

1  
2  
3 UNITED STATES DISTRICT COURT  
4 EASTERN DISTRICT OF CALIFORNIA  
5

6 MARIO AMADOR GONZALEZ,

7 Plaintiff,

8 v.

9 DR. SCHARFFENBERG, et al.,

10 Defendants.  
11  
12  
13

1:16-cv-01675-DAD-EPG (PC)

FINDINGS AND RECOMMENDATIONS,  
RECOMMENDING THAT DEFENDANTS'  
RULE 12(b)(6) MOTION TO DISMISS BE  
GRANTED IN PART AND DENIED IN PART

OBJECTIONS, IF ANY, DUE WITHIN  
THIRTY DAYS

(ECF NO. 64)

14 Mario Amador Gonzalez ("Plaintiff") is a state prisoner proceeding *pro se* and *in forma*  
15 *pauperis* in this civil rights action pursuant to 42 U.S.C. § 1983. Plaintiff filed his second  
16 amended complaint on June 1, 2016. (ECF No. 25). Plaintiff's second amended complaint  
17 alleges that certain prison officials failed to report a medical emergency and that medical staff  
18 at first refused to remove Plaintiff's catheter, which was causing him severe pain, before  
19 removing the catheter the next day with an improper deflation kit causing further injury to  
20 Plaintiff. (Id.). Plaintiff primarily claims that the officials and medical staff acted with  
21 deliberate indifference in violation of the Eighth Amendment and alleges due process and equal  
22 protection claims for violations of the Fourteenth Amendment.

23 On December 5, 2016, Defendants C.O. Archuleta, Sgt. Chan, Sgt. Devine, R.N.  
24 Padilla, Dr. Scharffenberg, Warden Sherman, and R.N. Soto ("Defendants") filed a 12(b)(6)  
25 motion to dismiss. (ECF No. 64). On January 3, 2017, Plaintiff filed an opposition to  
26 Defendants' motion to dismiss. (ECF No. 65). On January 9, 2017, Defendants filed a reply to  
27 Plaintiff's opposition. (ECF No. 66). Defendants' motion to dismiss is now before the Court.  
28 For the reasons described below, the Court recommends that Defendants' motion to dismiss be

1 granted in part and denied in part.

2 **I. SUMMARY OF PLAINTIFF’S SECOND AMENDED COMPLAINT**

3 Plaintiff alleges in his second amended complaint (ECF No. 25) that on December 9,  
4 2013, at or around 2:30 p.m., Plaintiff was discharged from Mercy Hospital in Bakersfield.  
5 Later in the evening, at around 7:30 p.m. Plaintiff was sent to the institution’s C.T.C./T.T.A as  
6 he “complained of excruciating pain in relations [sic] to [his] catheter.” He informed  
7 Defendant R.N. Soto that he wanted his catheter removed as he was in great pain and  
8 discomfort. Defendant R.N. Soto advised him that Defendant R.N. Soto could not do so  
9 without a doctor’s order. Plaintiff believes that at that point a doctor’s order should have been  
10 obtained to remove the catheter. It is his belief that the failure to obtain a doctor’s order  
11 resulted in permanent injury.

12 On December 10, 2013, at or around 10:00 a.m., Defendant Dr. Scharffenberg advised  
13 Plaintiff that his catheter was to remain in place for one week. Plaintiff complained of  
14 excruciating pain and requested that the catheter be removed.

15 At or around 10:45 a.m. that same day, Defendant R.N. Padilla was ordered by  
16 Defendant Dr. Scharffenberg to provide a catheter deflation kit. However, when Defendant  
17 R.N. Padilla returned she informed Defendant Dr. Scharffenberg that they did not have the  
18 right size deflation syringe kit. Defendant R.N. Padilla then asked Defendant Dr.  
19 Scharffenberg, “Would you like me to remove the catheter?”

20 Defendant Dr. Scharffenberg stated that he himself could do it with the wrong size  
21 deflation kit. As Plaintiff complained of excruciating pain, Defendant Dr. Scharffenberg  
22 repeatedly badgered Plaintiff with statements such as “cut the show,” “what’s with the show,”  
23 and “you need to be more mature, it’s just a catheter.”

