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8	UNITED STATE	ES DISTRICT COURT
9	FOR THE EASTERN DISTRICT OF CALIFORNIA	
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11	MARIO CORTEZ, MARIA CISNEROS,	No. 1:17-cv-01647-DAD-SKO
12	ANTONIO TOSCANO, FRANCISCO JAVIER GONZALEZ, JESUS	
13	RODRIGUEZ, CECILIA GARCIA, JOSE LUIS RAYGOZA, and JOSE GUZMAN, on behalf of themselves and all others	ORDER GRANTING PLAINTIFFS' MOTION FOR PRELIMINARY APPROVAL OF
14	similarly situated,	CLASS ACTION AND COLLECTIVE ACTION SETTLEMENT
15	Plaintiffs,	(Doc. No. 23)
16	V.	(Doc. 110. 25)
17	VIEIRA CUSTOM CHOPPING, INC., a California Corporation; V & S	
18	COMMODITY, INC., a California Corporation; CHRISTINA VIEIRA; and	
19	MATTHEW SEPEDA,	
20	Defendants.	
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22	This matter came before the court on S	September 17, 2019, for hearing on plaintiffs'
23	unopposed motion for preliminary approval of	f a class action and collective action settlement.
24	(Doc. No. 23.) Attorney Enrique Martínez ap	peared telephonically on behalf of plaintiffs, and
25	attorney Steven Wainess appeared telephonically on behalf of defendants. For the reasons set	
26	forth below, plaintiffs' motion will be granted.	
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1	BACKGROUND
2	Defendants Vieira Custom Chopping, Inc., V & S Commodity, Inc., Christina Vieira, and
3	Matthew Sepeda (collectively "defendants") provide commercial services to dairy farms. (Doc.
4	No. 23-1 at 3.) Defendants employed Mario Cortez, Maria Cisneros, Antonio Toscano, Francisco
5	Javier Gonzalez, Jesus Rodriguez, Cecilia Garcia, Jose Luis Raygoza, and Jose Guzman
6	(collectively "plaintiffs") in their shop in Tulare and other areas of the Central Valley of
7	California. (Id.) Defendants employ four groups of employees: shop workers, farm equipment
8	operators, truck drivers, and weighers. (Id.) Defendants classified all employees as exempt
9	"agricultural" employees under state and federal law, necessitating overtime compensation only
10	when employees worked 10 hours per day or 60 hours per week. (Id. at 3-4.)
11	Plaintiffs worked for defendants in different positions for varying lengths of time. (Id.
12	at 3.) During the corn and wheat season, plaintiffs were required to work over 12 hours per day
13	for at least 6 days per week. (Id.) Plaintiffs allege that because the duties of shop workers, truck
14	drivers, and weighers did not fall within the Fair Labor Standards Act (FLSA) agricultural
15	exemption, they were misclassified as agricultural employees and are therefore entitled to
16	overtime compensation. (Id. at 3-4.) Plaintiffs further allege that all employees are owed meal
17	and rest period premiums because they were provided either insufficient amounts of meal periods
18	or late meal periods. (Id. at 4.) Plaintiffs also allege that operators, truck drivers, and weighers
19	were not provided enough rest periods. (Id. at 4-5.) Defendants maintain that plaintiffs were
20	provided legally sufficient meal and rest periods but chose not to take them. (Id. at 4-5.)
21	On December 7, 2017, plaintiffs filed this class and collective action alleging the
22	following causes of action: (1) violation of the FLSA, 29 U.S.C. § 201 et seq.; (2) failure to
23	provide meal and rest periods, in violation of California Labor Code §§ 226.7, 512, and California
24	Industrial Welfare Commission (IWC) Wage Orders; (3) failure to provide accurate itemized
25	wage statements, in violation of Labor Code § 226(e); (4) failure to pay all wages due upon
26	termination, in violation of Labor Code §§ 201, 202, and 203; (5) violation of Business and
27	Professions Code § 17200 et seq.; and (6) violations of the Private Attorneys General Act
28	(PAGA), Labor Code § 2698 et seq. (Id. at 5.)
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1	On May 30, 2018, the parties participated in an unsuccessful mediation before Justice
2	Steven Vartabedian. (Id.) On May 3, 2019, the parties attended a second unsuccessful mediation
3	before James M. Phillips. (Id. at 5-6.) Several weeks later, the parties reached an agreement
4	through a mediator's proposal. (Id. at 6.)
5	On July 30, 2019, plaintiffs filed the present unopposed motion for conditional
6	certification and for preliminary approval of the class action and collective action settlement.
7	(See id.) Pursuant to the settlement agreement, plaintiffs seek to certify a settlement class defined
8	as follows:
9	all persons who are or were employed in California by Defendants
10	as non-exempt (i) shop workers, (ii) farm equipment operators, (iii) truck drivers, and (iv) weighers at any point during the Class Period
11	[December 7, 2013 through May 3, 2019] and who do not properly and timely opt out of the Settlement Class by having requested
12	exclusion.
13	(Id. at 6.) In contrast to the class action, the FLSA collective excludes operators and the
14	limitations period is December 7, 2014 through May 3, 2019. (Id.)
15	Under the proposed settlement agreement, defendants would pay a maximum settlement
16	amount of \$450,000 in installments. (Id.) The agreement provides for the following allocation of
17	that payment: (i) up to \$18,500 from the settlement amount to the claims administrator; (ii)
18	\$10,000 from the net settlement fund to the settlement of PAGA claims, 75 percent of which will
19	be paid to the California Labor and Workforce Development Agency (LWDA) pursuant to
20	PAGA; (iii) \$7,500 to each named plaintiff as an incentive payment; (iv) 25 percent, or \$112,500,
21	to be paid to class counsel in attorney's fees; and (v) estimated litigation costs of up to \$8,900.
22	(Id. 6–7.) After these deductions, each member of the settlement class and the FLSA collective
23	action will be entitled to a pro rata share of the settlement proceeds in installment payments. (Id.
24	at 7, 19.) Thirty-two percent of the remaining amount, or \$78,335, will be distributed to the
25	FLSA class only. (Id. at 7.) Unclaimed FLSA wages will be redistributed among members of the
26	collective action. (Id.) All further remaining unclaimed funds will be paid to Centro de los
27	Derechos del Migrante, Inc., a nonprofit organization, as cy pres beneficiary. (Id.)
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Plaintiffs seek an order from this court: (i) preliminarily approving the proposed settlement reached with defendants; (ii) preliminarily certifying the settlement class and appointing the named plaintiffs to represent the settlement class; (iii) conditionally certifying the collective action; (iv) approving the proposed method and form of notice to the members of the class and collective action, including the opt-in procedures for the collection action; (v) approving the implementation schedule; (vi) approving CPT Group Class Action Administrators as the claims administrator; and (vii) scheduling a final fairness hearing. (*Id.* at 1.)

