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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

SAMUEL ANTHONY ACINELLI, JR.,
Plaintiff,
v.
ULYSSES VILLAMIL BANIGA, et al.,
Defendants.

Case No. 1:15-cv-01616-AWI-MJS (PC)
**FINDINGS AND RECOMMENDATIONS TO
GRANT IN PART AND DENY IN PART
DEFENDANTS' MOTION FOR SUMMARY
JUDGMENT**
(ECF NO. 20)
FOURTEEN DAY OBJECTION DEADLINE

Plaintiff is state prisoner proceeding pro se and in forma pauperis in this civil rights action pursuant to 42 U.S.C. § 1983. The action proceeds against Defendants Baniga and Hill on Plaintiff's Eighth Amendment claims for medical indifference, and against Defendant Baniga on Plaintiff's claims arising under state tort law. Although the parties to the instant motion have consented to Magistrate Judge jurisdiction, other unserved defendants named in the operative pleading have not.

Before the Court is Defendants' August 14, 2017 motion for summary judgment. (ECF No. 20.) On November 17, 2017, Plaintiff filed an opposition. (ECF No. 26.) Defendants reply was due seven days later, on November 24, 2017. Local Rule 230(f). However, because November 24, 2017, was a holiday, the reply was instead due on

1 Monday, November 27, 2017. Fed. R. Civ. P. 6(a)(1)(C). Despite this deadline,
2 Defendants filed their untimely reply and objections to Plaintiff's evidence on January 25,
3 2018. (ECF Nos. 27- 29.)

4 The matter is submitted. Local Rule 230(J). For the reasons stated below, the
5 undersigned will recommend that the motion be denied.

6 **I. Defendants' Late Filings**

7 As stated above, Defendants' reply was due by November 27, 2017. Defendants'
8 January 25, 2018 filings were thus nearly two months late. The filings are untimely and
9 no cause has been shown to excuse this defect. The Court will not consider them.

10 The Court will nevertheless independently consider whether Plaintiff's
11 submissions are adequate and sufficient to defeat summary judgment. Only evidence
12 that would be admissible may be considered in ruling on a motion for summary
13 judgment. Orr v. Bank of America, 285 F.3d 764, 773 (9th Cir. 2002); see also Fed. R.
14 Civ. P. 56(c). In determining admissibility for summary judgment purposes, it is the
15 contents of the evidence rather than its form that must be considered. Fraser v. Goodale,
16 342 F.3d 1032, 1036-37 (9th Cir. 2003). If the contents of the evidence could be
17 presented in an admissible form at trial, those contents may be considered on summary
18 judgment even if the evidence itself is hearsay. Id. (affirming consideration of hearsay
19 contents of plaintiff's diary on summary judgment because, at trial, plaintiff's testimony of
20 contents would not be hearsay). However, a court may not consider inadmissible
21 hearsay evidence which could not be presented in an admissible form at trial. See
22 Medina v. Multaler, Inc., 547 F. Supp. 2d 1099, 1122 (C.D. Cal. 2007) ("Medina bases
23 much of her declaration on hearsay evidence that will not be admissible at trial. Medina
24 will not, for example, be able to take the witness stand at trial and recount statements
25 that constitute hearsay, double hearsay, or triple hearsay.").

26 The Court has applied these principles in evaluating the evidence presented by
27 Plaintiff.

1 **II. Legal Standard on Summary Judgment**

2 Any party may move for summary judgment, and the Court shall grant summary
3 judgment if the movant shows that there is no genuine dispute as to any material fact
4 and the movant is entitled to judgment as a matter of law. Fed. R. Civ. P. 56(a); Wash.
5 Mut. Inc. v. United States, 636 F.3d 1207, 1216 (9th Cir. 2011). Each party’s position,
6 whether it be that a fact is disputed or undisputed, must be supported by (1) citing to
7 particular parts of materials in the record, including but not limited to depositions,
8 documents, declarations, or discovery; or (2) showing that the materials cited do not
9 establish the presence or absence of a genuine dispute or that the opposing party
10 cannot produce admissible evidence to support the fact. Fed R. Civ. P. 56(c)(1).

11 Plaintiff bears the burden of proof at trial, and to prevail on summary judgment, he
12 must affirmatively demonstrate that no reasonable trier of fact could find other than for
13 him. Soremekun v. Thrifty Payless, Inc., 509 F.3d 978, 984 (9th Cir. 2007). Defendants
14 do not bear the burden of proof at trial and, in moving for summary judgment, they need
15 only prove an absence of evidence to support Plaintiff’s case. In re Oracle Corp. Secs.
16 Litig., 627 F.3d 376, 387 (9th Cir. 2010).