24 Defendant Dr. Scharffenberg removed the catheter “knowingly and willingly using the  
25 wrong kit.” Defendant Dr. Scharffenberg “failed to deflate the balloon valve properly yet he  
26 continued. [He] yanked on the catheter when he noticed resistance [he] pushed the catheter  
27 further back into [Plaintiff’s] penile hole,” causing Plaintiff further pain. Plaintiff claims that  
28 as a result he sustained permanent injury.

1 Plaintiff further alleges that at or around 11:00 a.m. Plaintiff informed Defendant C.O.  
2 Archuleta that he had a medical emergency, but that Defendant C.O. Archuleta failed to do  
3 anything.

4 At 12:00 p.m. or shortly thereafter, Plaintiff reported to Defendant Sgt. Chan that he had  
5 a medical emergency. He was advised by Defendant Sgt. Chan that medical was aware of his  
6 condition. However, Defendant Sgt. Chan did not report the medical emergency.

7 At or around 3:30 p.m. Plaintiff informed Defendant Sgt. Devine of his medical  
8 emergency. Defendant Sgt. Devine never reported the emergency.

9 At or around 4:00 p.m. Plaintiff informed Defendant C.O. Ceja that he was suicidal.  
10 Plaintiff states that “this was mental anguish plus desperation to receive help. [He] was  
11 bleeding from [his] penile hole and was suffering with pain” and that Defendant “Dr.  
12 Scharffenberg was torturing [him] to the point of having suicidal thoughts.”

13 Plaintiff eventually received a Toradol 60 mg injection and was taken to Mercy  
14 Bakersfield by ambulance.

15 Plaintiff further alleges that Defendant Warden Sherman “failed to adequately train his  
16 staff for medical emergency response. He failed to properly supervise his subordinates as a  
17 result [Plaintiff] suffered serious and permanent injury”

18 For relief, Plaintiff requests compensatory and punitive damages for the constitutional  
19 violations, as well as for defendants to be accountable for potential “future medical bills  
20 requiring surgeries etc. . .” Plaintiff would “like to be returned to the same condition before  
21 aforementioned constitutional violations occurred. This includes mental suffering, punitive  
22 damages for wrongful conduct oppressively applied with recklessness amounting to said  
23 deliberate indifference.”

24 **II. DEFENDANTS’ MOTION TO DISMISS**

25 Defendants filed a motion to dismiss on December 5, 2016. (ECF No. 64). Defendants  
26 argue that Plaintiff has not stated sufficient facts for any of the Eighth or Fourteenth  
27 Amendment claims against any of the defendants.

28 On January 3, 2017, Plaintiff filed an opposition to Defendants’ motion to dismiss.

1 (ECF No. 65). Plaintiff's opposition appears to be missing the second page and therefore the  
2 opposition only addresses Plaintiff's Eighth Amendment claims.<sup>1</sup>

3 Plaintiff's opposition contains additional facts that are not present in the second  
4 amended complaint. Such allegations included additional statements regarding Defendant Soto  
5 and that "Operations Manual (DOM) for CDCR prison clearly says that at least one doctor  
6 must be present at [the] facility 24/7. Because of that, Defendant Soto[']s refusal to remove  
7 [the] catheter because there is no doctor around to approve it, is false. He was well informed  
8 that there must be a doctor for emergencies, which means that he willfully and deliberately  
9 ignored Plaintiff's request to remove catheter because of overwhelming pain and suffering. It  
10 is hard to believe that [Defendant] Soto was not aware that [an] inserted catheter can be cause  
11 of severe pain. As a result of delay of removing catheter properly, Plaintiff was forced to have  
12 surgery and even face amputation of his penis which definitely represents a serious injury."  
13 (ECF No. 65, p. 2). In regards to Defendant Dr. Scharffenberg, Plaintiff added that Defendant  
14 Dr. Scharffenberg "willfully and deliberately acted against proper medical procedure and  
15 against wishes of his patient. It is certainly not negligence. He was well aware of patient's  
16 pain and suffering yet he even increased pain with no remorse and total disregard for proper  
17 medical procedure." (*Id.* at p. 3).

