



1 the claim that Defendant Navarro contaminated Plaintiff's food; and (5) the First Amendment  
2 retaliation and Eighth Amendment failure to protect claims asserted against Defendant Gonzales  
3 related to conduct occurring between April 6, 2015 through January 23, 2016. (ECF No. 106.)

4 On December 19, 2018, Defendants filed an answer to the second amended complaint.

5 On December 27, 2018, the Court issued the discovery and scheduling order.

6 On April 5, 2023, Defendants filed the instant motion for summary judgment. (ECF No.  
7 134.) Plaintiff filed an opposition on July 13, 2023, and Defendants filed a reply on July 26,  
8 2023. (ECF Nos. 138, 141.) Plaintiff filed a supplemental declaration on February 2, 2024.  
9 (ECF No. 143.)

## 10 II.

### 11 LEGAL STANDARD

#### 12 A. Summary Judgment Standard

13 Any party may move for summary judgment, and the Court shall grant summary judgment  
14 if the movant shows that there is no genuine dispute as to any material fact and the movant is  
15 entitled to judgment as a matter of law. Fed. R. Civ. P. 56(a) (quotation marks omitted);  
16 Washington Mut. Inc. v. U.S., 636 F.3d 1207, 1216 (9th Cir. 2011). Each party's position,  
17 whether it be that a fact is disputed or undisputed, must be supported by (1) citing to particular  
18 parts of materials in the record, including but not limited to depositions, documents, declarations,  
19 or discovery; or (2) showing that the materials cited do not establish the presence or absence of a  
20 genuine dispute or that the opposing party cannot produce admissible evidence to support the fact.  
21 Fed. R. Civ. P. 56(c)(1) (quotation marks omitted). The Court may consider other materials in the  
22 record not cited to by the parties, but it is not required to do so. Fed. R. Civ. P. 56(c)(3); Carmen  
23 v. San Francisco Unified Sch. Dist., 237 F.3d 1026, 1031 (9th Cir. 2001); accord Simmons v.  
24 Navajo Cnty., Ariz., 609 F.3d 1011, 1017 (9th Cir. 2010).

25 In judging the evidence at the summary judgment stage, the Court does not make  
26 credibility determinations or weigh conflicting evidence, Soremekun v. Thrifty Payless, Inc., 509  
27 F.3d 978, 984 (9th Cir. 2007) (quotation marks and citation omitted), and it must draw all  
28 inferences in the light most favorable to the nonmoving party and determine whether a genuine

1 issue of material fact precludes entry of judgment, Comite de Jornaleros de Redondo Beach v.  
2 City of Redondo Beach, 657 F.3d 936, 942 (9th Cir. 2011) (quotation marks and citation  
3 omitted).

4 In arriving at these Findings and Recommendations, the Court carefully reviewed and  
5 considered all arguments, points and authorities, declarations, exhibits, statements of undisputed  
6 facts and responses thereto, if any, objections, and other papers filed by the parties. Omission of  
7 reference to an argument, document, paper, or objection is not to be construed to the effect that  
8 this Court did not consider the argument, document, paper, or objection. This Court thoroughly  
9 reviewed and considered the evidence it deemed admissible, material, and appropriate.

### 10 III.

### 11 DISCUSSION

#### 12 A. Summary of Plaintiff's Complaint

13 In 1994, Plaintiff was remanded to the custody of CDCR. In June 2000, Plaintiff was a  
14 witness in a United States District Court and testified against CDCR and Corcoran State Prison  
15 corruption among prison staff.

16 Defendants Navarro, Gonzales, Allison, Moak, Sexton and McCabe agreed on a course of  
17 treatment to injure and oppress Plaintiff.

18 On April 6, 2015, Defendants Navarro and Gonzales conspired to murder and inflict  
19 serious bodily injury on Plaintiff. Plaintiff was randomly moved from the security housing unit at  
20 Corcoran State Prison 4A facility to 4A2L where Defendants Navarro and Gonzales were posted.

21 On April 6, 2015 through May 2015, Plaintiff wrote requests complaining about the lack  
22 of in-cell air circulation, bedding, linen, and sink sanitation. Plaintiff did not receive a response  
23 to his requests.

24 On April 23, 2015, under the auspice of enforcing CDCR security check, Gonzales stated  
25 in front of general population inmates that Plaintiff was a "SNY" "piece of shit" "rat" who has  
26 "been 'snitching' on us to the sergeant."

27 On May 5, 2015, Navarro called Plaintiff a "snitch" in front of general population inmates  
28 and stated: "Drake, in cell #48, keeps snitching on us [guards] to the sergeant and

1 captain...writing complaints,” and “if you guys (e.g. black inmates) don’t handle it, we are going  
2 to handle it!” In addition, Gonzales called Plaintiff a “snitch” “SNY,” “piece of shit” in front of  
3 the general population inmates.

4 On May 20, 2015, Defendants Gonzales and Navarro took Plaintiff from cell #48 to a  
5 hallway holding cage/cell to collect a urine sample from Plaintiff under the threat of discipline.  
6 Plaintiff told Defendants Gonzales and Navarro that he “filed a 602 against the Warden for his  
7 wasting human-resources by unnecessarily repeatedly drug-testing [him], a non-user because it is  
8 harassment.” Defendant Gonzales, without notice, left the 2 on one 1 escort, after hearing  
9 Plaintiff speak of having filed a staff complaint. After Plaintiff provided a urine sample and was  
10 placed in a rotunda holding cage, Plaintiff asked Navarro, “Why am I not being taken back to my  
11 cell?” Navarro told Plaintiff “you have to wait here until my partner Gonzales is done searching  
12 your cell.” Gonzales remained in Plaintiff’s cell for approximately thirty to forty minutes,  
13 ransacking Plaintiff’s property then returned to the rotunda with two fifty-gallon trash bags and  
14 refused to disclose the contents. When Plaintiff asked what items were taken, Gonzales stated,  
15 “you had a lot of trash! Just stand there and shut the fuck up! I read your legal papers, and what  
16 you write isn’t worth shit! You are not on my level yet, I know exactly what to say in court to  
17 win!” Gonzales further stated, “Oh, if you want to see ‘personal,’ I will get you struck-out! I  
18 have already gotten four prisoners life sentenced and I’ll be glad to make you the fifth!”  
19 Gonzales then told Plaintiff to “turn around and cuff-up...if you so much as look at me, I will  
20 slam you onto the floor head first!”

21 On May 21, 2015, Gonzales threatened to charge Plaintiff for the lost state linen taken  
22 from a different prisoner’s cell on May 20, 2015, if Plaintiff filed an inmate grievance regarding  
23 the search of his cell.

24 On May 21, 2015 and May 28, 2015, Gonzales filed two retaliatory Rule Violation  
25 Reports (RVR) against Plaintiff regarding the May 20, 2015 escort. Gonzales accused Plaintiff of  
26 destroying state property and willfully resisting and delaying a peace officer in the performance  
27 of his duties.

28 On May 28, 2015, June 15, 2015, and June 18, 2015, Gonzales attempted to lure Plaintiff

1 out of his cell to be attacked during the night shift, and Plaintiff was unable to exit his cell for  
2 shower on these dates.

3 On June 23, 2015, Gonzales intimidated/threatened use of force against Plaintiff stating,  
4 “Do you want a problem! Make sure you spell my name correct, it won’t be the first time I’ve  
5 been to court!” On this same date, Plaintiff requested that different officers escort him from  
6 library to 4A2L.

7 On June 24, 2015, Defendants Navarro and Gonzales threatened to serve Plaintiff  
8 contaminated food.

9 On June 25-June 28, July 2, July 12, July 21, and July 23, 2015, Gonzales renewed his  
10 food poisoning threat stating, “You haven’t seen anything yet, I haven’t even started yet, this is  
11 only the beginning!”

12 On June 28, 2015, Gonzales told Plaintiff to prepare for the RVR hearing, and then told  
13 Plaintiff “wait, let me go see if the Lt. wants to deal with this shit.” Gonzales falsely told the  
14 Lieutenant that he “refused to attend” the hearing. Plaintiff was not allowed to attend the RVR  
15 hearing, and Gonzales posted a disciplinary penalty sign on his cell door and took his television.

16 On June 29-30, 2015, Defendants Gonzales and Navarro disciplined Plaintiff outside of  
17 the disciplinary process by extending his penalty absent authorization of a disciplinary order.

18 On July 7, 2015, Gonzales threatened to pepper spray Plaintiff in the face when Plaintiff  
19 was transported from the law library to his cell unit.

20 On July 21, 2015 and July 23, 2015, Defendants Navarro and Gonzales repeatedly  
21 threatened to serve Plaintiff contaminated food.

22 On September 5, 2015, Defendant Gonzales stood at the Plaintiff’s cell door and stated:  
23 “You have an ‘R’ suffix in your file right? What does the ‘R’ stand for? You are a sex-offender.  
24 My job here is to make the life of pieces of shit like you miserable!”

25 On September 8, 2015, Gonzales attempted to lure Plaintiff out of his cell to subject him  
26 to an assault/battery, and when Plaintiff declined, Gonzales stated: “That’s too bad because it was  
27 going to be a long trip to nowhere!” On this same date, Plaintiff wrote an emergency plea for  
28 help to the sergeant and the sergeant agreed to move Plaintiff to a different building.

1           On January 23, 2016, Gonzales threatened to have Plaintiff assaulted once he was released  
2 from segregation. Gonzales has a history of conspiring and targeting prisoners for violent attacks  
3 and retaliation.

4           On September 27, 2016, under the auspice of enforcing CDCR feeding regulation and to  
5 further the conspiracy, Navarro gave Plaintiff contaminated food. The following day, Plaintiff  
6 suffered severe abdominal pain, dysentery, and vomiting from consuming the food.

7           On October 6, 2016, Defendant Sexton directed staff not to amend or correct any of the  
8 false or incomplete safety/enemy placement case factor information in Plaintiff's central file and  
9 directed Defendant McCabe to dispute all of the injuries Plaintiff reported to the medical  
10 department.

11           On December 29-30, 2016, to further the conspiracy and under the auspices of enforcing  
12 CDCR feeding regulations, Navarro carried out the earlier threats and issued Plaintiff food  
13 heavily laden-tainted with odorless, nonvisible, and unknown contaminated substances. After  
14 consuming the food Plaintiff received from Navarro, he suffered nausea, vomiting, dysentery,  
15 acute pain in stomach and spleen, and swollen and hyper pigmented feet and ankles. Plaintiff  
16 reported the food poison exposure to custody, food service, and medical staff on January 1, 5, and  
17 27, 2017. Plaintiff has suffered serious bodily injury inflicted by Navarro for approximately eight  
18 months.