17 In judging the evidence at the summary judgment stage, the Court may not make
18 credibility determinations or weigh conflicting evidence, Soremekun, 509 F.3d at 984,
19 and it must draw all inferences in the light most favorable to the nonmoving party,
20 Comite de Jornaleros de Redondo Beach v. City of Redondo Beach, 657 F.3d 936, 942
21 (9th Cir. 2011).

22 **III. Plaintiff’s Allegations**

23 In relevant part, Plaintiff’s allegations may be summarized essentially as follows:

24 Plaintiff is fifty years old and suffers from numerous gastrointestinal issues
25 stemming from complications of a 1985 operation for a major upper gastrointestinal
26 bleed caused by ulcers. During that surgery, Plaintiff had a two-thirds gastrectomy
27 (partial removal of the stomach), a vagotomy (cutting of the vagus nerve), and a
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1 pyloroplasty with duodenal oversew (widening of the opening between the stomach and
2 small intestine).

3 Because of Plaintiff's surgery, he suffers from dumping syndrome and must
4 closely monitor his diet. Otherwise, he experiences tachycardia (an elevated heart rate),
5 stomach and rectal spasms, profuse sweating, extreme bloating, nausea, dizziness, and
6 colorectal and esophageal damage.

7 Plaintiff originally received a Therapeutic Special Diet Chrono on August 26,
8 2010, from the CCI Facility-D Medical Clinic after medical testing by primary care
9 provider ("PCP") and non-party Dr. El Said. Dr El Said concluded that Plaintiff indeed
10 suffered from dumping syndrome. Non-party Chief Medical Officer Dr. Clark approved
11 the August 26, 2010, Therapeutic Special Diet Chrono.

12 From August 26, 2010 to January 17, 2012, Plaintiff did not receive a special diet,
13 and on January 17, 2012, he was transferred to the California Institution for Men ("CIM")
14 in Chino, California. Plaintiff did not receive a special diet at CIM either. Plaintiff was
15 eventually transferred back to CCI.

16 At some point after being transferred back to CCI, Plaintiff did eventually begin
17 again receiving special diet and nutritional supplements¹, but on November 7, 2014,
18 Defendant Dr. Baniga directed Plaintiff's PCP, Defendant Dr. Hill, to discontinue the
19 Special Diet Chrono and deny Plaintiff a consultation with a gastroenterologist for a
20 colonoscopy. Dr. Hill told Plaintiff that Dr. Baniga did not think dumping syndrome
21 required a special diet and supplements; Dr. Baniga also allegedly cited to costs as a
22 reason for the discontinuation.

23 On several occasions, including November 7 and December 26, 2014, and during
24 the third week of February 2015, Plaintiff described to Drs. Baniga and Hill in detail the
25 pain and discomfort he experienced due to his gastrointestinal issues. However, neither
26 Defendant re-instated the Special Diet Chrono. Defendant Baniga stated he stood by his
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28 ¹ Also referred to herein as liquid nutritional supplements or "LNS."

1 decision and otherwise ignored Plaintiff's complaints. Plaintiff claims that neither doctor
2 ever examined Plaintiff before discontinuing the Special Diet.

3 In December 2014, Plaintiff submitted a health care appeal contesting Dr.
4 Baniga's determination that he did not need a therapeutic diet. On December 26, 2014,
5 Dr. Hill conducted a hearing on Plaintiff's appeal and said that dumping syndrome did
6 not warrant liquid nutritional supplements, therapeutic special diets, or a colonoscopy.
7 She cited costs as a reason for the denial.

8 At the first level of review of Plaintiff's appeal, Dr. Baniga issued a decision
9 granting Plaintiff's appeal in non-relevant part, but denying his request for a therapeutic
10 diet and referral to a gastroenterologist on the grounds that they were not medically
11 necessary. Plaintiff then appealed to the second level, where the decision was upheld by
12 a non-party. The appeal was again denied at the Director's Level, with the notation that
13 Plaintiff was seen by a PCP on February 24, 2015, who determined that Plaintiff's labs
14 did not indicate any deficiencies and documented no dumping episodes since Plaintiff's
15 last visit. Plaintiff's PCP further documented no additional issues found on endoscopy
16 that would warrant a change in Plaintiff's current treatment plan, and advised Plaintiff to
17 adhere to a diet of small meals. The Deputy Director also found that based on Plaintiff's
18 medical evaluation, he did not meet the specified criteria to qualify for nutritional
19 supplements or a therapeutic diet.

