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**UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA**

DENZEL ELAINE JOLIFF,	)	Case No.: 1:16-cv-01058-BAM
Plaintiff,	)	
	)	<b>ORDER REGARDING PLAINTIFF'S</b>
v.	)	<b>SOCIAL SECURITY COMPLAINT</b>
	)	
NANCY A. BERRYHILL, Acting	)	
Commissioner of Social Security,	)	
	)	
Defendant.	)	
	)	

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**INTRODUCTION**

Plaintiff Denzel Elaine Joliff (“Plaintiff”) seeks judicial review of a final decision of the Commissioner of Social Security (“Commissioner”) denying her application for disability insurance benefits (“DBI”) under Title II of the Social Security Act.<sup>1</sup> The matter is currently before the Court on the parties’ briefs, which were submitted, without oral argument, to Magistrate Judge Barbara A. McAuliffe.

The Court finds the decision of the Administrative Law Judge (“ALJ”) to be supported by substantial evidence in the record as a whole and based upon proper legal standards. Accordingly, this Court affirms the agency’s determination to deny benefits.

<sup>1</sup> Nancy A. Berryhill is the Acting Commissioner of Social Security. Pursuant to Rule 25(d) of the Federal Rules of Civil Procedure, Nancy A. Berryhill is substituted for Carolyn W. Colvin as the defendant in this suit.

1 **FACTS AND PRIOR PROCEEDINGS**

2 On September 10, 2012, Plaintiff protectively filed an application for disability insurance  
3 benefits. AR 156-57, 158-61.<sup>2</sup> Plaintiff alleged that she became disabled on May 5, 2012, due to  
4 emphysema, chronic asthma and chronic bronchitis. AR 158, 173. Plaintiff’s application was denied  
5 initially and on reconsideration. AR 90-93, 97-101. Subsequently, Plaintiff requested a hearing  
6 before an Administrative Law Judge (“ALJ”). ALJ Cynthia Floyd held a hearing on December 4,  
7 2014, and issued an order denying benefits on February 13, 2015. AR 15-26, 31-70. Plaintiff sought  
8 review of the ALJ’s decision, which the Appeals Council denied, making the ALJ’s decision the  
9 Commissioner’s final decision. AR 1-6, 14. This appeal followed.

10 **Hearing Testimony**

11 The ALJ held a hearing on December 4, 2014, in Fresno, California. AR 31-70. Plaintiff  
12 appeared with her attorney, Lars Christenson. Impartial Vocational Expert (“VE”) Thomas Dachelet  
13 also appeared. AR 33.

14 In response to questioning by the ALJ, Plaintiff testified that she was 56 years old at the time  
15 of the hearing, and lived with her husband, her daughter and daughter’s husband, and her  
16 granddaughter. Plaintiff’s husband works, but she receives no other sources of income. She has  
17 received worker’s compensation on two occasions in the past, one for knee surgery and one for a head  
18 injury. AR 35-38.

19 Plaintiff reported that she has a driver’s license with no restrictions, but she does not drive very  
20 often. She has a twelfth grade education with some college. She was trained as a vet tech on the job  
21 in 1988. She has not worked since May of 2012. She last worked in a pet grooming shop as a dog  
22 bather. AR 39-40. Prior to that, she was a vet tech and surgery assistant at a spay-and-neuter clinic.  
23 AR 41-42.

24 When asked about what prevented her from working, Plaintiff testified that she constantly  
25 experiences shortness of breath and anything can cause an asthma attack. She needs to avoid wetness  
26 and humidity, along with dust and irritants in the air. AR 44-45.

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<sup>2</sup> References to the Administrative Record will be designated as “AR,” followed by the appropriate page number.

1 In response to questioning by her attorney, Plaintiff testified that she has breathing attacks any  
2 time she exerts herself, such as doing dishes or laundry. She also cannot sleep because of dust and  
3 wears a mask to vacuum. She gets very tired. In an hour, she would probably have to take five or six  
4 breaks because she gets winded. She needs to rest about 10 or 15 minutes. If she has an asthma  
5 attack, then she is done for the whole day. It wipes her out and she has to sleep. AR 45-47.

