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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

DAVID ESTRADA,
Plaintiff,
vs.
J. VANDERPOEL, et al.,
Defendants.

1:16-cv-00673-EPG (PC)

ORDER FINDING COGNIZABLE
CLAIMS AGAINST DEFENDANTS
VANDERPOEL, MAXFIELD, AND
SEXTON FOR VIOLATION OF FIRST,
EIGHTH, AND FOURTEENTH
AMENDMENTS

ORDER FOR PLAINTIFF TO FILE
AMENDED COMPLAINT OR NOTIFY
THE COURT THAT HE IS WILLING TO
PROCEED ONLY ON THESE CLAIMS
AGAINST THESE DEFENDANTS

(Doc. 1.)

THIRTY DAY DEADLINE

I. BACKGROUND

David Estrada (“Plaintiff”) is a state prisoner proceeding *pro se* and *in forma pauperis* with this civil rights action pursuant to 42 U.S.C. § 1983. On May 12, 2016, Plaintiff filed the Complaint commencing this action, (ECF No. 1.), which is before this Court for screening.

On July 21, 2016, (and again on September 26, 2016) Plaintiff consented to Magistrate Judge jurisdiction in this action pursuant to 28 U.S.C. § 636(c), and no other parties have made an appearance. (ECF No. 9, 10.) Therefore, pursuant to Appendix A(k)(4) of the Local Rules of the Eastern District of California, the undersigned shall conduct any and all proceedings in

1 the case until such time as reassignment to a District Judge is required. Local Rule Appendix
2 A(k)(3).

3 Plaintiff alleges that he has been improperly confined to the Segregated Housing Unit
4 and subject to an “R” classification without cause or due process for the last ten years. The
5 Court will allow certain claims described below to proceed against Defendants Vanderpoel,
6 Maxfield and Sexton based on their alleged involvement with the decisions to put and keep
7 Plaintiff in the SHU and with this classification. Additionally, the Court will allow Plaintiff’s
8 Fifth Amendment claims against self-incrimination to proceed. The Court notes that it is
9 merely finding that Plaintiff may proceed past the screening stage for stating a colorable claim,
10 and the Court is not determining whether Plaintiff’s constitutional rights were violated at this
11 time.

12 The Court is giving Plaintiff the option of proceeding against those three individuals, in
13 which case the Court will authorize service on them, or filing an amended complaint, which the
14 Court will screen in due course.

15 **II. SCREENING REQUIREMENT**

16 The Court is required to screen complaints brought by prisoners seeking relief against a
17 governmental entity or officer or employee of a governmental entity. 28 U.S.C. § 1915A(a).
18 The Court must dismiss a complaint or portion thereof if the prisoner has raised claims that are
19 legally “frivolous or malicious,” that fail to state a claim upon which relief may be granted, or
20 that seek monetary relief from a defendant who is immune from such relief. 28 U.S.C.
21 § 1915A(b)(1),(2). “Notwithstanding any filing fee, or any portion thereof, that may have been
22 paid, the court shall dismiss the case at any time if the court determines that the action or
23 appeal fails to state a claim upon which relief may be granted.” 28 U.S.C. § 1915(e)(2)(B)(ii).

24 A complaint is required to contain “a short and plain statement of the claim showing
25 that the pleader is entitled to relief.” Fed. R. Civ. P. 8(a)(2). Detailed factual allegations are
26 not required, but “[t]hreadbare recitals of the elements of a cause of action, supported by mere
27 conclusory statements, do not suffice.” Ashcroft v. Iqbal, 556 U.S. 662, 678 (2009) (citing Bell
28 Atlantic Corp. v. Twombly, 550 U.S. 544, 555 (2007)). While a plaintiff’s allegations are

1 taken as true, courts “are not required to indulge unwarranted inferences.” Doe I v. Wal-Mart
2 Stores, Inc., 572 F.3d 677, 681 (9th Cir. 2009) (internal quotation marks and citation omitted).
3 To state a viable claim, Plaintiff must set forth “sufficient factual matter, accepted as true, to
4 ‘state a claim to relief that is plausible on its face.’” Iqbal, 556 U.S. at 678-79; Moss v. U.S.
5 Secret Service, 572 F.3d 962, 969 (9th Cir. 2009). The mere possibility of misconduct falls
6 short of meeting this plausibility standard. Id. While factual allegations are accepted as true,
7 legal conclusions are not. Id.