18 On January 9, 2017, Defendants filed a reply to Plaintiff's opposition, which addressed  
19 the new factual allegations and argued that even with the new facts Plaintiff's Eighth  
20 Amendment claims still fail. (ECF No. 66).

### 21 **III. LEGAL STANDARD FOR MOTION TO DISMISS**

22 In considering a motion to dismiss, the court must accept all allegations of material fact  
23 in the complaint as true. Erickson v. Pardus, 551 U.S. 89, 93–94 (2007); Hosp. Bldg. Co. v.  
24 Rex Hosp. Trustees, 425 U.S. 738, 740 (1976). The court must also construe the alleged facts  
25 in the light most favorable to the plaintiff. Scheuer v. Rhodes, 416 U.S. 232, 236, overruled on  
26

---

27  
28 <sup>1</sup> Defendant's stated in their reply to Plaintiff's opposition that they received a paper copy of Plaintiff's  
opposition that included the missing page on January 9, 2016. (ECF No. 66, at p. 2). Defendants state that "the  
missing page contains a continuation of Section I, 'Divide and Conquer,' and Section II, 'Due Process.'" *Id.*

1 other grounds by Davis v. Scherer, 468 U.S. 183 (1984); Barnett v. Centoni, 31 F.3d 813, 816  
2 (9th Cir.1994) (per curiam). All ambiguities or doubts must also be resolved in the plaintiff's  
3 favor. See Jenkins v. McKeithen, 395 U.S. 411, 421 (1969). In addition, *pro se* pleadings are  
4 held to a less stringent standard than those drafted by lawyers. See Haines v. Kerner, 404 U.S.  
5 519, 520 (1972).

6 A motion to dismiss pursuant to Rule 12(b)(6) operates to test the sufficiency of the  
7 complaint. Rule 8(a)(2) requires only “a short and plain statement of the claim showing that  
8 the pleader is entitled to relief” in order to “give the defendant fair notice of what the ... claim is  
9 and the grounds upon which it rests.” Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 555  
10 (2007) (quoting Conley v. Gibson, 355 U.S. 41, 47 (1957)). “The issue is not whether a  
11 plaintiff will ultimately prevail but whether the claimant is entitled to offer evidence to support  
12 the claims.” Scheuer, 416 U.S. at 236 (1974).

13 The first step in testing the sufficiency of the complaint is to identify any conclusory  
14 allegations. Ashcroft v. Iqbal, 556 U.S. 662, 679 (2009). “Threadbare recitals of the elements  
15 of a cause of action, supported by mere conclusory statements, do not suffice.” Id. at 678  
16 (citing Twombly, 550 U.S. at 555). “[A] plaintiff’s obligation to provide the grounds of his  
17 entitlement to relief requires more than labels and conclusions, and a formulaic recitation of the  
18 elements of a cause of action will not do.” Twombly, 550 U.S. at 555 (citations and quotation  
19 marks omitted).

20 After assuming the veracity of all well-pleaded factual allegations, the second step is for  
21 the court to determine whether the complaint pleads “a claim to relief that is plausible on its  
22 face.” Iqbal, 556 U.S. at 678 (citing Twombly, 550 U.S. at 556) (rejecting the traditional  
23 12(b)(6) standard set forth in Conley, 355 U.S. at 45-46). A claim is facially plausible when  
24 the plaintiff “pleads factual content that allows the court to draw the reasonable inference that  
25 the defendant is liable for the misconduct alleged.” Id. at 678 (citing Twombly, 550 U.S. at  
26 556). The standard for plausibility is not akin to a “probability requirement,” but it requires  
27 “more than a sheer possibility that a defendant has acted unlawfully.” Id.

28 In deciding a Rule 12(b)(6) motion, the Court generally may not consider materials

1 outside the complaint and pleadings. Cooper v. Pickett, 137 F.3d 616, 622 (9th Cir. 1998);  
2 Gumataotao v. Dir. of Dep't of Revenue & Taxation, 236 F.3d 1077, 1083 (9th Cir. 2001).