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LEGAL STANDARDS

A. Rule 23 Settlements

10 Federal Rule of Civil Procedure 23(e) provides that "[t]he claims, issues, or defenses of a 11 certified class—or a class proposed to be certified for purposes of settlement—may be settled, 12 voluntarily dismissed, or compromised only with the court's approval." "Courts have long 13 recognized that settlement class actions present unique due process concerns for absent class 14 members." In re Bluetooth Headset Prods. Liab. Litig., 654 F.3d 935, 946 (9th Cir. 2011) 15 (internal quotation marks and citations omitted). To protect the rights of absent class members, 16 Rule 23(e) requires that the court approve all class action settlements "only after a hearing and on 17 finding that it is fair, reasonable, and adequate." Fed. R. Civ. P. 23(e)(2); see also Bluetooth, 654 18 F.3d at 946. But when parties seek approval of a settlement agreement negotiated before formal 19 class certification, "there is an even greater potential for a breach of fiduciary duty owed the class 20 during settlement." Bluetooth, 654 F.3d at 946. Thus, the court must review such agreements 21 with "a more probing inquiry" for evidence of collusion or other conflicts of interest than what is 22 normally required under the Federal Rules. Hanlon v. Chrysler Corp., 150 F.3d 1011, 1026 (9th 23 Cir. 1998) overruled on other grounds by Wal-Mart Stores, Inc. v. Dukes, 564 U.S. 338 (2011); 24 see also Lane v. Facebook, Inc., 696 F.3d 811, 819 (9th Cir. 2012).

Review of a proposed class action settlement ordinarily proceeds in three stages. *See*MANUAL FOR COMPLEX LITIGATION (4th) § 21.632. First, the court conducts a preliminary
fairness evaluation and, if applicable, considers class certification. *Id.* (noting that if the parties
move for both class certification and preliminary approval, the certification hearing and

preliminary fairness evaluation can usually be combined). Second, if the court makes a
 preliminary determination on the fairness, reasonableness, and adequacy of the settlement terms,
 the parties are directed to prepare the notice of certification and proposed settlement to the class
 members. *Id.* Third, the court holds a final fairness hearing to determine whether to approve the
 settlement. *Id.*; *see also Narouz v. Charter Commc 'ns, LLC*, 591 F.3d 1261, 1267

6 (9th Cir. 2010).

7 Though Rule 23 does not explicitly provide for such a procedure, federal courts generally 8 find preliminary approval of the settlement and notice to the proposed class appropriate if "the 9 proposed settlement appears to be the product of serious, informed, non-collusive negotiations, 10 has no obvious deficiencies, does not improperly grant preferential treatment to class 11 representatives or segments of the class, and falls within the range of possible approval." 12 Lounibos v. Keypoint Gov't Sols. Inc., No. 12-cv-00636-JST, 2014 WL 558675, at *5 (N.D. Cal. 13 Feb. 10, 2014) (quoting In re Tableware Antitrust Litig., 484 F. Supp. 2d 1078, 1079 (N.D. Cal. 14 2007)); see also NEWBERG ON CLASS ACTIONS § 13:13 (5th ed. 2011); Dearaujo v. Regis Corp., 15 Nos. 2:14-cv-01408-KJM-AC, 2:14-cv-01411-KJM-AC, 2016 WL 3549473 (E.D. Cal. June 30, 16 2016) ("Rule 23 provides no guidance, and actually foresees no procedure, but federal courts have generally adopted [the process of preliminarily certifying a settlement class]."). "The court 17 18 need not 'reach any ultimate conclusions on the contested issues of fact and law which underlie 19 the merits of the dispute." Chem. Bank v. City of Seattle, 955 F.2d 1268, 1291 (9th Cir. 1992) 20 (quoting Officers for Justice v. Civil Serv. Comm'n of City & Cty. of S.F., 688 F.2d 615, 625 (9th 21 Cir. 1982)). Rather, the court should weigh, among other factors, the strength of a plaintiff's 22 case; the risk, expense, complexity, and likely duration of further litigation; the extent of 23 discovery completed; and the value of the settlement offer. Id.

