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UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF CALIFORNIA

WILLIAM T. MATTHEWS,
Plaintiff,

Case No. 1:16-cv-00536-SKO

v.

ORDER ON PLAINTIFF’S SOCIAL
SECURITY COMPLAINT

NANCY A. BERRYHILL,
Acting Commissioner of Social Security,¹
Defendant.

(Doc. 1)

I. INTRODUCTION

On April 15, 2016, Plaintiff William T. Matthews (“Plaintiff”) filed a complaint under 42 U.S.C. § 405(g) seeking judicial review of a final decision of the Commissioner of Social Security (the “Commissioner” or “Defendant”) denying his application for disability insurance benefits (“DIB”). (Doc. 1.) The matter is currently before the Court on the parties’ briefs, which were submitted, without oral argument, to the Honorable Sheila K. Oberto, United States

¹ On January 23, 2017, Nancy A. Berryhill became the Acting Commissioner of the Social Security Administration. See <https://www.ssa.gov/agency/commissioner.html> (last visited by the court on February 27, 2017). She is therefore substituted as the defendant in this action. See 42 U.S.C. § 405(g) (referring to the “Commissioner’s Answer”); 20 C.F.R. § 422.210(d) (“the person holding the Office of the Commissioner shall, in his official capacity, be the proper defendant”).

1 Magistrate Judge.²

2 II. BACKGROUND

3 On July 31, 2012, Plaintiff filed a claim for DIB payments, alleging he became disabled
4 on January 22, 2012, due to back, neck, shoulder, knee, and left wrist problems, as well as
5 stress/anxiety and hypertension. (Administrative Record (“AR”) 19, 23, 84, 97, 150–56, 201,
6 215.) Plaintiff was born on May 26, 1964, and was 47 years old on the alleged disability onset
7 date. (AR 27, 72, 77, 84, 150, 201.) Plaintiff has an eleventh-grade education. (AR 216.) From
8 2000 to 2001, Plaintiff was a wireline worker. (AR 205.) From 2002 to 2004, Plaintiff worked
9 as a tire repairer. (AR 205.) From 2006 to 2012, Plaintiff was an oilfield roustabout. (AR 59–
10 60, 205.)

11 A. Relevant Medical Evidence³

12 On January 22, 2012, Plaintiff suffered an industrial injury at work while moving an 80-
13 pound block. (AR 384, 395–96.) Plaintiff was seen at the Industrial Medical Group on January
14 24, 2012, and was noted to have a lumbar spine strain, cervical spine sprain, and left wrist sprain.
15 (AR 384, 395–96.) He was released to regular work without restrictions, but he did not return to
16 work until February 4, 2012. Plaintiff was provided a lumbar support and a left wrist brace in
17 January 2012, and has been using a cane for ambulatory support since February 2012. (AR 385.)
18 On March 6, 2012, Plaintiff was given a diagnosis of stress, anxiety, and hypertension. (AR
19 396.) Over the next two months in 2012, Plaintiff developed low back pain with radiculopathy
20 traveling down both legs to the back of his knee. (AR 385.) Plaintiff received electrical
21 stimulation by Nayoung Eoh, D.C. (AR 384.)

22 MRIs of Plaintiff’s lower back, left wrist, and neck were performed on April 30, 2012.
23 (AR 292–310, 396–97.) Plaintiff’s lower back MRI showed “facet arthropathy produced bilateral
24 neuroforaminal narrowing” at L4–L5 and “disc protrusion that butted the S1 nerve roots,” which
25 “[c]ombined with facet hypertrophy[,] produced bilateral neuroforaminal narrowing.” (AR 292–
26 93, 396–97.) Plaintiff’s left wrist MRI showed “[r]adioscaphoid joint effusion,” a “2.5 mm

27 ² The parties consented to the jurisdiction of a U.S. Magistrate Judge. (Docs. 7 & 8.)

28 ³ As Plaintiff’s assertion of error is limited to the ALJ’s consideration of his alleged pain symptoms, only evidence relevant to these arguments is set forth below.

1 negative ulnar variance, a normal variant,” and “[n]o other significant findings.” (AR 295, 307–
2 08.) Plaintiff’s MRI of his neck showed “[d]iffuse idiopathic skeletal hyperostosis”; “disc
3 protrusion that indented the spinal cord[,] producing spinal canal narrowing” at C3–C4, with
4 “bilateral neuroforaminal narrowing” and “[f]acet and uncinat e arthropathy”; “disc protrusion
5 that abutted the thecal sac” at C4–C5, with “bilateral neuroforaminal narrowing” and “[f]acet and
6 uncinat e arthropathy”; “facet and uncinat e arthropathy produced bilateral neuroforaminal
7 narrowing” at C5–C6; “left uncinat e arthropathy produced left neuroforaminal narrowing” at C6–
8 C7; and “[s]traightening of the cervical lordosis which may be due to myospasm.” (AR 299–300,
9 303–06, 397.)

10 In June 2012, Plaintiff received the first of three lumbar epidurals, which lasted “for about
11 a week.” (AR 385, 397.) The second epidural “helped for two weeks or so,” and the third
12 epidural “did not really give him any relief of his symptoms.” (AR 385.)

13 Treating chiropractor Dr. Eoh placed Plaintiff on total temporary disability from work
14 from approximately February 6, 2012 to September 17, 2012. (AR 314–355.) On August 10,
15 2012, Dr. Eoh observed “palpable tenderness” to the lumbar and cervical spine, but did not
16 prescribe any physiotherapy, chiropractic care, or acupuncture. (AR 321.) On September 17,
17 2012, Dr. Eoh noted that Plaintiff’s condition was “[i]mproving,” and placed him on modified
18 work duty (restricted to lifting 10 pounds, no overhead working, limited use of left hand, and no
19 pushing/pulling) until November 1, 2012. (AR 315–16.)

20 On September 28, 2012, orthopedic surgeon and consultative examiner Michael G.
21 Klassen, M.D., evaluated Plaintiff. (AR 385.) Dr. Klassen determined Plaintiff was not at
22 maximum medical improvement (“MMI”) for his cervical and lumbar spine, and that there was
23 no impairment to Plaintiff’s left wrist. (AR 385.) Dr. Klassen recommended 12 to 18 physical
24 therapy sessions. (AR 385.)

25 On October 16, 2012, an MRI was performed of Plaintiff’s shoulder, which showed a
26 partial-thickness tear of the anterior fibers of the distal supraspinatus tendon, acromioclavicular
27 degenerative joint disease, and glenohumeral osteoarthritis. (AR 284.) Plaintiff was diagnosed
28 with sprain/strain of the rotator cuff. (AR 314–15, 321, 327.)

1 On November 27, 2012, Plaintiff underwent a comprehensive psychological evaluation by
2 clinical psychologist Michael S. Cohn, Ph.D. (AR 373–81.) Plaintiff stated that he “has no
3 psychiatric problems” and specifically denied the presence of depression, anxiety, psychosis,
4 personality disorder, or any other psychiatric illness. (AR 374.) Plaintiff reported that he can
5 take care of self-dressing, self-bathing, and personal hygiene. (AR 375.) Plaintiff is able to pay
6 bills and handle cash appropriately, go out alone, and can focus attention during the interview.
7 (AR 375.) Plaintiff reported that his relationships with family and friends are “good.” (AR 375.)
8 Plaintiff stated that he has no difficulty completing household tasks or making decisions. (AR
9 375.) Plaintiff reported that on a daily basis he eats, cooks, uses a computer, reads, watches
10 television, and sleeps. (AR 375.) Plaintiff’s outdoor activity is walking. (AR 375.)