#### 3 IV. EVALUATION OF PLAINTIFF'S EIGHTH AMENDMENT CLAIMS

4 The Court begins its analysis with Plaintiff's Eighth Amendment claims against the  
5 various defendants. "[T]o maintain an Eighth Amendment claim based on prison medical  
6 treatment, an inmate must show 'deliberate indifference to serious medical needs.'" Jett v.  
7 Penner, 439 F.3d 1091, 1096 (9th Cir. 2006), (quoting Estelle v. Gamble, 429 U.S. 97, 104  
8 (1976)). This requires Plaintiff to show (1) "a 'serious medical need' by demonstrating that  
9 'failure to treat a prisoner's condition could result in further significant injury or the  
10 unnecessary and wanton infliction of pain,'" and (2) "the defendant's response to the need was  
11 deliberately indifferent." Id. (quoting McGuckin v. Smith, 974 F.2d 1050, 1059-60 (9th Cir.  
12 1992) (citation and internal quotations marks omitted), overruled on other grounds by WMX  
13 Technologies v. Miller, 104 F.3d 1133 (9th Cir. 1997) (en banc)).

14 Deliberate indifference is established only where the defendant *subjectively* "knows of  
15 and disregards an *excessive risk* to inmate health and safety." Toguchi v. Chung, 391 F.3d  
16 1051, 1057 (9th Cir. 2004) (emphasis added) (citation and internal quotation marks omitted).  
17 Deliberate indifference can be established "by showing (a) a purposeful act or failure to  
18 respond to a prisoner's pain or possible medical need and (b) harm caused by the indifference."  
19 Jett, 439 F.3d at 1096 (citation omitted). Civil recklessness (failure "to act in the face of an  
20 unjustifiably high risk of harm that is either known or so obvious that it should be known") is  
21 insufficient to establish an Eighth Amendment violation. Farmer v. Brennan, 511 U.S. 825,  
22 836-37 & n.5 (1994) (citations omitted).

23 A difference of opinion between an inmate and prison medical personnel—or between  
24 medical professionals—regarding appropriate medical diagnosis and treatment is not enough to  
25 establish a deliberate indifference claim. Sanchez v. Vild, 891 F.2d 240, 242 (9th Cir. 1989);  
26 Toguchi v. Chung, 391 F.3d 1051, 1058 (9th Cir. 2004). Additionally, "a complaint that a  
27 physician has been negligent in diagnosing or treating a medical condition does not state a valid  
28 claim of medical mistreatment under the Eighth Amendment. Medical malpractice does not

1 become a constitutional violation merely because the victim is a prisoner.” Estelle, 429 U.S. at  
2 106. To establish a difference of opinion rising to the level of deliberate indifference, “plaintiff  
3 must show that the course of treatment the doctors chose was medically unacceptable under the  
4 circumstances.” Jackson v. McIntosh, 90 F.3d 330, 332 (9th Cir. 1996).

5 **A. The complaint establishes an Eighth Amendment claim against Defendant R.N.**

6 **Soto**

7 Plaintiff alleges that Defendant R.N. Soto would not remove Plaintiff’s catheter on  
8 December 9, 2013, around 7:30 p.m., and that R.N. Soto “advised [plaintiff] that he could not  
9 do so without a doctor’s order.” The complaint indicates that Defendant Soto did not get a  
10 doctor’s order and there is nothing to indicate that Defendant Soto even reported Plaintiff’s  
11 medical needs to a doctor staffed at the hospital. There were no attempts to remove the catheter  
12 on December 9, 2013, and in fact the catheter was not removed until the next day at 10:45 a.m.,  
13 a period of roughly nine hours later.

