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2
3 **UNITED STATES DISTRICT COURT**
4 **FOR THE EASTERN DISTRICT OF CALIFORNIA**
5

6 **CHRISTOPHER SULLIVAN,**

7 **Plaintiff,**

8 **v.**

9 **COSTCO WHOLESALE CORPORATION,**
10 **TRICAM INDUSTRIES, INC, and DOES 1 to**
11 **10,**

12 **Defendants.**

1:17-cv-00959-LJO-EPG

**MEMORANDUM DECISION AND
ORDER DENYING DEFENDANTS'
MOTION TO EXCLUDE EXPERT
TESTIMONY AND GRANTING IN
PART AND DENYING IN PART
DEFENDANTS' MOTION FOR
SUMMARY JUDGMENT (ECF No. 22)**

13 **I. PRELIMINARY STATEMENT TO PARTIES AND COUNSEL**

14 Judges in the Eastern District of California carry the heaviest caseloads in the nation, and this
15 Court is unable to devote inordinate time and resources to individual cases and matters. Given the
16 shortage of district judges and staff, this Court addresses only the arguments, evidence, and matters
17 necessary to reach the decision in this order. The parties and counsel are encouraged to contact the
18 offices of United States Senators Feinstein and Harris to address this Court's inability to accommodate
19 the parties and this action. The parties are required to reconsider consent to conduct all further
20 proceedings before a Magistrate Judge, whose schedules are far more realistic and accommodating to
21 parties than that of U.S. Chief District Judge Lawrence J. O'Neill, who must prioritize criminal and
22 older civil cases.

23 Civil trials set before Chief Judge O'Neill trail until he becomes available and are subject to
24 suspension mid-trial to accommodate criminal matters. Civil trials are no longer reset to a later date if
25 Chief Judge O'Neill is unavailable on the original date set for trial. Moreover, this Court's Fresno

1 Division randomly and without advance notice reassigns civil actions to U.S. District Judges throughout
2 the Nation to serve as visiting judges. In the absence of Magistrate Judge consent, this action is subject
3 to reassignment to a U.S. District Judge from inside or outside the Eastern District of California.

4 **II. INTRODUCTION**

5 This case concerns Plaintiff's products liability claim against Defendants Costco Wholesale
6 Corporation and Tricam Industries, Inc. ("Defendants"). Plaintiff initially filed this case in the Superior
7 Court for the County of Fresno, and it was removed to this Court under diversity jurisdiction on July 18,
8 2017. ECF Nos. 1, 2. On June 29, 2018, Defendants filed the instant motion to exclude expert testimony
9 and for summary judgment. ECF No. 22. Plaintiff filed an opposition on July 16, 2018. Defendants did
10 not file a reply, and the Court deemed this matter suitable for decision on the papers under Local Rule
11 230(g) and deemed the mater submitted on July 26, 2018. ECF No. 27.

12 **III. BACKGROUND**

13 The following facts are drawn from the separate statements of undisputed facts filed by the
14 parties. ECF Nos. 22-2, 23-5. On January 2, 2016, Plaintiff fell while using a Model RM-SLA-3 3-foot
15 Type II 225 lb Duty Rated aluminum step stool ("RM-SLA-3" or "step stool") and sustained injuries.
16 ECF Nos. 22-2 ¶ 1; 23-5 ¶ 1. The step stool was designed, manufactured, marketed, and distributed by
17 Tricam Industries, and sold by Costco. ECF Nos. 22-2 ¶ 3; 23-5 ¶ 3. Plaintiff purchased the step stool
18 from Costco on June 23, 2013, and used it more than 20 times prior to his fall. ECF No. 22-2 ¶¶ 7, 12;
19 ECF No. 23-5 ¶¶ 7, 12. Plaintiff did not experience any wiggle, wobble, or instability when using the
20 RM-SLA-3 before his fall, and there was no pre-fall damage to the step stool. ECF No. 23-5 ¶¶ 13-14.