11 Plaintiff underwent a comprehensive orthopedic evaluation on November 28, 2012, by
12 Fariba Vesali, M.D. (AR 369–72.) Plaintiff complained of “constant, burning and aching” neck
13 pain, and stated that lying down exacerbates the pain while medication relieves it. (AR 369.)
14 Plaintiff described his low back pain as “constant” and “always there,” and reported that applying
15 ice to his back decreases the pain. (AR 369.) Plaintiff stated that he did not do grocery shopping
16 or any chores at home. (AR 369.)

17 Dr. Vesali observed that Plaintiff was “not in acute distress,” and did not have any
18 difficulty getting off and on the examination table, taking off and on his shoes, and picking up a
19 paperclip from the examination table. (AR 370.) Plaintiff walked with a normal gait, even
20 without a cane. (AR 370.) Dr. Vesali noted tenderness on Plaintiff’s left shoulder, left foot,
21 cervical and upper thoracic spine, and lumbar spine, as well as a positive Patrick’s test on the left
22 side. (AR 371.) Plaintiff had normal muscle bulk and tone and motor strength in the bilateral
23 upper and lower extremities, full grip strength, and decreased sensation in the entire left lower
24 extremity. (AR 371–72.) Plaintiff declined other testing. (AR 370–71.) Dr. Vesali opined that
25 Plaintiff should be able to walk, stand and sit for six hours in an eight-hour day with normal
26 breaks; lift and carry 50 pounds occasionally and 25 pounds frequently; and perform frequent
27 postural activities and manipulative activities with his left hand. (AR 372.) Plaintiff did not need
28 an assistive device for ambulation and had no right-hand manipulative or workplace

1 environmental limitations. (AR 372.) Dr. Vesali diagnosed Plaintiff with chronic low back pain,
2 possible left shoulder impingement syndrome, and chronic neck pain. (AR 371–72.)

3 Plaintiff reported receiving a cervical spine epidural in February 2013, which “helped him
4 for about a week.” (AR 385.) On March 1, 2013, Plaintiff underwent another qualified medical
5 evaluation and examination by Dr. Klassen. (AR 383–403.) Plaintiff reported that many of his
6 activities of daily living were performed with much difficulty or pain, and that he was unable to
7 take a bath and perform light house work. (AR 386–87.) Dr. Klassen observed cervical muscle
8 spasms, cervical traction and compression pain, and tenderness in Plaintiff’s cervical spine,
9 suprascapular/trapezius, and paraspinal muscles. (AR 388–89.) Plaintiff had limited range of
10 cervical motion, no atrophy, normal muscle strength and sensation. (AR 388–89.)

11 Dr. Klassen noted that Plaintiff was unable to perform heel/toe walking, and that he had
12 tenderness to palpation from mid-back to L5 and limited range of lumbar motion. (AR 390.)
13 Plaintiff’s lumbar sensory tests were all normal, and Plaintiff’s right straight leg test was normal,
14 while his left leg straight leg test was “[e]quivocal.” (AR 391.) Dr. Klassen observed that
15 Plaintiff moved extremely slowly in a guarded or protective fashion, sat with a rigid posture, and
16 demonstrated facial grimacing. (AR 391.) Dr. Klassen diagnosed Plaintiff with cervicgia and
17 lumbago, but determined that Plaintiff had not reached the maximum medical improvement from
18 treatment to his cervical and lumbar spine. (AR 391, 406.) Dr. Klassen recommended physical
19 therapy and medication management, and noted that Plaintiff may also be a candidate for
20 additional epidurals if he developed radiculopathy. (AR 395.) He restricted Plaintiff to lifting 5
21 pounds occasionally; standing to alternate standing/sitting 15 minutes at a time; no repetitive
22 cervical spine motion up and down or side to side; and no repetitive bending, stooping, squatting
23 or twisting of the lumbar spine. (AR 394.)

24 According Dr. Klassen’s summary of Plaintiff’s medical records, on April 17, April 24,
25 and June 4, 2013, Plaintiff received acupuncture treatments for pain in his cervical spine, lumbar
26 spine, and left wrist. (AR 417.) Plaintiff attended “therapy sessions” consisting of “electrical
27 stimulation, infrared, manual traction and myofacial release/soft tissue mobilization” for cervical
28 and lumbar spine pain on May 1, 7, 13, 22, and 29, 2013; and June 3, 5, and 10, 2013. (AR 417.)

1 As Dr. Klassen noted, on May 8, 2013, Plaintiff was seen by Edward Opoku, D.O. (AR
2 417.) Plaintiff complained of “sharp” and “constant” cervical spine pain rated 10/10 that was
3 worse with activities of daily living; “sharp” lumbar spine pain rated 10/10 that radiated to the
4 front pelvic region; and “occasional” left shoulder pain. Dr. Opoku diagnosed Plaintiff with a
5 cervical spine disc bulge with radiculopathy; a thoracic spine sprain/strain; a lumbar spine disc
6 bulge with radiculopathy; and left shoulder supraspinatus “tear per MRI.” (AR 417.) Dr. Opoku
7 referred Plaintiff for extracorporeal shockwave therapy (“ESWT”) for his cervical and lumbar
8 spine, and to pain management and orthopedics for pain in Plaintiff’s cervical spine, lumbar
9 spine, and left shoulder. (AR 417.) Dr. Opoku also dispensed a lumbar brace, capsaicin,
10 Flurbiprofen, methyl salicylate ointment, Flurbiprofen/Tramadol cream, and a Medrox patch.
11 (AR 417.) As a result of his exam, Plaintiff was found to be unable to return to work. (AR 417.)

12 Plaintiff presented to John Korzelius, M.D. on June 12, 2013, with complaints of
13 “[p]ersistent pain in the lumbar spine rated 8/10.” (AR 417.) As noted by Dr. Klassen, Dr.
14 Korzelius diagnosed Plaintiff with a partial tear of the rotator cuff; degenerative cervical
15 intervertebral disc; and degenerative lumbar/lumbosacral intervertebral disc. (AR 417.) Dr.
16 Korzelius referred Plaintiff for ESWT for his lumbar spine, and recommended that Plaintiff
17 continue use of a lumbar spine brace. (AR 417.) Dr. Korzelius also dispensed capsaicin,
18 Flurbiprofen, methyl salicylate ointment, Flurbiprofen/Tramadol cream, and a Medrox patch.
19 (AR 417.) Plaintiff was put on temporary total disability (“TTD”) for 45 days. (AR 417.)

20 As Dr. Klassen summarized in his treatment notes, on July 24, 2013, Dr. Opoku noted
21 Plaintiff’s condition remained “unchanged” and was permanent and stationary (“P&S”) “with
22 objective factors of permanent disability.” (AR 417.) Dr. Opoku limited Plaintiff’s walking and
23 standing to 60 minutes consecutively, limited his lifting to no more than 25 pounds, and
24 discontinued physical therapy/chiropractic care and acupuncture. (AR 417.)