20 On April 22, 2015, Plaintiff filed a Government Claims Form against Dr. Baniga
21 with the California Victim Compensation and Government Claims Board seeking
22 monetary damages regarding his state tort claims arising on November 17, 2014. In a
23 letter dated June 26, 2015, the Victim Compensation and Government Claims Board
24 notified Plaintiff that they had rejected Plaintiff's claim.

25 Plaintiff suffered and continues to suffer abdominal pain, bloating, cramping,
26 dumping episodes, colorectal spasms, painful bowel movements, elevated heart rate,
27 weakness, damage to his anus, humiliation, and emotional distress as a result of Dr.

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1 Baniga's actions. Plaintiff has suffered emotional distress to the point of being entirely
2 distrustful of medical professionals, and is therefore unable to seek treatment for other
3 medical issues (not discussed in his case).

4 **IV. Discussion**

5 Defendants seek summary judgment on the following grounds: (1) they were not
6 deliberately indifferent to Plaintiff's medical needs, (2) they are entitled to qualified
7 immunity, and (3) his state tort claims fail on the merits.

8 **A. Undisputed Facts**

9 Despite various disputes regarding the motives of the respective parties, the
10 records before the Court reflect that most of Plaintiff's medical history is undisputed.
11 Except where otherwise noted, the undisputed facts are as follows.

12 Plaintiff is in the custody of the California Department of Corrections and
13 Rehabilitation at CCI in Tehachapi, California. At all times relevant to this action,
14 Defendants Baniga and Hill were medical doctors providing services at CCI.

15 In 1985 or 1986, Plaintiff had major abdominal surgery that resulted in some
16 degree of post-operative dumping syndrome. Dumping syndrome can cause bloating,
17 nausea, diarrhea, dizziness, weakness, sweating, and a rapid heartbeat. Some such
18 patients have trouble consuming large amounts of any kind of food. Patients with
19 dumping syndrome must deploy sensible eating habits, including eating up to six small
20 meals daily, avoiding drinking liquids with meals, and avoiding sweets such as candy
21 and cake. Meals should be high in fiber and protein and low in carbohydrates. The
22 severity of Plaintiff's dumping syndrome is disputed.

23 The need for Plaintiff to alter his diet to avoid complications of dumping syndrome
24 was noted by CDCR medical personnel at least as early as 2004. On February 2, 2004,
25 Dr. Neubarth issued Plaintiff a medical chrono allowing him to receive an extra sack
26 lunch every day for a year. (ECF No. 26 at 123.) On February 16, 2005, Dr. Hsu issued a
27 chrono requiring that Plaintiff be provided an extra meal at 4:00 p.m., and that he avoid
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1 peanut butter and beans. (ECF No. 26 at 121.) In May 2006, Dr. Duenas issued a
2 chrono for Plaintiff to receive a “therapeutic diet”² consisting of an extra meal and no
3 diary, beans, or bread. (ECF No. 26 at 119.) In September 2006, Dr. Duenas extended
4 the prohibitions of the “therapeutic diet” to exclude peanuts, beans, wheat, gravies,
5 sweets, and dairy. (ECF No. 26 at 117.)

6 In August 2010, Plaintiff was referred to a dietician. The dietician recommended
7 frequent small meals, and noted Plaintiff was unable to tolerate dairy, refined
8 carbohydrates, and certain food high in fiber. (ECF Nos. 26-1 at 33; 20-3 at 40.) As a
9 result, on August 26, 2010, Dr. Clark issued a chrono for frequent small meals. (ECF
10 Nos. 26 at 138; 20-3 at 56.) Similarly, on October 1, 2010, Dr. El Said issued a chrono
11 for frequent small meals. (ECF Nos. 26 at 115; 20-3 at 47, 53.) On April 27, 2011, Dr. El
12 Said issued a chrono for Plaintiff to be provided two lunch meals daily. (ECF No. 26 at
13 113.) During this period, Plaintiff was engaged in the pursuit of a medical appeal on the
14 ground he was not being provided the therapeutic diet recommended by the dietician.
15 (ECF No. 26 at 64.) His appeal was ultimately denied at the Director’s Level, in part
16 because Dr. El Said’s April 27, 2011 chrono had already ordered that Plaintiff be
17 provided an extra lunch. (Id.)

