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**UNITED STATES DISTRICT COURT**  
EASTERN DISTRICT OF CALIFORNIA

ALEXANDER ACOSTA,  
Plaintiff,

Case No. 1:16-cv-01156 AWI EPG

ORDER re SETTLEMENT CONFERENCE

v.

VALLEY GARLIC, INC., et al.,  
Defendants.

\_\_\_\_\_ /

This case is set for a Settlement Conference before Magistrate Judge Sheila K. Oberto on February 9, 2018, at 10:00 a.m. at the U. S. District Court, 2500 Tulare Street, Fresno, California, 93721.

Consideration of settlement is a serious matter that requires thorough preparation prior to the settlement conference. Accordingly, IT IS HEREBY ORDERED that:

**1. Pre-settlement Conference Exchange of Demand and Offer**

A settlement conference is more likely to be productive if, before the conference, the parties exchange written settlement proposals. Accordingly, at least fifteen (15) days prior to the settlement conference, plaintiff’s counsel shall submit a written itemization of damages and settlement demand to each defense counsel with a brief summary of the legal and factual basis supporting the demand. No later than ten (10) days prior to the settlement conference, each defense counsel shall submit a written offer to plaintiff’s counsel with a brief summary of the legal and factual basis supporting the offer.

1           **2.       Submission and Content of Confidential Settlement Conference Statements**

2           The parties are to send Confidential Settlement Conference Statements (Settlement  
3 Statement) to the following email address: [SKOorders@caed.uscourts.gov](mailto:SKOorders@caed.uscourts.gov), to arrive no later than  
4 five (5) business days before the conference. Additionally, each party shall file a Notice of  
5 Submission of Confidential Settlement Conference Statement (See L.R. 270 (d)).

6           If the Settlement Conference is continued for any reason, each party must submit a new  
7 Settlement Statement that is complete in itself, without reference to any prior Settlement  
8 Statements.

9           Settlement Statements must be typed and double spaced. Each Settlement Statement *shall*  
10 *include the following:*

- 11           a.       A brief summary of the core facts, allegations, and defenses.
- 12           b.       A summary of the proceedings to date.
- 13           c.       An estimate of the cost and time to be expended for further discovery,  
14                pretrial, and trial.
- 15           d.       The nature of the relief sought.
- 16           e.       An outline of past settlement efforts including information regarding the  
17                "Pre-settlement Conference Exchange of Demand and Offer" required  
18                above (including the itemization of damages), and a history of past  
19                settlement discussions, offers, and demands.
- 20           f.       A statement of each party's expectations and goals for the Settlement  
21                Conference.

22           **3.       Attendance of Trial Counsel and Parties Required**

23           *The attorneys who will try the case and parties with full and complete settlement authority*  
24 *are required to personally attend the conference.*<sup>1</sup> An insured party shall appear by a

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25           <sup>1</sup>Insurance carriers, business organizations, and governmental bodies or agencies whose settlement  
26 agreements are subject to approval by legislative bodies, executive committees, boards of directors or the  
27 like shall be represented by a person or persons who occupy high executive positions in the party  
28 organization and who will be directly involved in the process of approval of any settlement offers or  
agreements. To the extent possible, the representative shall have the authority, if he or she deems it  
appropriate, to settle the action on terms consistent with the opposing party's most recent demand.

1 representative of the insurer who is authorized to negotiate, and who has *full authority to negotiate*  
2 *and settle the case on any terms*. An uninsured corporate party shall appear by a representative  
3 authorized to negotiate, and who has *full authority to negotiate and settle the case on any terms*.  
4 It is difficult for a party who is not present to appreciate the process and the reasons that may  
5 justify a change in one's perspective toward settlement. Accordingly, having a client with  
6 authority available by telephone is *not* an acceptable alternative, except under the most  
7 extenuating circumstances.<sup>2</sup>

8 The Court expects both the lawyers and the party representatives to be fully prepared to  
9 participate. The Court encourages all parties to keep an open mind in order to reassess their  
10 previous positions and to discover creative means for resolving the dispute.

#### 11 **4. Issues to Be Discussed**

12 The parties *shall* be prepared to discuss the following at the settlement conference:

- 13 a. Goals in the litigation and problems they would like to address in the  
14 settlement conference and understanding of the opposing side's goals.
- 15 b. The issues (in and outside the lawsuit) that need to be resolved.
- 16 c. The strengths and weaknesses of their case.
- 17 d. Their understanding of the opposing side's view of the case.
- 18 e. Their points of agreement and disagreement (factual and legal).
- 19 f. Any financial, emotional, and/or legal impediments to settlement.
- 20 g. Whether settlement or further litigation better enables the accomplishment  
21 of their respective goals.
- 22 h. Any possibilities for a creative resolution of the dispute.

#### 24 **5. Statements Inadmissible**

25 The parties are expected to address each other with courtesy and respect, and are  
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28 <sup>2</sup> Out of town or out of state travel and the purchase of an airplane ticket are not extenuating circumstances.

1 encouraged to be frank and open in their discussions. Statements made by any party during the  
2 settlement conference are not to be used in discovery and will not be admissible at trial.

3 IT IS SO ORDERED.

4 Dated: December 21, 2017

/s/ Sheila K. Oberto

5 UNITED STATES MAGISTRATE JUDGE

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