25 On September 11, 2013, Plaintiff again presented to Dr. Opoku with complaints of
26 “sharp” and “constant” cervical spine pain rated 10/10 that was worse with standing; and “sharp”
27 and “intermittent” lumbar spine pain rated 8/10 that was worse with activity. (AR 417–18.) As
28 Dr. Klassen summarized, Dr. Opoku diagnosed Plaintiff with cervical spine radiculopathy;

1 degenerative cervical intervertebral disc; and degenerative lumbar/lumbosacral intervertebral
2 disc. (AR 418.) As a result of that exam, Dr. Opoku prescribed Ultracet, Tylenol, and Flexeril to
3 Plaintiff. (AR 418.)

4 On November 22, 2013, Plaintiff was again evaluated by Dr. Klassen. (AR 405–22.)
5 Plaintiff reported that he was unable to make a meal, type a message on the computer, run
6 errands, perform light house work, sleep, and engage in sexual activity. (AR 407.) At the time of
7 the examination, Plaintiff was prescribed Hydrochlorothiazide, Metoprolol, Amlodipine,
8 Doxazion, and Norco. (AR 408.) Plaintiff’s physical exam results were the same as those from
9 Plaintiff’s March 1, 2013, examination, except that Dr. Klassen opined that Plaintiff did not have
10 cervical spine radiculopathy or significant lumbar radiculopathy. (AR 410–15.) Dr. Klassen
11 noted that Plaintiff “has not had the care as described in my previous reports.” (AR 416.)

12 As a result of the November 22, 2013 evaluation, Dr. Klassen limited Plaintiff to lifting
13 25 pounds occasionally and 5 pounds frequently; walking, standing, and sitting to 60 minutes at
14 one time; no repetitive extension, flexion, or rotation, of the cervical spine; and no activity
15 working above eye gaze in a neutral position. (AR 416.)

16 **B. Plaintiff’s Statement**

17 On October 2, 2012, Plaintiff completed an adult function report. (AR 247–55.) Plaintiff
18 stated that he cannot do regular tasks, he cannot bend, he cannot squat, and he is constant pain.
19 (AR 247.) Plaintiff stated that medication keeps him drowsy. (AR 247.) When asked to describe
20 what he did from the time he wakes up to the time he goes to bed, Plaintiff reported that he
21 awakes with pain, takes medication, showers with his wife’s assistance, eats meals his wife
22 cooks, drives to the doctor to do therapy, takes more medication upon returning home, and then
23 goes to sleep. (AR 248.)

24 Plaintiff reported that he needs help getting dressed because he can’t bend and is out of
25 energy, that he can’t bathe, that his wife helps him shave, and that he feeds himself “with pain[,]”
26 sometimes lying down.” (AR 248.) Plaintiff cannot prepare meals because he “can’t stand long”
27 and is “in constant pain,” and cannot perform household chores because of “constant pain” in his
28 back, neck, and legs. (AR 249–50.) Plaintiff reported that he goes outside every day, drives a

1 car, and goes grocery shopping once a week or every two weeks. (AR 250.) Plaintiff is able to
2 pay bills, count change, handle a savings account, and use a checkbook. (AR 250.) Plaintiff's
3 interests and hobbies are reading, watching television, and going to church when he's not "too
4 tired." (AR 251.) Plaintiff reported spending time with others at church once a week or every
5 two weeks. (AR 252.) He can walk a half a block with his cane, and uses his cane and a back
6 brace "all the time." (252-53.) Plaintiff takes hydrocodone and experiences drowsiness and
7 dizziness as a result. (AR 254.) Plaintiff states that he cannot lift, squat, bend, reach, kneel,
8 climb stairs, or stand long without experiencing pain in his back, neck and/or leg. (AR 254.)
9 Plaintiff can only walk short distances with a cane before stopping and cannot sit long due to pain
10 in his back and legs. (AR 254.) Plaintiff reports that he has trouble completing tasks without
11 reminders from his wife, that he can't concentrate for long, and that he has to be reminded to
12 follow instructions. (AR 254.) Plaintiff states that he is angry and depressed because he can no
13 longer do the things he could do normally. (AR 254.)

14 Plaintiff completed a disability report in which he indicated that someone has to assist him
15 putting on his shoes and socks because he cannot bend over. (AR 269.) Plaintiff stated in the
16 disability report that he mostly stays home and rests because "the pain really limits what [he] can
17 do." (AR 269.)

18 **C. Administrative Proceedings**

19 Plaintiff filed an application for DIB payments on July 31, 2012, alleging he became
20 disabled on January 22, 2012. (AR 19, 23, 84, 97, 150-56, 201, 215.) The agency denied
21 Plaintiff's applications for benefits initially on December 27, 2012, and again on
22 reconsideration on June 25, 2013. (AR 72-100.) Plaintiff requested a hearing before an
23 Administrative Law Judge ("ALJ"). (AR 108-09.) On April 4, 2014, Plaintiff appeared with
24 counsel and testified before an ALJ. (AR 44-70.)

25 **1. Plaintiff's Testimony**

26 Plaintiff testified his back pain is "constant" and gets worse when he moves and with
27 colder temperature. (AR 48.) Plaintiff described "constant" pain radiating down his legs to his
28 calves, and pain in his neck, shoulders, and head. (AR 55.) Plaintiff testified that he has pain

1 “from the back of [his] head, all the way down and across [his] shoulders. (AR 56.) Plaintiff
2 testified that he doesn’t have a problem with his shoulders and has no problem raising or using
3 his arms. (AR 60.) Plaintiff stated that he gets migraine headaches as a result of his neck pain
4 about once or twice a week and that the headaches last four to five hours on average. (AR 56.)
5 Plaintiff has to lie down when experiencing a migraine headache. (AR 56–57.) Plaintiff’s pain
6 inhibits his ability to focus and concentrate. (AR 59.)

7 Plaintiff appeared at the hearing using a cane prescribed by Dr. Eoh, and testified that he
8 had used the cane for 18 months “most of the time” because “it’s hard to walk and I’ll be hurting
9 all the time.” (AR 47.) Plaintiff testified that he has used a back brace for two years. (AR 48.)
10 Plaintiff stated that his pain level with medication was between eight and nine out of ten. (AR
11 52.) Plaintiff testified that he takes Norco, which helps, but the side effects cause him to sleep all
12 day. (AR 51.) Plaintiff stated that the medications cause dizziness, sleepiness, and make him
13 groggy “all the time.” (AR 53.) Plaintiff testified that over the course of a year he had about ten
14 epidural shots in his low back, mid-back, and neck, and that the shots last “for about a week”
15 before the pain returns. (AR 55–56, 57.) Plaintiff stated that his worker’s compensation
16 physician told him “he didn’t think he would recommend surgery.” (AR 58.) Plaintiff’s treating
17 physician at the time of the hearing was Dr. Gordon, whom Plaintiff sees for his blood pressure
18 and pain complaints. (AR 62.)