18 On February 1, 2012, Dr. Torres issued a chrono providing Plaintiff “more time
19 during meals” while at CIM. (ECF Nos. 26 at 109, 111; 20-3 at 66.) On October 2, 2012,
20 Plaintiff was seen by a “float” physician in relation to a healthcare appeal. (ECF Nos. 26
21 at 73; 20-3 at 26.) The physician noted that Plaintiff already had been provided extra
22 time for meals and explained that Plaintiff also could save a portion of his sack lunch for
23 an extra meal. Nonetheless, the float physician spoke with “the physicians at West Yard”
24 regarding an unspecified accommodation that was being considered “pending review
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26 ² Defendants’ expert contends that Plaintiff never received a “therapeutic” or “special” diet because those
27 are terms of art referring to specific, limited diets available in prison and in the free world. Nevertheless, it
28 is undisputed that various physicians in this case ordered that Plaintiff receive accommodations in relation
to his diet. The Court does not find the terms “therapeutic diet” or “special diet” to be dispositive of
Plaintiff’s claims.

1 with the Chief Physician.” (ECF No. 26 at 75.)

2 On February 20, 2013, Plaintiff saw Dr. Torres, who noted that Plaintiff was to
3 continue diet modification with slower eating and more time during meals. He was to
4 divide his sack lunch into two smaller portions. Due to hyperlipidemia, Plaintiff was told
5 to avoid foods high in cholesterol. (ECF No. 20-3 at 62-63.)

6 At some point thereafter, Plaintiff was transferred back to CCI where he came
7 under the care of Defendant Hill. On July 29, 2013, Plaintiff saw Defendant Hill, who
8 noted, “We will decide regarding nutritional supplement or what the patient is actually
9 requesting, which is to receive 2 lunchtime meals.” (ECF Nos. 26-1 at 22; 20-3 at 72-73.)
10 Ultimately, Dr. Hill issued a chrono for what she characterized as a “therapeutic diet”
11 consisting of “1 can nutritional supplement at noon.” (ECF Nos. 26 at 107; 20-3 at 75.)
12 On November 22, 2013, she continued the chrono for 1 can of nutritional supplement.
13 (ECF Nos. 26 at 105, 20-3 at 78.) On January 3, 2014, she increased this to “1 can
14 nutritional supplement three times a day.” (ECF Nos. 26 at 103, 20-3 at 81.) This was
15 continued on January 14, 2014. (ECF No. 26 at 101.)

16 On May 14, 2014, Plaintiff presented for a visit with Dr. Hill. (ECF No. 20-4 at 29.)
17 He complained that he was having issues with custody staff permitting him to use the
18 bathroom when needed. For example, when he was in visiting, he was not permitted to
19 use the bathroom without terminating his visit. Hill noted she did not believe it
20 appropriate “in this environment” to issue a chrono that would allow Plaintiff to use the
21 bathroom wherever or whenever he needed. She stated that she would discuss this
22 issue with the Chief Physician and Surgeon and/or the Chrono Committee. (ECF No. 20-
23 4 at 29.)

24 Defendant Hill did, indeed, discuss Plaintiff’s course with the Chief Physician and
25 Surgeon (Dr. Baniga) and the Chrono Committee. According to Dr. Hill, the Chrono
26 Committee stated that Plaintiff should be able to eat multiple small meals without specific
27 accommodation from medical. (ECF No. 20-4 at 4.) The Chrono Committee also
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1 collectively decided to terminate Plaintiff's LNS. (ECF No. 20-5 at 2.) They did so based
2 on guidelines that identify only four "therapeutic" diets (gluten-free, renal, pre-renal, and
3 hepatic), and only four criteria for receipt of LNS (malnourishment, end stage liver
4 disease, esophageal condition, or dental condition). (ECF No. 20-5 at 2, 35-36.) As
5 Plaintiff did not meet the criteria for a "therapeutic diet" or LNS, and was additionally
6 overweight, they decided to terminate the LNS. (ECF No. 20-5 at 2.) Dr. Hill agreed with
7 the decision. (ECF No. 20-4 at 4.)

8 As a result, Plaintiff was brought in to see Dr. Hill on November 7, 2014 to discuss
9 discontinuation of his LNS. (ECF Nos. 26 at 201-02; 20-3 at 84-85.) Hill noted that
10 Plaintiff had a history of double portion meals or LNS. "When we last addressed
11 nutritional supplements, the double portion meals were not something that Custody was
12 willing to maintain, so the drinks were given." However, she noted that Plaintiff's case
13 had come up in an "MD/Chrono meeting" and "the consensus was that he did not need
14 nutritional supplements." The process of removing these from Plaintiff's chronos was
15 begun. Plaintiff's request for a colonoscopy also was denied. (Id.)