14 The Court is sympathetic to Defendant’s position and it may very well be that facts will  
15 be insufficient to establish deliberate indifference on the part of R.N. Soto. However,  
16 construing the facts liberally in favor of Plaintiff, as the Court must, the Court recommends  
17 allowing this claim to proceed past the pleading stage. Taking the facts as true, this was not a  
18 question of different medical opinions—Defendant Soto just failed to make any attempt to care  
19 for Plaintiff’s medical situation and left it until the next day despite extreme pain. It is possible  
20 that a jury could find deliberate indifference to serious medical needs based on these facts.  
21 Thus, the Court recommends holding that Plaintiff has asserted an Eighth Amendment Claim  
22 based on deliberate indifference to serious medical needs against Defendant Soto.

23 **B. The complaint establishes an Eighth Amendment claim against Defendant Dr.**

24 **Scharffenberg**

25 Plaintiff claims that on December 10, 2013, at or around 10:00 a.m., Defendant Dr.  
26 Scharffenberg advised Plaintiff that his catheter was to remain in place for one week. Plaintiff  
27 requested that the catheter be removed because he was experiencing excruciating pain.  
28 Apparently based on this request, at around 10:45 a.m., Defendant Dr. Scharffenberg ordered

1 Defendant R.N. Padilla to provide a catheter deflation kit so that the catheter could be removed.  
2 The kit was the incorrect size, but Defendant Dr. Scharffenberg said that he could still remove  
3 the catheter. Plaintiff alleges that Defendant Dr. Scharffenberg failed to deflate the balloon  
4 valve properly yet continued with the removal and that Defendant Dr. Scharffenberg yanked on  
5 the catheter when he noticed resistance. Defendant Dr. Scharffenberg pushed the catheter  
6 further back into Plaintiff's penile hole, causing Plaintiff further pain. Plaintiff claims that as a  
7 result he has sustained permanent injury and that Defendant "Dr. Scharffenberg was torturing  
8 [him] to the point of having suicidal thoughts."

9         Construing the facts in favor of Plaintiff, the Court recommends allowing Plaintiff's  
10 deliberate indifference claim to proceed against Defendant Scharffenberg as well. Plaintiff has  
11 alleged facts indicating a purposeful indifference to Plaintiff's pain by alleging that Defendant  
12 Dr. Scharffenberg repeatedly badgered Plaintiff with statements such as "cut the show,"  
13 "what's with the show," and "you need to be more mature, it's just a catheter." Defendant Dr.  
14 Scharffenberg eventually did remove the catheter, but he allegedly used the wrong sized kit,  
15 and failed to deflate the balloon valve properly. The complaint further alleges that Plaintiff had  
16 needed surgery after the catheter was removed..

17         Therefore, taking the facts in Plaintiff's second amended complaint as true, and  
18 construing them liberally in favor of Plaintiff, the Court finds that Plaintiff has stated a  
19 cognizable claim against Defendant Dr. Scharffenberg. Accordingly, the Court will  
20 recommend that Plaintiff's Eighth Amendment claim proceed against Defendant Dr.  
21 Scharffenberg.

22                 **C. The complaint fails to establish an Eighth Amendment claim against Defendant**  
23                 **R.N. Padilla**

24         At or around 10:45 a.m. Defendant R.N. Padilla was ordered by Defendant Dr.  
25 Scharffenberg to provide a catheter deflation kit. However, when Defendant R.N. Padilla  
26 returned she informed Defendant Dr. Scharffenberg that they did not have the right size  
27 deflation syringe kit.



1 The complaint does not contain any facts that indicate that Defendant R.N. Padilla acted  
2 with deliberate indifference. The complaint in fact alleges that all Defendant R.N. Padilla did  
3 was retrieve and then give the available deflation syringe kit to Defendant Dr. Scharffenberg at  
4 Defendant Dr. Scharffenberg's request. Her involvement was minimal and the complaint does  
5 not sufficiently support an Eighth Amendment Claim against Defendant R.N. Padilla.  
6 Accordingly, the Court will recommend that Plaintiff's Eighth Amendment claim against  
7 Defendant R.N. Padilla be dismissed.