6 When asked to describe a typical day, Plaintiff testified that she will do chores one day and rest  
7 the next day. Plaintiff affirmed that she was able to do everything, but she just had to take breaks all  
8 the time. She takes naps during the day, sometimes thirty minutes or more. She feels really tired and  
9 cannot stay awake. She uses an inhaler to help with her breathing four times a day, but will also use  
10 it when she is having an attack. On her worst days, she uses the inhaler six times. AR 48-51. Plaintiff  
11 reported that she recently saw a cardiologist for a stress test, which did not last very long. Plaintiff  
12 had never seen a cardiologist before, but heart problems run in her family. AR 52.

13 When asked by the ALJ about her medications, Plaintiff testified that she uses an Advair  
14 Diskus inhaler twice a day, along with another inhaler once a day. She also takes Bupropion for  
15 stress, which calms her down. AR 53-54. When asked about emergency treatment for her asthma,  
16 COPD or emphysema, Plaintiff denied such treatment since May 2012, explaining that she is scared of  
17 the hospital. AR 54. However, Dr. Douglas Owen has been her doctor, and she last saw him in  
18 September for her yearly physical, and he did not order any changes to her medication. AR 55-56.

19 Following Plaintiff's testimony, the ALJ elicited testimony from VE Thomas Dachelet. The  
20 VE testified that Plaintiff's past work was classified as animal caretaker and veterinarian assistant.  
21 AR 57-59. The ALJ also asked the VE hypothetical questions. For all of the hypotheticals, the ALJ  
22 asked the VE to assume an individual with the same vocational profile as Plaintiff and an eight-hour  
23 workday. For the first hypothetical, the ALJ asked the VE to further assume a person with the  
24 following residual functional capacity: must avoid concentrated exposure to respiratory irritants such  
25 as fumes, odors, dust, gasses, chemicals, poor ventilation, wetness and humidity. The VE testified that  
26 this person could not perform Plaintiff's past work, but could perform other work, such as bagger,  
27 package sealer machine operator and checker of laundry, AR 59-61.

1 For the second hypothetical, the ALJ asked the VE to assume the same residual functional  
2 capacity, but this person could lift and carry up to 50 pounds occasionally, 25 pounds frequently and  
3 must avoid moderate exposure to the respiratory irritants previously described in hypothetical one.  
4 The VE's response was the same as for hypothetical one regarding other work. AR 61.

5 For the third hypothetical, the ALJ asked the VE to assume the same residual functional  
6 capacity as hypothetical two, but this person could lift and carry up to 20 pounds occasionally, and up  
7 to 10 pounds frequently, along with all other previously described restrictions. The VE testified that  
8 this person could perform other work, such as palletizer, garment sorter and package operator. AR 61-  
9 62.

10 For the fourth hypothetical, the ALJ asked the VE to assume the same residual functional  
11 capacity as described in hypothetical number two (medium), but this person could occasionally climb  
12 ramps and stairs, ladders, ropes and scaffolds. The VE testified that this person could not perform  
13 Plaintiff's past work, but could perform other jobs such as bagger, package sealer, and checker. AR  
14 62-63.

15 For the fifth hypothetical, the ALJ asked the VE to assume the same residual functional  
16 capacity as described in hypothetical number three (light), along with the climbing restriction at  
17 occasional. The VE testified that the jobs he offered are not impacted. AR 63.

18 For the sixth hypothetical, the ALJ asked the VE to assume a person that, within an eight-hour  
19 workday, must lie down or recline two hours at a time, sit for no more than one hour at a time, stand  
20 for no more than thirty minutes at a time, walk no more than 10 minutes at a time, stand and walk  
21 about four hours total in an eight-work day, take unscheduled breaks every hour for twenty minutes,  
22 occasionally lift and carry up to 10 pounds, but never climb stairs, ladders, scaffolds, ropes or ramps,  
23 and would likely be off task 30 percent of the work day and absent about five days or more per month.  
24 The VE testified that there would be no work for this person. AR 63-66.

25 Following the ALJ's questioning, Plaintiff's counsel asked the VE whether Plaintiff had any  
26 transferable skills from her past work. The VE testified that she would not. Plaintiff's counsel also  
27 asked the VE a seventh hypothetical. For that hypothetical, counsel asked the VE to assume a person  
28 with the exertional limitations of pulmonary irritants and moderate exposure from hypothetical one,

1 but add a twenty-minute break every hour. The VE testified that this person would not be available to  
2 work. AR 66-67.

3 **Medical Record**

4 The relevant medical record was reviewed by the Court, and will be referenced below as  
5 necessary to this Court’s decision.

