ Ex. A (ECF No. 20-4). This appeal was rejected on November 16,
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1 2012, after having been submitted on the incorrect form. See id. (ECF No. 20-4 at 8-9).
2 Plaintiff's re-submitted appeal was rejected on November 19, 2012, for containing
3 threatening, obscene, demeaning, or abusive language, and for including multiple
4 separate issues. Id. Plaintiff re-submitted the appeal a third time on November 30, 2012,
5 but ultimately withdrew it on February 21, 2013, after he received compensation for the
6 property. Id. (ECF No. 20-4 at 13).

7 **E. November 30, 2012, Appeal: Retaliation by CO Defendants**

8 In Appeal Log No. CCI-12-02949, filed November 30, 2012, Plaintiff accused COs
9 Holland, Twitty, Lenker and Hill of retaliatory conduct that occurred in September and
10 October 2012 following Plaintiff's verbal altercation with CO Holland over a TV speaker
11 wire. Voong Decl. Ex. A (ECF No. 20-2 at 8-10). Following threats from these COs,
12 Plaintiff feared for his safety and stayed in his cell 24 hours a day, declining showers,
13 yard time, medical, etc. He also claims COs Twitty and Hill denied him food. Plaintiff
14 concluded his appeal by stating "Situations of such, which eventually contributed to
15 Complainant's 'medical mishap,' to which almost ended Complainant's life!!" This appeal
16 bypassed the First Level of Review, was denied at the Second Level of Review, and
17 ultimately denied at the Director's Level of review on April 25, 2013. Id.

18 **IV. Legal Standards**

19 The court must grant a motion for summary judgment if the movant shows that
20 there is no genuine dispute as to any material fact and the moving party is entitled to
21 judgment as a matter of law. Fed. R. Civ. P. 56(a); Anderson v. Liberty Lobby, Inc., 477
22 U.S. 242, 247-48 (1986). Material facts are those that may affect the outcome of the
23 case. Anderson, 477 U.S. at 248. A dispute about a material fact is genuine if there is
24 sufficient evidence for a reasonable jury to return a verdict for the non-moving party. Id.
25 at 248-49.

26 The party moving for summary judgment bears the initial burden of informing the
27 court of the basis for the motion, and identifying portions of the pleadings, depositions,
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1 answers to interrogatories, admissions, or affidavits which demonstrate the absence of a
2 triable issue of material fact. Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986). To meet
3 its burden, “the moving party must either produce evidence negating an essential
4 element of the nonmoving party's claim or defense or show that the nonmoving party
5 does not have enough evidence of an essential element to carry its ultimate burden of
6 persuasion at trial.” Nissan Fire & Marine Ins. Co., Ltd. v. Fritz Cos., Inc., 210 F.3d 1099,
7 1102 (9th Cir. 2000); see Devereaux v. Abbey, 263 F.3d 1070, 1076 (9th Cir. 2001)
8 (“When the nonmoving party has the burden of proof at trial, the moving party need only
9 point out ‘that there is an absence of evidence to support the nonmoving party's case.’”)
10 (quoting Celotex, 477 U.S. at 325).

11 If the moving party meets its initial burden, the burden shifts to the non-moving
12 party to produce evidence supporting its claims or defenses. Nissan Fire & Marine Ins.
13 Co., Ltd., 210 F.3d at 1103. The non-moving party may not rest upon mere allegations or
14 denials of the adverse party's evidence, but instead must produce admissible evidence
15 that shows there is a genuine issue of material fact for trial. See Devereaux, 263 F.3d at
16 1076. If the non-moving party does not produce evidence to show a genuine issue of
17 material fact, the moving party is entitled to summary judgment. See Celotex, 477 U.S.
18 at 323.