8 **III. SUMMARY OF COMPLAINT**

9 In 2004, Plaintiff was at the California Substance Abuse Treatment Facility at Corcoran
10 (CSATF), after serving a Segregated Housing Unit (SHU) term at California State Prison,
11 Corcoran (CSP-COR). On or around November 15, 2004, Plaintiff’s Correctional Counselor
12 conducted an archive review of Plaintiff’s California Youth Authority (CYA) file for job
13 placement and proof of general equivalency diploma (GED) completion.

14 In December 2004, Plaintiff was called to attend a unit classification committee (UCC)
15 where his counselor and other counselors told Plaintiff that, after conducting an archive review
16 of his CYA file, and also being notified of an inmate at another institution, California
17 Corrections Institution, Tehachapi, accusing Plaintiff of being his enemy, the UCC decided to
18 affix a custody designation of “R” to Plaintiff’s classification status. Counselors explained that
19 they had discovered that Plaintiff received a disciplinary infraction while at CYA for allegedly
20 assaulting a ward who said that Plaintiff had taken his property.

21 Plaintiff argues that he had no history of sex offenses and this violated his right to due
22 process. Plaintiff alleges that he has not had any history of crimes, convictions and has never
23 been brought up on charges as a juvenile or adult.

24 Plaintiff appealed the “R” designation, but his appeals were denied.

25 Plaintiff began to have safety issues in 2005. He as placed in administrative segregation
26 (Ad-Seg) on June 15, 2005 and was told if he did not enter protective custody, he would lose
27 his life. Plaintiff was then told by Institutional Gang Investigators (IGI) at CSATF that he
28 would be validated and sent to the SHU.

1 Plaintiff has and continues to be housed at CSP-COR SHU as an in-active validated
2 “EME” associate.

3 On January 15, 2015, Plaintiff attended an ICC hearing and again challenged the
4 application of his custody designation being affixed to his classification and retention in SHU
5 since June 15, 2005. The ICC, including Defendant Vanderpoel as Chairperson of the ICC,
6 decided to keep Plaintiff in the SHU. Plaintiff’s appeals of this designation were again denied.

7 Plaintiff mentions throughout the complaint that prison officials require him to be a
8 witness against himself. Plaintiff has been asked to join a “debriefing program,” and Plaintiff
9 has declined.

10 Plaintiff also alleges that he has suffered from mental health problems caused from
11 being over medicated while in the SHU. Plaintiff also states that he has no meaningful
12 activities, programs, environmental or sensory stimulation or normal human contact.

13 Plaintiff asserts claims under the Fifth Amendment for forced self-incrimination, the
14 Eighth Amendment for cruel and unusual punishment associated with his lengthy confinement
15 in the SHU without meaningful activities or human contact, and the Fourteenth Amendment for
16 lack of due process. Plaintiff names ten defendants working at the prison including chief and
17 associate wardens, correctional counselors, and appeals examiners.

18 **IV. PLAINTIFF’S CLAIMS**

19 The Civil Rights Act under which this action was filed provides:

20 Every person who, under color of any statute, ordinance, regulation, custom, or
21 usage, of any State or Territory or the District of Columbia, subjects, or causes
22 to be subjected, any citizen of the United States or other person within the
23 jurisdiction thereof to the deprivation of any rights, privileges, or immunities
24 secured by the Constitution and laws, shall be liable to the party injured in an
25 action at law, suit in equity, or other proper proceeding for redress

26 42 U.S.C. § 1983.

27 “[Section] 1983 ‘is not itself a source of substantive rights,’ but merely provides ‘a
28 method for vindicating federal rights elsewhere conferred.’” Graham v. Connor, 490 U.S. 386,
393-94 (1989) (quoting Baker v. McCollan, 443 U.S. 137, 144 n.3 (1979)); see also Chapman
v. Houston Welfare Rights Org., 441 U.S. 600, 618 (1979); Hall v. City of Los Angeles, 697

1 F.3d 1059, 1068 (9th Cir. 2012); Crowley v. Nevada, 678 F.3d 730, 734 (9th Cir. 2012);
2 Anderson v. Warner, 451 F.3d 1063, 1067 (9th Cir. 2006).