21 On January 2, 2016, Plaintiff set up the RM-SLA-3 on the concrete floor of his garage to
22 examine his water heater for leaks. ECF No. 23-5 ¶¶ 15-16. Plaintiff placed the step stool so that the rear
23 rails faced the water heater, with a door one or two feet to his left and the area to his right clear. *Id.* ¶ 19.
24 He snapped the platform down on the rear support, and made sure that the platform was locked stably
25 and securely on the rear support. *Id.* ¶¶ 17-18. Plaintiff climbed the RM-SLA-3 two to five times. *Id.* ¶

1 20. On the last climb, Plaintiff climbed to the top platform, where her stood for about two minutes
2 before falling. *Id.* ¶¶ 21-22. Plaintiff does not recall what he was doing with his hands before the fall, but
3 did not hear or see any portion of the RM-SLA-3 give way and the platform did not wiggle, wobble, or
4 move. *Id.* ¶¶ 23-25. Plaintiff’s left leg was injured in the fall, which Plaintiff believes was most likely
5 from striking the RM-SLA-3. *Id.* ¶ 26.

6 Plaintiff’s expert, R. Kevin Smith, prepared an expert report, in which he concluded that both
7 rear rails of the RM-SLA-3 failed in tension on the outside fiber where a screw is drilled into the cross
8 rail support for the RM-SLA-3’s platform. *Id.* ¶ 2; ECF No. 22-9 at 4. He also concluded that the RM-
9 SLA-3 was “defective in design and unreasonably dangerous.” ECF No. 23-5 ¶ 29; ECF No. 23-6 ¶ 27.

10 **IV. LEGAL STANDARDS**

11 **A. Expert Testimony**

12 Federal Rule of Evidence (“Rule”) 702 governs the admissibility of expert testimony.
13 Under Rule 702, a proposed expert witness must first qualify as an expert by “knowledge, skill,
14 experience, training, or education.” Fed. R. Evid. 702. The proposed expert witness may then testify in
15 the form of an opinion if: “(a) the expert’s . . . specialized knowledge will help the trier of fact to
16 understand the evidence or to determine a fact in issue; (b) the testimony is based on sufficient facts or
17 data; (c) the testimony is the product of reliable principles and methods; and (d) the expert has reliably
18 applied the principles and methods to the facts of the case.” *Id.*

19 The trial court serves a special “gatekeeping” function with respect to Rule 702. *Kumho Tire Co.*
20 *v. Carmichael*, 526 U.S. 137, 147 (1999). The trial court must make an initial assessment of the
21 proposed expert testimony to ensure that it “rests on a reliable foundation and is relevant to the task at
22 hand.” *Daubert v. Merrell Dow Pharms., Inc.*, 509 U.S. 579, 597 (1993). In other words, the trial court
23 must consider (1) whether the reasoning or methodology underlying the expert testimony is valid (the
24 reliability prong); and (2) whether the reasoning or methodology can be applied to the facts in issue (the
25 relevancy prong). *See id.* at 592-93.

1 To determine the reliability of expert testimony, the Supreme Court has identified four factors
2 that a trial court may consider: “(1) whether the ‘scientific knowledge . . . can be (and has been) tested’;
3 (2) whether ‘the theory or technique has been subjected to peer review and publication’; (3) ‘the known
4 or potential rate of error’; and (4) ‘general acceptance.’” *Obrey v. Johnson*, 400 F.3d 691, 696 (9th Cir.
5 2005) (quoting *Daubert*, 509 U.S. at 593-94). These factors, however, are not exclusive. *See Kumho*
6 *Tire*, 526 U.S. at 150 (“*Daubert* makes clear that the factors it mentions do *not* constitute a definitive
7 checklist or test.”) (emphasis in the original) (citation and internal quotation marks omitted). Rather, the
8 trial court enjoys “broad latitude” in deciding how to determine the reliability of proposed expert
9 testimony. *Id.* at 141-42. As to relevancy, the Supreme Court has explained that expert testimony is
10 relevant if it assists the trier of fact in understanding evidence or determining a fact in issue in the
11 case. *Daubert*, 509 U.S. at 591.

12 The proponent of the expert testimony carries the burden of proving its admissibility. Fed. R.
13 Evid. 702, advisory committee’s note (2000 amend.); *see Lust v. Merrell Dow Pharms., Inc.*, 89 F.3d
14 594, 598 (9th Cir. 1996). In the context of expert scientific testimony, the Ninth Circuit has explained
15 that the proponent meets this burden by offering “some objective, independent validation of the expert’s
16 methodology” establishing that the expert’s findings are based on “sound science.” *Daubert v. Merrell*
17 *Dow Pharms., Inc.*, 43 F.3d 1311, 1316 (9th Cir. 1995) (“*Daubert II*”).