19 Plaintiff testified he lives with his wife and 16-year-old daughter. (AR 47.) When asked
20 what he does in a typical day, he testified his wife leaves him breakfast before she goes to work
21 or he makes cereal on his own. Plaintiff’s day “is usually laying [sic] around, laying down,
22 sit[ting] on the couch, looking at TV, getting back up, laying on the bed; just around the house a
23 lot.” (AR 49.) Plaintiff testified he has 20 “bad days” a month (4 “bad days” a week), when he
24 spends time moving from the couch to the bed. (AR 58.) On the days that Plaintiff feels better,
25 he testified that he’s “just able to get up and move around better,” and that he’s “still hurting . . .
26 but it’s just not as bad.” (AR 58.)

27 Plaintiff testified that he drives his daughter to school about two miles round-trip about
28 every other day. (AR 49.) He does not perform household chores. (AR 49.) Plaintiff stated that

1 he does no lifting due to pain. (AR 60.) He testified he can walk for 20 minutes before sitting
2 down. (AR 49–50.) Plaintiff reads the Bible on his computer while sitting, but testified that he
3 will stand periodically and not assume one “specific position.” (AR 50–51.) Plaintiff testified
4 that he reads the Bible three times a week and also listens to it on his phone. (AR 59.) Plaintiff
5 testified that he is a Baptist minister and, in that capacity, teaches Sunday school every Sunday
6 and preaches “some Sundays.” (AR 53.) While preaching, he stands up for 15 or 20 minutes
7 before needing to sit down. (AR 54.) Plaintiff testified that he had to miss the last few Sundays
8 due to pain. (AR 54.)

9 2. **Vocational Expert’s Testimony**

10 The Vocational Expert (“VE”) identified Plaintiff’s past work as a roustabout, Dictionary
11 of Operational Titles (DOT) code 869.684-046, which was heavy exertional skilled work with a
12 specific vocational preparation (SVP)⁴ of 5; as a tire repairer, DOT code 915.684-010, which was
13 at a medium exertion level and semiskilled with a SVP of 3; and as a wireline worker, DOT code
14 931.361.010, which was at a medium exertion level and skilled with a SVP of 5. (AR 63–64.)
15 The ALJ asked the VE to consider a person of Plaintiff’s age, education, and with his past job
16 experience. The VE was also to assume this person is limited to standing and/or walking, with a
17 cane for walking as needed, up to 60 minutes at a time for a total of 6 out of 8 hours; can lift up to
18 10 pounds frequently and 20 pounds occasionally; can carry 5 pounds frequently and 10 pounds
19 occasionally; can stoop, kneel, crouch, crawl, and balance on an occasional basis; and can reach
20 overhead with the bilateral upper extremities occasionally. (AR 657–58.) The VE testified that
21 such a person could not perform Plaintiff’s past relevant work, but could perform other work as
22 an assembler, DOT code 712.687-010, light exertion level and SVP 2; packing line worker, DOT
23 code 237.367-018, light exertion level and SVP 2; and sorter, DOT code 529.687-186, light
24 exertion level and SVP 2. (AR 64.)

25 The VE was also asked to consider a person of Plaintiff’s age, education, and work

26 _____
27 ⁴ Specific vocational preparation, as defined in DOT, App. C, is the amount of lapsed time required by a typical
28 worker to learn the techniques, acquire the information, and develop the facility needed for average performance in a
specific job-worker situation. DOT, Appendix C – Components of the Definition Trailer, 1991 WL 688702 (1991).
Jobs in the DOT are assigned SVP levels ranging from 1 (the lowest level – “short demonstration only”) to 9 (the
highest level – over 10 years of preparation). *Id.*

1 background but could lift 25 pounds frequently and 50 pounds occasionally; stand, sit, and/or
2 walk with normal breaks for 6 out of 8 hours; use ramps, stairs, frequently; use ladders, ropes,
3 and scaffolding occasionally; frequently balance, stoop, kneel, crouch, and crawl; lift with the left
4 upper extremity occasionally; and with no limitations on pushing and pulling, including operation
5 of hand and/or foot controls. (AR 65.) The VE testified that such a person could perform
6 Plaintiff's past relevant work of wireline worker. (AR 65.)

7 The ALJ asked a follow up question regarding the first hypothetical worker who also was
8 limited to lifting 25 pounds occasionally and 5 pounds frequently; to walking, standing, and
9 sitting to 60 minutes at one time; could perform no repetitive extension, flexion, or rotation, of
10 the cervical spine; and could perform no activity working above eye gaze in a neutral position.
11 (AR 65, 416.) The VE testified that if such a person required a break of undetermined time there
12 would be no jobs available, but if there was a sit/stand option available, then the assembler and
13 sorter jobs would be available (reduced by 90 percent), as well as order clerk, DOT code
14 209.567-014, sedentary exertion level and unskilled at SVP 2. (AR 65-67.) Plaintiff's counsel
15 inquired whether there would be any jobs available if the first hypothetical worker would need, in
16 addition to the normal breaks the law is required to provide, at least another hour to lie down.
17 (AR 69.) The VE responded that there would be no jobs available under that scenario. (AR 69.)

18 **D. The ALJ's Decision**

19 In a decision dated June 25, 2014, the ALJ found that Plaintiff was not disabled. (AR 16-
20 29.) The ALJ conducted the five-step disability analysis set forth in 20 C.F.R. § 416.920. (AR
21 21-29.) The ALJ decided that Plaintiff has not engaged in substantial gainful activity since
22 January 22, 2012, the alleged onset date (step 1). (AR 21.) The ALJ found that Plaintiff had the
23 severe impairments of (1) degenerative disc disease of the lumbar and cervical spine, (2)
24 degenerative joint disease of the left shoulder, and (3) obesity (step 2). (AR 21.) However,
25 Plaintiff did not have an impairment or combination of impairments that met or medically
26 equaled one of the listed impairments in 20 C.F.R. Part 404, Subpart P, Appendix 1 ("the
27 Listings") (step 3). (AR 22-23.) The ALJ determined that Plaintiff had the residual functional
28

1 capacity (“RFC”)⁵

2 to perform light work as defined in 20 CFR §§ 404.1567(b) except he can
3 sit with normal breaks up to 6 hours in an 8-hour day; stand and/or walk
4 up to 60 minutes at a time for a total of 6 hours in an 8-hour day, walking
5 with a cane as needed for ambulation; lift up to 10 pounds frequently and
6 20 pounds occasionally; carry 5 pounds frequently and 10 pounds
7 occasionally; and occasionally stoop, kneel, crouch, crawl, balance, and
8 reach overhead with the bilateral upper extremities.

9 (AR 23.)

10 The ALJ determined that, given his RFC, Plaintiff was unable to perform any past
11 relevant work (step 4), but that Plaintiff was not disabled because he could perform a significant
12 number of other jobs in the local and national economies, specifically assembler, packing line
13 worker, and sorter (step 5). (AR 28.) In reaching her conclusions, the ALJ also determined that
14 Plaintiff’s subjective complaints were not entirely credible. (AR 26.)