3 To state a claim under section 1983, a plaintiff must allege that (1) the defendant acted
4 under color of state law, and (2) the defendant deprived him of rights secured by the
5 Constitution or federal law. Long v. County of Los Angeles, 442 F.3d 1178, 1185 (9th Cir.
6 2006); see also Marsh v. Cnty. of San Diego, 680 F.3d 1148, 1158 (9th Cir. 2012) (discussing
7 “under color of state law”). A person deprives another of a constitutional right, “within the
8 meaning of § 1983, ‘if he does an affirmative act, participates in another’s affirmative act, or
9 omits to perform an act which he is legally required to do that causes the deprivation of which
10 complaint is made.’” Preschooler II v. Clark Cnty. Sch. Bd. of Trs., 479 F.3d 1175, 1183 (9th
11 Cir. 2007) (quoting Johnson v. Duffy, 588 F.2d 740, 743 (9th Cir. 1978)). “The requisite
12 causal connection may be established when an official sets in motion a ‘series of acts by others
13 which the actor knows or reasonably should know would cause others to inflict’ constitutional
14 harms.” Preschooler II, 479 F.3d at 1183 (quoting Johnson, 588 F.2d at 743). This standard of
15 causation “closely resembles the standard ‘foreseeability’ formulation of proximate cause.”
16 Arnold v. Int’l Bus. Mach. Corp., 637 F.2d 1350, 1355 (9th Cir. 1981); see also Harper v. City
17 of Los Angeles, 533 F.3d 1010, 1026 (9th Cir. 2008).

18 **A. Eighth Amendment—Conditions of Confinement**

19 “It is undisputed that the treatment a prisoner receives in prison and the conditions
20 under which [the prisoner] is confined are subject to scrutiny under the Eighth Amendment.”
21 Helling v. McKinney, 509 U.S. 25, 31 (1993); see also Farmer v. Brennan, 511 U.S. 825, 832
22 (1994). Conditions of confinement may, consistent with the Constitution, be restrictive and
23 harsh. See Rhodes v. Chapman, 452 U.S. 337, 347 (1981); Morgan v. Morgensen, 465 F.3d
24 1041, 1045 (9th Cir. 2006); Osolinski v. Kane, 92 F.3d 934, 937 (9th Cir. 1996); Jordan v.
25 Gardner, 986 F.2d 1521, 1531 (9th Cir. 1993) (*en banc*). Prison officials must, however,
26 provide prisoners with “food, clothing, shelter, sanitation, medical care, and personal safety.”
27 Toussaint v. McCarthy, 801 F.2d 1080, 1107 (9th Cir. 1986), abrogated in part on other
28 grounds by Sandin v. Connor, 515 U.S. 472 (1995); see also Johnson v. Lewis, 217 F.3d 726,

1 731 (9th Cir. 2000); Hoptowit v. Ray, 682 F.2d 1237, 1246 (9th Cir. 1982); Wright v. Rushen,
2 642 F.2d 1129, 1132-33 (9th Cir. 1981).

3 When determining whether the conditions of confinement meet the objective prong of
4 the Eighth Amendment analysis, the Court must analyze each condition separately to determine
5 whether that specific condition violates the Eighth Amendment. See Toussaint, 801 F.2d at
6 1107; Hoptowit, 682 F.2d at 1246-47; Wright, 642 F.2d at 1133. “Some conditions of
7 confinement may establish an Eighth Amendment violation ‘in combination’ when each would
8 not do so alone, but only when they have a mutually enforcing effect that produces the
9 deprivation of a single, identifiable human need such as food, warmth, or exercise – for
10 example, a low cell temperature at night combined with a failure to issue blankets.” Wilson v.
11 Seiter, 501 U.S. 294, 304 (1991); see also Thomas v. Ponder, 611 F.3d 1144, 1151 (9th Cir.
12 2010); Osolinski, 92 F.3d at 938-39; Toussaint, 801 F.2d at 1107; Wright, 642 F.2d at 1133.
13 When considering the conditions of confinement, the Court should also consider the amount of
14 time to which the prisoner was subjected to the condition. See Hutto v. Finney, 437 U.S. 678,
15 686-87 (1978); Hearns v. Terhune, 413 F.3d 1036, 1042 (9th Cir. 2005); Hoptowit, 682 F.2d at
16 1258.