18 **B. Summary Judgment**

19 Summary judgment is proper if the movant shows “there is no genuine dispute as to any material
20 fact and the movant is entitled to judgment as a matter of law.” Fed. R. Civ. P. 56. The moving party
21 bears the initial burden of “informing the district court of the basis for its motion, and identifying those
22 portions of the pleadings, depositions, answers to interrogatories, and admissions on file, together with
23 the affidavits, if any, which it believes demonstrate the absence of a genuine issue of material fact.”
24 *Celotex Corp. v. Catrett*, 477 U.S. 317, 323 (1986) (internal quotation marks omitted). A fact is material
25 if it could affect the outcome of the suit under the governing substantive law; “irrelevant” or

1 “unnecessary” factual disputes will not be counted. *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248
2 (1986).

3 If the moving party would bear the burden of proof on an issue at trial, that party must
4 “affirmatively demonstrate that no reasonable trier of fact could find other than for the moving party.”
5 *Soremekun v. Thrifty Payless, Inc.*, 509 F.3d 978, 984 (9th Cir. 2007). In contrast, if the non-moving
6 party bears the burden of proof on an issue, the moving party can prevail by “merely pointing out that
7 there is an absence of evidence” to support the non-moving party's case. *Id.* When the moving party
8 meets its burden, the non-moving party must demonstrate that there are genuine disputes as to material
9 facts by “citing to particular parts of materials in the record” or “showing that the materials cited do not
10 establish the absence or presence of a genuine dispute, or that an adverse party cannot produce
11 admissible evidence to support the fact.” Fed. R. Civ. P. 56(c).

12 In ruling on a motion for summary judgment, a court does not make credibility determinations or
13 weigh evidence. *See Anderson*, 477 U.S. at 255. Rather, “[t]he evidence of the non-movant is to be
14 believed, and all justifiable inferences are to be drawn in his favor.” *Id.* Only admissible evidence may
15 be considered in deciding a motion for summary judgment. Fed. R. Civ. P. 56(c)(2). “Conclusory,
16 speculative testimony in affidavits and moving papers is insufficient to raise genuine issues of fact and
17 defeat summary judgment.” *Soremekun*, 509 F.3d at 984.

18 **V. ANALYSIS**

19 **A. Motion to Exclude Expert Testimony**

20 Plaintiff offers the expert testimony of Mr. Smith to show that the RM-SLA-3 was defective. In
21 response, Defendants advance the expert testimony of Mark Quan. Arguing that Mr. Smith is not
22 qualified to give an expert opinion on stool or ladder design, his theories of defect and causation are
23 merely speculation, that he relied on unreliable methodology to formulate his opinion, and that his
24 explanation of the defect and causation are contradicted by Plaintiff’s description of the accident,
25 Defendants contend Mr. Smith’s testimony should be excluded under Rule 702. ECF No. 22-1 at 6-7.

1 **1. Mr. Smith's Qualifications**

2 Defendants argue that, because Mr. Smith does not have any specialized expertise in ladder or
3 step stool design and manufacturing, has not served on an American National Standards Institute
4 (“ANSI”) committee relating to ladders or step stools, and did not list any specific skills, knowledge, or
5 experience regarding step stool design, he is not qualified to render an expert opinion in this matter. ECF
6 No. 22-1 at 13-14.

7 Rule 702 does not require that an expert’s qualifications be narrowly defined, and “contemplates
8 a broad conception of expert qualifications.” *Thomas v. Newton Intern. Enters.*, 42 F.3d 1266, 1269 (9th
9 Cir. 1994). An expert does not need to possess official credentials or particularized expertise in the
10 specific area of the dispute. *United States v. Garcia*, 7 F.3d 885, 889-90 (9th Cir. 1993). So long as a
11 putative expert possesses reasonably sufficient qualifications in a field relevant to the subject matter,
12 their expert testimony may be admitted. *See Casey v. Ohio Med. Prods.*, 877 F. Supp. 1380, 1383 (N.D.
13 Cal. 1995) (finding a medical doctor qualified to opine on liver disease causation even though he is not a
14 specialist in that field).