19           In March 2017, Defendant Allison agreed to place Plaintiff in the general population  
20 where Defendants Navarro and Gonzales were posted. Defendant Allison had a meeting with  
21 Defendants Moak and Sexton to circumvent the Ashker settlement agreement terms and amend  
22 the CDCR prisoner placement regulations without appropriate notice. Defendant Allison also  
23 directed Defendants Moak and Sexton to disallow Plaintiff to attend the DRB placement review,  
24 and to erase all of Plaintiff's safety concerns following the Ashker monitoring conclusion thereby  
25 subjecting Plaintiff to attack by other prisoners.

26           In March 2017, Defendant Moak directed his subordinate counselors to conduct the pre-  
27 DRB interview without recording any of Plaintiff's statements, to not document any of Plaintiff's  
28 placement safety enemy concerns, to tell Plaintiff that he would be attending his DRB review, and

1 to submit the false interview report as a chrono disputing Plaintiff's safety placement restriction  
2 in support of Defendant Sexton's actions. Defendant Allison signed over placement jurisdiction  
3 to Defendant Sexton.

4 In March 2017, Defendant McCabe directed his subordinate healthcare staff to refer  
5 Plaintiff to the mental health department for any reports of employee attacks or contamination of  
6 food and disputed Plaintiff's injuries stemming from exposure to contaminated food.

7 On June 26, 2017, Plaintiff submitted an inmate appeal. On June 29, 2017, a video  
8 interview was conducted.

9 **B. Statement of Undisputed Facts<sup>1</sup>**

10 1. Plaintiff Drake has been incarcerated since 1994 and was housed at California  
11 State Prison-Corcoran (Corcoran) between 2013 and 2018. (Pl. Dep., Ex. A at 15:1-13, 21:2-12.)

12 2. Plaintiff testified in the United States District Court in June 2000, concerning  
13 misconduct at Corcoran in 1994. (Pl. Dep., Ex. A at 17:18-18:13.)

14 3. Plaintiff never discussed his June 2000 testimony with Defendants Allison, Moak,  
15 Sexton, Navarro or Gonzales. (Pl. Dep., Ex. A at 18:23-19:16.)

16 4. Plaintiff has never been validated as a prison gang member and is not affiliated  
17 with any gang members. (Pl. Dep., Ex. A at 23:2-9.)

18 5. Plaintiff had been subject to DRB control regarding his housing and transfers since  
19 September 17, 2014, prior to the Ashker v. Governor, N.D. Cal. No. C09-05796, settlement, when  
20 he was placed in the Security Housing Unit (SHU) at Corcoran on an indeterminate basis after  
21 rescinding his Sensitive Need Yard (SNY) status and requesting placement in the Psychiatric  
22 Services Unit (PSU). (Allison Decl., Ex. D at 2:23-3:8; DRB memo, dated September 17, 2014,  
23 Ex. L.)

24 6. The September 17, 2014 DRB memorandum referred to multiple reasons for this  
25 decision, including Plaintiff's refusal to cooperate with staff to find suitable housing, his failure to  
26 program at several SNY facilities, his desire to separate himself from the inmate population by  
27 characterizing himself as a "reformer, not a criminal," an inability to perform on the general

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28 <sup>1</sup> Hereinafter referred to as "UF."

1 population or SNY facilities due to disciplinary behavior, and that his safety may be at risk if  
2 released to general population because of his commitment offense and years of SNY placement.  
3 (Allison Decl., Ex. D at 2:23-3:8; DRB memo, dated September 17, 2014, Ex. L.)

4 7. The September 17, 2014 DRB memorandum directed that Plaintiff's case be re-  
5 submitted within twenty-four months and granted Corcoran the authority to transfer him to an  
6 SNY facility commensurate with his case factors and without the benefit of a DRB if Plaintiff  
7 began cooperating with staff and demonstrated a willingness to comply with SNY expectations.  
8 (Allison Decl., Ex. D at 2:23-3:8; DRB memo, dated September 17, 2014, Ex. L.)

9 8. The settlement agreement in Ashker does not require a noticed hearing for the  
10 DRB to relinquish control over an inmate's housing in the SHU. (Allison Decl., Ex. D at 3:24-  
11 27; Moak Decl., Ex. E at 2:21-24; Ashker settlement agreement, Ex. I, at ppg. 11:13-12:14.)

12 9. Plaintiff's conspiracy claim is based on a March 2017 chrono written by  
13 Correctional Counselor Diaz, in which Plaintiff was interviewed and verbalized his safety  
14 concerns if housed on a general population yard. (Pl. Dep., Ex. A at 25:2-28:-2; Chrono, Ex. B.)

15 10. Plaintiff had rescinded his SNY status in 2013, after he arrived at Corcoran. (Pl.  
16 Dep., Ex. A at 29:12-16.)

17 11. Plaintiff expressed to Counselor Diaz that he wanted to remain on indeterminate  
18 status in the SHU. (Pl. Dep., Ex. A at 29:17-20.)

19 12. Counselor Diaz indicated that an investigation would be performed to determine  
20 whether the Plaintiff had any enemies at Corcoran. (Pl. Dep., Ex. A at 29:25-30:5; Diaz Decl.,  
21 Ex. M at 2:15-21.)

22 13. Counselor Diaz ultimately concluded that the Plaintiff had unsubstantiated safety  
23 concerns on an SNY facility that were fabricated so that he could remain housed in the SHU.  
24 (Chrono, Ex. B; Diaz Decl., Ex. M at 2:15-24.)

25 14. Counselor Diaz informed Plaintiff that the request for an interview came from  
26 "higherups," without mentioning Defendants Allison, Moak, or Sexton. (Pl. Dep., Ex. at 33:1-17,  
27 40:1-7.)

28 15. On May 5, 2017, Defendant Moak approved, and on May 15, 2017, Defendant



1 Allison approved Defendant Sexton's May 3, 2017 request for the DRB to relinquish control over  
2 Plaintiff's housing. (Allison Decl., Ex. D at 3:28-4:16; Moak Decl., Ex. E at 2:25-3:3.)

3 16. In 2017, Plaintiff was not being housed in the SHU because of a SHU-eligible  
4 offense. (Allison Decl., Ex. D at 4:6-8.)

5 17. Defendants Allison and Moak anticipated that Plaintiff would participate in a  
6 subsequent Institutional Classification Committee (ICC) at Corcoran, when he could provide  
7 input concerning his housing. (Allison Decl., Ex. D at 3:24-28; Moak Decl., Ex. E at 2:14-16.)

8 18. The ICC then transferred Plaintiff to a general population yard. (Pl. Dep., Ex. A at  
9 38:24-39:12.)

10 19. After Plaintiff was released to general population, he was attacked on December  
11 15, 2017, by four Black inmates he did not know and could not identify. (Pl. Dep., Ex. A at  
12 47:21-48:9.)

13 20. Although Plaintiff had submitted complaints, notices, and memos regarding his  
14 safety concerns prior to the December 17, 2017 attack, none of the notes in his Central File  
15 indicated that he would be attacked by the four Black inmates who assaulted him on December  
16 15, 2017. (Pl. Dep., Ex. A at 46:20-48:18.)

17 21. Plaintiff sustained no other attacks after the DRB relinquished jurisdiction over his  
18 case. (Pl. Dep., Ex. A at 48: 16-18.)

19 22. Defendants Allison, Moak, Sexton, and McCabe did not agree with Gonzales or  
20 instruct Gonzales to harm Plaintiff. (Pl. Dep., Ex. A at 49:6-12.)

21 23. Plaintiff has no information that Defendants Navarro and Gonzales agreed to  
22 harm Plaintiff on April 6, 2015. (Pl. Dep., Ex. A at 95:3-22.)

23 24. Plaintiff did not notice any contamination in his food on September 27, 2016. (Pl.  
24 Dep., Ex. A at 102:18-21, 107:25-108:4.)

25 25. Plaintiff did not observe Defendant Navarro place any contamination in his food  
26 on September 27, 2016, as the meals were prepared in the rotunda before being brought into the  
27 section where he was housed. (Pl. Dep., Ex. A at 106:25-107:7.)

28 26. Plaintiff does not know what Defendant Navarro placed in his food on December

1 29 and 30, 2016. (Pl. Dep., Ex. A at 111:20-23, 114:20-22.)

2 27. Plaintiff did not observe Defendant Navarro place any foreign substances in his  
3 food on December 29 or 30, 2016. (Pl. Dep., Ex. A at 112:18-23, 114:23-25.)

4 28. Plaintiff did not observe or smell any foreign substances in his food on December  
5 29 or 30, 2016. (Pl. Dep., Ex. A at 112:5-17, 115:12-15.)

6 29. Plaintiff claims that he experienced swollen, painful, hyper-pigmented ankles in  
7 2017, as a result of the food poisoning. (Pl. Dep., Ex. A at 117:20-118:13, 119:3-6.)

8 30. When assigned to work in the SHU at Corcoran in 2016, correctional officers were  
9 involved in serving meals to the inmates housed in that unit using the following procedure: pans  
10 of food prepared by kitchen staff would be brought into the rotunda area on food carts, and the  
11 correctional officers would transfer individual portions of food onto trays, utilizing kitchen  
12 utensils, which would be provided to each inmate. The correctional officers then would deliver  
13 the trays to the inmates through the food ports on their cell doors. (Navarro Decl., Ex. G at 2:3-  
14 10.)

15 31. Plaintiff has no evidence that Defendant Sexton directed Defendant McCabe to  
16 dispute injuries caused by staff at Corcoran. (Pl. Dep., Ex. A at 131:15-132:12.)

17 32. On December 15, 2017, Plaintiff was assaulted and was taken to Adventist  
18 Hospital Bakersfield with multiple facial fractures and was evaluated by Dr. Freeman, and was  
19 initially treated with high dose steroids to reduce swelling prior to surgical intervention. Dr.  
20 Freeman intended to perform surgery to repair the facial fractures with internal fixation involving  
21 metal plates, screws, etc., on December 20, 2017, but Plaintiff had refused, requesting a second  
22 opinion concerning a bone graft. (McCabe Decl., Ex. F at 2:27-3:8.)

23 33. On January 2, 2018, Dr. McCabe requested the Utilization Management Nurse to  
24 forward a Request for Services for a second opinion to California Correctional Health Care  
25 Services (CCHCS) Utilization Management which responded on January 3, 2018, by stating that  
26 CCHCS would not allow a second opinion consult unless requested by a medical provider for  
27 specific medical reasons. (McCabe Decl., Ex. F at 2:27-3:8.)