17 As to the subjective prong of the Eighth Amendment analysis, prisoners must establish
18 prison officials’ “deliberate indifference” to unconstitutional conditions of confinement to
19 establish an Eighth Amendment violation. See Farmer, 511 U.S. at 834; Wilson, 501 U.S. at
20 303. This Court has found that “placement of seriously mentally ill inmates in the harsh,
21 restrictive and non-therapeutic conditions of California's administrative segregation units for
22 non-disciplinary reasons for more than a minimal period necessary to effect transfer to
23 protective housing or a housing assignment violates the Eighth Amendment.” Coleman v.
24 Brown, 28 F. Supp. 3d 1068, 1099 (E.D.Cal. 2014).

25 It is well established that the Eighth Amendment's prohibition against cruel and unusual
26 punishment is not violated by classification programs which pursue “important and laudable
27 goals” and are instituted under the State's authority to operate correctional facilities. See Neal
28 v. Shimoda, 131 F.3d 818, 833 (9th Cir. 1997) (classification program designed to treat and

1 reduce recidivism of sex offenders is well within state's authority to operate correctional
2 facilities and does not violate contemporary standards of decency). Nor does misclassification
3 inflict pain so as to be cruel and unusual punishment violative of the Eighth Amendment. See
4 Hoptowit v. Ray, 682 F.2d 1237, 1255–56 (9th Cir. 1982); Ramos v. Lamm, 639 F.2d 559,
5 566–67 (10th Cir. 1980), *cert. denied*, 450 U.S. 1041 (1981).

6 Based on Plaintiff's allegations that he was confined to the SHU, without meaningful
7 activities or human contact, while suffering from mental illness, for approximately ten years,
8 and also received an R classification at great personal safety risk to himself, Plaintiff has stated
9 a colorable cause of action under the Eighth Amendment. The Court is not issuing a final
10 decision that Plaintiff's confinement as alleged is unconstitutional, especially as the Court has
11 not heard from Defendants and cannot yet evaluate the prison's justifications for Plaintiff's
12 confinement.

13 This claim is only cognizable against prison officials directly responsible for his
14 confinement, and who have made the decision to keep Plaintiff in the SHU. Plaintiff does not
15 always say who was responsible for these decisions, so Plaintiff will be given leave to amend
16 his complaint in part to add allegations regarding which prison officials were responsible for
17 putting him and keeping him in the SHU. Based on Plaintiff's allegations in Plaintiff's
18 complaint regarding the defendants' involvement, the Court finds that Plaintiff has stated an
19 Eighth Amendment violation against Defendants J. Vanderpoel, who acted as chairperson at an
20 Institutional Classification Committee on January 15, 2015 for Plaintiff's annual classification
21 hearing for his SHU placement; Defendant A. Maxfield, who attended Plaintiff's Annual ICC
22 on January 15, 2015, acting as the 4B SHU correctional counselor II; Defendant Sexton, who
23 has been involved in Plaintiff's past committee appearances.

24 Although Plaintiff alleges that numerous other prison officials were involved by either
25 rejecting Plaintiff's appeals or having knowledge of the situation and failing to change it, the
26 Court finds that allegations against these other defendants do not stand because it does not
27 appear they were directly responsible for the decision to put him in, or keep him in, the SHU
28 beside ruling on his prison grievances.

1 **B. Due Process**

2 The Due Process Clause of the Fourteenth Amendment protects prisoners from being
3 deprived of life, liberty, or property without due process of law. Wolff v. McDonnell, 418 U.S.
4 539, 556 (1974). The procedural guarantees of the Fifth and Fourteenth Amendments’ Due
5 Process Clauses apply only when a constitutionally protected liberty or property interest is at
6 stake. See Ingraham v. Wright, 430 U.S. 651, 672-73 (1977); Bd. of Regents v. Roth, 408 U.S.
7 564, 569 (1972); Jackson v. Carey, 353 F.3d 750, 755 (9th Cir. 2003); Neal v. Shimoda, 131
8 F.3d 818, 827 (9th Cir. 1997); Erickson v. United States, 67 F.3d 858, 861 (9th Cir. 1995);
9 Schroeder v. McDonald, 55 F.3d 454, 462 (9th Cir. 1995); Tellis v. Godinez, 5 F.3d 1314, 1316
10 (9th Cir. 1993).