19 Generally, when a defendant moves for summary judgment on an affirmative
20 defense on which he bears the burden of proof at trial, he must come forward with
21 evidence which would entitle him to a directed verdict if the evidence went
22 uncontroverted at trial. See Houghton v. South, 965 F.2d 1532, 1536 (9th Cir. 1992).
23 The failure to exhaust administrative remedies is an affirmative defense that must be
24 raised in a motion for summary judgment rather than a motion to dismiss. See Albino v.
25 Baca, 747 F.3d 1162, 1166 (9th Cir. 2014) (en banc). On a motion for summary
26 judgment for nonexhaustion, the defendant has the initial burden to prove “that there
27 was an available administrative remedy, and that the prisoner did not exhaust that
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1 available remedy.” Id. at 1172. If the defendant carries that burden, the “burden shifts to
2 the prisoner to come forward with evidence showing that there is something in his
3 particular case that made the existing and generally available administrative remedies
4 effectively unavailable to him.” Id. The ultimate burden of proof remains with the
5 defendant, however. Id. If material facts are disputed, summary judgment should be
6 denied, and the “judge rather than a jury should determine the facts” on the exhaustion
7 question, id. at 1166, “in the same manner a judge rather than a jury decides disputed
8 factual questions relevant to jurisdiction and venue,” id. at 1170-71.

9 In ruling on a motion for summary judgment, inferences drawn from the underlying
10 facts are viewed in the light most favorable to the non-moving party. Matsushita Elec.
11 Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 587 (1986).

12 A verified complaint may be used as an opposing affidavit under Rule 56, as long
13 as it is based on personal knowledge and sets forth specific facts admissible in
14 evidence. See Schroeder v. McDonald, 55 F.3d 454, 460 & nn.10-11 (9th Cir. 1995)
15 (treating plaintiff’s verified complaint as opposing affidavit where, even though
16 verification not in conformity with 28 U.S.C. § 1746, plaintiff stated under penalty of
17 perjury that contents were true and correct, and allegations were not based purely on his
18 belief but on his personal knowledge). Plaintiff’s pleading is signed under penalty of
19 perjury and the facts therein are evidence for purposes of evaluating the defendants’
20 motion for summary judgment.

21 **V. Analysis**

22 “No action shall be brought with respect to prison conditions under [42 U.S.C. §
23 1983], or any other Federal law, by a prisoner confined in any jail, prison, or other
24 correctional facility until such administrative remedies as are available are exhausted.”
25 42 U.S.C. § 1997e(a). Exhaustion in prisoner cases covered by § 1997e(a) is
26 mandatory. Porter v. Nussle, 534 U.S. 516, 524 (2002); Ross v. Blake, 136 S. Ct. 1850,
27 1856-57 (2016) (mandatory language of § 1997e(a) forecloses judicial discretion to craft
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1 exceptions to the requirement). All available remedies must be exhausted; those
2 remedies “need not meet federal standards, nor must they be ‘plain, speedy, and
3 effective.” Porter, 534 U.S. at 524. Even when the prisoner seeks relief not available in
4 grievance proceedings, notably money damages, exhaustion is a prerequisite to suit. Id.;
5 Booth v. Churner, 532 U.S. 731, 741 (2001). Section 1997e(a) requires “proper
6 exhaustion” of available administrative remedies. Woodford v. Ngo, 548 U.S. 81, 93
7 (2006). Proper exhaustion requires using all steps of an administrative process and
8 complying with “deadlines and other critical procedural rules.” Id. at 90.

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10 The State of California provides its inmates and parolees the right to appeal
11 administratively “any policy, decision, action, condition, or omission by the department or
12 its staff that the inmate or parolee can demonstrate as having a material adverse effect
13 upon his or her health, safety, or welfare.” Cal. Code Regs. tit. 15, § 3084.1(a). In order
14 to exhaust available administrative remedies, a prisoner must proceed through three
15 formal levels of appeal and receive a decision from the Secretary of the CDCR or his
16 designee. Id. § 3084.1(b), § 3084.7(d)(3).