28 34. Prior to April 6, 2015, Defendant Gonzales was unaware of any grievances

1 submitted by Plaintiff against Gonzales or correctional staff members concerning alleged  
2 deprivations of in-cell air circulation, bedding, linen, or sink sanitation. (Gonzales Decl., Ex. H at  
3 2:3-7.)

4 35. Gonzales was not involved in selecting inmates to submit to urine tests. Officers at  
5 the Investigative Services Unit (ISU) selected the inmates required to submit to urine tests and  
6 instructed Correctional Officers such as Gonzales to escort them for the tests. (Gonzales Decl.,  
7 Ex. H at 2:11-13.)

8 36. In May 2015, Plaintiff was housed in the SHU, and the practice at that time was to  
9 randomly search three cells each day, and to ensure that each cell was searched at least once a  
10 month, due to the enhanced security issues posed by inmates housed there. These searches were  
11 conducted when the inmate was out of the cell in order to maintain the safety of the officers as  
12 much as possible. (Gonzales Decl., Ex. H at 2:14-22.)

13 37. In 2015, Gonzales did not attempt to lure Plaintiff out of cell his for the purpose of  
14 having him attacked by other inmates, either in retaliation for protected conduct, as part of a  
15 conspiracy, or otherwise. (Gonzales Decl., Ex. H at 3:6-8.)

16 **C. Dismissal of Defendant Sexton**

17 On February 13, 2023, Defendants filed a statement of fact of Defendant Sexton's death  
18 on the record, and served Defendant Sexton's successor in interest. (ECF No. 129).

19 Rule 25(a)(1) provides:

20 If a party dies and the claim is not thereby extinguished, the court may order substitution  
21 of the proper parties. The motion for substitution may be made by any party or by the  
22 successors or representatives of the deceased party and, together with the notice of  
23 hearing, shall be served on the parties as provided in Rule 5 and upon persons not parties  
24 in the manner provided in Rule 4 for the service of a summons, and may be served in any  
25 judicial district. Unless the motion for substitution is made not later than 90 days after the  
26 death is suggested upon the record by service of statement of the fact of the death as  
27 provided for herein for the service of the motion, the action shall be dismissed as to the  
28 deceased party.

26 In order for the ninety-day period for substitution to be triggered, a party must formally  
27 suggest the death of the party upon the record and must serve other parties and nonparty  
28 successors or representatives of the deceased with a suggestion of death in the same manner as

1 required for service of the motion to substitute. Fed. R. Civ. P. 25(a)(1); Barlow v. Ground, 39  
2 F.3d 231, 233 (9th Cir.1994). Thus, a party may be served the suggestion of death by service on  
3 his or her attorney, Fed. R. Civ. P. 5(b), while non-party successors or representatives of the  
4 deceased party must be served the suggestion of death in the manner provided by Rule 4 for the  
5 service of a summons. Barlow v. Ground, 39 F.3d at 232-234.

6 Rule 25 requires dismissal absent a motion for substitution within the ninety-day period  
7 only if the statement of death was properly served. Unicorn Tales, Inc., v. Bannerjee, 138 F.3d  
8 467, 469-471 (2d. Cir.1998).

9 Defendant Sexton's successor in interest personally served with the statement of fact of  
10 Sexton's death on the record on February 13, 2023 (ECF No. 133), and the ninety-day period  
11 expired on May 15, 2023. Because no motion for substitution was filed on or before May 15,  
12 2023, Fed. R. Civ. P. 6(a)(1)(C), (d), the Court finds dismissal of Defendant Sexton is appropriate  
13 pursuant to Rule 25(a).<sup>2</sup> See Zanowick v. Baxter Healthcare Corp., 850 F.3d 1090, 1094 (9th Cir.  
14 2017) (Rule 25(a)(1) requires dismissal of the action against the decedent if a motion for  
15 substitution is not made by any party within 90 days after service of the notice); Cf. First Idaho  
16 Corp. v. Davis, 867 F.2d 1241, 1242-1243 (9th Cir.1989) (affirming dismissal of state law claims  
17 against a decedent in a removal case under Rule 25 where state law precluded entry of judgment  
18 against a deceased party, and the plaintiff made no motion for substitution of a representative of  
19 the decedent's estate); Weil v. Investment/Indicators, Research and Mgmt., Inc., 647 F.2d 18, 21 n  
20 .5 (9th Cir.1981) (observing that "[a]lthough the [co-plaintiff's] death was suggested on the  
21 record, no party ever moved for substitution of his legal representative in this action).

#### 22 **D. Analysis of Defendants' Motion**

23 Defendants argue they are entitled to summary judgment concerning these claims because  
24 there was no conspiracy to harm Plaintiff, and Allison and Moak removed Plaintiff from  
25 Departmental Review Board (DRB) oversight because: (1) Plaintiff no longer could be housed in  
26 the Security Housing Unit (SHU) solely on the basis of his own request; and (2) overwhelming

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27  
28 <sup>2</sup> Because Defendant Sexton must be dismissed, the Court need not and will not address the merits of the claims against him.

1 evidence had not been presented supporting an immediate threat to Plaintiff, as the Corcoran  
2 Security Threat Group (STG) Unit had not discovered any information substantiating Plaintiff's  
3 safety concerns, Plaintiff was unable to identify any individual who had personally threatened his  
4 safety, Plaintiff again had rescinded his Sensitive Needs Yard (SNY) status, and there was no  
5 information presented that Plaintiff faced a specific threat of harm from other inmates if placed in  
6 the general population. When Plaintiff was attacked on December 15, 2017, the attack was carried  
7 out by four inmates unknown to Plaintiff, who concedes that there was no information in his files  
8 indicating that he would be attacked by those individuals. Consequently, Allison and Moak had  
9 no reason to believe that Plaintiff would be attacked on December 15, 2017, and were not  
10 subjectively deliberately indifferent to a substantial risk of serious harm to Plaintiff. There is no  
11 evidence that Navarro contaminated Plaintiff's food, and Plaintiff admits that he was not attacked  
12 as a result of Gonzales' alleged statements. Gonzales also did not take adverse action against  
13 Plaintiff in retaliation for protected conduct and legitimate correctional concerns support his  
14 alleged conduct in several respects. Alternatively, Allison and Moak are entitled to qualified  
15 immunity concerning the failure to protect claim as it is not clearly established that they may not  
16 rely on information provided by other peace officers in determining whether to relinquish DRB  
17 oversight over Plaintiff's housing. For these reasons, this motion should be granted.

18 In opposition, Plaintiff argues, *inter alia*, that Defendants are not entitled to summary  
19 judgment because there was a course of conduct to injure Plaintiff (e.g., to have Plaintiff placed  
20 where he would be attacked physically by green wall guards or by inmates deployed by green  
21 wall guards and to have his sentence increased by disciplinary action). Defendants knowingly  
22 disregarded an excessive risk of harm to Plaintiff's safety by approving him for non-security  
23 housing unit general population, double cell, housing placement.

24 The Court will address each claim separately below.

25 1. Conspiracy

26 Plaintiff contends that Defendants Allison and Moak agreed to harm him because of his  
27 commitment offense, status as a sex offender, and grievances filed by him.

28 Conspiracy under § 1983 requires proof of "an agreement or meeting of the minds to

1 violate constitutional rights,” Franklin v. Fox, 312 F.3d 423, 441 (9th Cir. 2002) (internal  
2 quotation marks omitted) (quoting United Steelworkers of Am. v. Phelps Dodge Corp., 865 F.2d  
3 1539, 1540-41 (9th Cir. 1989)), and that an “ ‘actual deprivation of his constitutional rights  
4 resulted from the alleged conspiracy,’ ” Hart v. Parks, 450 F.3d 1059, 1071 (9th Cir. 2006)  
5 (quoting Woodrum v. Woodward County, 866 F.2d 1121, 1126 (9th Cir. 1989)). “ ‘To be liable,  
6 each participant in the conspiracy need not know the exact details of the plan, but each participant  
7 must at least share the common objective of the conspiracy.’ ” Franklin, 312 F.3d at 441 (quoting  
8 United Steelworkers, 865 F.2d at 1541). A plaintiff must allege facts with sufficient particularity  
9 to show an agreement or a meeting of the minds to violate the plaintiff’s constitutional rights.  
10 Miller v. Cal. Dep’t of Soc. Servs., 355 F.3d 1172, 1177 n.3 (9th Cir. 2004) (citing Woodrum,  
11 866 F.2d at 1126). The mere statement that defendants “conspired” is not sufficient to state a  
12 claim. “Threadbare recitals of the elements of a cause of action, supported by mere conclusory  
13 statements, do not suffice.” Iqbal, 556 U.S. at 678 (citing Twombly, 550 U.S. at 555).

14 The Ninth Circuit requires a plaintiff alleging a conspiracy to violate civil rights to “state  
15 specific facts to support the existence of the claimed conspiracy.” Olsen v. Idaho State Bd. of  
16 Med., 363 F.3d 916, 929 (9th Cir. 2004) (citation and internal quotation marks omitted)  
17 (discussing conspiracy claim under § 1985); Burns v. County of King, 883 F.2d 819, 821 (9th  
18 Cir. 1989) (“To state a claim for conspiracy to violate one’s constitutional rights under section  
19 1983, the plaintiff must state specific facts to support the existence of the claimed conspiracy.”  
20 (citation omitted)).

21 It is undisputed that Plaintiff’s conspiracy claim is based on a March 2017 chrono written  
22 by Correctional Counselor Diaz, in which Plaintiff was interviewed and verbalized his safety  
23 concerns if housed on a general population yard. (UF 9.) At his deposition, Plaintiff admitted  
24 that he had no information that Defendant Moak participated in a conspiracy to harm him other  
25 than Moak’s signature on the DRB report and the comment by Diaz regarding “higher-ups,”  
26 without mention or reference to Defendants Allison, Moak, or Sexton. (Pl. Dep., Ex. A at 33:1-  
27 17, 40:1-7, 126:13-127:2.) Although Plaintiff testified that the conspiracy to injure him was also  
28 based on his interactions with Defendants Gonzales and Navarro in 2015 (Pl. Dep., Ex. A at

1 40:15-19), he admitted that Defendants Allison, Moak, Sexton, and McCabe did not agree with  
2 Gonzales or instruct Gonzales to harm Plaintiff and he has no information that Defendants  
3 Navarro and Gonzales agreed to harm him on April 6, 2015. (UF 23-24.) At his deposition,  
4 Plaintiff could not identify any specific person who threatened his safety when interviewed by  
5 Diaz on March 20, 2017. (Pl. Dep., Ex. A at 28:3-16; Chrono Ex. B; Diaz Decl., Ex. M at 2:16-  
6 17. It is undisputed that Plaintiff had rescinded his SNY status in 2013, after he arrived at  
7 Corcoran. (UF 10.) Plaintiff expressed to Counselor Diaz that he wanted to remain on  
8 indeterminate status in the SHU. (UF 11.) Counselor Diaz indicated that an investigation would  
9 be performed to determine whether the Plaintiff had any enemies at Corcoran. (UF 12.)  
10 Counselor Diaz ultimately concluded that the Plaintiff had unsubstantiated safety concerns on an  
11 SNY facility that were fabricated so that he could remain housed in the SHU. (UF 13.)  
12 Counselor Diaz informed Plaintiff that the request for an interview came from “higherups,”  
13 without mentioning Defendants Allison and Moak. (UF 14.)