8 **D. The complaint fails to establish an Eighth Amendment claim against**  
9 **Defendants C.O. Archuleta, and Sgt. Devine**

10 Plaintiff's claims against Defendants C.O. Archuleta, Sgt. Chan and Sgt. Devine are  
11 nearly identical: Plaintiff alleges that at different times he reported that he had a medical  
12 emergency to these Defendants, but that all three failed to report the medical emergency to  
13 medical staff. The second amended complaint alleges further that Defendant Sgt. Chan  
14 specifically advised Plaintiff that the medical staff was aware of Plaintiff's condition.  
15 According to Plaintiff, he was taken to the hospital later that day after his interactions with  
16 these three Defendants. Therefore, it is clear that medical staff was indeed aware of Plaintiff's  
17 medical needs.

18 Based on the facts alleged, Plaintiff has failed to state a claim against any of these  
19 defendants for deliberate indifference to Plaintiff's serious medical needs. Plaintiff simply fails  
20 to allege enough facts that, if true, would show that any of these three Defendants acted in a  
21 manner that was deliberately indifferent to Plaintiff's serious medical needs. In fact, according  
22 to Plaintiff, Defendant Sgt. Chan specifically advised Plaintiff that medical staff already knew  
23 of Plaintiff's condition. Because Defendant Sgt. Chan apparently thought medical staff already  
24 knew of Plaintiff's conditions, he would have no reason to tell medical staff about Plaintiff's  
25 condition. Even if Defendant Sgt. Chan was mistaken in his belief that medical staff was  
26 informed, it would not rise to the level of *deliberate* indifference. At most, it would appear to  
27 be negligence.

1           Additionally, the Court notes from Plaintiff’s previous statements to the Court that a  
2 second guard may have also informed Plaintiff that medical staff was aware of Plaintiff’s  
3 medical condition and that save for Plaintiff’s conclusory allegation, there appears to be no  
4 factual allegations that would show the guard was lying. Accordingly, the Court will  
5 recommend dismissal of this claim against Defendants C.O. Archuleta, Sgt. Chan and Sgt.  
6 Devine.

7           **E. The complaint fails to establish an Eighth Amendment claim against Defendant**  
8           **Warden Sherman**

9           Plaintiff alleges that Defendant Warden Sherman “failed to adequately train his staff for  
10 medical emergency response. He failed to properly supervise his subordinates as a result  
11 [Plaintiff] suffered serious and permanent injury directly a violation of [Plaintiff’s] 8<sup>th</sup>  
12 Amendment right to be free from cruel and unusual punishment as well violated [Plaintiff’s]  
13 14<sup>th</sup> amendment right of due process an equal protection.” (ECF No. 25, at p. 8). Under §  
14 1983, Plaintiff must demonstrate that each named defendant personally participated in the  
15 deprivation of his rights. Ashcroft v. Iqbal, 556 U.S. 662, 676-77 (2009). In other words, there  
16 must be an actual connection or link between the actions of the Defendants and the deprivation  
17 alleged to have been suffered by Plaintiff. See Monell v. Dep’t of Soc. Servs., 436 U.S. 658,  
18 691, 695 (1978).

19           Supervisors may only be held liable if they “participated in or directed the violations, or  
20 knew of the violations and failed to act to prevent them.” Lemire v. Cal. Dept. of Corrections  
21 & Rehabilitation, 726 F.3d 1062, 1074-75 (9th Cir. 2013) (“A prison official in a supervisory  
22 position may be held liable under § 1983 . . . ‘if he or she was personally involved in the  
23 constitutional deprivation or a sufficient causal connection exists between the supervisor’s  
24 unlawful conduct and the constitutional violation.’”) (quoting Lolli v. Cty. of Orange, 351 F.3d  
25 410, 418 (9th Cir. 2003)). Plaintiff may not attribute liability to a group of defendants, but  
26 must “set forth specific facts as to each individual defendant’s” deprivation of his rights. Leer  
27 v. Murphy, 844 F.2d 628, 634 (9th Cir. 1988).