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B.

FLSA Settlements

Under the FLSA, an employee may file a civil action against an employer that fails to
adhere to the FLSA's guarantees. 29 U.S.C. § 216(b); *see also Genesis Healthcare Corp. v. Symczyk*, 569 U.S. 66, 69 (2013) ("The FLSA establishes federal minimum-wage, maximumhour, and overtime guarantees that cannot be modified by contract."). Employees may bring

22	at 1172 (internal quotation marks and citations omitted). A court will not approve a settlement	
22	at 1172 (internal quotation marks and citations omitted). A court will not approve a settlement	
21	questions about the existence and extent of Defendant's FLSA liability." <i>Selk</i> , 159 F. Supp. 3d	
20	Supp. 3d 1324, 1333 (N.D. Cal. 2014). "A bona fide dispute exists when there are legitimate	
19 20	<i>Healthcare Dist.</i> , 159 F. Supp. 3d 1164, 1172 (S.D. Cal. 2016); <i>Nen Thio v. Genji, LLC</i> , 14 F.	
18	00535-DAD-SKO, 2019 WL 1746056, at *4 (E.D. Cal. Apr. 18, 2019); Selk v. Pioneers Mem'l	
17	United States, 679 F.2d 1350, 1352–53 (11th Cir. 1982); Milburn v. PetSmart, Inc., No. 1:18-cv-	
16 17	fair and reasonable resolution of a bona fide dispute. <i>Id.</i> ; <i>see also Lynn's Food Stores, Inc. v.</i>	
15	circuit routinely apply the Eleventh Circuit standard, which looks to whether the settlement is a	
14	05456-HSG, 2016 WL 153266, at *3 (N.D. Cal. Jan. 13, 2016). Rather, district courts in this	
13	FLSA settlement should be approved. Dunn v. Teachers Ins. & Annuity Ass'n of Am., No. 13-cv-	
12	The Ninth Circuit has not established criteria for district courts to determine whether an	
11	467 F. Supp. 2d 986, 989 (C.D. Cal. 2006).	
10	collective action is within the discretion of the district court. See Edwards v. City of Long Beach,	
9	2007 WL 2298046, at *1 n.1 (N.D. Cal. Aug. 8, 2007). The decision to certify an FLSA	
8	WL 1305713, at *1 (E.D. Cal. Mar. 13, 2018); Yue Zhou v. Wang's Rest., No. 05-cv-0279 PVT,	l
7	450 U.S. 728, 740 (1981); Beidleman v. City of Modesto, No. 1:16-cv-01100-DAD-SKO, 2018	
6	of either the Secretary of Labor or a district court. See Barrentine v. ArkBest Freight Sys., Inc.,	l
5	employee cannot waive claims under the FLSA, the claims may not be settled without supervision	l
4	<i>Inc.</i> , No. C 12–03516 SBA, 2014 WL 108420, at *2 (N.D. Cal. Jan. 10, 2014). Because an	
3	XXIII v. Advanced Textile Corp., 214 F.3d 1058, 1064 (9th Cir. 2000); see also Jones v. Agilysys,	
2	employee [must] opt-in to the suit by filing a consent to sue with the district court." <i>Does I thru</i>	
1	collective actions under the FLSA, representing all "similarly situated" employees, but "each	1