17 The amount of detail in an administrative grievance necessary to properly exhaust
18 a claim is determined by the prison's applicable grievance procedures. Jones v. Bock,
19 549 U.S. 199, 218 (2007); see also Sapp v. Kimbrell, 623 F.3d 813, 824 (9th Cir. 2010)
20 (“To provide adequate notice, the prisoner need only provide the level of detail required
21 by the prison's regulations”). California prisoners are required to lodge their
22 administrative complaint on a CDCR-602 form (or a CDCR-602 HC form for a health-
23 care matter). The level of specificity required in the appeal is described in a regulation:

24 The inmate or parolee shall list all staff member(s) involved
25 and shall describe their involvement in the issue. To assist in
26 the identification of staff members, the inmate or parolee
27 shall include the staff member's last name, first initial, title or
28 position, if known, and the dates of the staff member's
involvement in the issue under appeal. If the inmate or
parolee does not have the requested identifying information
about the staff member(s), he or she shall provide any other

1 available information that would assist the appeals
2 coordinator in making a reasonable attempt to identify the
3 staff member(s) in question. [¶] The inmate or parolee shall
4 state all facts known and available to him/her regarding the
5 issue being appealed at the time of submitting the
6 Inmate/Parolee Appeal form, and if needed, the
7 Inmate/Parolee Appeal Form Attachment.

8 Cal. Code Regs. tit. 15, § 3084.2(a)(3-4).²

9 Exhaustion of administrative remedies may occur if, despite the inmate's failure to
10 comply with a procedural rule, prison officials ignore the procedural problem and render
11 a decision on the merits of the grievance at each available step of the administrative
12 process. Reyes v. Smith, 810 F.3d 654, 658 (9th Cir. 2016); e.g., id. at 659 (although
13 inmate failed to identify the specific doctors, his grievance plainly put prison on notice
14 that he was complaining about the denial of pain medication by the defendant doctors,
15 and prison officials easily identified the role of pain management committee's
16 involvement in the decision-making process).

17 The Defendants have moved for summary judgment as to each of Plaintiff's three
18 claims: (1) In June 2012, COs Holland, Hill, Lenker, Twitty, and Lundy denied Plaintiff's
19 requests for a cell-mate despite knowing that it was a medical issue; (2) In July 2012, Dr.
20 Tate discontinued Plaintiff's seizure and pain medication and withdrew a walking cane
21 chrono; and (3) On October 16, 2012, Nurse De Luna and COs Holland and Twitty took

22 ² Several Ninth Circuit cases have referred to California prisoners' grievance procedures as not specifying the level
23 of detail necessary and instead requiring only that the grievance "describe the problem and the action requested."
24 See Wilkerson v. Wheeler, 772 F.3d 834, 839 (9th Cir. 2014) (quoting Cal. Code Regs. tit. 15, § 3084.2); Sapp, 623
25 F.3d at 824 ("California regulations require only that an inmate 'describe the problem and the action requested.'
26 Cal. Code Regs. tit. 15, § 3084.2(a)"); Griffin v. Arpaio, 557 F.3d 1117, 1120 (9th Cir. 2009) (when prison or jail's
27 procedures do not specify the requisite level of detail, "a grievance suffices if it alerts the prison to the nature of
28 the wrong for which redress is sought"). Those cases are distinguishable because they did not address the
29 regulation as it existed at the time of the events complained of in Plaintiff's pleading. Section 3084.2 was amended
30 in 2010 (with the 2010 amendments becoming operative on January 28, 2011), and those amendments included
31 the addition of subsection (a)(3). See Cal. Code Regs. tit. 15, § 3084.2 (history notes 11-12 providing operative date
32 of amendment). Wilkerson and Sapp used the pre-2011 version of section 3084.2, as evidenced by their statements
33 that the regulation required the inmate to "describe the problem and the action requested" – a phrase that does
34 not exist in the version of the regulation in effect in and after 2011. Griffin is distinguishable because it discussed
35 the Maricopa County Jail administrative remedies rather than the CDCR's administrative remedies. Whatever the
36 former requirements may have been in the CDCR and whatever requirements may still exist in other facilities, since
37 January 28, 2011, the operative regulation has required California prisoners using the CDCR's inmate appeal system
38 to list the name(s) of the wrongdoer(s) in their administrative appeals.

1 no precautions after Plaintiff told them that he was going to have a seizure, and Plaintiff
2 was not discovered for hours after he had a seizure that same day.