1 Supervisory personnel are generally not liable under section 1983 for the actions of  
2 their employees under a theory of *respondeat superior* and, therefore, when a named defendant  
3 holds a supervisory position, the causal link between him and the claimed constitutional  
4 violation must be specifically alleged. See Fayle v. Stapley, 607 F.2d 858, 862 (9th Cir.  
5 1979); Mosher v. Saalfeld, 589 F.2d 438, 441 (9th Cir. 1978), cert. denied, 442 U.S. 941  
6 (1979). To state a claim for relief under section 1983 based on a theory of supervisory liability,  
7 Plaintiff must allege some facts that would support a claim that the supervisory defendants  
8 either: personally participated in the alleged deprivation of constitutional rights; knew of the  
9 violations and failed to act to prevent them; or promulgated or “implemented a policy so  
10 deficient that the policy ‘itself is a repudiation of constitutional rights’ and is ‘the moving force  
11 of the constitutional violation.’” Hansen v. Black, 885 F.2d 642, 646 (9th Cir. 1989) (internal  
12 citations omitted); Taylor v. List, 880 F.2d 1040, 1045 (9th Cir. 1989). For instance, a  
13 supervisor may be liable for his “own culpable action or inaction in the training, supervision, or  
14 control of his subordinates,” “his acquiescence in the constitutional deprivations of which the  
15 complaint is made,” or “conduct that showed a reckless or callous indifference to the rights of  
16 others.” Larez v. City of Los Angeles, 946 F.2d 630, 646 (9th Cir. 1991) (internal citations,  
17 quotation marks, and alterations omitted).

18 “Threadbare recitals of the elements of a cause of action, supported by mere conclusory  
19 statements, do not suffice.” Id. at 678 (citing Twombly, 550 U.S. at 555). “[A] plaintiff’s  
20 obligation to provide the grounds of his entitlement to relief requires more than labels and  
21 conclusions, and a formulaic recitation of the elements of a cause of action will not  
22 do.” Twombly, 550 U.S. at 555 (citations and quotation marks omitted).

23 With this law in mind, Plaintiff’s complaint fails to state a claim against Defendant  
24 Sherman. The second amended complaint does not allege that Defendant Sherman personally  
25 did anything to Plaintiff. Instead, it in essence only recites the elements of the cause of action:  
26 “[Defendant] Sherman . . . failed to adequately train his staff for medical emergency response.  
27 He failed to properly supervise his subordinates” and as a result Plaintiff suffered injury. (ECF  
28 No. 25, p. 8). This is insufficient.

1 Further, even if the Court were to consider Plaintiff's new allegation listed in his  
2 opposition to Defendants' motion to dismiss (ECF No. 65), the claim would still fail. In  
3 Plaintiff's opposition, he states that Defendant Sherman initiated a policy for officers and  
4 superiors to "disregard medical attention prisoners." (Id. at p. 3). However, Plaintiff provides  
5 no facts supporting this allegation. In fact, what Plaintiff alleges in his opposition contradicts  
6 his second amended complaint in that Plaintiff himself was treated on multiple occasions.  
7 Plaintiff's complaint alleges that he was in a hospital before and after the incidents described  
8 therein, and that he did in fact see medical personnel concerning his medical needs. This  
9 strongly suggests that there was no policy for officers and superiors to ignore prisoners'  
10 medical needs. Accordingly, this claim against Defendant Sherman fails and should be  
11 dismissed.

#### 12 **V. EVALUATION OF PLAINTIFF'S FOURTEENTH AMENDMENT** 13 **CLAIM**

14 The Due Process Clause protects prisoners from being deprived of liberty without due  
15 process of law. Wolff v. McDonnell, 418 U.S. 539, 556 (1974). In order to state a cause of  
16 action for deprivation of procedural due process, a plaintiff must first establish the existence of  
17 a liberty interest for which the protection is sought. Liberty interests may arise from the Due  
18 Process Clause itself or from state law. Hewitt v. Helms, 459 U.S. 460, 466-68 (1983). The  
19 Due Process Clause itself does not confer on inmates a liberty interest in avoiding "more  
20 adverse conditions of confinement." Wilkinson v. Austin, 545 U.S. 209, 221, 125 S.Ct. 2384,  
21 2393 (2005). With respect to liberty interests arising from state law, the existence of a liberty  
22 interest created by prison regulations is determined by focusing on the nature of the  
23 deprivation. Sandin v. Conner, 515 U.S. 472, 481-84 (1995). Liberty interests created by  
24 prison regulations are limited to freedom from restraint which "imposes atypical and significant  
25 hardship on the inmate in relation to the ordinary incidents of prison life." Id. at 484.