14 Plaintiff has not produced evidence that Defendants Allison and Moak met or agreed to  
15 circumvent the settlement agreement in Ashker v. State of California or CDCR regulations with  
16 respect to Plaintiff, or that Allison directed Moak to prevent Plaintiff from attending a DRB  
17 placement review or otherwise exclude him from the DRB review process and provided no such  
18 instructions to Moak. Although Plaintiff cites to “allegations” that Defendants labeled him as a  
19 snitch, and sex offender to the general population (ECF No. 138 at 11:13-20), he provides no  
20 evidence that Defendants did so, or reached any agreement among themselves or with others to  
21 have Plaintiff attached. Rather, the only evidence submitted by Plaintiff concerns statements  
22 attributed to Defendant Gonzales. (ECF No. 138 at 102-105.) However, Plaintiff admits that the  
23 other Defendants did not agree with or instruct Gonzales to harm Plaintiff. (ECF No. 138 at 18,  
24 Fact 35.) Accordingly, there is simply no evidence that Defendant Allison agreed with Moak or  
25 anyone else, that Plaintiff would be housed where he could be attacked by prisoners after the  
26 Ashker monitoring concluded in the August-September 2017 time frame. See Margolis v. Ryan,  
27 140 F.3d 850, 853 (9th Cir. 1998) (to survive summary judgment on a conspiracy claim, a party  
28 must provide material facts showing an agreement among the conspirators to deprive the party of

1 their rights); see also Taylor v. List, 880 F.2d 1040, 1045 (9th Cir.1989) (unsupported conclusory  
2 allegations are insufficient to preclude summary judgment). Plaintiff must submit more than  
3 mere allegations to show a genuine issue of fact as to whether there was a meeting of the minds to  
4 support conspiracy. See Nwandu v. Bach, Case No. 06CV0999 MMA (WMc), 2010 WL  
5 2486771, at \*13 (S.D. Cal. Apr.21, 2010) (“Although the existence of a conspiracy may be  
6 inferred from circumstantial evidence, Plaintiff has not offered any evidence beyond the  
7 allegations in his complaint to raise a triable issue as to whether Defendants had a ‘meeting of the  
8 minds’ to violate his constitutional rights.”); Pool v. Multnomah County, Case No. CIV. 99–597–  
9 AS, 2000 WL 1364229, at \*7 (D.Or. Sept.6, 2000) (“[T]he mere similarity of conduct among  
10 various persons and the fact that they may have associated with each other, may have assembled  
11 together and may have discussed some common aims and interests, is not necessarily proof of the  
12 existence of a conspiracy.”) (citing Gilbrook v. City of Westminster, 177 F.3d 839, 860 (9th Cir.  
13 1999)). Accordingly, the Court concludes that there are no material facts in dispute and  
14 Defendants Allison and Moak are entitled to judgment as a matter of law as to Plaintiff’s  
15 conspiracy claim.

16 2. Failure to Protect-Defendants Allison and Moak

17 Plaintiff contends that Defendants Allison and Moak failed to protect him prior to the  
18 December 15, 2017, attack.

19 The failure of prison officials to protect inmates from attacks by other inmates may rise to  
20 the level of an Eighth Amendment violation only when: (1) the deprivation alleged is  
21 “objectively, sufficiently serious;” and (2) the prison officials had a “sufficiently culpable state of  
22 mind,” acting with deliberate indifference. Farmer v. Brennan, 511 U.S. 825, 834 (1994) (internal  
23 quotations omitted). “Deliberate indifference entails something more than mere negligence . . .  
24 [but] is satisfied by something less than acts or omissions for the very purpose of causing harm or  
25 with knowledge that harm will result.” Id. at 835. The official must both be aware of facts from  
26 which the inference could be drawn that a substantial risk of serious harm exists, and he must also  
27 draw the inference. Id. at 837; Valandingham v. Bojorquez, 866 F.2d 1135, 1138 (9th Cir. 1989).  
28 If a defendant should have been aware of the risk of substantial harm but was not, that defendant



1 has not violated the Eighth Amendment, no matter how severe the risk. Gibson v. County of  
2 Washoe, 290 F.3d 1175, 1188 (9th Cir. 2002).

3 Here, Defendants Allison and Moak, declare under penalty of perjury, that they approved  
4 Sexton's May 3, 2017 request for the DRB to relinquish control over Plaintiff's housing because:  
5 (1) Plaintiff no longer qualified for SHU status, as he no longer could be housed in the SHU  
6 solely on the basis of his own request; and (2) overwhelming evidence had not been presented  
7 supporting an immediate threat to the security of the institution or the safety of others, including  
8 Plaintiff, as the Corcoran STG Unit had not discovered any information substantiating Plaintiff's  
9 safety concerns, Plaintiff was unable to identify any individual who had personally threatened his  
10 safety, Plaintiff again had rescinded his SNY status, there was no information presented that the  
11 Plaintiff faced a specific threat of harm from other inmates if placed in the general population,  
12 and Plaintiff had been able to successfully house with other inmates in the past. (Allison Decl.,  
13 Ex. D at 3:28-4:16; Moak Decl., Ex. E at 2:25-3:3.)

14 Prior to this approval, Plaintiff had been interviewed by Counselor Diaz on March 20,  
15 2017, and he could not identify a specific person who posed a threat to his safety at that time. (Pl.  
16 Dep., Ex. A at 28:3-16; Chrono Ex. B; Diaz Decl., Ex. M at 2:16-17.) Plaintiff expressed to  
17 Counselor Diaz that he wanted to remain on indeterminate status in the SHU. (UF 11.)  
18 Counselor Diaz indicated that an investigation would be performed to determine whether the  
19 Plaintiff had any enemies at Corcoran. (UF 12.) Counselor Diaz ultimately concluded that the  
20 Plaintiff had unsubstantiated safety concerns on an SNY facility that were fabricated so that he  
21 could remain housed in the SHU. (UF 13.) Counselor Diaz informed Plaintiff that the request for  
22 an interview came from "higherups," without mentioning Defendants Allison or Moak. (UF 14.)  
23 Although Plaintiff had submitted complaints, notices, and memos regarding his safety concerns  
24 prior to the December 17, 2017 attack, none of the notes in his Central File indicated that he  
25 would be attacked by the four Black inmates who assaulted him on December 15, 2017. (UF 20.)  
26 Thus, Defendants Allison and Moak had no reason to believe Plaintiff would be attacked on  
27 December 15, 2017, and could not have been deliberately indifferent to a substantial risk of  
28 serious harm to Plaintiff. Indeed, this finding is supported by the fact that Defendants submit

1 evidence Plaintiff later claimed the December 15, 2017 attack occurred because non-party  
2 Lieutenant Brown told inmate program clerk Houston about Plaintiff's "R" suffix (notation that  
3 Plaintiff was convicted of a sex offense), and encouraged Houston to arrange an attack on  
4 Plaintiff, along with non-party LTA Carmichael. (ECF No. 134-3, Ex. C, Appeal, Log No.  
5 CSPC-5-18-00204.)

6 Plaintiff claims that Defendants Allison and Moak both knew the obviousness of the risk  
7 faced by Plaintiff if released to general population, and that he would be attacked at some point in  
8 time (ECF No. 138 at 14:26-15:2), such contention does raise an issue of material fact. In order  
9 to succeed on a claim of deliberate indifference, Plaintiff must establish that the office was both  
10 aware of facts from which the inference could be drawn that a substantial risk of serious harm  
11 exists and actually drew such inference. Farmer v. Brennan, 511 U.S. at 837; Valandingham v.  
12 Bojorquez, 866 F.2d at 1138. Simply alleging that Defendants should have been aware of the risk  
13 of substantial harm but were not, does not demonstrate an Eighth Amendment violation. Gibson  
14 v. County of Washoe, 290 F.3d at 1188.

15 Plaintiff admits that on December 15, 2017, he was attacked by four black inmates he  
16 could not identify. (ECF No. 138 at 17:10-12.) While Plaintiff attempts to dispute that none of  
17 the notes in his central file indicated he would be attacked by these specific individuals,  
18 Plaintiff's deposition testimony states otherwise. (ECF No. 138 at 17:14-23; but cf. ECF No.  
19 134-3, Pl. Dep. at 42:10-15, 46:20-48:18); see Kennedy v. Allied Mut. Ins. Co., 952 F.2d 262,  
20 266 (9th Cir. 1991) (a party cannot create an issue of fact by contradicting their own deposition  
21 testimony). In addition, Plaintiff presents no evidence from his central file referencing these  
22 individuals.

23 Further, there is no evidence that Defendants Allison or Moak actually made a decision to  
24 release him to general population prior to the attack. Rather, it is undisputed that Defendants  
25 Allison and Moak merely approved a recommendation that Plaintiff's case be referred to an  
26 Institutional Classification Committee for review. (ECF No. 134-3 at 88-89.) As Plaintiff  
27 acknowledges, his case subsequently was heard by an Institutional Classification Committee on  
28 August 16, 2017, which noted possible safety concerns based on a July 26, 2016 memorandum.

1 (ECF No. 138 at 59; see also ECF No. 138 at 98-99.) The Committee then elected to refer  
2 Plaintiff's case back to an Institutional Gang Investigator (IGI) for an updated evaluation, after  
3 which Plaintiff would be reviewed again for an appropriate transfer. (Id.) Neither Allison nor  
4 Moak were involved in this Committee. (Id.) Plaintiff's transfer to general population and the  
5 subsequent attack on December 15, 2017, occurred only after these steps were completed. Since  
6 Allison and Moak did not make the final decision to transfer Plaintiff to general population, they  
7 did not violate the Eighth Amendment in this case. Further, because of these intervening  
8 investigations and decisions, there is no causation between their decision to relinquish  
9 Department Review Board control over Plaintiff's housing and the attack in question. Harper v.  
10 City of Los Angeles, 533 F.3d 1010, 1026 (9th Cir. 2008).