6 **The ALJ’s Decision**

7 Using the Social Security Administration’s five-step sequential evaluation process, the ALJ  
8 determined that Plaintiff was not disabled under the Social Security Act. AR 15-26. Specifically, the  
9 ALJ found that Plaintiff had not engaged in any substantial gainful activity since May 5, 2012, her  
10 alleged onset date. AR 20. Further, the ALJ identified chronic obstructive pulmonary disease  
11 (“COPD”), emphysema, asthma and chronic bronchitis as severe impairments. AR 20-21.  
12 Nonetheless, the ALJ determined that the severity of Plaintiff’s impairments did not meet or equal any  
13 of the listed impairments. AR 21. Based on a review of the entire record, the ALJ determined that  
14 Plaintiff retained the residual functional capacity (“RFC”) to perform medium work, including lifting  
15 and carrying 50 pounds occasionally and 25 pound frequently and should avoid even moderate  
16 exposure to respiratory irritants, such as fumes, odors, dusts, chemicals, wetness and humidity. AR  
17 21-24. With this RFC, the ALJ found that Plaintiff could not perform any past relevant work, but  
18 there were other jobs existing in significant numbers in the national economy that Plaintiff could  
19 perform. AR 24-25. The ALJ therefore concluded that Plaintiff was not disabled under the Social  
20 Security Act. AR 25-26.

21 **SCOPE OF REVIEW**

22 Congress has provided a limited scope of judicial review of the Commissioner’s decision to  
23 deny benefits under the Act. In reviewing findings of fact with respect to such determinations, this  
24 Court must determine whether the decision of the Commissioner is supported by substantial evidence.  
25 42 U.S.C. § 405(g). Substantial evidence means “more than a mere scintilla,” *Richardson v. Perales*,  
26 402 U.S. 389, 402 (1971), but less than a preponderance. *Sorenson v. Weinberger*, 514 F.2d 1112,  
27 1119, n. 10 (9th Cir. 1975). It is “such relevant evidence as a reasonable mind might accept as  
28 adequate to support a conclusion.” *Richardson*, 402 U.S. at 401. The record as a whole must be

1 considered, weighing both the evidence that supports and the evidence that detracts from the  
2 Commissioner's conclusion. *Jones v. Heckler*, 760 F.2d 993, 995 (9th Cir. 1985). In weighing the  
3 evidence and making findings, the Commissioner must apply the proper legal standards. *E.g.*,  
4 *Burkhart v. Bowen*, 856 F.2d 1335, 1338 (9th Cir. 1988). This Court must uphold the Commissioner's  
5 determination that the claimant is not disabled if the Commissioner applied the proper legal standards,  
6 and if the Commissioner's findings are supported by substantial evidence. *See Sanchez v. Sec'y of*  
7 *Health and Human Servs.*, 812 F.2d 509, 510 (9th Cir. 1987).

### 8 REVIEW

9 In order to qualify for benefits, a claimant must establish that he or she is unable to engage in  
10 substantial gainful activity due to a medically determinable physical or mental impairment which has  
11 lasted or can be expected to last for a continuous period of not less than twelve months. 42 U.S.C. §  
12 1382c(a)(3)(A). A claimant must show that he or she has a physical or mental impairment of such  
13 severity that he or she is not only unable to do his or her previous work, but cannot, considering his or  
14 her age, education, and work experience, engage in any other kind of substantial gainful work which  
15 exists in the national economy. *Quang Van Han v. Bowen*, 882 F.2d 1453, 1456 (9th Cir. 1989). The  
16 burden is on the claimant to establish disability. *Terry v. Sullivan*, 903 F.2d 1273, 1275 (9th Cir.  
17 1990).

### 18 DISCUSSION<sup>3</sup>

19 Plaintiff contends that the ALJ erred by (1) failing to provide clear and convincing reasons to  
20 reject the opinion of Plaintiff's treating physician, and (2) failing to provide clear and convincing  
21 reasons for rejecting Plaintiff's subjective complaints.

#### 22 **I. The ALJ Did Not Err in Evaluating the Treating Physician's Opinion**

23 Plaintiff argues that the ALJ failed to provide clear and convincing reasons to reject the  
24 assessment of her treating physician, Dr. R. Douglas Owen. (Doc. No. 10 at pp. 4-10.)  
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27 <sup>3</sup> The parties are advised that this Court has carefully reviewed and considered all of the briefs, including  
28 arguments, points and authorities, declarations, and/or exhibits. Any omission of a reference to any specific argument or  
brief is not to be construed that the Court did not consider the argument or brief.