11 Liberty interests can arise both from the Constitution and from state law. See
12 Wilkinson v. Austin, 545 U.S. 209, 221 (2005); Hewitt v. Helms, 459 U.S. 460, 466 (1983).
13 The Due Process Clause itself does not confer on inmates a liberty interest in avoiding “more
14 adverse conditions of confinement.” Id. The Due Process Clause itself does not confer on
15 inmates a liberty interest in being confined in the general prison population instead of
16 administrative segregation. See Hewitt, 459 U.S. at 466-68; see also May v. Baldwin, 109 F.3d
17 557, 565 (9th Cir. 1997) (convicted inmate’s due process claim fails because he has no liberty
18 interest in freedom from state action taken within sentence imposed and administrative
19 segregation falls within the terms of confinement ordinarily contemplated by a sentence)
20 (quotations omitted); Resnick v. Hayes, 213 F.3d 443, 447 (9th Cir. 2000) (plaintiff’s
21 placement and retention in the SHU was within range of confinement normally expected by
22 inmates in relation to ordinary incidents of prison life and, therefore, plaintiff had no protected
23 liberty interest in being free from confinement in the SHU) (quotations omitted).

24 With respect to liberty interests arising from state law, the existence of a liberty interest
25 created by prison regulations is determined by focusing on the nature of the deprivation.
26 Sandin, 515 U.S. at 481-84. Liberty interests created by prison regulations are limited to
27 freedom from restraint which “imposes atypical and significant hardship on the inmate in
28 relation to the ordinary incidents of prison life.” Id. at 484; see also Myron v. Terhune, 476

1 F.3d 716, 718 (9th Cir. 2007); Jackson, 353 F.3d at 755; Serrano v. Francis, 345 F.3d 1071,
2 1078 (9th Cir. 2003); Ramirez v. Galaza, 334 F.3d 850, 860 (9th Cir. 2003). When conducting
3 the Sandin inquiry, Courts should look to Eighth Amendment standards as well as the
4 prisoners' conditions of confinement, the duration of the sanction, and whether the sanctions
5 will affect the length of the prisoners' sentence. See Serrano, 345 F.3d at 1078; Ramirez, 334
6 F.3d at 861; Keenan v. Hall, 83 F.3d 1083, 1089 (9th Cir. 1996). The placement of an inmate
7 in the SHU indeterminately may amount to a deprivation of a liberty interest of "real
8 substance" within the meaning of Sandin. See Wilkinson, 545 U.S. at 224. The "atypicality"
9 prong of the analysis requires not merely an empirical comparison, but turns on the importance
10 of the right taken away from the prisoner. See Carlo v. City of Chino, 105 F.3d 493, 499 (9th
11 Cir. 1997). A plaintiff must assert a "dramatic departure" from the standard conditions of
12 confinement before due process concerns are implicated. Sandin, 515 U.S. at 485–86; see also
13 Keenan, 83 F.3d at 1088–89.

14 Prison inmates do not have a protected liberty interest in freedom from alleged
15 classification errors where such errors do not cause the inmates to be subjected to "atypical and
16 significant hardship ... in relation to the ordinary incidents of prison life." Sandin v. Conner,
17 515 U.S. 472, 484 (1995). The same principle applies to claimed due process violations arising
18 from alleged falsification of prison documents. See Hines v. Gomez, 108 F.3d 265, 269 (9th
19 Cir. 1997) (discussing Sandin, 515 U.S. at 487 n.11) Further, in Neal v. Shimoda, 131 F.3d 818
20 (9th Cir. 1997), the United States Court of Appeals for the Ninth Circuit found that "[t]he
21 classification of an inmate as a sex offender is precisely the type of 'atypical and significant
22 hardship on the inmate in relation to the ordinary incidents of prison life' that the Supreme
23 Court held created a protected liberty interest." Id. at 829 (quoting Sandin, 515 U.S. at 482).
24 The Neal court held that "the stigmatizing consequences of the attachment of the 'sex offender'
25 label coupled with the subjection of the targeted inmate to a mandatory treatment program
26 whose successful completion is a precondition for parole eligibility create the kind of
27 deprivations of liberty that require procedural protections." Id. at 830.