15 Mr. Smith has a bachelor of science degree in Engineering and a master’s degree in Mechanical
16 and Aerospace Engineering, and has completed additional coursework in failure damage/analysis and
17 metallurgical analysis. ECF No. 23-4 at 3, 6. Since 1986, Mr. Smith has been a registered Professional
18 Engineer in Illinois. *Id.* at 3. He is a member of several professional associations in the field of
19 engineering, and has lectured on design analysis and product design for safety. *Id.* at 3, 5. Mr. Smith was
20 employed professionally at Triodyne, Inc., as a mechanical engineer investigating consumer and
21 industrial product-related accidents. *Id.* at 4. His work involved analyzing and testing the design and
22 safety of products, including ladders, as well as engineering and design forensic analysis and
23 evaluating human factor design considerations. *Id.* Mr. Smith is currently the president of R.K. Smith
24 Engineering, Inc., a business that provides consulting services in mechanical, safety, and forensic
25 engineering. *Id.* at 2. Mr. Smith has consulted in 12 or more matters involving ladder accidents. ECF

1 No. 23-3 ¶10. He operates a testing laboratory, and tests ladders using ANSI standards. *Id.* ¶11. Mr.
2 Smith has acted as an expert witness in 22 cases over the past four years, one of which involved alleged
3 design defects in ladders. *Id.* ¶ 12.

4 Mr. Smith has adequate education and relevant experience as a mechanical engineer and product
5 safety analyst to perform an examination of the RM-SLA-3 and formulate an opinion as to the suitability
6 of its design. Additionally, while Mr. Smith does not appear to have any special experience in step stool
7 design, Defendants ignore the specific, if limited, ladder safety and design related experiences Mr. Smith
8 references in both his CV and in his declaration. The extent of Mr. Smith’s specialized knowledge in the
9 specific field of ladder and step stool design goes to the weight afforded to his opinion by the trier of
10 fact, not to its admissibility. Likewise, whether Defendants’ expert, Mr. Quan is more qualified than Mr.
11 Smith to render an expert opinion is a question of weight, and does not speak to whether Mr. Smith is
12 suitability qualified as an expert. Accordingly, the Court finds Mr. Smith may be qualified as an expert
13 in mechanical engineering and design safety analysis in this matter.

14 **2. Mr. Smith’s Methodology**

15 Defendants dispute the facts relied upon and methodology used by Mr. Smith when he
16 formulated his conclusion that the RM-SLA-3 was defective. Under Rule 702, once an expert is deemed
17 qualified, the Court’s gatekeeper function requires it to determine the relevance of the proffered
18 testimony by considering whether it “will help the trier of fact to understand the evidence or to
19 determine a fact in issue.” Fed. R. Evid. 702(a). Even if the testimony is relevant, it is admissible only to
20 the extent that it “rests on sufficient facts or data” and is therefore reliable. Fed. R. Evid. 702(b).

21 **a. Facts**

22 First, Defendants argue that Mr. Smith ignored Plaintiff’s uncontested statements in preparing
23 his expert opinion. Specifically, Defendants point to Plaintiff’s statements that he set up the RM-SLA-3
24 so that it was snapped on the rear support, that he climbed the RM-SLA-3 two to five times before
25 falling, that on his last climb the step stool did not feel unstable, and that when he fell he was looking

1 straight ahead at the water heater and was not moving to either side or twisting. ECF No. 22-1 at 15.
2 Defendants contend that these statements are not consistent with Mr. Smith’s opinion that Plaintiff
3 exerted side loading causing the rear rail of the RM-SLA-3 to fail, and that the side loading could have
4 been caused by Plaintiff leaning to the side of setting up the RM-SLA-3 on an uneven surface or tilting
5 it against an obstruction, and therefore do not “fit” the facts here. *Id.* at 15-16.

6 The “fit” requirement is based in Rule 702’s specification that expert testimony “assist the trier
7 of fact to understand the evidence or to determine a fact in issue” and is a question of relevance. Fed. R.
8 Evid. 702(a); *Daubert II*, 43 F.3d at 1321 n.17 (citing *Daubert*, 509 U.S. at 591). Evidence is relevant
9 and admissible if “it has any tendency to make a fact more or less probable than it would be without the
10 evidence” and “the fact is of consequence in determining the action,” Fed. R. Evid. 401, but may be
11 excluded “if its probative value is substantially outweighed by a danger of . . . unfair prejudice,
12 confusing the issues, misleading the jury, undue delay, wasting time, or needlessly presenting
13 cumulative evidence,” Fed R. Evid. 403.