1 According to the record, on April 28, 2014, Dr. Owen completed a Physical Residual Function  
2 Capacity Statement form, which identified Plaintiff's diagnosis as COPD with symptoms that included  
3 shortness of breath. AR 320-323. Dr. Owen opined that Plaintiff's condition was severe enough to  
4 frequently interfere with the attention and concentration needed to perform simple work tasks, and she  
5 would be off task approximately 30% of an 8-hour workday. AR 320, 323. Dr. Owen further opined  
6 that Plaintiff could not walk one city block or more without rest, but could climb steps slowly without  
7 the use of a handrail. She did not have any problems with balance, stooping, crouching or bending,  
8 but would need to lie down or recline for 2 hours during an 8-hour workday. She could sit for 1 hour  
9 at a time, stand for 30 minutes at a time, and walk 10 minutes at a time, and could sit only about 4  
10 hours and stand and walk only about 4 hours in an 8-hour workday. AR 321-22. Dr. Owen also  
11 opined that Plaintiff would need hourly unscheduled breaks lasting 20 minutes. Plaintiff could  
12 occasionally lift or carry less than 5 pounds, 5 pounds and 10 pounds, but could rarely lift or 15 or 20  
13 pounds. AR 322. She could not climb stairs, ladders, scaffolds, ropes or ramps. AR 323. Dr. Owen  
14 estimated that Plaintiff would likely be absent from work 5 days or more per month, would likely be  
15 unable to complete an 8-hour workday 5 days or more per month, and could be expected to perform a  
16 job on a sustained basis with only 60% efficiency. Dr. Owen believed that Plaintiff would be unable  
17 to obtain and retain work in a competitive work environment, 8 hours per day, 5 days per week. AR  
18 323.

19 Plaintiff asserts that the ALJ failed to provide clear and convincing reasons to reject Dr.  
20 Owen's opinion that she could not lift 20 pounds, but could occasionally lift 10 pounds, would need  
21 unscheduled breaks during the day and could walk for up to 10 minutes at a time. (Doc. 10 at p. 6).  
22 The Court disagrees.

23 Cases in this circuit identify three types of physicians: (1) those who treat the claimant  
24 (treating physicians); (2) those who examine but do not treat the claimant (examining physicians); and  
25 (3) those who neither examine nor treat the claimant (nonexamining physicians). *Lester v. Chater*, 81  
26 F.3d 821, 830 (9th Cir. 1995). As a general rule, more weight should be given to the opinion of a  
27 treating source than to the opinions of doctors who do not treat the claimant. *Id.* Where a treating  
28 physician's opinion is not contradicted by another doctor, it may be rejected only for "clear and

1 convincing” reasons. *Id.* If the treating physician’s opinion is contradicted by another doctor, the  
2 Commissioner must provide “specific and legitimate” reasons supported by substantial evidence in the  
3 record to reject this opinion. *Id.*

4 In this instance, Dr. Owen’s opinion was contradicted by the state agency physicians, both of  
5 whom opined that Plaintiff only had environmental limitations to avoid concentrated exposure to  
6 fumes, odors, dusts, gases, poor ventilation, etc. AR 76, 86. Thus, the ALJ could reject the treating  
7 physician’s opinion by providing specific and legitimate reasons supported by substantial evidence.  
8 The Court finds that the ALJ provided specific and legitimate reasons supported by substantial  
9 evidence to reject Dr. Owen’s opinion. Although Plaintiff contends that the ALJ could not reject Dr.  
10 Owen’s opinion based only on the opinion of the state agency physicians, it is evident from the record  
11 that the ALJ did not rely on the state agency physician opinions alone to assign little weight to Dr.  
12 Owen’s opinion.