1	civ S-09-2214 KJM, 2013 WL 1193485, at *2 (E.D. Cal. Mar. 22, 2013) (internal quotation
2	marks and citations omitted). The balancing factors include
3	the strength of the plaintiffs' case; the risk, expense, complexity, and
4	likely duration of further litigation; the risk of maintaining class action status throughout the trial; the amount offered in settlement;
5	the extent of discovery completed and the stage of the proceedings; the experience and views of counsel; the presence of a governmental
6	participant; and the reaction of the class members to the proposed settlement.
7	Khanna v. Intercon Sec. Sys., Inc., No. 2:09-cv-2214 KJM EFB, 2014 WL 1379861, at *6 (E.D.
8	Cal. Apr. 8, 2014), order corrected, 2015 WL 925707 (E.D. Cal. Mar. 3, 2015).
9	Below, the court will apply these standards in considering the proposed settlement.
10	ANALYSIS
11	A. Preliminary Certification of Class related to California Law Violations
12	Plaintiffs seek preliminary certification of the proposed class under Rule 23 of the Federal
13	Rules of Civil Procedure.
14	1. <u>Rule 23(a) Requirements</u>
15	"Rule 23(a) establishes four prerequisites for class action litigation: (i) numerosity,
16	(ii) commonality, (iii) typicality, and (iv) adequacy of representation." Staton v. Boeing Co., 327
17	F.3d 938, 953 (9th Cir. 2003). The court will address each requirement below.
18	a. Numerosity
19	A proposed class must be "so numerous that joinder of all members is impracticable."
20	Fed. R. Civ. P. 23(a)(1). The numerosity requirement demands "examination of the specific facts
21	of each case and imposes no absolute limitations." Gen. Tel. Co. of Nw., Inc. v. EEOC, 446 U.S.
22	318, 330 (1980). Courts have found the requirement satisfied when the class comprises as few as
23	thirty-nine members, or where joining all class members would serve only to impose financial
24	burdens and clog the court's docket. See Murillo v. Pac. Gas & Elec. Co., 266 F.R.D. 468, 474
25	(E.D. Cal. 2010) (citing Jordan v. Los Angeles County, 669 F.2d 1311, 1319 (9th Cir. 1982)
26	(discussing Ninth Circuit thresholds for numerosity), vacated on other grounds, 459 U.S. 810
27	(1982)); In re Itel Sec. Litig., 89 F.R.D. 104, 112 (N.D. Cal. 1981).
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1 Here, plaintiffs estimate that there are approximately 112 members in the settlement class. 2 (Doc. No. 23-1 at 6.) This showing with respect to numerosity is adequate to meet the 3 requirements of Rule 23(a)(1). See Murillo, 266 F.R.D. at 474. 4 b. *Commonality* 5 Rule 23(a) also requires "questions of law or fact common to the class." Fed. R. Civ. P. 6 23(a)(2). To satisfy the commonality requirement, the class representatives must demonstrate 7 that common points of facts and law will drive or resolve the litigation. Wal-Mart Stores, Inc. v. 8 Dukes, 564 U.S. 338, 350 (2011) ("What matters to class certification . . . is not the raising of 9 common 'questions'-even in droves-but, rather the capacity of a classwide proceeding to 10 generate common answers apt to drive the resolution of the litigation.") (internal citations 11 omitted). "[C]ommonality is generally satisfied where ... 'the lawsuit challenges a system-wide 12 practice or policy that affects all of the putative class members." Franco v. Ruiz Food Prods., 13 Inc., No. cv 10-02354 SKO, 2012 WL 5941801, at *5 (E.D. Cal. Nov. 27, 2012) (quoting 14 Armstrong v. Davis, 275 F.3d 849, 868 (9th Cir. 2001), abrogated on other grounds by Johnson 15 v. California, 543 U.S. 499, 504–05 (2005)). The rule does not require all questions of law or 16 fact to be common to every single class member. See Hanlon, 150 F.3d at 1019 (noting that 17 commonality can be found through "[t]he existence of shared legal issues with divergent factual 18 predicates"). However, the raising of merely any common question does not suffice. See Dukes, 19 564 U.S. at 349 ("[a]ny competently crafted class complaint literally raises common 20 'questions.'") (quoting Richard A. Nagareda, Class Certification in the Age of Aggregate Proof, 21 84 N.Y.U. L. REV. 97, 131–32 (2009)). 22 Here, plaintiffs argue that the alleged Labor Code violations are common to each member 23 of the class. (Doc. No. 23-1 at 10.) Plaintiffs argue that the common legal questions include the 24 following: (i) whether defendants failed to provide class members with required meal periods; 25 (ii) whether defendants failed to provide class members with required rest periods; (iii) whether 26 class members are entitled to statutory premium pay for every day they missed a meal or rest 27 period; (iv) whether defendants misclassified class members as agricultural workers; (v) whether

28 defendants failed to provide class members with accurate itemized wage statements; and (vi)