14 This is not a situation where the proffered expert testimony is so divorced from the facts of the
15 case that it would be of no consequence in determining the action. *Cf. General Elec. Co. v. Joiner*, 522
16 U.S. 136, 152 (1997) (Stevens, J., concurring in part and dissenting in part) (ruling excluding expert
17 testimony that exposure to certain chemicals could cause lung cancer when there existed no evidence of
18 any exposure to those chemicals should be upheld). The objected-to expert opinion here instead consists
19 of alternative theories of causation. Experts are permitted to offer alternative theories of causation. *See,*
20 *e.g., John Morrell & Co. v. Local Union 304A of United Food and Commercial Workers, AFL-CIO*, 913
21 F.2d 544, 559 (8th Cir. 1990); *Walker v. Soo Line R. Co.*, 208 F.3d 581, 589 (7th Cir. 2000).

22 Additionally, Mr. Smith’s proposed theories as to how side loading may have occurred are not so
23 inconsistent with Plaintiff’s description of the accident that a jury would be misled were it to hear the
24 testimony. There is a “sufficient nexus” between Plaintiff’s accident description and Mr. Smith’s
25 theories such that a jury would be aided in determining the facts by considering the expert testimony.

1 *See Lauzon v. Senco Prods., Inc.*, 270 F.3d 681, 695 (8th Cir. 2001) (despite discrepancies between the
2 plaintiff and the expert’s account of events, a “sufficient nexus” existed between the two testimonies).

3 Therefore, the Court determines that Mr. Smith’s testimony is relevant and “fits” the facts of this case.

4 **b. Testing Practices**

5 Next, Defendants take issue with Mr. Smith’s testing practices, noting that he did not test the
6 failed RM-SLA-3, did not test his proposed alternate design, ignored the relevant ANSI A14.2 testing
7 requirements, and incorrectly performed a cantilever bending test using an exemplar step stool. ECF No.
8 22-1 at 16-17. Questions about the specific principles and methodology applies in formulating an expert
9 opinion go to the reliability of the evidence. Relevant expert testimony is admissible only to the extent
10 that it is “the product of reliable principles and methods.” Fed. R. Evid. 702(c). In *Daubert*, the Supreme
11 Court provided a list of factors which may be considered, but noted that the reliability inquiry is “a
12 flexible one.” 509 U.S. at 594-95. The Ninth Circuit has clarified that, when there is no evidence that
13 testimony grew out of pre-litigation research or is not the type which is subject to peer review,

14 the proponent of expert scientific testimony may attempt to satisfy its
15 burden through the testimony of its own experts. For such a showing to be
16 sufficient, the experts must explain precisely how they went about
17 reaching their conclusions and point to some objective source—a learned
18 treatise, the policy statement of a professional association, a published
19 article in a reputable scientific journal or the like—to show that they have
20 followed the scientific method, as it is practiced by (at least) a recognized
21 minority of scientists in their field.

18 *Daubert II*, 43 F.3d at 1318-19. “Shaky but admissible evidence is to be attacked by cross examination,
19 contrary evidence, and attention to the burden of proof, not exclusion.” *Primano v. Cook*, 598 F.3d 558,
20 565 (9th Cir. 2010). A judge’s duty is “to screen the jury from unreliable nonsense opinions, but not
21 exclude opinions merely because they are impeachable.” *Alaska Rent-A-Car v. Avid Budget Grp., Inc.*,
22 738 F.3d 960, 969 (9th Cir. 2013).

23 In preparing his report, Mr. Smith measured, inspected, and photographed the failed RM-SLA-3.
24 ECF No. 23-4 at 8. He could not have reasonably tested the step-stool, as it was already damaged in the
25

1 accident. Mr. Smith reviewed Plaintiff's deposition transcript and his recorded statement, photographs
2 of the failed RM-SLA-3, Defendants' discovery responses, and relevant ANSI standards. *Id.* at 8; ECF
3 No. 23-3 ¶ 13. In addition to the tests prescribed by the ANSI standards on ladders, Mr. Smith felt it was
4 important to perform alternative tests to gauge the rear rail loading strength of the RM-SLA-3. ECF No.
5 23-3 ¶ 16. Mr. Smith opined that the ANSI A14.2 testing standard is inadequate, as the test was intended
6 for ladder types not designed to allow the user to stand on the top platform, and the test accordingly does
7 not evaluate rear rail loading when a user is standing on the top platform. ECF No. 23-3 ¶ 16.