13 First, the ALJ primarily discounted Dr. Owen’s opinion that Plaintiff was unable to obtain and  
14 maintain work in a competitive work environment because it was unclear whether or not Dr. Owen  
15 was familiar with the definition of disability in the Social Security Act and its regulations and because  
16 the ultimate determination of disability is specifically reserved to the Commissioner. AR 23. A  
17 treating physician’s opinion that a claimant is disabled or unable to work or is not a “medical opinion,”  
18 but rather a question reserved to the Commissioner. *See* 20 C.F.R. § 404.1527(d), (d)(1); *see also*  
19 *Sager v. Colvin*, 622 Fed.Appx. 629 (9th Cir. 2015) (unpublished) (ALJ did not improperly reject  
20 opinion of treating physician that claimant was unable to work because opinion as to whether a  
21 claimant is “unable to work” is not a matter of medical opinion, but “rather a question reserved to the  
22 ALJ”); *McLeod v. Astrue*, 640 F.3d 881, 884-85 (9th Cir. 2011) (“Although a treating physician’s  
23 opinion is generally afforded the greatest weight in disability cases, it is not binding on an ALJ with  
24 respect to the existence of an impairment or the ultimate determination of disability.”) (citation  
25 omitted); *Jackson v. Colvin*, 1:14-cv-01573, 2016 WL 775929, at \*10 (E.D. Cal. Feb. 29, 2016) (ALJ  
26 fully justified in rejection physician’s conclusion that claimant was unable to work because it was an  
27 issue reserved to the Commissioner). Thus, the Court finds that the ALJ provided a specific and  
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1 legitimate reason to reject Dr. Owen’s opinion regarding Plaintiff’s employability and whether she  
2 was disabled from her usual and customary employment.

3         Second, the ALJ discounted Dr. Owen’s opinion because it was unsupported by objective  
4 medical evidence and treatment records. AR 22, 23. An ALJ may properly discount a treating  
5 physician’s opinion that is not supported by the medical record, including the physician’s own  
6 treatment notes. *Valentine v. Comm’r, Soc. Sec. Admin.*, 574 F.3d 685, 692-93 (9th Cir. 2009)  
7 (contradiction between treating physician’s opinion and his treatment notes constitutes specific and  
8 legitimate reason for rejecting treating physician’s opinion); *Tommasetti v. Astrue*, 533 F.3d 1035,  
9 1041 (9th Cir. 2008) (“incongruity” between doctor’s questionnaire responses and her medical records  
10 provided specific and legitimate reason for rejecting doctor’s opinion); *Batson v. Comm’r of Soc. Sec.*  
11 *Admin.*, 359 F.3d 1190, 1195 (9th Cir. 2004) (noting that “an ALJ may discredit treating physicians’  
12 opinions that are conclusory, brief, and unsupported by the record as a whole, ... or by objective  
13 medical findings”); *Thomas v. Barnhart*, 278 F.3d 947, 957 (9th Cir. 2002) (“The ALJ need not accept  
14 the opinion of any physician, including a treating physician, if that opinion is brief, conclusory, and  
15 inadequately supported by clinical findings.”).

16         Here, the ALJ determined that Dr. Owen’s opinion was “without substantial support from the  
17 other evidence of record.” AR 23. For instance, clinical records from Dr. Greg Warner, also a  
18 treating physician, showed normal pulmonary function tests and improvement with medication in July  
19 and October 2012, along with good lung capacities in April 2013. AR 22, 266, 273, 276. In  
20 September 2013, Plaintiff exhibited wheezing and prolonged expiratory time, but reported more  
21 trouble with fires in the area and a lack of medication. AR 306-07. Dr. Warner repeatedly described  
22 Plaintiff as “an environmental reactor,” because her pulmonary function tests were fairly normal and  
23 she had “more asthma/chronic bronchitis,” which he believed was easily treatable. AR 273, 275, 276,  
24 278, 306.

25         The ALJ also found that Dr. Owen’s own reports failed “to reveal the type of significant  
26 clinical and laboratory abnormalities one would expect if the claimant were in fact disabled.” AR 23.  
27 According to Dr. Owen’s treatment notes, in February 2013, Plaintiff was “[d]oing fairly well” and  
28 spirometry with FVC showed “MUCH” improvement. Dr. Owen also noted marked improvement and