1	whether defendants failed to pay waiting time penalties to former employees for all of their wages
2	due. (Id. at 10–11.) Because it appears that the same conduct which defendants allegedly
3	engaged in "would form the basis of each of the plaintiff's claims," the court finds that
4	commonality is satisfied. Murillo, 266 F.R.D. at 475 (citing Acosta v. Equifax Info. Servs.,
5	L.L.C., 243 F.R.D. 377, 384 (C.D. Cal. 2007) (internal quotation marks omitted).
6	c. Typicality
7	Rule 23(a)(3) also requires that "the claims or defenses of the representative parties are
8	typical of the claims or defenses of the class." Fed. R. Civ. P. 23(a)(3); Armstrong, 275 F.3d at
9	868. Typicality is satisfied "when each class member's claim arises from the same course of
10	events, and each class member makes similar legal arguments to prove the defendant's liability."
11	Armstrong, 275 F.3d at 868; see also Kayes v. Pac. Lumber Co., 51 F.3d 1449, 1463 (9th Cir.
12	1995) (claims are typical where named plaintiffs have the same claims as other members of the
13	class and are not subject to unique defenses). While representative claims must be "reasonably
14	co-extensive with those of absent class members," they "need not be substantially identical."
15	Hanlon, 150 F.3d at 1020; see also Hanon v. Dataproducts Corp., 976 F.2d 497, 508 (9th Cir.
16	1992).
17	Here, plaintiffs were employed in each of the four positions that comprise the putative
18	class: shop workers, operators, truck drivers, and weighers. (Doc. No. 23-1 at 11.) Plaintiffs
19	state that they and the putative class have worked under the same terms and conditions and
20	suffered the same injuries as a result of defendants' common practices and policies. (Id.) The
21	court concludes that plaintiffs' claims are reasonably co-extensive with those of the absent class
22	members, and that typicality is therefore satisfied here.
23	d. Adequacy of Representation
24	The final Rule 23(a) prerequisite is satisfied if "the representative parties will fairly and
25	adequately protect the interests of the class." Fed. R. Civ. P. 23(a)(4). "The proper resolution of
26	this issue requires that two questions be addressed: (a) do the named plaintiffs and their counsel
27	have any conflicts of interest with other class members and (b) will the named plaintiffs and their
28	counsel prosecute the action vigorously on behalf of the class?" In re Mego Fin. Corp. Sec. 9
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1	Litig., 213 F.3d 454, 462 (9th Cir. 2000); see also Pierce v. County of Orange, 526 F.3d 1190,
2	1202 (9th Cir. 2008).
3	Here, plaintiffs' counsel states that there are no conflicts of interest between the proposed
4	class representatives and any other class member. (Doc. No. 23-2 at \P 23.) Counsel states that
5	the proposed representatives' interests are representative of and consistent with the interests of the
6	proposed class. (Id.) Additionally, plaintiffs seek appointment of their current counsel, John Hill
7	and Enrique Martínez, as class counsel. (Doc. No. 23-1 at 12.) Both attorneys have decades of
8	experience in class action and other complex litigation, with extensive experience specifically in
9	wage and hour law. (Doc. 23-2 at $\P\P$ 26–28.) As such, the court finds that plaintiffs and
10	plaintiffs' counsel satisfy the adequacy of representation requirement.
11	2. <u>Rule 23(b)(3) Requirements</u>
12	a. <i>Predominance</i>
13	First, common questions must "predominate" over any individual questions. While this
14	requirement is like the Rule 23(a)(2) commonality requirement, the standard is much higher at
15	this stage of the analysis. Dukes, 564 U.S. at 359; Amchem Prods., Inc. v. Windsor, 521 U.S. 591,
16	624–25 (1997); Hanlon, 150 F.3d at 1022 (9th Cir. 1998). While Rule 23(a)(2) can be satisfied
17	by even a single question, Rule 23(b)(3) requires convincing proof that the common questions
18	"predominate." Amchem, 521 U.S. at 623–24; Hanlon, 150 F.3d at 1022. "When common
19	questions present a significant aspect of the case and they can be resolved for all members of the
20	class in a single adjudication, there is clear justification for handling the dispute on a
21	representative rather than on an individual basis." Hanlon, 150 F.3d at 1022.
22	As discussed above, plaintiffs' complaint challenges defendants' employment policies
23	related to overtime requirements and meal and rest period requirements. (Doc. No. 23-1 at 5.)
24	Plaintiffs contend the relevant law is the same for all class members, and there are few
25	individualized issues. (Id. at 13.) They allege that all class members were subject to the same
26	policies and procedures during the class period. (Id.) Class actions in which a defendant's
27	uniform policies are challenged generally satisfy the predominance requirement of Rule 23(b)(3).
28	<i>See Palacios v. Penny Newman Grain, Inc.</i> , No. 1:14-cv-01804-KJM, 2015 WL 4078135, at *5–6 10

(E.D. Cal. July 6, 2015); *Clesceri v. Beach City Investigations & Protective Servs., Inc.*, No. cv 10-3873-JST RZX, 2011 WL 320998, at *7 (C.D. Cal. Jan. 27, 2011). The court therefore
 concludes that the predominance requirement has been met in this case.

4

b. *Superiority*

5 Rule 23(b)(3) also requires a court to find "a class action is superior to other available 6 methods for the fair adjudication of the controversy." Fed. R. Civ. P. 23(b)(3). In resolving the 7 Rule 23(b)(3) superiority inquiry, "the court should consider class members' interests in pursuing 8 separate actions individually, any litigation already in progress involving the same controversy, 9 the desirability of concentrating in one forum, and potential difficulties in managing the class 10 action—although the last two considerations are not relevant in the settlement context." See 11 Palacios, 2015 WL 4078135, at *6 (citing Schiller v. David's Bridal Inc., No. 10-cv-0616-AWI-12 SKO, 2012 WL 2117001, at *10 (E.D. Cal. June 11, 2012)).