16 On December 26, 2014, Plaintiff saw Dr. Hill in relation to an appeal requesting
17 reinstatement of a therapeutic diet or nutritional supplements. Hill acknowledged in her
18 notes that she previously had told Plaintiff she thought there was a possibility he was
19 "playing" her due to there being no objective evidence of his dumping syndrome. She
20 reiterated that the need for supplements was recently reviewed by Chief Physician and
21 Surgeon and the Chrono Committee, that the Chrono Committee recommended
22 discontinuing supplement, and that it therefore was discontinued. She also noted that
23 the nutritional supplement was high in sugar and therefore not indicated. Hill specifically
24 noted, "His request to reinstate therapeutic diet nutritional supplement is denied on the
25 grounds that the patient is able at his own expense to provide himself with an anti-
26 dumping diet." Plaintiff was told to document his dumping episodes. (ECF Nos. 26 at
27 196; 20-4 at 32-33.) At another point that day, Plaintiff presented to the clinic for
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1 documentation of his dumping syndrome symptoms, including bloating, tachycardia,
2 shortness of breath and profuse sweating. (ECF No. 26 at 198.) According to Hill, these
3 complaints were not substantiated by nursing. (ECF No. 20-4 at 5.)

4 Plaintiff saw Dr. Hill again on January 27, 2015. (ECF No. 26 at 193.) Relevant
5 here, Hill noted only that the nutritional supplement was being discontinued.

6 Plaintiff underwent an EGD with Dr. Wong on February 6, 2015, for symptoms of
7 dysphagia. Dr. Wong found a hiatal hernia and recommended that Plaintiff adopt the
8 GERD diet. (ECF Nos. 26-1 at 103; 20-3 at 37-38.) The GERD diet calls for small
9 portions and limitations on coffee, spices and fats. (ECF No. 20-3 at 5 n.4.)

10 Plaintiff saw Dr. Hill again on February 24, 2015 and one again noted, as relevant
11 here, only that the nutritional supplement was discontinued. (ECF No. 26 at 191.) This
12 was her last visit with Plaintiff.

13 It is undisputed that Dr. Baniga conversed with Plaintiff regarding the
14 discontinuation of his nutritional supplement. (ECF No. 26 at 80, 81.) Plaintiff claims that
15 these conversations occurred on several occasions and that Plaintiff described to Dr.
16 Baniga in detail the pain and discomfort he experienced due to his gastrointestinal
17 issues. Dr. Baniga does not aver to the contrary.³

18 Both parties present records of Plaintiff's course of treatment subsequent to that
19 provided by Defendants Baniga and Hill. The majority of these are not relevant to the
20 Court's analysis. In brief summary, Plaintiff continued to complain that the standard
21 CDCR meal caused him severe GI and rectal pain. He reported that he instead chose to
22 eat multiple meals per day from the canteen. He requested diet modifications from
23 medical personnel with limited, if any success.

24 On August 4, 2017, Plaintiff underwent a colonoscopy, which revealed
25 hemorrhoids. He was recommended for a repeat colonoscopy in five years. (ECF No.
26 26-1 at 112.)

27 ³ It appears that at least one page of Dr. Baniga's declaration is missing from the record. (See ECF No.
28 20-5.)

1 **B. Legal Standard – Deliberate Indifference to Medical Needs**

2 The Eighth Amendment’s Cruel and Unusual Punishments Clause prohibits
3 deliberate indifference to the serious medical needs of prisoners. McGuckin v. Smith,
4 974 F.2d 1050, 1059 (9th Cir. 1992). A claim of medical indifference requires (1) a
5 serious medical need, and (2) a deliberately indifferent response by defendant. Jett v.
6 Penner, 439 F.3d 1091, 1096 (9th Cir. 2006). The deliberate indifference standard is met
7 by showing (1) a purposeful act or failure to respond to a prisoner’s medical need and (2)
8 harm caused by the indifference. Id. Where a prisoner alleges deliberate indifference
9 based on a delay in medical treatment, the prisoner must show that the delay led to
10 further injury. See Hallett v. Morgan, 296 F.3d 732, 745-46 (9th Cir. 2002); McGuckin,
11 974 F.2d at 1060a; Shapley v. Nevada Bd. Of State Prison Comm’rs, 766 F.2d 404, 407
12 (9th Cir. 1985) (per curiam). Delay which does not cause harm is insufficient to state a
13 claim of deliberate medical indifference. Shapley, 766 F.2d at 407 (citing Estelle v.
14 Gamble, 429 U.S. 97, 106 (1976)).