15 **III. SCOPE OF REVIEW**

16 The ALJ’s decision denying benefits “will be disturbed only if that decision is not
17 supported by substantial evidence or it is based upon legal error.” *Tidwell v. Apfel*, 161 F.3d 599,
18 601 (9th Cir. 1999). In reviewing the Commissioner’s decision, the Court may not substitute its
19 judgment for that of the Commissioner. *Macri v. Chater*, 93 F.3d 540, 543 (9th Cir. 1996).
20 Instead, the Court must determine whether the Commissioner applied the proper legal standards
21 and whether substantial evidence exists in the record to support the Commissioner’s findings.
22 *See Lewis v. Astrue*, 498 F.3d 909, 911 (9th Cir. 2007). “Substantial evidence is more than a
23 mere scintilla but less than a preponderance.” *Ryan v. Comm’r of Soc. Sec.*, 528 F.3d 1194, 1198
24 (9th Cir. 2008). “Substantial evidence” means “such relevant evidence as a reasonable mind
25 might accept as adequate to support a conclusion.” *Richardson v. Perales*, 402 U.S. 389, 401
26 (1971) (quoting *Consol. Edison Co. of N.Y. v. NLRB*, 305 U.S. 197, 229 (1938)). The Court

27 ⁵ RFC is an assessment of an individual’s ability to do sustained work-related physical and mental activities in a
28 work setting on a regular and continuing basis of 8 hours a day, for 5 days a week, or an equivalent work schedule.
Social Security Ruling 96-8p. The RFC assessment considers only functional limitations and restrictions that result
from an individual’s medically determinable impairment or combination of impairments. *Id.* “In determining a
claimant’s RFC, an ALJ must consider all relevant evidence in the record including, inter alia, medical records, lay
evidence, and the effects of symptoms, including pain, that are reasonably attributed to a medically determinable
impairment.” *Robbins v. Soc. Sec. Admin.*, 466 F.3d 880, 883 (9th Cir. 2006).

1 “must consider the entire record as a whole, weighing both the evidence that supports and the
2 evidence that detracts from the Commissioner’s conclusion, and may not affirm simply by
3 isolating a specific quantum of supporting evidence.” *Lingenfelter v. Astrue*, 504 F.3d 1028,
4 1035 (9th Cir. 2007) (citation and internal quotation marks omitted).

5 IV. APPLICABLE LAW

6 An individual is considered disabled for purposes of disability benefits if he or she is
7 unable to engage in any substantial, gainful activity by reason of any medically determinable
8 physical or mental impairment that can be expected to result in death or that has lasted, or can be
9 expected to last, for a continuous period of not less than twelve months. 42 U.S.C.
10 §§ 423(d)(1)(A), 1382c(a)(3)(A); *see also Barnhart v. Thomas*, 540 U.S. 20, 23 (2003). The
11 impairment or impairments must result from anatomical, physiological, or psychological
12 abnormalities that are demonstrable by medically accepted clinical and laboratory diagnostic
13 techniques and must be of such severity that the claimant is not only unable to do his previous
14 work, but cannot, considering his age, education, and work experience, engage in any other kind
15 of substantial, gainful work that exists in the national economy. 42 U.S.C. §§ 423(d)(2)–(3),
16 1382c(a)(3)(B), (D).

17 The regulations provide that the ALJ must undertake a specific five-step sequential
18 analysis in the process of evaluating a disability. In the First Step, the ALJ must determine
19 whether the claimant is currently engaged in substantial gainful activity. 20 C.F.R. §§
20 404.1520(b), 416.920(b). If not, in the Second Step, the ALJ must determine whether the
21 claimant has a severe impairment or a combination of impairments significantly limiting him
22 from performing basic work activities. *Id.* §§ 404.1520(c), 416.920(c). If so, in the Third Step,
23 the ALJ must determine whether the claimant has a severe impairment or combination of
24 impairments that meets or equals the requirements of the Listing of Impairments (“Listing”), 20
25 C.F.R. 404, Subpart P, App. 1. *Id.* §§ 404.1520(d), 416.920(d). If not, in the Fourth Step, the
26 ALJ must determine whether the claimant has sufficient residual functional capacity despite the
27 impairment or various limitations to perform his past work. *Id.* §§ 404.1520(f), 416.920(f). If
28 not, in Step Five, the burden shifts to the Commissioner to show that the claimant can perform

1 other work that exists in significant numbers in the national economy. *Id.* §§ 404.1520(g),
2 416.920(g). If a claimant is found to be disabled or not disabled at any step in the sequence, there
3 is no need to consider subsequent steps. *Tackett v. Apfel*, 180 F.3d 1094, 1098–99 (9th Cir.
4 1999); 20 C.F.R. §§ 404.1520, 416.920.

5 **V. DISCUSSION**

6 Plaintiff contends that the ALJ failed to articulate clear and convincing reasons for
7 discounting Plaintiff’s testimony regarding his subjective complaints. (Doc. 13.) The
8 Commissioner responds that the ALJ properly relied on evidence in the record that undermined
9 the credibility of Plaintiff’s allegations of disabling symptoms and limitations. (Doc. 14.)

10 **A. The ALJ’s Consideration of Plaintiff’s Testimony**

11 **1. Legal Standard**

12 In evaluating the credibility of a claimant’s testimony regarding subjective pain, an ALJ
13 must engage in a two-step analysis. *Vasquez v. Astrue*, 572 F.3d 586, 591 (9th Cir. 2009).
14 First, the ALJ must determine whether the claimant has presented objective medical evidence of
15 an underlying impairment that could reasonably be expected to produce the pain or other
16 symptoms alleged. *Id.* The claimant is not required to show that his impairment “could
17 reasonably be expected to cause the severity of the symptom [he] has alleged; [he] need only
18 show that it could reasonably have caused some degree of the symptom.” *Id.* (quoting
19 *Lingenfelter*, 504 F.3d at 1036). If the claimant meets the first test and there is no evidence of
20 malingering, the ALJ can only reject the claimant’s testimony about the severity of the
21 symptoms if he gives “specific, clear and convincing reasons” for the rejection. *Id.* As the
22 Ninth Circuit has explained:

23 The ALJ may consider many factors in weighing a claimant’s credibility,
24 including (1) ordinary techniques of credibility evaluation, such as the claimant’s
25 reputation for lying, prior inconsistent statements concerning the symptoms, and
26 other testimony by the claimant that appears less than candid; (2) unexplained or
27 inadequately explained failure to seek treatment or to follow a prescribed course
of treatment; and (3) the claimant’s daily activities. If the ALJ’s finding is
supported by substantial evidence, the court may not engage in second-guessing.

28 *Tommasetti v. Astrue*, 533 F.3d 1035, 1039 (9th Cir. 2008) (citations and internal quotation

1 marks omitted); *see also Bray*, 554 F.3d at 1226–27; 20 C.F.R. § 404.1529. Other factors the
2 ALJ may consider include a claimant’s work record and testimony from physicians and third
3 parties concerning the nature, severity, and effect of the symptoms of which he complains.
4 *Light v. Soc. Sec. Admin.*, 119 F.3d 789, 792 (9th Cir. 1997).

5 The clear and convincing standard is “not an easy requirement to meet,” as it is “the
6 most demanding required in Social Security cases.” *Garrison v. Colvin*, 759 F.3d 995, 1015
7 (9th Cir. 2014) (quoting *Moore v. Comm’r of Soc. Sec. Admin.*, 278 F.3d 920, 924 (9th Cir.
8 2002)). General findings are not sufficient to satisfy this standard; the ALJ ““must identify what
9 testimony is not credible and what evidence undermines the claimant’s complaints.”” *Burrell v.*
10 *Colvin*, 775 F.3d 1133, 1138 (9th Cir. 2014) (quoting *Lester v. Chater*, 81 F.3d 821, 834 (9th
11 Cir. 1995)).