Here, plaintiffs assert that the superiority requirement is satisfied because the proposed 13 14 class consists of many low-wage workers, most of whom are seasonal workers who lack the 15 means to finance individual lawsuits. (Doc. No. 23-1 at 13.) Absent class treatment, plaintiffs 16 contend that the individual claims are too small to justify the cost for private counsel to file and 17 prosecute individual actions. (Id.) Plaintiffs argue that litigating over one hundred separate 18 actions based on the same company policies and practices would be inefficient and would 19 unnecessarily burden the judicial system. (Id.) Plaintiffs know of no pending litigation involving 20 this controversy. (Id. at 14.) Lastly, because the putative class members have all worked for 21 defendants in Tulare, plaintiffs argue that the Eastern District of California is a desirable forum. 22 (*Id.*) These reasons are persuasive, and warrant finding that the superiority requirement is 23 satisfied here.

24

B. Conditional Certification of Collective Action under the FLSA

As discussed above, plaintiffs seeking conditional certification of a collective action under
the FLSA have the burden to show that they are "similarly situated" to other employee class
members. *See Litty v. Merrill Lynch & Co.*, No. cv 14-0425 PA (PJWX), 2015 WL 4698475,
at *6 (C.D. Cal. Apr. 27, 2015); *see also Lewis v. Wells Fargo Co.*, 669 F. Supp. 2d 1124, 1127

1 (N.D. Cal. 2009). When determining whether to conditionally certify the collective action, 2 plaintiffs can show they are "similarly situated' by making substantial allegations, supported by 3 declarations or discovery, that 'the putative class members were together the victims of a single 4 decision, policy, or plan." Litty, 2015 WL 4698475, at *6; see also Lewis, 669 F. Supp. 2d 5 at 1127. Courts apply a lenient standard when determining whether to conditionally certify a 6 collective. See Syed v. M-I, L.L.C., No. 1:12-cv-01718-AWI-MJS, 2014 WL 6685966, at *2 7 (E.D. Cal. Nov. 26, 2014). Here, the proposed FLSA collective comprises members of the shop 8 workers, truck drivers, and weighers employee groups, which were treated identically under 9 defendants' uniform policies and practices. (Doc. No. 23-1 at 10.) Members have similar job 10 duties and are classified as agricultural workers exempt from overtime pay. (Id. at 14.) For all 11 the reasons these groups satisfy the requirements for preliminary certification under Rule 23, the 12 proposed FLSA collective also satisfies the FLSA's less stringent requirement that the members 13 be "similarly situated." Conditional certification of an FLSA collective is therefore appropriate.

14

C. Preliminary Fairness Determination

15 Plaintiffs also seek preliminary approval of the proposed settlement. Under Rule 23(e), a 16 court may approve a class action settlement only if the settlement is a fair, reasonable, and 17 adequate resolution of a bona fide dispute. Bluetooth, 654 F.3d at 946. "[P]reliminary approval 18 of a settlement has both a procedural and substantive component." In re Tableware Antitrust 19 Litig., 484 F. Supp. 2d at 1079 (citing Schwartz v. Dall. Cowboys Football Club, Ltd., 157 F. 20 Supp. 2d 561, 570 n.12 (E.D. Pa. 2001)). In particular, preliminary approval of a settlement and 21 notice to the proposed class is appropriate if: (i) the proposed settlement appears to be the 22 product of serious, informed, non-collusive negotiations; and (ii) the settlement falls within the 23 range of possible approval, has no obvious deficiencies, and does not improperly grant 24 preferential treatment to class representatives or segments of the class. Id.; see also Ross v. Bar 25 None Enters., Inc., No. 2:13-cv-00234-KJM-KJN, 2014 WL 4109592, at *9 (E.D. Cal. Aug. 19, 2014). 26

In addition, plaintiffs allege here there is a bona fide dispute about defendants' liability
under the FLSA with regards to the FLSA agricultural exemption to the shop workers, truck

1	drivers, and weighers. (Doc. No. 23-1 at 16.) The parties briefed the issues before their mediator,
2	and they remain unaware of any cases resolving the issue of whether the agricultural exemption
3	applies under the circumstances. (Id.) Because a bona fide dispute exists, the court will also
4	evaluate the fairness of the proposed settlement of the FLSA claims.
5	1. Procedural Fairness
6	The court must consider whether the process by which the parties arrived at their
7	settlement is truly the product of arms-length bargaining, rather than collusion or fraud. Millan v.
8	Cascade Water Servs., Inc., 310 F.R.D. 593, 613 (E.D. Cal. 2015). A settlement is presumed fair
9	if it "follow[s] sufficient discovery and genuine arms-length negotiation." Adoma v. Univ. of
10	Phx., Inc., 913 F. Supp. 2d 964, 977 (E.D. Cal. 2012) (quoting Nat'l Rural Telecomms. Coop. v.
11	DIRECTV, Inc., 221 F.R.D. 523, 528 (C.D. Cal. 2004)).
12	As noted above, the parties engaged in two separate mediations with experienced
13	mediators. (Doc. No. 23-2 at \P 15.) Prior to the mediations, the parties engaged in substantial
14	discovery, and defendants provided plaintiffs with time and payroll records that plaintiffs used to
15	calculate damages and penalties. (Id. at \P 16.) Plaintiffs' counsel also represents that they
16	conducted extensive legal research and factual analysis to understand the claims, potential
17	defenses, and strengths and weaknesses for class certification and on the merits. (Id. at \P 17.)
18	Counsel contends that on several occasions he or his staff traveled to Tulare to meet with
19	plaintiffs and class members to prepare the factual record. (Id.) After the second mediation, the
20	parties reached settlement through a mediator's proposal. (Id. at \P 15.) The parties subsequently
21	worked together to formalize the proposed settlement agreement. (Id.) In sum, plaintiffs' counsel
22	asserts that the settlement was reached after extensive, good faith, arms-length negotiations. (Id.)
23	Based on these representations by counsel, it appears that the parties' negotiation constituted
24	genuine, informed, arms-length bargaining.
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2. <u>Substantive Fairness</u>