15 “Deliberate indifference is a high legal standard.” Toguchi v. Chung, 391 F.3d
16 1051, 1060 (9th Cir. 2004). “Under this standard, the prison official must not only ‘be
17 aware of the facts from which the inference could be drawn that a substantial risk of
18 serious harm exists,’ but that person ‘must also draw the inference.’” Id. at 1057 (quoting
19 Farmer v. Brennan, 511 U.S. 825, 837 (1994)). “If a prison official should have been
20 aware of the risk, but was not, then the official has not violated the Eighth Amendment,
21 no matter how severe the risk.” Id. (brackets omitted) (quoting Gibson, 290 F.3d at
22 1188). Mere indifference, negligence, or medical malpractice is not sufficient to support
23 the claim. Broughton v. Cutter Labs., 622 F.2d 458, 460 (9th Cir. 1980) (citing Estelle v.
24 Gamble, 429 U.S. 87, 105-06 (1976)). A prisoner can establish deliberate indifference by
25 showing that officials intentionally interfered with his medical treatment for reasons
26 unrelated to the prisoner’s medical needs. See Hamilton v. Endell, 981 F.2d 1062, 1066
27 (9th Cir. 1992); Estelle, 429 U.S. at 105.

1 **C. Analysis**

2 **1. Treatment for Dumping Syndrome**

3 While there is some dispute regarding the severity of Plaintiff's dumping
4 syndrome, there is no dispute that it constitutes a serious medical need. Plaintiff has
5 undergone extensive abdominal surgery and as a result, experiences up to eight bowel
6 movements per day, pain, bloating, hemorrhoids, anal bleeding, and other symptoms.
7 Jett, 439 F.3d at 1096 (a "serious medical need" may be shown by demonstrating that
8 "failure to treat a prisoner's condition could result in further significant injury or the
9 'unnecessary and wanton infliction of pain'"); McGuckin, 974 F.2d at 1059-60 ("The
10 existence of an injury that a reasonable doctor or patient would find important and
11 worthy of comment or treatment; the presence of a medical condition that significantly
12 affects an individual's daily activities; or the existence of chronic and substantial pain are
13 examples of indications that a prisoner has a 'serious' need for medical treatment.").

14 Furthermore, viewing the facts in the light most favorable to Plaintiff, and
15 construing all inferences in his favor, the Court finds the present record insufficient to
16 support summary judgment in Defendants' favor. It is undisputed that small, frequent
17 meals are appropriate for managing dumping syndrome. (ECF Nos. 20-3 at 5; No. 20-4
18 at 3.) Numerous doctors over the course of Plaintiff's incarceration have recommended
19 that Plaintiff be given small, frequent meals and/or additional meal time in order to
20 manage his symptoms. A nutritionist also supported this recommendation. It appears,
21 however, that Plaintiff initially had difficulty with this accommodation because he was
22 unable to take food from the chow hall in order to spread his meals throughout the day.
23 As a result, he was provided with an additional sack lunch. However, custody staff at
24 CCI was unwilling to accommodate this solution. As a result, Dr. Hill provided Plaintiff
25 with a chrono for LNS. In other words, the decision to discontinue Plaintiff's additional
26 meals and to substitute LNS was made, not for medical reasons, but in response to
27 custody concerns. To be clear: there is absolutely no evidence before the Court to
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1 suggest that small, frequent meals were not medically necessary, or that the
2 discontinuation of Plaintiff's extra meal was medically acceptable under the
3 circumstances. Interference with medical treatment for reasons unrelated to a prisoner's
4 medical needs is a hallmark of deliberate indifference. See Hamilton, 981 F.2d at 1066;
5 Estelle, 429 U.S. at 105.

6 Nonetheless, Plaintiff appeared to be satisfied with the substitution for LNS
7 instead of an extra meal. Records from this time period reflect few complaints relative to
8 his dumping syndrome. It therefore appears that LNS was an adequate substitute for the
9 more traditional accommodation, i.e. the extra meal that Plaintiff actually requested.

10 Dr. Hill and Dr. Baniga, and their expert Dr. Barnett, opine that the discontinuation
11 of LNS was medically appropriate because LNS was not warranted: Plaintiff was
12 overweight and had no signs of malnutrition. He did not require nutritional
13 supplementation. They ignore, however, the very reason LNS was instituted: to
14 accommodate Plaintiff's inability to otherwise consume the medically necessary, small,
15 frequent meals. There is no evidence before the Court to suggest that the defendants
16 considered this issue when deciding to discontinue the LNS. Furthermore, the Court may
17 not, on summary judgment, discount Plaintiff's statement that he was told that cost was a
18 driving factor in this decision. Lastly, it is undisputed that Dr. Baniga directly participated
19 in this decision and thereafter discussed the decision with Plaintiff. He therefore cannot
20 escape liability on the ground that he did not "order" the discontinuation of LNS. On
21 these facts, a reasonable juror could very well find in Plaintiff's favor as to the claims
22 against both defendants.