3 In support of their motion, Defendants have submitted evidence showing that
4 Plaintiff did not properly exhaust administrative remedies as to any claim because he did
5 not file any inmate appeal that received a decision from the third, or highest, level in the
6 inmate appeals system. The Defendants have also demonstrated that the only inmate
7 appeal completed through the third level of review during the relevant time period
8 concerned allegations not at issue in this case. The Defendants have thus carried their
9 burden to demonstrate that there were available administrative remedies for Plaintiff and
10 that Plaintiff did not properly exhaust those available remedies as to any of his claims.
11 The undisputed evidence shows that California provides an administrative-remedies
12 system for California prisoners to complain about their conditions of confinement, and
13 that Plaintiff used that California inmate-appeal system to complain about other events
14 unrelated to his complaints here.

15 Once the Defendants met their initial burden, the burden shifted to Plaintiff to
16 come forward with evidence showing that something in his particular case made the
17 existing administrative remedies effectively unavailable to him. See Albino, 747 F.3d at
18 1172. In his opposition, Plaintiff asserts five grounds for relief: (1) Defendants failed to
19 provide him with a Rand notice, (2) Plaintiff told as many people as possible about his
20 issues but they failed to act; (3) the July 2012 appeal was improperly dismissed; (4) the
21 November 30, 2012, Appeal exhausted Plaintiff's claims as to the correctional officers;
22 and (5) the October 2012 appeal attempted to exhaust all of Plaintiff's claims but staff's
23 failure to respond to this appeal rendered his administrative remedies effectively
24 unavailable.

25 **A. Rand Notice**
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1 Plaintiff first argues that Defendants' motion for summary judgment should be
2 denied because Defendants failed to properly serve with him a notice pursuant to Rand
3 v. Rowland, 154 F.3d 952 (9th Cir. 1998). The record does not support this claim.

4 On November 8, 2016, Defendants filed their motion for summary judgment. (ECF
5 No. 20.) Contemporaneous with their motion they filed a notice advising Plaintiff of the
6 requirements for opposing a motion brought pursuant to Rule 56 of the Federal Rules of
7 Civil Procedure. (ECF No. 21.) This notice included a certificate of service showing that
8 Plaintiff was served with the notice on the same date that he was served with the motion
9 for summary judgment. Under these facts, the Court finds that Defendants properly
10 served Plaintiff with the Rand warning.

11 **B. Plaintiff Informed Others**

12 Plaintiff next claims that he told as many people as possible about his issues but
13 no one ever helped him. Plaintiff's vocalization of his problems to various individuals,
14 however, does not comply with the CDCR's administrative grievance process. Cal. Code
15 Regs. tit. 15, §§ 3084.2, 3084.3(a), 3084.8(b). As noted supra, compliance with section
16 1997e(a) is mandatory and strictly construed. Woodford, 548 U.S. at 85-86; Sapp, 623
17 F.3d at 818.

18 **C. The July 2012 Appeal**

19 Plaintiff's third claim is that he attempted to exhaust his administrative remedies
20 as to Dr. Tate, but the July 2012 appeal was improperly canceled by Defendant Tate
21 without authority, and the cancelation was based on the false assertion that Plaintiff
22 refused to be interviewed. This claim is premised on Dr. Tate's progress note that
23 Plaintiff's appeal was "CANCELED" due to a refusal to visit the clinic.

24 Plaintiff's argument is unavailing for two reasons. First, notwithstanding the
25 medical progress note, Plaintiff's July 2012 appeal was not canceled by Dr. Tate, but
26 instead by Dr. Sheisha in a formal institutional response. As to Dr. Tate's involvement in
27 the review process, Dr. Sheisha wrote, "In accordance with the CCR, Title 15, Section
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1 3084.7(d)(1)(A), Dr. Tate is not precluded from involvement in the appeal response if
2 necessary to determine facts, provide administrative remedy, does not compromise the
3 integrity of the process, and is not the reviewing authority.” Long Decl. Ex. B (ECF No.
4 20-3 at 15).

5 Second, while Plaintiff denies that he refused an interview, he submits no
6 evidence that he sought to correct the cancelation or Dr. Tate’s involvement in the
7 appeal by filing an appeal to the second level of review.

8 Since Plaintiff did not avail himself of that right through the administrative review
9 process, the Court finds that this appeal cannot and did not serve to exhaust Plaintiff’s
10 claim as to Dr. Tate.