11 Plaintiff denies that he was unable to identify a specific person who threatened his safety  
12 to Counselor Diaz on March 20, 2017 (ECF 138 at 12:8-13), but Plaintiff fails to specify who was  
13 identified to Diaz, whether this person was housed at the same institution as Plaintiff, or that this  
14 person participated in the December 15, 2017 attack. Even assuming that Plaintiff had identified a  
15 specific person to Counselor Diaz, it is undisputed that Defendants Allison and Moak relied upon  
16 the information in Diaz's March 20, 2017 memorandum that Plaintiff was unable to identify a  
17 specific threat to his safety. (ECF 134-3 at 77:9-78:17, 94:5-95:5.) Prison officials are entitled to  
18 rely upon information supplied by other officials. See Motley v. Parks, 432 F.3d 1072, 1082 (9th  
19 Cir. 2005) (en banc), rev'd on other grounds by United States v. King, 687 F.3d 1189 (9th Cir.  
20 2012) (en banc)).

21 In support of his claim, Plaintiff references a 2016 confidential investigation conducted by  
22 Sergeant J. Sherman. However, after investigation, it was concluded that Plaintiff's claim he had  
23 received a "kite" from a Two-Five gang member containing a new threat to his safety had been  
24 fabricated and Plaintiff's safety concerns could not be corroborated. (ECF No. 141, Ex. P,  
25 Classification Review at pg. 7.) The Classification Review completed on July 11, 2016  
26 concluded that Plaintiff did not have verified safety concerns as of that time, just as Diaz did on  
27 March 20, 2017. (Id.; ECF No. 134-3 at 219.) Consequently, reviewing these documents would  
28 not have advised Defendants that Plaintiff was likely to be attacked if released to general

1 population and Defendants are not liable. Gibson, 290 F.3d at 1188.

2 Moreover, the evidence submitted by Plaintiff documenting the existence of enemies after  
3 the December 15, 2017 incident does not establish that Defendants were on notice of these  
4 enemies prior to that date. (ECF 138 at 117-120.)

5 Lastly, to the extent Plaintiff argues that Defendants circumvented the settlement in  
6 Ashker v. Governor or CDCR regulations with respect to Plaintiff's case, such argument fails.  
7 The settlement agreement in Ashker does not require a noticed hearing for the DRB to relinquish  
8 control over an inmate's housing in the SHU. (UF 8.) Indeed, the relinquishment of DRB control  
9 anticipated that Plaintiff subsequently would attend an ICC to discussing his housing placement,  
10 wherein Plaintiff could provide further information.<sup>3</sup> (UF 17.) After that settlement, the criteria  
11 for an inmate being housed on Administrative Security Housing Unit (SHU) status changed,  
12 requiring a finding by the DRB that there was overwhelming evidence supporting an immediate  
13 threat to the security of the institution or the safety of others, and substantial justification had  
14 been articulated of the need for SHU placement. (ECF 138 at 48:14-18; see also Allison Decl.,  
15 ECF 134-3 at 76:11-17.) An inmate also could be placed on Administrative SHU status if there  
16 was a substantial disciplinary history consisting of no less than three SHU terms within the past  
17 five years, and the DRB articulated a substantial justification for the need for a continued SHU  
18 placement due to the inmate's ongoing threat to the safety and security of the institution and/or  
19 others, and that the inmate cannot be housed in a less restrictive environment. (ECF 138 at 48:18-  
20 24.) Under this settlement, an inmate no longer could be placed on SHU status solely on the basis  
21 of their own request. (ECF 134-3 at 76:11-13.)

22 The DRB found in May 2017, that there was not overwhelming evidence supporting an  
23 immediate threat to the institution, to Plaintiff, or to others, as the March 20, 2017 Diaz  
24 memorandum noted that Plaintiff was unable to identify any individual who had threatened his  
25 safety and the Corcoran Strategic Threat Group (STG) Unit had not discovered any specific

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26  
27 <sup>3</sup> In addition, violation of state prison rules and regulations, without more, does not give rise to a claim under section  
28 1983. Ove v. Gwinn, 264 F.3d 817, 824 (9th Cir. 2001); Sweaney v. Ada County, Idaho, 119 F.3d 1385, 1391 (9th  
Cir. 1997).

1 information substantiating Plaintiff's concerns. (Id. at 77:9-18.) Also, as of August 16, 2017,  
2 Plaintiff had received only one SHU term within the preceding five years on January 23, 2013,  
3 for threatening staff. (ECF 138 at 59.) The only basis for retaining Plaintiff on Administrative  
4 SHU Status was his own request, which was no longer permitted under the Ashker settlement.  
5 Thus, relinquishing DRB control in May 2017 did not violate the Ashker settlement.

6 Irrespective, even if Defendants had violated the settlement agreement, Plaintiff may not  
7 seek relief in this section 1983 action. See Frost v. Symington, 197 F.3d 348, 353 (9th Cir. 1999)  
8 (where litigant seeks enforcement of a consent decree, litigant must proceed through class counsel  
9 in the action in which the consent decree entered).<sup>4</sup>

10 In sum, Plaintiff fails to establish that Defendants were aware that Plaintiff would be  
11 attacked and Defendants are not liable for violating the Eighth Amendment, and they are entitled  
12 to summary judgment.

13 3. Failure to Protect-Defendant Gonzales

14 Plaintiff contends that Defendant Gonzales failed to protect him from the December 15,  
15 2017, assault.

16 The failure of prison officials to protect inmates from attacks by other inmates may rise to  
17 the level of an Eighth Amendment violation only when: (1) the deprivation alleged is  
18 "objectively, sufficiently serious;" and (2) the prison officials had a "sufficiently culpable state of  
19 mind," acting with deliberate indifference. Farmer v. Brennan, 511 U.S. at 834 (internal  
20 quotations omitted). "Deliberate indifference entails something more than mere negligence . . .  
21 [but] is satisfied by something less than acts or omissions for the very purpose of causing harm or  
22 with knowledge that harm will result." Id. at 835. The official must both be aware of facts from  
23 which the inference could be drawn that a substantial risk of serious harm exists, and he must also  
24 draw the inference. Id. at 837; Valandingham v. Bojorquez, 866 F.2d at 1138. If a defendant  
25 should have been aware of the risk of substantial harm but was not, that defendant has not

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26 <sup>4</sup> To the extent that Defendants did not comply with other prison regulations concerning determination of  
27 his classification and housing, such contention does not support a claim under section 1983. Ove v. Gwinn, 264 F.3d  
28 817, 824 (9th Cir. 2001); Sweaney v. Ada County, Idaho, 119 F.3d 1385, 1391 (9th Cir. 1997) (violations of state  
prison rules and regulations, without more, do not support any claims under § 1983).

1 violated the Eighth Amendment, no matter how severe the risk. Gibson v. County of Washoe, 290  
2 F.3d at 1188.

3 At his deposition, Plaintiff conceded that the alleged conduct of Defendant Gonzales did  
4 not result in any attacks on Plaintiff. (Pl. Dep. at 49:13-16.) Plaintiff alleges that Defendant  
5 Gonzales referred to him as an “SNY,” “piece of shit,” and a “rat” in front of other inmates.  
6 However, because admittedly these statements did not result in an attack on Plaintiff, he could not  
7 and did not sustain any damages caused by these statements, and Plaintiff’s claims fails on the  
8 merits. See Harper v. City of Los Angeles, 533 F.3d 1010, 1026 (9th Cir. 2008) (in a § 1983  
9 case, the plaintiff must demonstrate that the defendant’s conduct was the actionable cause of the  
10 claimed injury); Arnold v. International Business Machines Corp., 637 F.2d 1350, 1355 (9th Cir.  
11 1981) (“The causation requirement of section[ ] 1983 ... is not satisfied by a showing of mere  
12 causation in fact.... Rather, the plaintiff [also] must establish proximate or legal causation.”  
13 (citation omitted)). Accordingly, Defendant Gonzales is entitled to summary judgment on this  
14 claim.<sup>5</sup>

15 4. Contamination of Food-Defendant Navarro

16 The Eighth Amendment’s prohibition against cruel and unusual punishment protects  
17 prisoners not only from inhumane methods of punishment but also from inhumane conditions of  
18 confinement. Morgan v. Morgensen, 465 F.3d 1041, 1045 (9th Cir. 2006) (citing Farmer v.  
19 Brennan, 511 U.S. at 847 and Rhodes v. Chapman, 452 U.S. 337, 347 (1981)) (quotation marks  
20 omitted). While conditions of confinement may be, and often are, restrictive and harsh, they must  
21 not involve the wanton and unnecessary infliction of pain. Morgan, 465 F.3d at 1045 (citing  
22 Rhodes, 452 U.S. at 347) (quotation marks omitted). Thus, conditions which are devoid of  
23 legitimate penological purpose or contrary to evolving standards of decency that mark the  
24 progress of a maturing society violate the Eighth Amendment. Morgan, 465 F.3d at 1045

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25  
26 <sup>5</sup> To the extent Plaintiff contends that Defendant Gonzales encouraged Defendant Navarro to give him poisoned food  
27 and thereby failed to protect him, such claim fails because as explained below in section D(4), there is no genuine  
28 issue of material fact as to whether Navarro poisoned Plaintiff’s failed. Consequently, Defendant Gonzales cannot be  
liable for failing to protect Plaintiff from poisoned foods when he cannot prove that he was poisoned.

1 (quotation marks and citations omitted); Hope v. Pelzer, 536 U.S. 730, 737 (2002); Rhodes, 452  
2 U.S. at 346.

3 A prison official violates the Eighth Amendment only if two requirements are met: (1) the  
4 deprivation alleged must be, objectively, sufficiently serious, and (2) the prison official possesses  
5 a sufficiently culpable state of mind. Farmer v. Brennan, 511 U.S. at 834. In prison-conditions  
6 cases, the requisite state of mind to establish an Eighth Amendment violation is one of deliberate  
7 indifference to inmate health or safety. Id. A prison official is deliberately indifferent if he knows  
8 that a prisoner faces a substantial risk of serious harm and disregards that risk by failing to take  
9 reasonable steps to abate it. Id. at 837, 844. The official must both be aware of facts from which  
10 the inference could be drawn that a substantial risk of serious harm exists, and he must also draw  
11 the inference. Id. at 837.

12 In order to establish a claim for damages against an individual prison official under §  
13 1983, a plaintiff also must set forth evidence showing that the specific prison official's deliberate  
14 indifference was the "actual and proximate cause" of the deprivation of plaintiff's Eighth  
15 Amendment rights. Leer v. Murphy, 844 F.2d 628, 634 (9th Cir. 1988).