Adequacy of the Settlement Amount a.

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2	a. Adequacy of the Settlement Amount	
3	To evaluate the fairness of the settlement award, the court should "compare the terms of	
4	the compromise with the likely rewards of litigation." See Protective Comm. for Indep.	
5	Stockholders of TMT Trailer Ferry, Inc. v. Anderson, 390 U.S. 414, 424–25 (1968). "It is well-	
6	settled law that a cash settlement amounting to only a fraction of the potential recovery does not	
7	per se render the settlement inadequate or unfair." In re Mego, 213 F.3d at 459. To determine	
8	whether a settlement "falls within the range of possible approval" a court must focus on	
9	"substantive fairness and adequacy," and "consider plaintiffs' expected recovery balanced against	
10	the value of the settlement offer." In re Tableware Antitrust Litig., 484 F. Supp. 2d at 1080.	
11	Here, the total proposed settlement is for \$450,000 to a common fund for distribution to	
12	the class, payment of notice and claims administration costs, the payment of PAGA penalties to	
13	LWDA, incentive awards to the named plaintiffs, and attorneys' fees and costs. (Doc. No. 23-2	
14	at \P 6.) The allocations to the FLSA and class claims will occur after all other distributions are	
15	made from the common fund. (Id. at \P 7.) Defendants have also agreed to separately cover the	
16	employers' share of payroll taxes on the amounts paid as wages. (Id. at \P 6.) Plaintiffs estimate	
17	that the maximum potential damages for the primary class claims is approximately \$667,800,	
18	making the total settlement fund of \$450,000 a 67 percent recovery of the plaintiffs' primary	
19	claims. ¹ (Id. at \P 19.) If the maximum non-PAGA statutory penalties are included, the recovery	
20	rate is approximately 37 percent. ² (<i>Id.</i>) After accounting for PAGA penalties, the recovery rate is	
21	approximately 31 percent if the penalties are unstacked, and 30 percent if the penalties are	
22	stacked. ³ (Id.) This settlement amount is similar to percentage recoveries California district	
23	¹ This assumes 100 percent recovery and accounts for overtime wages (\$220,100), meal period	
24	premiums (\$202,870), and rest break premiums (\$244,830). (Doc. 23-2 at ¶ 19.)	
25	² The maximum non-PAGA statutory penalties include \$377,475 in waiting time penalties and	
26	\$170,000 in paystub violations. (Doc. 23-1 at 19.)	
27	³ When PAGA penalties are "stacked," the court aggregates all potential PAGA penalties for each cause of action. <i>Schiller v. David's Bridal, Inc.</i> , No. 1:10-cv-00616 AWI, 2010 WL	
28	2793650, at *6 (E.D. Cal. July 14, 2010).	
	14	1

courts have found to be reasonable for this purpose. *See, e.g., Villegas v. J.P. Morgan Chase & Co.*, No. 09-00261 SBA (EMC), 2012 WL 5878390, at *6 (N.D. Cal. Nov. 21, 2012) (settlement
 of approximately 15 percent found to be preliminarily fair); *Glass v. UBS Fin. Servs., Inc.*, No. C 06-4068, 2007 WL 221862, at *4 (N.D. Cal. Jan. 26, 2007) (settlement of approximately 25 to 35
 percent was reasonable).