8 While Mr. Smith deviated from the ANSI testing standards, he did so for specific, articulated
9 reasons which are pertinent to the facts of this case. He tested an exemplar step stool with the same duty
10 rating as the RM-SLA-3, and noted design difference between the two models. ECF No. 23-4 at 12-13.
11 Mr. Smith's reasons for not following ANSI standards may be questioned through cross-examination or
12 other evidence, but this departure from testing standards does not fatally undermine the reliability of Mr.
13 Smith's testimony. Mr. Smith also identifies a number of design changes which he believes would have
14 improved the design of the RM-SLA-3, including "heavier cross sections with increased area moment of
15 inertia and bracing," "[f]astening methods that reduce outer fiber stresses," and an increased base width,
16 and identified other Tricam stepstools as alternative designs. *Id.* at 20. The Court concludes that Mr.
17 Smith's opinion is sufficiently based on scientific, reproducible, and testable principles, and is therefore
18 sufficiently reliable for admission under Rule 702.

19 Whether the techniques used by Mr. Smith to formulate his opinion were properly applied or the
20 best options, as Mr. Quan's statements indicate, are questions best left for the jury. *Kumho*, 536 U.S. at
21 153 (where experts might reasonably disagree, "the jury must decide among the conflicting views of
22 different experts, even though the evidence is 'shaky'"). Mr. Smith's techniques do not appear to be
23 completely unfounded or not capable of being challenged by scientific method, nor is his opinion "so
24 fundamentally unsupported that it can offer no assistance to the jury" that it must be excluded. *In re*
25 *Viagra Prods. Liability Litig.*, 572 F. Supp. 2d 1071, 1078 (D. Minn. 2008). The Court finds that Mr.

1 Smith's methodology is reliable and his opinion is not inadmissible on that basis.

2 **c. Plaintiff's Medical Records**

3 Finally, Defendants argue that Mr. Smith failed to take Plaintiff's cardiovascular issues into
4 account when formulating his opinion, and that Mr. Smith's testing methodology was therefore
5 inconsistent with Plaintiff's testimony. ECF No. 22-1 at 17-18. Defendants contend that Plaintiff's
6 cardiovascular history includes episodes of fainting, which should properly have been taken into account
7 by Mr. Smith as an alternate theory of causation of the injury. *Id.* at 18. Without considering that history,
8 Defendants argue, Mr. Smith's expert opinion is incomplete and misleading. *Id.* Plaintiff argues that the
9 medical records are inadmissible, as they are not properly authenticated.

10 Federal Rule of Evidence 901(a) requires "authentication or identification as a condition
11 precedent to admissibility." Before any evidence is admitted, a foundation must be laid "by evidence
12 sufficient to support a finding that the matter in question is what its proponent claims." Fed. R. Evid.
13 901(a). "[D]ocuments which have not had a proper foundation laid to authenticate them cannot support a
14 motion for summary judgment." *Canada v. Blain's Helicopters, Inc.*, 831 F.2d 920, 925 (9th Cir. 1987).
15 Plaintiff may be correct in asserting that the medical records attached to Defendants' motion for
16 summary judgment are not admissible, as there is no accompanying authenticating statement apart from
17 a declaration by Defendants' attorney that the records are a "true and correct copy of [P]laintiff's
18 composite medical records." ECF No. 22-3 at 2. Counsel's affidavit is not sufficient to authenticate a
19 document under Federal Rule of Evidence 901, as Defendants' counsel lacks personal knowledge of the
20 medical records. *See Orr v. Bank of America, NT & SA*, 285 F.3d 764, 774 (9th Cir. 2002) (statement in
21 counsel's affidavit that an exhibit was a true and correct copy of a deposition not sufficient to
22 authenticate). While the records could be authenticated under Federal Rule of Evidence 901(b)(4) by
23 their "[a]pppearance, contents, [and] substance, . . . taken in conjunction with circumstances," as
24 Plaintiff's name appears on the records and they are consistent with other authenticated evidence such as
25 Plaintiff's deposition transcript, the Court sees no need to engage in such an analysis as the existence of

1 the records would not render Mr. Smith's testimony inadmissible in any case.