16 Here, it is undisputed that Plaintiff did not notice any contamination in his food on  
17 September 27, 2016. (UF 24.) Plaintiff admits he did not observe Defendant Navarro place any  
18 contamination in his food on September 27, 2016, as the meals were prepared in the rotunda  
19 before being brought into the section where he was housed. (UF 25.) Plaintiff also does not  
20 know what Defendant Navarro placed in his food on December 29 and 30, 2016. (UF 26.)  
21 Plaintiff admittedly did not observe Defendant Navarro place any foreign substances in his food  
22 on December 29 or 30, 2016. (UF 27.) Nor did Plaintiff observe or smell any foreign substances  
23 in his food on December 29 or 30, 2016. (UF 28.) Plaintiff claims that he experienced swollen,  
24 painful, hyper-pigmented ankles in 2017, as a result of the food poisoning. (UF 29.) When  
25 assigned to work in the SHU at Corcoran in 2016, correctional officers were involved in serving  
26 meals to the inmates housed in that unit using the following procedure: pans of food prepared by  
27 kitchen staff would be brought into the rotunda area on food carts, and the correctional officers  
28 would transfer individual portions of food onto trays, utilizing kitchen utensils, which would be

1 provided to each inmate. The correctional officers then would deliver the trays to the inmates  
2 through the food ports on their cell doors. (UF 30.)

3 In support of his argument, Plaintiff submits the declaration of fellow inmate Kevin Fields  
4 who declares, inter alia, that on June 15, 2015, he overheard Gonzales making statements about  
5 giving Plaintiff a “special meal” known to contain contaminates, and he opines that Gonzales had  
6 the means to actually contaminate Plaintiff’s meal. (ECF No. 138, Fields Decl. 108:28-110:2.)  
7 Although Plaintiff’s operative complaint alleges that Plaintiff was poisoned on September 27,  
8 2016, December 29, 2016, and December 30, 2016 (ECF No. 26 at 9:1-3), Fields’ declaration  
9 relates to events which took place on a different date-June 15, 2015, over one year later. (ECF  
10 No. 138 at 108:28-110:2.) Further, Fields admits that he does not know if Plaintiff’s food was  
11 contaminated on that day. (*Id.* at 109:26-28.) Moreover, Fields declaration primarily relates to  
12 conduct by Defendant Gonzales, not Navarro. Likewise, the declaration by Gabriel Covarrubias  
13 relates to an event which took place on a different date-June 25, 2015, over one year later,  
14 involves conduct only by Defendant Gonzales, and does not state that he actually knew Plaintiff’s  
15 food was contaminated on that day. (*Id.* at 112.)

16 Plaintiff may well believe that his medical problems were caused by food poisoning.  
17 However, his pleadings contain no basis for this belief other than surmise or conjecture on his  
18 part. As a layperson Plaintiff is not trained and qualified to make such a diagnosis, and there is no  
19 diagnosis of any food borne illness. Plaintiff is not a physician or medical expert and has alleged  
20 no facts showing that he is otherwise qualified to opine on the whether he suffered from a food  
21 borne illness. See, e.g., Van Buren v. Diaz, No. 1:13-cv-00516-MSJ (PC), 2013 WL 3773870, at  
22 \*3 (E.D. Cal. July 17, 2013) (prisoner failed to state a deliberate indifference claim based on  
23 conjecture that he suffered from food poisoning where pleadings did not reflect that plaintiff was  
24 “trained and qualified to make such a diagnosis”); Williams v. Rodriguez, 1:09-cv-01882-LJO-  
25 GSA-PC, 2012 WL 2339742, at \*7 (E.D. Cal. June 19, 2012) (“While Plaintiff has described  
26 symptoms he suffered, Plaintiff is not qualified to make a medical diagnosis, and his conclusion  
27 that he was being poisoned, without more, does not support a claim.”). Accordingly, Defendant  
28 Navarro is entitled to summary judgment on this claim.



1           5.       Dispute of Plaintiff's Injuries-Defendant Dr. McCabe

2           Plaintiff contends that Sexton directed Dr. McCabe to dispute injuries caused by staff at  
3 Corcoran.

4           While the Eighth Amendment of the United States Constitution entitles Plaintiff to  
5 medical care, the Eighth Amendment is violated only when a prison official acts with deliberate  
6 indifference to an inmate's serious medical needs. Snow v. McDaniel, 681 F.3d 978, 985 (9th  
7 Cir. 2012), overruled in part on other grounds by Peralta v. Dillard, 744 F.3d 1076, 1082-83 (9th  
8 Cir. 2014); Jett v. Penner, 439 F.3d 1091, 1096 (9th Cir. 2006). The two-part test for deliberate  
9 indifference requires Plaintiff to show (1) "a 'serious medical need' by demonstrating that failure  
10 to treat a prisoner's condition could result in further significant injury or the 'unnecessary and  
11 wanton infliction of pain,'" and (2) "the defendant's response to the need was deliberately  
12 indifferent." Jett, 439 F.3d at 1096 (citation omitted).

13           "A medical need is serious if failure to treat it will result in significant injury or the  
14 unnecessary and wanton infliction of pain." Peralta v. Dillard, 744 F.3d 1076, 1081 (9th Cir.  
15 2014) (citation and internal quotation marks omitted). "Indications that a plaintiff has a serious  
16 medical need include '[t]he existence of an injury that a reasonable doctor or patient would find  
17 important and worthy of comment or treatment; the presence of a medical condition that  
18 significantly affects an individual's daily activities; or the existence of chronic and substantial  
19 pain.'" Colwell v. Bannister, 763 F.3d 1060, 1066 (9th Cir. 2014).

20           Plaintiff admits that he has no evidence that Defendant Sexton directed Defendant  
21 McCabe to dispute injuries caused by staff at Corcoran. (UF 31; ECF No. 138 at 20:25-28.)  
22 Indeed, at his deposition, Plaintiff testified that he did not have evidence to support such claim.  
23 (Pl. Dep., Ex. A at 131:15-132:12.) Further, Dr. McCabe declares that no such instructions were  
24 given. (McCabe Decl. Ex. F at 2:7-8.) Moreover, Plaintiff was provided with medical treatment  
25 for the injuries he sustained at Corcoran. (UF 32; McCabe Decl., Ex. F at 2:9-17; 2:18-26; 2:27-  
26 3:8; 3:9-16; Pl.'s Unit Health Records, Ex. J Indeed, Plaintiff submits multiple documents  
27 demonstrating that he did, in fact, receive medical treatment for his injuries. (ECF No. 138 at  
28 134, 143-144, 148, 152-158, 162-163.) Plaintiff was also referred to the mental health

1 department. (McCabe Decl., Ex F at 2:18-26; 3:9-16; Pl.'s Unit Health Records, Ex. J.)

2 Although Plaintiff continues to claim that Dr. McCabe is liable for deliberate indifference  
3 to a serious medical need (ECF No. 138 at 34:16-35:18), this claim was dismissed, without  
4 prejudice, as unexhausted. (ECF No. 106.) Nonetheless, the undisputed facts demonstrate that  
5 Plaintiff was provided with treatment on multiple occasions for both the alleged food poisoning  
6 as well as the injuries sustained on December 15, 2017. (ECF No. 134-3 at 103-126.) Thus, the  
7 medical records before the Court demonstrates that medical staff, including Dr. McCabe, were  
8 responsive to Plaintiff's medical needs. See, e.g., Toguchi v. Chung, 391 F.3d 1051, 1057-1061  
9 (9th Cir. 2004) (affirming summary judgment in favor of defendants where the evidence  
10 demonstrated that defendants had treated the inmate's condition and were responsive to his  
11 medical needs). Plaintiff has not submitted evidence that Sexton directed Dr. McCabe to dispute  
12 Plaintiff's injuries, which were appropriately treated, and Dr. McCabe is entitled to summary  
13 judgment.

14 To the extent Plaintiff relies on Dr. McCabe's responses to his health care grievances in  
15 support of his argument, his argument fails. As an initial matter, the mere denial of a health care  
16 grievances, alone, does not demonstrate deliberate indifference. Buckley v. Barlow, 997 F.2d  
17 494, 495 (9th Cir. 1993) Furthermore, the denial by Dr. McCabe does not create a genuine issue  
18 of material fact as to whether Sexton directed him to dispute Plaintiff's injuries. In denying  
19 Plaintiff's health care grievance Log No. COR HC 17061994 at the second level of review on  
20 May 18, 2017, Dr. McCabe noted, in pertinent, as follows:

21 **Issue 1:** Your request to see a podiatrist for your swollen foot was partially granted.

- 22
- 23 • You were seen by podiatry on 6/5/2017 in which the podiatrist noted no edema  
24 (swelling). However, a skin condition was noted on your feet. The podiatrist offered  
25 antifungal cream and Lotrisone cream to treat the condition, but you refused. The  
26 podiatrist recommended a referral to the dermatologist to address your skin condition(s).  
27 The referral was completed and your appointment is pending.

28 **Issue 2:** Your request to see a vascular specialist for damaged veins is still not medically  
warranted as your provider noted there was no significant vascular disease during your  
medical exam on 4/26/2017.

**Issue 3:** Your request to see a dermatologist for your nail growth is partially granted in

1 that you have a pending appointment with Dermatology to address your onycholysis  
2 symptoms.

3 **Issue 4:** Your request to see an immunologist for your damaged immune system (low  
4 WBC) remains denied.

5 • You were last seen for this issue on 6/5/2017 in which your provider noted your last  
6 white blood cell count was 3.3 which is essentially within normal limits. Your provider  
7 did order labs to recheck your blood counts, but lab records indicate your refused this  
8 blood draw. If you would like to reschedule the blood draw please submit a Health Care  
9 Services Request Form (CDC 7362) to Medical.

10 **Issue 5:** Your request [for] a heavy metal panel and mycotoxin test was partially granted  
11 in that you had labs drawn to check for lead and the labs came back as essentially within  
12 normal limits with no follow up.

13 **Issue 6:** Your request [for] vitamin C, biotin, omega (3, 6, 9), bottled water, lycopene,  
14 and beet juice remains denied as your providers have not noted any indication for these  
15 supplements at this time.

16 **Issue 7:** Your request CDCR adopt policy and practices for poison control and exposure  
17 remains partially granted as stated in the First Level Response.