11 **D. The November 30, 2012, Appeal**

12 Plaintiff next attempts to meet his burden by asserting that the November 30,
13 2012, appeal “will definitely show that plaintiff also complained in that 602 appeal, that
14 he was denied access to medical care via the named defendants listed within the
15 appeal....” Pl.’s Resp. to DSUF ¶ 13 (ECF No. 24 at 21). This argument lacks merit. At
16 no point in the November 30, 2012, appeal does Plaintiff state or even suggest that the
17 correctional officer Defendants denied him a cell-mate or acted improperly on October
18 16, 2012. Plaintiff’s vague assertion in the appeal that “Situations of such which
19 eventually contributed to [Plaintiff]’s ‘medical mishap,’ to which almost ended [Plaintiff]’s
20 life” fails to meet his burden under the regulations. In this appeal, Plaintiff did not
21 “provide the level of detail required by the prison’s regulations,” Sapp, 623 F.3d at 824,
22 and therefore did not properly exhaust his administrative remedies as to the correctional
23 officer Defendants. See Ngo, 548 U.S. at 90.

24 **E. October 2012 Appeal**

25 Finally, Plaintiff contends that he attempted to exhaust his administrative
26 remedies with his October 30, 2012, appeal but such remedies were effectively
27 unavailable because CCI staff was unresponsive.
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1 Exhaustion, of course, is not required where circumstances render administrative
2 remedies “effectively unavailable.” Sapp, 623 F.3d at 822-23. To satisfy this exception, a
3 plaintiff may show that officials failed to respond to a properly filed grievance in a timely
4 manner. Vlasich v. Reynoso, 01-cv-5197 AWI LJO, 2006 WL 3762055, at *3 (E.D. Cal.
5 Dec. 20, 2006) (citing Circuit Court decisions holding that a prisoner’s administrative
6 remedies are exhausted when prison officials fail to timely respond to a properly filed
7 grievance.) In support of this claim, Plaintiff relies on the date of his appeal, and on the
8 January 27, 2013, and June 30, 2013, CDCR 22 forms that he submitted asking for the
9 status of his appeal.

10 In their Reply, Defendants suggest the October 2012 appeal and the related
11 CDCR 22 forms were post-dated and/or doctored and submitted at the same time, as
12 evidenced by the July 18, 2013, institutional receipt date on the appeal.

13 Of course, the institutional receipt is not dispositive on the question of when
14 Plaintiff submitted the appeal, particularly in light of the signature receipts on the CDCR
15 22 forms submitted by Plaintiff. Moreover, the determination of whether Plaintiff properly
16 submitted the October 2012 appeal turns on the relative credibility of the parties. There
17 thus exists then a genuine dispute of material fact that cannot be resolved in this motion.
18 Accordingly, the undersigned will recommend that Defendants’ motion for summary
19 judgment be denied as to some, though not all, of Plaintiff’s claims, as explained more
20 fully infra.

21 Assuming that Plaintiff can successfully carry his burden and show that
22 administrative remedies were effectively unavailable in relation to this October 2012
23 appeal, the allegations contained therein do not encompass all of Plaintiff’s claims in this
24 case. For example, the appeal makes no reference to or even remotely suggests the
25 involvement of Nurse De Luna in the October 16, 2012, incident. By limiting the universe
26 of individuals involved to “officers” on the Second and Third watch, Plaintiff again failed
27 to provide the level of detail required by the prison’s regulations. Plaintiff therefore did
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1 not properly exhaust his administrative remedies against Nurse De Luna. See Ngo, 548
2 U.S. at 90-91 (“Proper exhaustion demands compliance with an agency's deadlines and
3 other critical procedural rules because no adjudicative system can function effectively
4 without imposing some orderly structure on the course of its proceedings”). See also Cal.
5 Code Regs. tit. 15, § 3084.2(a)(3-4) (inmate “shall list all staff member(s) involved and
6 shall describe their involvement in the issue” or include any information to help identify
7 them, and “shall state all facts known and available to him/her regarding the issue being
8 appealed”).