1 Plaintiff's lungs were clear on examination. AR 22, 289-90. In May 2013, Plaintiff again was doing  
2 fairly well, with clear lungs on examination, and the values on spirometry were "excellent." AR 291-  
3 92. In August 2013, Plaintiff was "[d]oing fairly well" with no reported problems. AR 22, 315-16. In  
4 December 2013, chest x-rays ordered by Dr. Owen revealed no acute cardiopulmonary process. AR  
5 22, 312. In April 2014, Plaintiff's oxygen saturation was 99% and pulmonary function testing noted  
6 an FVC of 88% and an FEVI of 89%. AR 23, 310-11. The ALJ properly considered that these  
7 treatment records failed to reveal significant clinical and laboratory abnormalities, and did not support  
8 Dr. Owen's extreme limitations, such as limited lifting, sitting and standing. AR 23. In addition, the  
9 ALJ considered that this course of treatment had "not been consistent with one would expect if the  
10 claimant were truly disabled." AR 23. "Where a treating physician recommends a course of treatment  
11 inconsistent with his/her opinion of total disability, an ALJ may rely on that inconsistency to discount  
12 the physician's opinion." *Winslow v. Berryhill*, No. CV 16-07309-KES, 2017 WL 5564522, at \*10  
13 (C.D. Cal. Nov. 17, 2017) (citing *Rollins v. Massanari*, 261 F.3d 853, 856 (9th Cir. 2001) ("These are  
14 not the sort of description and recommendations one would expect to accompany a finding that [the  
15 claimant] was totally disabled under the Act"))).

16 Plaintiff asserts that if the ALJ believed that Dr. Owen's opinion was unsupported by the  
17 record, then the ALJ should have re-contacted Dr. Owen. Doc. 10 at pp. 8-9. To the extent Plaintiff  
18 contends that the ALJ failed to fully and fairly develop the record, this contention is not persuasive.  
19 An ALJ's duty to develop the record is triggered if there is ambiguous evidence or the record is  
20 inadequate for proper evaluation of evidence. *Tonapetyan v. Halter*, 242 F.3d 1144, 1150 (9th Cir.  
21 2001). When such a duty is triggered, an ALJ can develop the record by: (1) ordering a consultative  
22 examination when the medical evidence is incomplete or unclear and undermines the ability to resolve  
23 the disability issue; (2) subpoenaing or submitting questions to the claimant's physicians; (3)  
24 continuing the hearing; or (4) keeping the record open for more supplementation. *Tonapetyan*, 242  
25 F.3d at 1150; 20 C.F.R. § 404.1517.

26 Here, the ALJ's duty to develop the record further was not triggered. There is no indication  
27 that the record was ambiguous or inadequate, and the ALJ was able to consider record evidence from  
28 Dr. Owen. Further, the ALJ kept the record open after the hearing for additional medical records. AR

1 34. The fact that the ALJ kept the record open after the hearing for Plaintiff to submit additional  
2 evidence is sufficient to satisfy any duty to develop the record. *Tonapetyan*, 242 F.3d at 1150; *Tidwell*  
3 *v. Apfel*, 161 F.3d 599, 602 (9th Cir. 1998) (ALJ’s indication to plaintiff and her counsel that he would  
4 keep the record open so that they could supplement her doctor’s report satisfied ALJ’s duty to develop  
5 the record).

6 Based on the above, the Court finds that the ALJ did not commit reversible error in evaluating  
7 Dr. Owen’s opinion.

8 **II. The ALJ Did Not Commit Reversible Error in Evaluating Plaintiff’s Subjective**  
9 **Testimony**

10 Plaintiff argues that the ALJ failed to provide clear and convincing reasons for rejecting  
11 Plaintiff’s subjective complaints, and contends that the ALJ’s subjective pain analysis is boilerplate  
12 and does not satisfy the clear and convincing standard. (Doc. No. 10 at pp. 10, 11.)

13 In deciding whether to admit a claimant’s subjective complaints of pain, the ALJ must engage  
14 in a two-step analysis. *Garrison v. Colvin*, 759 F.3d 995, 1014 (9th Cir. 2014); *Batson*, 359 F.3d at  
15 1196. First, the claimant must produce objective medical evidence of his impairment that could  
16 reasonably be expected to produce some degree of the symptom or pain alleged. *Garrison*, 759 F.3d at  
17 1014. If the claimant satisfies the first step and there is no evidence of malingering, the ALJ may  
18 reject the claimant’s testimony regarding the severity of his symptoms only by offering specific, clear  
19 and convincing reasons for doing so. *Id.* at 1015.<sup>4</sup>