23 Finally, the Court addresses the claim implicit in Dr. Barnett's declaration that
24 Plaintiff could cobble together a nutritionally sound and medically appropriate diet by
25 ignoring foods contained in the standard CDCR prison diet that aggravate his dumping
26 syndrome. In this regard, the Court notes that numerous restrictions have been placed
27 on Plaintiff's diet. At various times, he has been told to avoid: peanuts, beans, dairy,
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1 bread, wheat, gravies, sweets, refined carbohydrates, certain foods high in fiber, coffee,
2 spices and fats. The only information before the Court regarding the prison's standard
3 diet is that it contains an excess of calories. There is nothing before the Court regarding
4 the types of foods provided, and whether the meals would be calorically adequate after
5 removing the aforementioned foods. Dr. Barnett addresses the adequacy of this prison
6 diet only in relation to the restrictions of the GERD diet, one of Plaintiff's several
7 restrictions. In contrast, Dr. Hill places the onus for adequate nutrition on Plaintiff, noting
8 that Plaintiff is free to, and does, purchase his own calorically adequate and medically
9 indicated diet. However, it is the prison's responsibility under the United States
10 Constitution to provide Plaintiff with adequate nutrition. See LeMaire v. Maass, 12 F.3d
11 1444, 1456 (9th Cir. 1993) (Eight Amendment requires that "prisoners receive food that
12 is adequate to maintain health"). Defendants cannot avoid summary judgment on this
13 basis.

14 Summary judgment on this claim should be denied.

15 **2. Colonoscopy**

16 The Court concludes that summary judgment should be granted in Defendants'
17 favor on that aspect of the claim dealing with the denial of a colonoscopy. It is
18 undisputed that Plaintiff underwent a colonoscopy in 2017, which revealed only
19 hemorrhoids. However, it was well-known, well before the colonoscopy, that Plaintiff
20 suffered from hemorrhoids. There is no evidence that the delay in receiving a
21 colonoscopy caused Plaintiff any harm. Shapley, 766 F.2d at 407. Defendants are
22 entitled to summary adjudication of this claim.

23 **3. Qualified Immunity**

24 Defendants contend that they are entitled to qualified immunity on Plaintiff's
25 claims. Government officials enjoy qualified immunity from civil damages unless their
26 conduct violates "clearly established statutory or constitutional rights of which a
27 reasonable person would have known." Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982).

1 Resolving a claim of qualified immunity requires courts to determine whether the facts
2 alleged, when taken in the light most favorable to the plaintiff, violated a constitutional
3 right, and if so, whether the right was clearly established. Saucier v. Katz, 533 U.S. 194,
4 201 (2001). While often beneficial to address in that order, courts have discretion to
5 address the two-step inquiry in the order they deem most suitable under the
6 circumstances. Pearson v. Callahan, 555 U.S. 223, 236 (2009).

7 “The principles of qualified immunity shield an officer from personal liability when
8 an officer reasonably believes that his or her conduct complies with the law.” Pearson,
9 555 U.S. at 244. Therefore, “[i]f the [defendant’s] mistake as to what the law requires is
10 reasonable . . . the [defendant] is entitled to the immunity defense.” Saucier v. Katz, 533
11 U.S. at 205. Qualified immunity protects “all but the plainly incompetent or those who
12 knowingly violate the law.” Malley v. Briggs, 475 U.S. 335, 341 (1986).

13 Here, it is well-established that prison officials may not deliberately interfere in
14 medical treatment for non-medical reasons, and may not deliberate deny all treatment
15 for serious medical needs. Viewed in the light most favorable to Plaintiff, the facts in this
16 case show that defendants did both. Even assuming Defendants could be entitled to
17 qualified immunity for discontinuing LNS based of prison guidelines, they thereafter
18 failed to offer alternative accommodations. On this basis alone, they are not entitled to
19 qualified immunity.

20 **4. State Law Claims**

21 Defendants argue that Defendant Hill is entitled to summary judgment on
22 Plaintiff’s state law claims because Plaintiff did not exhaust a California Torts Claim Act
23 claim against her. As the complaint contains no state law claims against Defendant Hill,
24 the Court will not address this issue. The Court will analyze solely the claims as to
25 Defendant Baniga. Plaintiff brings claims against Defendant Baniga for negligence,
26 medical malpractice, and negligent infliction of emotional distress.