18 (ECF No. 138 at 143-144, Ex. O.) Dr. McCabe's response to Plaintiff's health care grievance  
19 negates Plaintiff's argument Dr. McCabe directed his subordinates to refer Plaintiff to mental  
20 health whenever he reported being poisoned by prison officials. (ECF No. 138 at 21.) Dr.  
21 McCabe's response indicates the medical treatment Plaintiff was provided and the reasons why  
22 certain treatment was denied. As such, this does not support Plaintiff's contention that Sexton  
23 told Dr. McCabe to falsify documents as the extend of Plaintiff's injuries. Furthermore,  
24 Plaintiff's argument that the way Dr. McCabe treated him "was degrading, humiliating, and  
25 constitutionally inadequate," (ECF No. 138 at 21:15-16), does not support a finding that Dr.  
26 McCabe instructed his subordinates to dispute Plaintiff's injuries and simply refer him to mental  
27 health. Patterson v. Kern County Sheriff's Office, No. 1:12-cv-0132-MJS (PC), 2012 WL  
28 1067196, \*7 (quoting Hudson v. McMillian, 503 U.S. 1, 9 (1992)). ("The Eighth Amendment does  
not require that prisoners receive 'unqualified access to health care.' ") That is so because "  
[v]erbal harassment or abuse ... is not sufficient to state a constitutional deprivation under 42  
U.S.C. § 1983." Oltarzewski v. Ruggiero, 830 F.2d 136, 139 (9th Cir. 1987) (quoting Collins v.

1 Cundy, 603 F.2d 825, 827 (10th Cir. 1979); Keenan v. Hall, 83 F.3d 1083, 1092 (9th Cir. 1996)  
2 (stating that “verbal harassment generally does not violate the Eighth Amendment”); Gaut v.  
3 Sunn, 810 F.2s 923, 925 (9th Cir. 1987); Garbarini v. Ulit, No. 1:14-cv-01058-AWI-SAB (PC),  
4 2017 WL 4224947, at \*20 (E.D. Cal. Sept. 11, 2017) (“even if Defendant Moon was rude and  
5 hostile this would not rise to the level of deliberate indifference”); Acuna v. Ikegbu, No. 14-CV-  
6 03651-JCS (PR), 2014 WL 7183702, at \*3 (N.D. Cal. Dec. 15, 2014) (yelling at a patient may be  
7 rude but does not show deliberate indifference). Accordingly, summary judgment should be  
8 granted in favor of Defendant Dr. McCabe.

9 6. Retaliation-Defendant Gonzales

10 Plaintiff contends that Defendant Gonzales retaliated against him for filing inmate  
11 grievances.

12 “Prisoners have a First Amendment right to file grievances against prison officials and to  
13 be free from retaliation for doing so.” Watison v. Carter, 668 F.3d 1108, 1114 (9th Cir. 2012)  
14 (citing Brodheim v. Cry, 584 F.3d 1262, 1269 (9th Cir. 2009)). “Within the prison context, a  
15 viable claim of First Amendment retaliation entails five basic elements: (1) An assertion that a  
16 state actor took some adverse action against an inmate (2) because of (3) that prisoner’s protected  
17 conduct, and that such action (4) chilled the inmate’s exercise of his First Amendment rights, and  
18 (5) the action did not reasonably advance a legitimate correctional goal.” Rhodes v. Robinson,  
19 408 F.3d 559, 567-68 (9th Cir. 2005). To state a cognizable retaliation claim, Plaintiff must  
20 establish a nexus between the retaliatory act and the protected activity. Grenning v. Klemme, 34  
21 F.Supp.3d 1144, 1153 (E.D. Wash. 2014).

22 To prove retaliatory motive, plaintiff must show that his protected activities were a  
23 “substantial” or “motivating” factor behind the defendant's challenged conduct. Brodheim, 584  
24 F.3d at 1271 (quoting Soranno’s Gasco, Inc. v. Morgan, 874 F.2d 1310, 1314 (9th Cir. 1989)).  
25 Plaintiff must provide direct or circumstantial evidence of defendant's alleged retaliatory motive;  
26 mere speculation is not sufficient. See McCollum v. Cal. Dep’t Corr. Rehab., 647 F.3d 870, 882-  
27 83 (9th Cir. 2011); accord, Wood v. Yordy, 753 F.3d 899, 905 (9th Cir. 2014). In addition to  
28 demonstrating defendant's knowledge of plaintiff's protected conduct, circumstantial evidence of

1 motive may include: (1) proximity in time between the protected conduct and the alleged  
2 retaliation; (2) defendant's expressed opposition to the protected conduct; and (3) other evidence  
3 showing that defendant's reasons for the challenged action were false or pretextual. McCollum,  
4 647 F.3d at 882 (quoting Allen v. Iranon, 283 F.3d 1070, 1077 (9th Cir. 2002)).

5 1. Rules Violation Reports May 2015

6 In the operative complaint, Plaintiff alleges that on April 23, 2015, under the auspice of  
7 enforcing CDCR security check, Gonzales stated in front of general population inmates that  
8 Plaintiff was a “SNY” “piece of shit” “rat” who has “been ‘snitching’ on us to the sergeant.” On  
9 May 5, 2015, Navarro called Plaintiff a “snitch” in front of general population inmates and stated:  
10 “Drake, in cell #48, keeps snitching on us [guards] to the sergeant and captain...writing  
11 complaints,” and “if you guys (e.g. black inmates) don’t handle it, we are going to handle it!” In  
12 addition, Gonzales called Plaintiff a “snitch” “SNY,” “piece of shit” in front of the general  
13 population inmates. On May 20, 2015, Defendants Gonzales and Navarro took Plaintiff from  
14 cell #48 to a hallway holding cage/cell to collect a urine sample from Plaintiff under the threat of  
15 discipline. Plaintiff told Defendants Gonzales and Navarro that he “filed a 602 against the  
16 Warden for his wasting human-resources by unnecessarily repeatedly drug-testing [him], a non-  
17 user because it is harassment.” Defendant Gonzales, without notice, left the 2 on one 1 escort,  
18 after hearing Plaintiff speak of having filed a staff complaint. After Plaintiff provided a urine  
19 sample and was placed in a rotunda holding cage, Plaintiff asked Navarro, “Why am I not being  
20 taken back to my cell?” Navarro told Plaintiff “you have to wait here until my partner Gonzales is  
21 done searching your cell.” Gonzales remained in Plaintiff’s cell for approximately thirty to forty  
22 minutes, ransacking Plaintiff’s property then returned to the rotunda with two fifty-gallon trash  
23 bags and refused to disclose the contents. When Plaintiff asked what items were taken, Gonzales  
24 stated, “you had a lot of trash! Just stand there and shut the fuck up! I read your legal papers, and  
25 what you write isn’t worth shit! You are not on my level yet, I know exactly what to say in court  
26 to win!” Gonzales further stated, “Oh, if you want to see ‘personal,’ I will get you struck-out! I  
27 have already gotten four prisoners life sentenced and I’ll be glad to make you the fifth!”  
28 Gonzales then told Plaintiff to “turn around and cuff-up...if you so much as look at me, I will

1 slam you onto the floor head first!” On May 21, 2015, Gonzales threatened to charge Plaintiff  
2 for the lost state linen taken from a different prisoner’s cell on May 20, 2015, if Plaintiff filed an  
3 inmate grievance regarding the search of his cell. On May 21, 2015 and May 28, 2015, Gonzales  
4 filed two retaliatory Rule Violation Reports (RVR) against Plaintiff regarding the May 20, 2015  
5 escort. Gonzales accused Plaintiff of destroying state property and willfully resisting and  
6 delaying a peace officer in the performance of his duties.

7 Here, it is undisputed that prior to April 6, 2015, Defendant Gonzales was unaware of any  
8 grievances submitted by Plaintiff against Gonzales or correctional staff members concerning  
9 alleged deprivations of in-cell air circulation, bedding, linen, or sink sanitation. (UF 34.)  
10 Gonzales was not involved in selecting inmates to submit to urine tests. Officers at the  
11 Investigative Services Unit (ISU) selected the inmates required to submit to urine tests and  
12 instructed Correctional Officers such as Gonzales to escort them for the tests. (UF 35.)

13 In May 2015, Plaintiff was housed in the SHU, and the practice at that time was to  
14 randomly search three cells each day, and to ensure that each cell was searched at least once a  
15 month, due to the enhanced security issues posed by inmates housed there. These searches were  
16 conducted when the inmate was out of the cell in order to maintain the safety of the officers as  
17 much as possible. (UF 36.) In 2015, Gonzales did not attempt to lure Plaintiff out of cell his for  
18 the purpose of having him attacked by other inmates, either in retaliation for protected conduct, as  
19 part of a conspiracy, or otherwise. (UF 37.)

20 It is undisputed that on May 20, 2015, Plaintiff was issued a Rules Violation Report for  
21 willfully resisting, delaying a peace officer in the performance of duty. Defendant Gonzales  
22 declares that on May 20, 2015, he conducted a random search of Plaintiff’s cell for the purpose of  
23 determining whether he possessed any weapons, drugs, or other types of contraband as defined  
24 under Title 15 of the California Code of Regulations. (Gonzales Decl. ¶ 6.) Gonzales further  
25 declares that “[a]fter completing the search, Plaintiff refused to return to his cell. I ordered him to  
26 submit to handcuffs so that the correctional staff could start running committees for other inmates  
27 but Plaintiff refused to do so for approximately forty-five minutes. For this reason, I issued a  
28 Rules Violation Report (RVR) for Willfully Resisting, Delaying Any Peace Officer in the

1 Performance of Duty.” (Gonzalez Decl. ¶ 7.) However, Plaintiff disputes that he was resisting  
2 and/or delaying the officer and claims the Rules Violation Report was issued in retaliation for  
3 having filing grievances.

4 There are disputed facts about whether the conduct occurred and whether it was done in  
5 retaliation. Plaintiff contends on April 23, 2015, under the auspice of enforcing CDCR security  
6 check, Gonzales stated in front of general population inmates that Plaintiff was a “SNY” “piece  
7 of shit” “rat” who has “been ‘snitching’ on us to the sergeant.” On May 5, 2015, Gonzales called  
8 Plaintiff a “snitch” “SNY,” “piece of shit” in front of the general population inmates. On May  
9 20, 2015, Defendant Gonzales and Navarro took Plaintiff from cell #48 to a hallway holding  
10 cage/cell to collect a urine sample from Plaintiff under the threat of discipline. Plaintiff told  
11 Defendants Gonzales and Navarro that he “filed a 602 against the Warden for his wasting human-  
12 resources by unnecessarily repeatedly drug-testing [him], a non-user because it is harassment.”  
13 Thereafter, Plaintiff’s cell was searched and he was charged with, among other things, resisting  
14 and/or delaying a peace officer in the performance of his duties. While this evidence is by no  
15 means conclusive of retaliatory motive, viewing the facts in the light most favorable to Plaintiff,  
16 the timing of events combined with the statements allegedly made by Defendant Gonzales are  
17 sufficient to raise a triable issue of fact regarding Defendant Gonzales’s motives. See Bruce v.  
18 Ylst, 351 F.3d 1283, 1289 (9th Cir.2003) (statements and suspect timing raised triable issue of  
19 fact regarding whether the defendants' motive behind plaintiff's gang validation was retaliatory).