12 **2. The ALJ Properly Found Plaintiff Less Than Fully Credible**

13 Here, the ALJ found Plaintiff’s credibility was undermined by Plaintiff’s treatment
14 history, poor work history, the objective medical evidence, and the functional abilities Plaintiff
15 demonstrated in daily activities that were in excess of his allegations of disability. (AR 26–27.)

16 **a. Treatment History**

17 In rejecting Plaintiff’s subjective complaints based on his treatment history, the ALJ
18 found:

19 [Plaintiff’s] treatment is not what I would expect with someone with severe back
20 pain. For example, there is insufficient objective evidence of medical treatment
21 since October 16, 2012. Additionally, his treatment since the alleged onset date
22 was conservative and did not include surgery. Overall, [Plaintiff’s] medical
treatment was conservative and not indicative of total disability. (Ex. 5F.)

23 (AR 27.) The Court takes each finding in turn.

24 *i. Lack of Treatment Evidence Since October 16, 2012*

25 Defendant argues that the ALJ’s reliance on the lack of treatment records after October
26 16, 2012, is not erroneous because “[t]he ALJ is permitted to consider lack of treatment in h[er]
27 credibility determination,” citing *Burch v. Barnhart*, 400 F.3d 676, 681 (9th Cir. 2005). (Doc.
28 14 at 6–7.) The ALJ’s finding of “insufficient” treatment records after October 16, 2012,

1 however, is not supported by the record.

2 As the ALJ noted, qualified medical examiner Dr. Klassen “summarized some medical
3 records” in his November 22, 2013 opinion, to which the ALJ assigned “great weight.” (AR
4 26.) These medical records demonstrate that Plaintiff received numerous treatments, and was
5 seen by treating physician Dr. Opoku, after October 16, 2012. Specifically, the records show
6 that on April 17, April 24, and June 4, 2013, Plaintiff received acupuncture treatments for pain
7 in his cervical spine, lumbar spine, and left wrist, and he attended “therapy sessions”⁶ for
8 cervical and lumbar spine pain on May 1, 7, 13, 22, and 29, 2013; and June 3, 5, and 10, 2013.
9 (AR 417.) The records also show that Plaintiff was seen by treating physicians Dr. Korzelius
10 and Dr. Opoku, whose medical source statement the ALJ gave “great weight” (AR 26), on May
11 8, June 12, July 24, and September 11, 2013, and Plaintiff was prescribed a lumbar brace,
12 capsaicin, Flurbiprofen, methyl salicylate ointment, Flurbiprofen/Tramadol cream, a Medrox
13 patch, Ultracet, Tylenol, and Flexeril by those treaters. (AR 417–18.)

14 It appears that these medical records were largely ignored by the ALJ. Although the
15 ALJ is not required to “discuss every piece of evidence,” the record does need to demonstrate
16 that she considered all of the evidence. *See Howard ex rel. Wolff v. Barnhart*, 341 F.3d 1006,
17 1012 (9th Cir. 2003). Here, the record does not. The ALJ’s failure to mention—much less
18 consider—these treating records, and instead predicate her adverse credibility finding in part on
19 their absence, is error.⁷ *See Regennitter v. Commissioner*, 166 F.3d 1294, 1297 (9th Cir. 1999)

20

21 ⁶ The sessions consisted of “electrical stimulation, infrared, manual traction and myofascial release/soft tissue
mobilization.” (AR 417.)

22 ⁷ The ALJ also criticizes the record’s lack of records from Dr. Gordon, whom Plaintiff testified was his current
23 treatment provider. (AR 27, 62.) At the hearing, Plaintiff’s counsel stated that he had requested records from Dr.
24 Gordon, but that Dr. Gordon had not yet responded. (AR 62.) Plaintiff’s counsel did not request a continuance so
25 the records could be obtained. (AR 69 (At the end of the hearing, counsel stated, “That’s all I would have,” to which
26 the ALJ responded, “All right. If there’s nothing further, we can close the hearing. Thank you.”)) The ALJ made
27 post-hearing requests for records from Dr. Gordon on April 21 and May 5, 2014, but no such records were submitted.
28 (AR 27, 277.) To date, Plaintiff has not put forth any records from Dr. Gordon. It is Plaintiff’s duty to proffer
medical evidence proving he is disabled. *See Mayes v. Massanari*, 276 F.3d 453, 459 (9th Cir. 2001); 42 U.S.C. §
423(d)(5)(A) (claimant must furnish medical and other evidence of his disability); 20 C.F.R. § 404.1512(c) (“You
must provide medical evidence showing that you have impairment(s) and how severe it is during the time you say
you are disabled.”). The ALJ therefore did not err in considering the lack of treatment evidence from Dr. Gordon.
Cf. Hamilton v. Astrue, No. CV 11–7851–JSL € , 2012 WL 3217850, at *5 (C.D. Cal. Aug. 7, 2012) (finding the ALJ
erred in failing to develop the record more fully where the ALJ did *not* attempt to contact the treating physicians for
documentation).

1 (The ALJ's inaccurate characterization of the evidence of record rendered the ALJ's credibility
2 determination invalid).

3 However, because the ALJ articulated permissible reasons for discounting Plaintiff's
4 credibility, specifically Plaintiff's conservative treatment history, Plaintiff's poor work history,
5 and evidence in the record that conflicted with Plaintiff's allegation of total disability, as set
6 forth below, this error is harmless. *See Carmickle v. Comm'r, Soc. Sec. Admin.*, 533 F.3d 1155,
7 1162 (9th Cir. 2008) (citing *Batson v. Comm'r of Soc. Sec. Admin.*, 359 F. 3d 1190, 1197 (9th
8 Cir. 2004) ("So long as there remains 'substantial evidence supporting the ALJ's conclusions on
9 . . . credibility' and the error 'does not negate the validity of the ALJ's ultimate [credibility]
10 conclusion' such is deemed harmless and does not warrant reversal."); *Tonapetyan v. Halter*,
11 242 F. 3d 1144, 1148 (9th Cir. 2001) (That some reasons for discrediting claimant's testimony
12 should be properly discounted does not render an ALJ's determination invalid so long as that
13 determination is supported by other, substantial evidence).

14 *ii. Conservative Treatment*

15 The ALJ's credibility assessment properly relied on evidence that Plaintiff's treatment
16 was "conservative" and therefore "not indicative of total disability." (AR 27.) The ALJ observed
17 that Plaintiff was provided a lumbar support brace and a left wrist brace in January 2012, and
18 used a cane prescribed by treating chiropractor Dr. Eoh for ambulatory support since February
19 2012. (AR 24, 47–48, 385.) The ALJ noted Plaintiff underwent electrical stimulation treatment
20 by Dr. Eoh. (AR 24, 384.) On August 10, 2012, Plaintiff presented to Dr. Eoh, who, despite
21 observing a "palpable tenderness" to Plaintiff's lumbar and cervical spine, did not prescribe any
22 physiotherapy, chiropractic care, or acupuncture. (AR 25, 321.) As the ALJ noted, Dr. Eoh
23 found that Plaintiff's condition was "[i]mproving," and placed him on modified work duty
24 (restricted to lifting 10 pounds, no overhead working, limited use of left hand, and no
25 pushing/pulling) from September 17, 2012 to November 1, 2012. (AR 25, 315–16.)