1 A public employee is liable for injury to a prisoner “proximately caused by his
2 negligent or wrongful act or omission.” Cal. Gov’t Code § 844.6(d). “Under California law,
3 [t]he elements of negligence are: (1) defendant’s obligation to conform to a certain
4 standard of conduct for the protection of others against unreasonable risks (duty); (2)
5 failure to conform to that standard (breach of duty); (3) a reasonably close connection
6 between the defendant’s conduct and resulting injuries (proximate cause); and (4) actual
7 loss (damages).” Corales v. Bennett, 567 F.3d 554, 572 (9th Cir. 2009) (quoting
8 McGarry v. Sax, 158 Cal. App. 4th 983, 994 (2008)). For negligence claims based on
9 medical malpractice, defendant has a duty “to use such skill, prudence, and diligence as
10 other members of his profession commonly possess and exercise.” Hanson v. Grode, 76
11 Cal. App. 4th 601, 606 (1999). To establish a claim for negligent infliction of emotional
12 distress Plaintiff must allege: (1) a legal duty to use due care; (2) a breach of such duty;
13 (3) legal cause; and (4) damages caused by the negligent breach. Friedman v. Merck &
14 Co., 107 Cal.App.4th 454, 463 (2003).

15 California law requires that a competent, qualified medical expert offer evidence
16 that the defendant’s actions or inaction fell below a standard of care owed to Plaintiff and
17 caused him harm. Hutchinson v. United States, 838 F.2d 390, 392 (9th Cir. 1988)
18 (internal citations omitted). California courts have incorporated the expert evidence
19 requirement into their standard for summary judgment in medical malpractice and
20 negligent infliction of emotional distress cases.⁴ When a defendant moves for summary
21 judgment and supports his motion with expert declarations that his conduct fell within the
22 community standard of care, he is entitled to summary judgment unless the plaintiff
23 comes forward with conflicting expert evidence. Willard v. Hagemeister, 121 Cal. App. 3d
24 406, 412 (1981).

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26 ⁴ Under California law, “the negligent causing of emotional distress is not an independent tort, but the tort
27 of negligence.” Burgess v. Superior Court, 2 Cal.4th 1064 (1992). A claim of negligent infliction of
28 emotional distress “contains the traditional elements of duty, breach, causation and damages.” Jacoves v.
United Merchandising Corp., 9 Cal.App.4th 88, 106 (1992). Consequently, the claim for negligent infliction
of emotional distress is subject to these same requirements when concerning a medical professional.

1 Here, Defendants have offered the expert declaration of Dr. Barnett stating that
2 the discontinuation of LNS was medically appropriate under the circumstances because
3 it was not medically indicated. Plaintiff presents no qualified testimony to the contrary.
4 Nonetheless, Dr. Barnett does not address the critical question of whether Defendant
5 Baniga's decision to discontinue LNS was appropriate in light of the unavailability of
6 other means of providing Plaintiff with small, frequent meals. In other words, Dr.
7 Barnett's declaration addresses only one limited piece of the puzzle. The declaration
8 ultimately is insufficient to be dispositive.

9 The Court also rejects the argument that Dr. Baniga did not cause Plaintiff harm.
10 Plaintiff alleges the contrary. This dispute cannot be resolved on summary judgment.

11 The Court will, however, recommend that summary judgment be granted on the
12 state law claims to the extent they are based on the denial of a colonoscopy because, as
13 stated above, Plaintiff suffered no injury as a result of the denial.

14 **V. Conclusion and Recommendation**

15 Based on the foregoing, it is HEREBY RECOMMENDED that Defendants' motion
16 for summary judgment be granted in part and denied in part as follow:

- 17 1. Summary judgment should be granted in favor of Defendants on Plaintiff's
18 Eighth Amendment and state law claims based on the denial of a
19 colonoscopy; and
- 20 2. In all other respects, the motion should be denied.

21 The findings and recommendations are submitted to the United States District
22 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within
23 **fourteen** (14) days after being served with the findings and recommendations, any party
24 may file written objections with the Court and serve a copy on all parties. Such a
25 document should be captioned "Objections to Magistrate Judge's Findings and
26 Recommendations." Any reply to the objections shall be served and filed within fourteen
27 (14) days after service of the objections. The parties are advised that failure to file
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1 objections within the specified time may result in the waiver of rights on appeal.
2 Wilkerson v. Wheeler, 772 F.3d 834, 839 (9th Cir. 2014) (citing Baxter v. Sullivan, 923
3 F.2d 1391, 1394 (9th Cir. 1991)).

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IT IS SO ORDERED.

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Dated: April 9, 2018

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Isl. Michael J. Seng
UNITED STATES MAGISTRATE JUDGE

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