1 The assignment of validated gang members to the SHU is an administrative measure
2 rather than a disciplinary measure, and is “essentially a matter of administrative segregation.”
3 Bruce v. Ylst, 351 F.3d 1283, 1287 (9th Cir. 2003) (quoting Munoz v. Rowland, 104 F.3d
4 1096, 1098 (9th Cir. 1997)). As such, Plaintiff is entitled to the minimal procedural protections
5 set forth in Toussaint, such as notice, an opportunity to be heard, and periodic review. Bruce,
6 351 F.3d at 1287 (citing Toussaint, 801 F.2d at 1100). Due process also requires that there be
7 an evidentiary basis for the prison officials’ decision to place an inmate in segregation for
8 administrative reasons. Superintendent v. Hill, 472 U.S. 445, 455 (1985); Toussaint, 801 F.2d
9 at 1104-05. This standard is met if there is “some evidence” from which the conclusion of the
10 administrative tribunal could be deduced. Id. at 1105. The standard is only “minimally
11 stringent” and the relevant inquiry is whether there is any evidence in the record that could
12 support the conclusion reached by the prison decision-makers. Cato v. Rushen, 824 F.2d 703,
13 705 (9th Cir.1987). The “some evidence” standard applies to an inmate’s placement in the
14 SHU for gang affiliation. See Bruce, 351 F.3d at 1287-88.

15 When a prisoner is placed in administrative segregation, prison officials must, within a
16 reasonable time after the prisoner’s placement, conduct an informal, non-adversary review of
17 the evidence justifying the decision to segregate the prisoner. See Hewitt, 459 U.S. at 476,
18 abrogated in part on other grounds by Sandin, 515 U.S. 472 (1995); Mendoza v. Blodgett, 960
19 F.2d 1425, 1430 (9th Cir. 1992), abrogated in part on other grounds by Sandin, 515 U.S. 472;
20 Toussaint, 801 F.2d at 1100, abrogated in part on other grounds by Sandin, 515 U.S. 472. The
21 Supreme Court has stated that five days is a reasonable time for the post-placement review.
22 See Hewitt, 459 U.S. at 477. Before the review, the prisoner must receive some notice of the
23 charges and be given an opportunity to respond to the charges. See id. at 476; Mendoza, 960
24 F.2d at 1430-31; Toussaint, 801 F.2d at 1100. The prisoner, however, is not entitled to
25 “detailed written notice of charges, representation of counsel or counsel-substitute, an
26 opportunity to present witnesses, or a written decision describing the reasons for placing the
27 prisoner in administrative segregation.” Toussaint, 801 F.2d at 1100-01 (citations omitted).
28 After the prisoner has been placed in administrative segregation, prison officials must

1 periodically review the initial placement. See Hewitt, 459 U.S. at 477 n.9; Toussaint, 801 F.2d
2 at 1101. Annual review of the placement is insufficient. See Toussaint, 801 F.2d at 1101.

3 Plaintiff alleges that he has been put in the SHU and also designated with an “R” suffix
4 without due process. It is not clear from the complaint whether Plaintiff’s periodic reviews
5 satisfy the process that is due under these legal standards. Moreover, it is not clear whether the
6 prison had sufficient evidence to continue this confinement and classification. But given
7 Plaintiff’s allegations of not being directly accused of any underlying conduct and not being
8 given due process, and Plaintiff’s extremely long confinement, the Court finds a colorable
9 claim for violation of Due Process that can proceed past the screening stage.

10 As discussed above, Plaintiff often does not specify who made the decision to place him
11 and keep him in the SHU, and the Court finds that allegations that prison officials denied his
12 grievances or knew of the situation generally are insufficient. The Court will find a cognizable
13 claim against Defendants Vandepoel, Maxfield, and Sexton based on Plaintiff’s current
14 allegations, and give Plaintiff leave to amend to specify any additional individuals directly
15 involved in these decisions.

16 **C. Fifth Amendment Right to Self-Incrimination**

17 The Fifth Amendment permits an individual not to answer official questions put to him
18 in any proceeding, civil or criminal, formal or informal, where the answers might incriminate
19 him in future criminal proceedings. Baxter v. Palmigiano, 425 U.S. 308, 316 (1976). Answers
20 implicating others which amount to a tacit admission of one’s own complicity are protected by
21 the Fifth Amendment as well. United States v. Safirstein, 827 F.2d 1380, 1388 (9th Cir. 1987).
22 While the State may validly insist on answers to incriminating questions to enable it to sensibly
23 administer its prison system, it may do so only if it recognizes that the required answers may
24 not be used in a criminal proceeding and thus eliminates the threat of incrimination. See
25 Minnesota v. Murphy, 465 U.S. 420, 435 n.7 (1984); see also Madison v. Lane, 1986 WL
26 13205, *8 (N.D.Ill.1986) (unless and until inmate granted use immunity he may
27 constitutionally refuse to give testimony to prison officials). An individual may not be
28 punished by the State for having validly invoked the privilege against self-incrimination. See