26 The ALJ further observed that orthopedic surgeon and examiner Dr. Klassen determined
27 on September 28, 2012, Plaintiff was not at maximum medical improvement ("MMI") for his
28 cervical and lumbar spine, and that there was no impairment to Plaintiff's left wrist. (AR 24,

1 385.) Dr. Klassen recommended 12 to 18 physical therapy sessions. (AR 24, 385.) On March 1,
2 2013, Dr. Klassen recommended more physical therapy and medication management. (AR 25,
3 395.) Plaintiff testified that that his worker’s compensation physician told him “he didn’t think
4 he would recommend surgery” (AR 58), and there is no indication in the record that any
5 physician recommended that Plaintiff have surgery. Based on the above conservative treatment,
6 the ALJ was entitled to discount Plaintiff’s credibility. *See Tommasetti*, 533 F.3d at 1040
7 (holding that the ALJ properly considered the plaintiff’s use of “conservative treatment including
8 physical therapy and the use of anti-inflammatory medication, a transcutaneous electrical nerve
9 stimulation unit, and a lumbosacral corset”); *Parra v. Astrue*, 481 F.3d 742, 750–51 (9th Cir.
10 2007) (evidence of conservative treatment is sufficient to discount a claimant’s testimony
11 regarding severity of an impairment); *Johnson v. Shalala*, 60 F.3d 1428, 1434 (9th Cir. 1995)
12 (ALJ may properly rely on the fact that only conservative treatment has been prescribed).

13 The record shows that Plaintiff was prescribed Norco, which relieved the pain⁸, and
14 received about ten epidural steroid injections in his lumbar and cervical spine. (AR 24, 51, 55,
15 254, 408.) The ALJ further noted that Plaintiff was also prescribed ibuprofen. (AR 24.) Plaintiff
16 contends that his receipt of prescription pain medication and epidural injections demonstrates that
17 he did not undergo “conservative” treatment for his pain. (Doc. 13 at 12–13.) While Plaintiff is
18 correct that epidural injections, by themselves, have been found not to constitute conservative
19 treatment, *see Yang v. Colvin*, No. CV 14–2138–PLA, 2015 WL 248056, at *6 (C.D. Cal. Jan. 20,
20 2015), courts have frequently found that the fact that Plaintiff has been prescribed narcotic
21 medication or received injections does not negate the reasonableness of the ALJ’s finding that
22 Plaintiff’s treatment *as a whole* was conservative, particularly when undertaken in addition to
23 other, less invasive treatment methods. *See Traynor v. Colvin*, No. 1:13–cv–1041–BAM, 2014
24 WL 4792593, at *9 (E.D. Cal. Sept. 24, 2014) (finding evidence that Plaintiff’s symptoms were
25 managed through “prescription medications and infrequent epidural and cortisone injections” was
26 “conservative treatment” was sufficient for the ALJ to discount the plaintiff’s testimony
27

28 ⁸ Impairments that can be controlled effectively with medication are not considered disabling. *Warre v. Comm’r of the SSA*, 439 F.3d 1001, 1006 (9th Cir. 2006).

1 regarding the severity of impairment.); *Morris v. Colvin*, No. 13–6236, 2014 WL 2547599, at *4
2 (C.D. Cal. June 3, 2014) (ALJ properly discounted credibility when plaintiff received
3 conservative treatment consisting of physical therapy, use of TENS unit, chiropractic treatment,
4 Vicodin, and Tylenol with Vicodin); *Jones v. Comm’r of Soc. Sec.*, No. 2:12–cv–01714–KJN,
5 2014 WL 228590, at *7–10 (E.D. Cal. Jan. 21, 2014) (ALJ properly found that plaintiff’s
6 conservative treatment, which included physical therapy, anti-inflammatory and narcotic
7 medications, use of a TENS unit, occasional epidural steroid injections, and massage therapy,
8 diminished plaintiff’s credibility); *Higinio v. Colvin*, No. EDCV 12–1820 AJW, 2014 WL 47935,
9 at *5 (C.D. Cal. Jan. 7, 2014) (holding that despite the fact that the claimant had been prescribed
10 narcotic pain medication at various times, the claimant’s overall treatment—which also included
11 use of a back brace and a heating pad—was conservative); *Walter v. Astrue*, No. EDCV 09–1569
12 AGR, 2011 WL 1326529, at *3 (C.D. Cal. Apr. 6, 2011) (ALJ permissibly discredited claimant’s
13 allegations based on conservative treatment consisting of Vicodin, physical therapy, and an
14 injection). Accordingly, the ALJ’s adverse credibility determination based on Plaintiff’s
15 conservative treatment will not be disturbed.

16 **b. Work History**

17 The ALJ found Plaintiff not entirely credible in part because his “work history does not
18 indicate that he would be working if he could.” (AR 27.) Plaintiff argues that because the ALJ
19 never questioned him at the hearing about the size of his earnings, any negative inference is
20 “pure speculation” and not substantial evidence. (Doc. 13 at 13.)

21 An ALJ is required to consider work history when assessing credibility. *See* 20 C.F.R. §
22 404.1529(c)(3)(An ALJ “will consider all of the evidence presented, including information
23 about your work record”); Social Security Ruling (“SSR”)⁹ 95-7p (An ALJ’s assessment of
24 credibility must be based on all of the evidence on record, including prior work record).
25 Evidence of a poor work history is a clear and convincing reason to discredit plaintiff’s

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27 ⁹ Social Security Rulings (“SSR”) are final opinions and statements of policy by the Commissioner of Social
28 Security, binding on all components of the Social Security Administration. 20 C.F.R. § 422.406(b)(1). They are “to
be relied upon as precedent in determining cases where the facts are basically the same.” *Paulson v. Bowen*, 836
F.2d 1249, 1252 n.2 (9th Cir. 1988).

1 credibility. *Thomas v. Barnhart*, 278 F.3d 947, 959 (9th Cir. 2002) (upholding ALJ’s negative
2 credibility determination because, among other factors, plaintiff’s “work history was spotty, at
3 best” and she “has shown little propensity to work in her lifetime”); *Moore v. Astrue*, No. CV–
4 08–1567–RC, 2009 WL 1330856, at *6 (C.D. Cal. May 13, 2009) (finding the ALJ correctly
5 relied on claimant’s poor work history to support an adverse credibility determination).

6 Here, although Plaintiff earned over \$50,000 in each year from 2007 through 2011, he
7 earned less than \$10,000 from 2002 to 2006, and in 1998 (with no earnings in 2005), and earned
8 less than \$20,000 in the years 1997, 1999, and 2001. (AR 168.) For the year 2000, Plaintiff
9 earned less than \$30,000. (AR 168.) The Court finds that Plaintiff’s earning records constitute
10 substantial evidence sufficient to support the ALJ’s finding of poor work history prior to the
11 alleged date of onset, which is a valid reason to discount Plaintiff’s credibility. *Thomas*, 278
12 F.3d at 959; *Moore*, 2009 WL 1330856, at *6. While it is true that factor(s) other than a lack of
13 propensity to work could account for Plaintiff’s low earnings from 1997 to 2007, this Court may
14 not “second-guess” the ALJ’s credibility finding simply because the evidence may have been
15 susceptible of other interpretations more favorable to Plaintiff. *See Tommasetti*, 533 F.3d at
16 1039. Remand is therefore not warranted on this basis.