2 As Defendants point out, "an expert need not rule out every potential cause in order to satisfy
3 *Daubert*' as long as the expert's testimony 'address[es] obvious alternative causes and provide[s] a
4 reasonable explanation for dismissing specific alternate factors identified by the defendant.'" ECF No.
5 22-1 at 17-18 (quoting *Stanley v. Novartis Pharm Corp.*, 11 F. Supp. 3d 987, 1001 (C.D. Cal. 2014)).
6 The potential cause identified by Defendants, that Plaintiff may have fainted and lost his balance, ECF
7 No. 22-1 at 18, may constitute an explanation as to why Plaintiff fell. Plaintiff is not, however, offering
8 Mr. Smith's testimony as either an expert medical witness or as a percipient witness to the accident. Mr.
9 Smith's range of expertise, and therefore his expert testimony, is pertinent to the design of the RM-SLA-
10 3, how it may have failed, and its alleged manufacturing and design defects. While Plaintiff's fainting
11 may explain why side loading occurred, it is not an alternative cause for the step stool's failure. Rather,
12 it is a potential explanation for Plaintiff's fall or how the side loading identified by Mr. Smith occurred.

13 Even if fainting were somehow an alternative cause for the RM-SLA-3's failure, the issue to
14 which Mr. Smith's proposed testimony is directed, alternative causes "affect the weight that the jury
15 should give the expert's testimony and not the admissibility of that testimony" unless the expert can
16 offer no reasonable explanation for rejecting an opponent's explanation. *Heller v. Shaw Indus., Inc.*, 167
17 F.3d 146, 157 (3d Cir. 1999). Moreover, there is no indication that Defendants ever raised fainting as a
18 potential alternate factor prior to this motion for summary judgment. Experts cannot be expected to
19 anticipate and respond in their initial reports to every potential factor an opposing party might raise. The
20 failure to preemptively rebut Defendants' explanation of Plaintiff's fall does not make Mr. Smith's
21 testimony inadmissible. Defendants' alternative explanations and any response thereto more
22 appropriately go to the weight that a jury may ascribe to Mr. Smith's testimony. For the foregoing
23 reasons, Defendants' motion to exclude the testimony of Mr. Smith is DENIED.

24 **B. Summary Judgment**

25 Defendants seek summary judgment on Plaintiff's claims for breach of express and implied

1 warranties. ECF No. 22-1 at 22. Defendants argue that Plaintiff has not introduced any evidence of an
2 express warranty. *Id.* Additionally, Defendants contend that Plaintiff has not established the existence of
3 an implied warranty as he has not identified any particular purpose, other than its ordinary use, for
4 which he purchased the RM-SLA-3, nor has he provided any evidence that such purpose was
5 communicated to the seller. *Id.* Plaintiff did not address this argument in his opposition, and careful
6 review of both parties' lists of disputed and undisputed facts show no mention of either an express or
7 implied warranty. Because Defendants have "point[ed] out that there is an absence of evidence" as to
8 this claim, *Soremekun*, 509 F.3d 984, and Plaintiff has failed to meet his burden of rebuttal, there is no
9 genuine dispute of material fact. Defendants are entitled to summary judgment on this single claim.

10 Defendants also argue that they are entitled to summary judgment on all remaining claims. ECF
11 No. 22-1 at 19. Defendants' sole argument on this point is that, if Plaintiff offers no admissible expert
12 testimony regarding defects or reasonable design and manufacturing precautions, he cannot prove
13 required elements of his product defect and negligent manufacturing and design claims. *Id.* at 19-22. As
14 the Court has denied Defendants' motion to exclude Mr. Smith's expert testimony, Defendants' motion
15 for summary judgment on all claims, except for the express and implied warranty claims, fails.

16 **VI. CONCLUSION AND ORDER**

17 For the foregoing reasons, Defendants' motion for summary judgment is GRANTED as to
18 Plaintiff's claim for breach of express and implied warranties. Defendants' motion to exclude the
19 testimony of Mr. Smith and for summary judgment on all other claims is DENIED.

20
21 IT IS SO ORDERED.

22 Dated: August 23, 2018

/s/ Lawrence J. O'Neill
UNITED STATES CHIEF DISTRICT JUDGE