20 With regard to the Rules Violation Report in May 2015 for destruction of state property,  
21 Defendant Gonzales denies issuing such violation. (Gonzales Decl. ¶ 10.) However, Plaintiff has  
22 submitted a copy of Rules Violation Report No. 4A2-15-05-44, dated May 26, 2015, signed by  
23 Defendant Gonzales.<sup>6</sup> (ECF No. 138, Ex. O.) While Defendant claims it appears this document  
24 was forged, Defendants have not adequately addressed the issue and the Court cannot resolve  
25 such issue by way of summary judgment. Plaintiff further submits the declaration of fellow  
26 inmate Kevin Fields who declares that on May 21, 2015, he heard Defendant Gonzales tell

27 \_\_\_\_\_  
28 <sup>6</sup> In addition, Plaintiff submits two CDC 128B forms referring Rules Violation Report, Log No. 4A2-15-05-44 and  
Log No. 4A2-15-05-45 (ECF No. 138 at 124-125), which Defendants do not address.

1 Plaintiff to sign a trust withdrawal form so he could be charged for altered state property. (ECF  
2 No. 138 at 106-107.) Fields then heard Plaintiff ask Gonzales, “why are you charging me?  
3 Yesterday you said there would be no Rules Violation Report (“RVR”) if no 602 appeal is  
4 submitted.” (Id. at 107.) Gonzales then stated, “Okay, then I’ll just hold on to this trust  
5 withdrawal form for 30 days to make sure that no 602 gets filed by you.” (Id.) In addition,  
6 Defendant Gonzales denies reading Plaintiff’s legal mail during the search of his cell, but Plaintiff  
7 claims Gonzales admitted to such. Fed. R. Evid. 801(d)(2).

8 If Defendant Gonzales did not issue the Rules Violation Report on May 26, 2015 and did  
9 not make the statements on April 23, 2015 and May 5, 2015, then there was no constitutional  
10 violation. If Plaintiff’s facts are true and Gonzales issued the Rules Violation Report and made  
11 the derogatory comments in retaliation for Plaintiff filing inmate grievances, then a jury could  
12 conclude there was a constitutional violation. If the Rules Violation Report was false and issued  
13 out of retaliation then there was no legitimate penological purpose for it. For these reasons,  
14 summary judgment should be denied for Defendant Gonzales with respect to this Rules Violation  
15 Report and comments he made on April 23, 2015 and May 5, 2015.

16 Although Defendants argue that it appears the Rules Violation Report is forged because  
17 the log number is crossed out and replaced with a different log number, the document was signed  
18 by a reviewing supervisor before the reporting officer, referring the dollar amount of property  
19 destroyed, there is no record of this Rules Violation Report ever being adjudicated, and does not  
20 contain Gonzales original signature (ECF No. 141, Williams Decl. at 2:6-15, Ex. O;  
21 Classification Review at pg. 4, Ex. P), Defendants have not adequately addressed this factual  
22 dispute and such discrepancy speaks to the weight and credibility of the document. See T.W.  
23 Elec. Serv., Inc. v. Pacific Elec. Contractors Ass’n, 809 F.2d 626, 630 (9th Cir. 1987) (“[A]t this  
24 [summary judgment] stage of the litigation, the judge does not weigh conflicting evidence with  
25 respect to a disputed material fact. Nor does the judge make credibility determinations with  
26 respect to statements made in affidavits, answers to interrogatories, admissions, or depositions.”)  
27 (internal citation omitted).



1           2.     Rules Violation Report June 2015

2           Plaintiff alleges that on June 28, 2015, Gonzales told Plaintiff to prepare for the RVR  
3 hearing, and then told Plaintiff “wait, let me go see if the Lt. wants to deal with this shit.”  
4 Gonzales falsely told the Lieutenant that he “refused to attend” the hearing. Plaintiff was not  
5 allowed to attend the RVR hearing, and Gonzales posted a disciplinary penalty sign on his cell  
6 door and took his television. On June 29-30, 2015, Defendants Gonzales and Navarro disciplined  
7 Plaintiff outside of the disciplinary process by extending his penalty absent authorization of a  
8 disciplinary order.

9           Defendant Gonzales argues that he did not discipline Plaintiff outside the disciplinary  
10 process by extending a loss of privileges and did not make an untrue statement that Plaintiff  
11 refused to attend a disciplinary hearing in retaliation.

12           The evidence before the Court demonstrates that Plaintiff’s television was removed by  
13 non-party correctional officers Trujillo and Monroe, and not Gonzales. (Ex. N, Cell/Locker  
14 Search Notice, dated June 30, 2015.) In addition, the Rules Violation Report dated June 28,  
15 2015, states that Plaintiff had been informed of the disciplinary hearing by the non-party Senior  
16 Hearing Officer E. Castro who then informed Castro that he refused to attend the hearing. (ECF  
17 No. 134-3 at 138.) The forms indicating that Plaintiff had refused to attend the hearing, which  
18 were also signed by non-party officer Lassey, merely document what Plaintiff stated to non-party  
19 Castro. As such, Plaintiff’s allegations are contradicted by the record evidence, and he fails to  
20 raise an issue of material fact on this claim.<sup>7, 8</sup>

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21           <sup>7</sup> There is no evidence to support Plaintiff’s allegation that on July 7, 2015, Defendant Gonzales threatened to apply  
22 pepper-spray to Plaintiff in retaliation for filing an inmate grievance. Plaintiff has failed to provide any evidence,  
23 other than the complaint, to support his claim. See Rivera v. AMTRAK, 331 F.3d 1074, 1078 (9th Cir. 2003)  
24 (“Conclusory allegations unsupported by factual data cannot defeat summary judgment.”); F.T.C. v. Publ’g Clearing  
25 House, Inc., 104 F.3d 1168, 1171 (9th Cir. 1997), as amended (Apr. 11, 1997) (“A conclusory, self-serving affidavit,  
lacking detailed facts and any supporting evidence, is insufficient to create a genuine issue of material fact.”). The  
fact that Plaintiff relies upon the allegations of the complaint in his opposition to Defendants’ motion for summary  
judgment does not convert these statements from self-serving affidavits to factual data or supporting evidence.  
Accordingly, there is no genuine issue of material fact on this claim.

26           <sup>8</sup> There is also no evidence on September 5, 2015, Defendant Gonzales stood at the Plaintiff’s cell door and stated:  
27 “You have an ‘R’ suffix in your file right? What does the ‘R’ stand for? You are a sex-offender. My job here is to  
28 make the life of pieces of shit like you miserable!” Defendant declares such statement was not made and he was not  
working at Corcoran on this date (Gonzales Decl. at 4:1-4), and there is no evidence to support the statement was  
made in retaliation than Plaintiff’s allegations in the operative complaint. See Rivera v. AMTRAK, 331 F.3d at 1078

1           7.     Qualified Immunity<sup>9</sup>

2           The defense of qualified immunity protects “government officials ... from liability for civil  
3 damages insofar as their conduct does not violate clearly established statutory or constitutional  
4 rights of which a reasonable person would have known.” Harlow v. Fitzgerald, 457 U.S. 800, 818  
5 (1982). The rule of “qualified immunity protects ‘all but the plainly incompetent or those who  
6 knowingly violate the law.’ ” Saucier v. Katz, 533 U.S. 194, 202 (2001) (quoting Malley v.  
7 Briggs, 475 U.S. 335, 341 (1986)). Defendants can have a reasonable, but mistaken, belief about  
8 the facts or about what the law requires in any given situation. Id. at 205. A court considering a  
9 claim of qualified immunity must determine whether the plaintiff has alleged the deprivation of  
10 an actual constitutional right and whether such a right was clearly established such that it would  
11 be clear to a reasonable officer that his conduct was unlawful in the situation he confronted. See  
12 Pearson v. Callahan, 555 U.S. 223, 236 (2009) (overruling the sequence of the two-part test that  
13 required determining a deprivation first and then deciding whether such right was clearly  
14 established, as required by Saucier). The Court may exercise its discretion in deciding which  
15 prong to address first, in light of the particular circumstances of each case. Pearson, 555 U.S. at  
16 236. The Court must view the evidence in the light most favorable to the plaintiff. See Martinez  
17 v. Stanford, 323 F.3d 1178, 1184 (9th Cir. 2003).

18           Here, Defendant Gonzales does not argue he is entitled to qualified immunity on this  
19 theory of the retaliation claim, and therefore it remains. (ECF No. 134 at 24-26.) Even so, as  
20 discussed above, Plaintiff’s allegations of retaliation raise questions of fact that preclude  
21 summary judgment. In addition, the factual determination of Defendant’s motive for issuing the  
22 Rules Violation Reports prevents a finding that Defendant is protected by qualified immunity  
23 upon the record presently before the Court.

24     ///

25     \_\_\_\_\_  
26     (“Conclusory allegations unsupported by factual data cannot defeat summary judgment.”); F.T.C. v. Publ’g Clearing  
27     House, Inc., 104 F.3d at 1171, as amended (Apr. 11, 1997) (“A conclusory, self-serving affidavit, lacking detailed  
28     facts and any supporting evidence, is insufficient to create a genuine issue of material fact.”). Accordingly, there is  
   no genuine issue of material fact as to this claim.

<sup>9</sup> The Court need only address Plaintiff’s retaliation claim against Defendant Gonzales, as the Court recommends summary judgment be granted in favor of Defendants on all other claims.

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**IV.**  
**RECOMMENDATIONS**

Based on the foregoing, it is HEREBY RECOMMENDED that Defendants’ motion for summary judgment be DENIED as to Plaintiff’s retaliation claim against Defendants for issuance of Rules Violation Reports in May 2015 and GRANTED as to all other claims.

These Findings and Recommendations will be submitted to the United States District Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within **twenty-one (21) days** after being served with these Findings and Recommendations, the parties may file written objections with the Court. The document should be captioned “Objections to Magistrate Judge’s Findings and Recommendations.” The parties are advised that failure to file objections within the specified time may result in the waiver of rights on appeal. Wilkerson v. Wheeler, 772 F.3d 834, 838-39 (9th Cir. 2014) (citing Baxter v. Sullivan, 923 F.2d 1391, 1394 (9th Cir. 1991)).

IT IS SO ORDERED.

Dated: February 15, 2024

  
UNITED STATES MAGISTRATE JUDGE