9 Summary judgment is also appropriate for Dr. Tate. That portion of the October
10 2012 appeal concerning his conduct was construed as a health care complaint and
11 canceled pursuant to CCR, Title 15, Section 308.6(c)(4) for exceeding time limits for
12 submitting an appeal. The time limit was not calculated based on the July 2013 receipt
13 date of the appeal, but instead on the return date of the earlier July 2012 appeal. That
14 earlier July 2012 appeal was specifically referenced in the cancelation of the October
15 2012 appeal: “Appeal CCI HC 12034053 submitted by you on 7/23/12, addressed health
16 care issues regarding appliances and medications. Appeal was completed and returned
17 to you on 9/13/12.” The September 2012 cancelation notice gave Plaintiff fourteen-days
18 to appeal the cancelation, but he did not re-assert his claims until October 30, 2012, far
19 beyond the fourteen-day timeframe. It is because of that delay that his claims against Dr.
20 Tate were deemed untimely. See Ngo, 548 U.S. at 90-91; Cal. Code Regs. tit. 15, §
21 3084.4. Under these circumstances, the October 2012 appeal cannot serve to exhaust
22 Plaintiff’s administrative remedies as to Dr. Tate.

23 **VI. Evidentiary Hearing**

24 Where disputes of fact preclude summary judgment regarding exhaustion, a
25 defendant may seek to have such disputes resolved by a judge through an evidentiary
26 hearing. Albino, 747 F.3d at 1169-71. However, Defendants have not requested such a
27 hearing. Because exhaustion is an affirmative defense, see Jones, 549 U.S. at 216
28

1 (failure to exhaust is an affirmative defense), the undersigned will not recommend further
2 proceedings on this defense absent a request by Defendants. Defendants reserve the
3 right to seek such a hearing via objections to these findings and recommendation.

4 **VII. Conclusion**

5 In light of the foregoing, IT IS HEREBY ORDERED that:

- 6 1. Defendants' ex parte application for extension of time (ECF No. 19) is
7 GRANTED;
8 2. The Clerk of Court is directed to assign a district judge to this case; and

9 IT IS HEREBY RECOMMENDED that:

- 10 1. Defendants' motion for summary judgment be GRANTED IN PART and
11 DENIED IN PART as follows:

12 a. Defendants' motion be GRANTED and judgment be entered for:

- 13 i. Nurse De Luna on Plaintiff's claim that she failed to properly
14 respond to Plaintiff's medical needs on October 16, 2012; and
15 ii. Dr. Tate regarding Plaintiff's claim that this Defendant improperly
16 discontinued Plaintiff's medication and revoked a walking cane.

17 b. Defendants' motion be DENIED as to:

- 18 i. COs Lenker, Twitty, Holland, and Lundy on Plaintiff's claim that
19 they denied him a cellmate; and
20 ii. COs Holland and Twitty on Plaintiff's claim that they failed to
21 take precautions before he suffered a seizure on October 16,
22 2016, and/or failed to check in on him at any time thereafter.

- 23 2. Defendants Lenker, Twitty, Holland, and Lundy be required to file a responsive
24 pleading or motion within fourteen days of the order adopting these findings
25 and recommendations.

26 The findings and recommendations will be submitted to the United States District
27 Judge assigned to the case, pursuant to the provisions of Title 28 U.S.C. § 636(b)(1).
28

1 Within fourteen (14) days after being served with the findings and recommendations, the
2 parties may file written objections with the Court. The document should be captioned
3 “Objections to Magistrate Judge’s Findings and Recommendations.” A party may
4 respond to another party’s objections by filing a response within fourteen (14) days after
5 being served with a copy of that party’s objections. The parties are advised that failure to
6 file objections within the specified time may result in the waiver of rights on appeal.
7 Wilkerson v. Wheeler, 772 F.3d 834, 839 (9th Cir. 2014) (citing Baxter v. Sullivan, 923
8 F.2d 1391, 1394 (9th Cir. 1991)).

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10 IT IS SO ORDERED.

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12 Dated: February 27, 2017

/s/ Michael J. Seng
13 UNITED STATES MAGISTRATE JUDGE
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