17 **c. Objective Medical Evidence**

18 The ALJ properly discounted Plaintiff’s credibility due to inconsistencies between
19 Plaintiff’s subjective complaints and the medical evidence, specifically that Plaintiff’s
20 complaint of radiculopathy was not verified by diagnostic testing or clinical signs. (AR 27.)
21 *See Regennitter*, 166 F.3d at 1297 (explaining that a determination that a claimant’s complaints
22 are “inconsistent with clinical observations” can satisfy the clear and convincing requirement).
23 In his adult function report and his testimony at the hearing, Plaintiff claimed “constant” pain
24 radiating down his legs to his calves, and pain in his neck, shoulders, and head. (AR 55, 249–
25 50.) Plaintiff claimed that he cannot lift, squat, bend, reach, kneel, climb stairs, or stand long
26 without experiencing pain in his back, neck and/or leg, and can only walk short distances with a
27 cane before stopping. (AR 49–50, 60, 254.) However, Dr. Klassen, consultative examiner,
28 made contradictory findings. On November 22, 2013, Dr. Klassen noted that Plaintiff’s

1 complaint of lumbar radiculopathy was “not verified at this time on electrodiagnostic testing,”
2 and explicitly found that Plaintiff *did not* have cervical spine radiculopathy or significant
3 lumbar radiculopathy.” (AR 414–15 (emphasis added); *see also* AR 395 (opining that *if*
4 Plaintiff developed radiculopathy, he may be a candidate for additional epidurals) (emphasis
5 added).) Dr. Klassen opined that Plaintiff could lift 25 pounds occasionally and 5 pounds
6 frequently and walk, stand, and sit for 60 minutes at one time. (AR 416.) Consultative
7 examiner Dr. Vesali found that Plaintiff had normal muscle bulk and tone and motor strength in
8 the bilateral upper and lower extremities and full grip strength. (AR 371–72.) Finally, in
9 contrast to Plaintiff’s claims, treating chiropractor Dr. Eoh noted that Plaintiff’s condition was
10 “[i]mproving,” and released him to perform modified work (restricted to lifting 10 pounds, no
11 overhead working, limited use of left hand, and no pushing/pulling) effective November 1,
12 2012. (AR 315–16.) As the ALJ noted in his decision, Plaintiff’s “stated limitations are in
13 excess of the objective medical findings and other evidence in the record.”¹⁰ (AR 27.)

14 While Plaintiff is correct that subjective symptom testimony cannot be rejected solely on
15 the ground that it is not fully corroborated by objective medical evidence (*see* Doc. 13 at 11–
16 12), the medical evidence is still a relevant factor in determining Plaintiff’s credibility. *Rollins*
17 *v. Massanari*, 261 F.3d 853, 857 (9th Cir. 2001) (citing 20 CFR § 404.1529(c)(2)). Here,
18 Plaintiff’s subjective complaints were not rejected *solely* on the ground that they were
19 inconsistent with the objective medical evidence: the ALJ also relied on evidence of Plaintiff’s
20 conservative treatment and work history as independent reasons to discredit Plaintiff. The
21 inconsistencies between Plaintiff’s complaints of severe pain and clinical observations, taken
22 together with evidence of Plaintiff’s receipt of only conservative treatment and his poor work
23 history, constitute substantial evidence supporting the ALJ’s adverse credibility finding. *See*
24 *Smith v. Colvin*, No. 2:1–cv–03045–KJN, 2013 WL 1156497, at *8 (E.D. Cal. Mar. 19, 2013)
25 (An ALJ may properly rely on plaintiff’s conservative treatment, poor work history, and on
26 conflict between claimant’s testimony of subjective complaints and objective medical evidence
27 in the record). *See also Velasquez v. Colvin*, No. ED CV 13–1542–AS, 2014 WL 6473790, at

28 _____
¹⁰ Plaintiff does not challenge the credibility of these—or any—physicians’ opinions.

1 *7 (C.D. Cal. Nov. 19, 2014); *Chopp v. Colvin*, No. ED CV 12–291–SP, 2013 WL 1120085, at
2 *3–5 (C.D. Cal. Mar. 18, 2013).

3 **d. Activities of Daily Living**

4 The ALJ’s final reason for discounting Plaintiff’s subjective symptom testimony was
5 that Plaintiff’s activities of daily living were inconsistent with his allegation that he was totally
6 disabled. (AR 27.) As set forth above, an ALJ is allowed to consider a claimant’s daily
7 activities in assessing a claimant’s credibility. *Tommasetti*, 533 F.3d at 1041. However, “[t]he
8 mere fact that a plaintiff has carried on certain daily activities, such as grocery shopping, driving
9 a car, or limited walking for exercise, does not in any way detract from [his] credibility as to
10 [his] overall disability. One does not need to be ‘utterly incapacitated’ in order to be disabled.”
11 *Webb v. Barnhart*, 433 F.3d 683, 688 (9th Cir. 2005) (quoting *Vertigan v. Halter*, 260 F.3d
12 1044, 1050 (9th Cir. 2001)).

13 Here, the ALJ found that Plaintiff’s allegations of debilitating pain (*see, e.g.*, AR 23–24)
14 were inconsistent with his statements and testimony that he drives, goes outside on a daily basis,
15 shops for groceries, manages his money, reads the Bible on his computer, gives sermons at
16 church, and teaches Sunday school. (AR 27. *See also* AR 50–51, 53, 250.) This level of
17 activity is not inconsistent with Plaintiff’s claimed limitations, particularly given his testimony
18 that he can only preach standing for 15 or 20 minutes before needing to sit (AR 54), that he
19 reads *or listens to* the Bible three times a week (AR 59), that he spends most of the day lying
20 down (AR 49, 58), and that he spends most of his day at home (AR 49, 58). *See Garrison*, 759
21 F.3d at 1016 (only if the claimant’s level of activity were inconsistent with his claimed
22 limitations would those activities have any bearing on his credibility); *see also id.* (the
23 claimant’s ability to talk on the phone, prepare meals once or twice a day, occasionally clean
24 one’s room, and, with assistance, care for one’s child, all the while taking hours-long rests is
25 consistent with an inability to function in a workplace environment). Nevertheless, to the extent
26 that the ALJ may have erred in discrediting Plaintiff’s subjective symptom testimony partly on
27 the basis of his daily activities, the error is harmless, because the ALJ cited other clear and
28 convincing reasons for her credibility determination, *infra*. *See Carmickle*, 533 F.3d at 1162–

1 63. Thus, the ALJ did not err in finding Plaintiff's subjective symptom testimony and
2 statements "not entirely credible."

3 **VI. CONCLUSION AND ORDER**

4 After consideration of Plaintiff's and Defendant's briefs and a thorough review of the
5 record, the Court finds that the ALJ's decision is supported by substantial evidence and is
6 therefore AFFIRMED. The Clerk of this Court is DIRECTED to enter judgment in favor of
7 Defendant Nancy A. Berryhill, Acting Commissioner of Social Security, and against Plaintiff.

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9 IT IS SO ORDERED.

10 Dated: August 4, 2017

/s/ Sheila K. Oberto
UNITED STATES MAGISTRATE JUDGE

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