20 Here, the ALJ found that Plaintiff satisfied the first step of the analysis and made no finding of  
21 malingering. At the second step of the analysis, however, the ALJ determined that “the claimant’s  
22 statements concerning the intensity, persistence and limiting effects of these symptoms are not  
23 credible . . . .” AR 24. Therefore, the ALJ’s reasons for discounting the alleged severity of Plaintiff’s  
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26 <sup>4</sup> At the time of the ALJ’s decision, Social Security Ruling (“SSR”) 96-7p, 1996 WL 374186, was in effect and  
27 explained the factors to be considered in assessing credibility. SSR 96-7p was superseded on March 28, 2016, by SSR 16-  
28 3p, 2017 WL 4790249. However, an adjudicator will apply SSR 16-3p only when making decisions on or after March 28,  
2016, and federal courts will review that decision using the rules that were in effect at the time the decision issued. *Id.* at  
49468, n. 27. The decision in this case was rendered prior to March 28, 2016, before SSR 16-3p became effective.

1 symptoms must be specific, clear and convincing. *Brown-Hunter v. Colvin*, 806 F.3d 487, 492-93 (9th  
2 Cir. 2015).

3 The Court finds that the ALJ provided specific, clear and convincing reasons for discounting  
4 Plaintiff's testimony. First, the ALJ noted that Plaintiff had "not received the type of medical  
5 treatment one would expect for a person alleging such incapacitating symptoms" and that her  
6 treatment had been relatively routine and/or conservative in nature. AR 24. An ALJ may properly  
7 consider evidence of conservative treatment in evaluating complaints of disabling symptoms.  
8 Plaintiff's relatively conservative treatment was also a proper consideration. *See Tommasetti*, 533 F.3d  
9 at 1040 (reasoning that a favorable response to conservative treatment undermines complaints of  
10 disabling symptoms); *Parra v. Astrue*, 481 F.3d 742, 751 (9th Cir. 2007) ("We have previously  
11 indicated that evidence of conservative treatment is sufficient to discount a claimant's testimony  
12 regarding severity of an impairment"); *Fair v. Bowen*, 885 F.2d 597, 604 (9th Cir. 1989). The ALJ  
13 correctly observed that Plaintiff had no emergency room visits or hospitalizations for breathing issues,  
14 and that she had been treated conservatively and generally successfully with medication. AR 24.

15 Second, the ALJ discounted Plaintiff's credibility based on the objective medical record. AR  
16 24. Although lack of medical evidence cannot form the sole basis for discounting a claimant's  
17 testimony, it is a factor that the ALJ can consider. *Burch v. Barnhart*, 400 F.3d 676, 681 (9th Cir.  
18 2005). Here, the ALJ noted that the record included "normal or very mild findings." AR 24. As  
19 discussed above, the ALJ's determination that Plaintiff's treatment records failed to reveal significant  
20 clinical and laboratory abnormalities is supported by substantial evidence.

21 Third, the ALJ found that Plaintiff's treatment had "been generally successful in controlling  
22 [her] symptoms." AR 24. A claim of disabling condition undermined when disability is controlled by  
23 medication. *Tommasetti*, 533 F.3d at 1040; *Warre v. Comm'r of Soc. Sec. Admin.*, 439 F.3d 1001, 1006  
24 (9th Cir. 2006) (holding that impairments that can be controlled effectively with medications are not  
25 disabling). The ALJ's conclusion that Plaintiff's condition had been successfully controlled with  
26 medication is supported by substantial evidence in the record. Dr. Warner, whom Plaintiff describes  
27 as a pulmonary expert, noted improvement with medication, commenting in April 2013 that Plaintiff's  
28 medications "really have helped her." AR 273. Dr. Owen also repeatedly noted in 2013 that Plaintiff

1 was doing fairly well with her current medications, with no reported problems or adverse effects. AR  
2 289, 291.

3 Based on the reasons, the Court finds that the ALJ did not err in his assessment of Plaintiff's  
4 subjective complaints.

5 **CONCLUSION**

6 Based on the foregoing, the Court finds that the ALJ's decision is supported by substantial  
7 evidence in the record as a whole and is based on proper legal standards. Accordingly, this Court  
8 **DENIES** Plaintiff's appeal from the administrative decision of the Commissioner of Social Security.  
9 The Clerk of this Court is **DIRECTED** to enter judgment in favor of Defendant Nancy A. Berryhill,  
10 Acting Commissioner of Social Security, and against Plaintiff Denzel Elaine Joliff.

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12 IT IS SO ORDERED.

13 Dated: March 1, 2018

14 /s/ Barbara A. McAuliffe  
15 UNITED STATES MAGISTRATE JUDGE  
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