26 Plaintiff also alleges that his Fourteenth Amendment right to equal protection was  
27 violated. The equal protection clause requires that persons who are similarly situated be treated  
28 alike. City of Cleburne v. Cleburne Living Center, Inc., 473 U.S. 432, 439 (1985); Shakur v.

1 Schiriro, 514 F.3d 878, 891 (9th Cir. 2008). A plaintiff may establish an equal protection claim  
2 by showing that the plaintiff was intentionally discriminated against on the basis of plaintiff's  
3 membership in a protected class, Comm. Concerning Cmty. Improvement v. City of Modesto,  
4 583 F.3d 690, 702-03 (9th Cir. 2009); Serrano v. Francis, 345 F.3d 1071, 1082 (9th Cir. 2003),  
5 or that similarly situated individuals were intentionally treated differently without a rational  
6 relationship to a legitimate state purpose. Engquist v. Oregon Dept. of Agr., 553 U.S. 591,  
7 601-02 (2008); Village of Willowbrook v. Olech, 528 U.S. 562, 564 (2000); Lazy Y Ranch  
8 Ltd. v. Behrens, 546 F.3d 580, 592 (9th Cir. 2008); North Pacifica LLC v. City of Pacifica, 526  
9 F.3d 478, 486 (9th Cir. 2008).

10 While Plaintiff's alleges Fourteenth Amendment violations, the complaint states no  
11 facts that show Plaintiff experienced a due process or equal protection violation. The  
12 complaint simply states that Plaintiff's rights to due process and equal protection were violated.  
13 Plaintiff's complaint fails to allege any facts that show he was treated differently from similarly  
14 situated individuals, or that he was deprived of any right without due process of law. The  
15 conclusory statements in the seconded amended complaint are not enough to state a claim  
16 under the Fourteenth Amendment. Accordingly, Plaintiff fails to state a claim for violation of  
17 the equal protection clause or the due process clause as to any defendant and the Fourteenth  
18 Amendment claims should be dismissed.

## 19 **VI. CONCLUSION AND RECOMMENDATION**

20 Accordingly, based on the foregoing, **IT IS HEREBY RECOMMENDED** that:

- 21 1) Defendants' motion to dismiss filed on December 5, 2016 (ECF No. 64) be  
22 GRANTED IN PART and DENIED IN PART;
- 23 2) Plaintiff's Second Amended Complaint (ECF No. 25) be allowed to proceed  
24 with (1) an Eighth Amendment claim against Defendant R.N. Soto for deliberate  
25 indifference to serious medical needs; and (2) an Eighth Amendment claim  
26 against Defendant Dr. Scharffenberg for deliberate indifference to serious  
27 medical needs; and
- 28 3) All other defendants and causes of action be dismissed.

1           These Findings and Recommendations will be submitted to the United States District  
2 Court Judge assigned to this action pursuant to the provisions of 28 U.S.C. § 636 (b)(1).  
3 Within **thirty (30) days** after being served with a copy of these Findings and  
4 Recommendations, any party may file written objections with the court and serve a copy on all  
5 parties. Such a document should be captioned “Objections to Magistrate Judge’s Findings and  
6 Recommendations.” Any reply to the objections shall be served and filed within **ten (10) days**  
7 after service of the objections. The parties are advised that failure to file objections within the  
8 specified time may result in the waiver of rights on appeal. Wilkerson v. Wheeler, 772 F.3d  
9 834, 839 (9th Cir. 2014) (citing Baxter v. Sullivan, 923 F.2d 1391, 1394 (9th Cir. 1991)).

10 IT IS SO ORDERED.

11  
12 Dated: February 22, 2017

13 /s/ Eric P. Gray  
14 UNITED STATES MAGISTRATE JUDGE  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28