1 Lefkowitz v. Cunningham, 431 U.S. 801, 805 (1977).

2 Plaintiff's allegations present a colorable Fifth Amendment claim. Plaintiff has alleged
3 that he is required to "debrief" and self-incriminate in order to be released from the SHU, and
4 has not alleged that he has any protections against such self-incrimination being used against
5 him in future proceedings. As with the above claims, the Court will permit this claim to
6 proceed past the screening stage without making any final determination whether the alleged
7 conduct violates the Fifth Amendment. The Court will similarly uphold such claims against
8 Defendants Vanderpoel, Maxfield and Sexton based on their participation in the ICC meetings
9 resulting in Plaintiff's SHU confinement.

10 **V. CONCLUSION AND ORDER**

11 The Court finds that Plaintiff's Complaint states cognizable claims for violation of the
12 First, Eighth, and Fourteenth Amendments against Defendants Vanderpoel, Maxfield and
13 Sexton relating to Plaintiff's R classification, confinement in the SHU, and
14 "debriefing" requirements.

15 Under Rule 15(a) of the Federal Rules of Civil Procedure, "leave to amend shall be
16 freely given when justice so requires." Accordingly, the Court will provide Plaintiff with time
17 to file an amended complaint curing the deficiencies identified above. Lopez v. Smith, 203
18 F.3d 1122, 1126-30 (9th Cir. 2000). Plaintiff is granted leave to file an amended complaint
19 within thirty days if he chooses to do so.

20 The amended complaint must allege constitutional violations under the law as discussed
21 above. Specifically, Plaintiff must state what each named defendant did that led to the
22 deprivation of Plaintiff's constitutional or other federal rights. Fed. R. Civ. P. 8(a); Iqbal, 556
23 U.S. at 678; Jones v. Williams, 297 F.3d 930, 934 (9th Cir. 2002). There is no *respondeat*
24 *superior* liability, and each defendant is only liable for his or her own misconduct. Iqbal, 556
25 U.S. at 676. Plaintiff must also demonstrate that each defendant *personally* participated in the
26 deprivation of his rights by acting with deliberate indifference to Plaintiff's health or safety,
27 which is sufficiently serious. Jones, 297 F.3d at 934 (emphasis added).

28 Plaintiff should note that although he has been given the opportunity to amend, it is not

1 for the purpose of changing the nature of this suit or adding unrelated claims. George v. Smith,
2 507 F.3d 605, 607 (7th Cir. 2007) (no “buckshot” complaints).

3 Plaintiff is advised that an amended complaint supersedes the original complaint, Lacey
4 v. Maricopa County, 693 F 3d. 896, 907 n.1 (9th Cir. 2012) (*en banc*), and it must be complete
5 in itself without reference to the prior or superseded pleading, Local Rule 220. Therefore, in an
6 amended complaint, as in an original complaint, each claim and the involvement of each
7 defendant must be sufficiently alleged. The amended complaint should be clearly and boldly
8 titled “First Amended Complaint,” refer to the appropriate case number, and be an original
9 signed under penalty of perjury.

10 Based on the foregoing, it is **HEREBY ORDERED** that:

- 11 1. The Clerk’s Office shall send Plaintiff a civil rights complaint form;
- 12 2. Plaintiff may file a First Amended Complaint curing the deficiencies identified
13 by the Court in this order if he believes additional true factual allegations would
14 state any additional claims or claims against any additional defendants, within
15 **thirty (30) days** from the date of service of this order;
- 16 3. If Plaintiff chooses to file an amended complaint, Plaintiff shall caption the
17 amended complaint “First Amended Complaint” and refer to the case number
18 1:16-cv-00673-EPG; or
- 19 4. Plaintiff may instead notify the Court that he is willing to go forward with his
20 complaint only on the claims allowed in this order, for violation of the First,
21 Eighth and Fourteenth Amendments against Defendants Vanderpoel, Maxfield,
22 and Sexton.

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4. If Plaintiff fails to file an amended complaint or notify the Court to go forward with the cognizable claims within 30 days, the Court may dismiss Plaintiff's case for failure to comply with a Court order.

IT IS SO ORDERED.

Dated: December 19, 2016

/s/ Eric P. Grogan
UNITED STATES MAGISTRATE JUDGE