6 The proposed settlement agreement also allocates approximately 32 percent of the net 7 settlement fund to the FLSA collective action plaintiffs only, leaving 68 percent allocated to the 8 class claims. (Doc. No. 23-2 at \P 20.) The court finds this aspect of the proposed settlement to be 9 a fair and reasonable resolution of a bona fide dispute under the FLSA. See Thompson v. Costco 10 Wholesale Corp., No. 14-cv-2778-CAB-WVG, 2017 WL 697895, at *8 (S.D. Cal. Feb. 22, 2017) 11 ("[C]ourts that have approved settlements releasing both FLSA and Rule 23 claims generally do 12 so only when the parties expressly allocate settlement payments to FLSA claims."); see also 13 Millan, 310 F.R.D. at 602 (noting that "creation of separate settlement funds for the FLSA class 14 and the Rule 23 class . . . would better protect absent class members"); Khanna, 2014 WL 15 1379861, at *2 (approving hybrid settlement that allocated two-thirds of net settlement amount to 16 state claims and one-third of net settlement amount to FLSA claims); Pierce v. Rosetta Stone, 17 Ltd., No. C 11-01283 SBA, 2013 WL 1878918, at *3 (N.D. Cal. May 3, 2013) (same). 18 Plaintiffs contend that the settlement amount reflecting a discount of the total possible 19 recovery is appropriate here for several reasons. First, the parties dispute whether the employees 20 are covered by the agricultural exemption and are unaware of any court decisions resolving this 21 issue under circumstances similar to those presented here. (Doc. No. 23-1 at 19.) Second, 22 plaintiffs allege they were not provided with meal and rest periods, while defendants dispute that 23 allegation, contending that plaintiffs simply chose not to take their offered meal and rest periods. 24 (*Id.*) Third, the proposed settlement also accounts for the possibility of defendants filing for 25 bankruptcy. (Id.) Considering these anticipated defenses and circumstances, the court will 26 preliminarily approve the amount offered to settle the class and FLSA claims as reasonable. 27 ///// 28 /////

b. Attorneys' Fees

1	b. Attorneys' Fees
2	When a negotiated class action settlement includes an award of attorneys' fees, the fee
3	award must be evaluated in the overall context of the settlement. Knisley v. Network Assocs., 312
4	F.3d 1123, 1126 (9th Cir. 2002). At the same time, the court "ha[s] an independent obligation to
5	ensure that the award, like the settlement itself, is reasonable, even if the parties have already
6	agreed to an amount." Bluetooth, 654 F.3d at 941; see also Zucker v. Occidental Petroleum
7	Corp., 192 F.3d 1323, 1328–29 (9th Cir. 1999). Where, as here, fees are to be paid from a
8	common fund, the relationship between the class members and class counsel "turns adversarial."
9	In re Wash. Pub. Power Supply Sys. Sec. Litig., 19 F.3d 1291, 1302 (9th Cir. 1994). As a result,
10	the district court must assume a fiduciary role for the class members in evaluating a request for an
11	award of attorneys' fees from the common fund. Id.; see also Rodriguez v. W. Publ'g Corp., 563
12	F.3d 948, 968 (9th Cir. 2009).
13	The Ninth Circuit has approved two methods for determining attorneys' fees in cases
14	where the fee award is taken from the common fund set aside for the entire settlement: the
15	"percentage of the fund" method and the "lodestar" method. Vizcaino v. Microsoft Corp., 290
16	F.3d 1043, 1047 (9th Cir. 2002) (citations omitted). The district court retains discretion in
17	common fund cases to choose either method. Id.; Vu v. Fashion Inst. of Design & Merch., No. cv
18	14-08822 SJO (EX), 2016 WL 6211308, at *5 (C.D. Cal. Mar. 22, 2016). Under either approach,
19	"[r]easonableness is the goal, and mechanical or formulaic application of either method, where it
20	yields an unreasonable result, can be an abuse of discretion." Fischel v. Equitable Life Assurance
21	Soc'y of U.S., 307 F.3d 997, 1007 (9th Cir. 2002).
22	Under the percentage of the fund method, the court may award class counsel a given
23	percentage of the common fund recovered for the class. Id. In the Ninth Circuit, a 25 percent
24	award is the "benchmark" percentage for attorneys' fees. See Bluetooth, 654 F.3d at 947 (setting
25	a 25 percent benchmark); Staton, 327 F.3d at 952 (same); Six (6) Mexican Workers v. Ariz. Citrus
26	Growers, 904 F.2d 1301, 1311 (9th Cir. 1990) (same). An explanation is necessary when the
27	district court departs from the 25 percent benchmark. Powers v. Eichen, 229 F.3d 1249, 1256-57
28	(9th Cir. 2000).

1 Plaintiffs bring various state law claims and, under California law, "[t]he primary method 2 for establishing the amount of reasonable attorney fees is the lodestar method." In re Vitamin 3 *Cases*, 110 Cal. App. 4th 1041, 1053 (2003) (internal quotation marks and citations omitted). 4 The court determines the lodestar amount by multiplying a reasonable hourly rate by the number 5 of hours reasonably spent litigating the case. See Ferland v. Conrad Credit Corp., 244 F.3d 6 1145, 1149 (9th Cir. 2001). The product of this computation, the "lodestar" amount, yields a 7 presumptively reasonable fee. See Gonzalez v. City of Maywood, 729 F.3d 1196, 1202 (9th Cir. 8 2013); Camacho v. Bridgeport Fin., Inc., 523 F.3d 973, 978 (9th Cir. 2008). The Ninth Circuit 9 has recommended that district courts apply one method but cross-check the appropriateness of the 10 amount by employing the other as well. See Bluetooth, 654 F.3d at 944. 11 Here, the proposed settlement provides that class counsel will seek an award of \$112,500, 12 which is 25 percent of the common fund. (Doc. No. 23-2 at \P 30.) This fee therefore meets the 13 benchmark for this circuit. See Bluetooth, 654 F.3d at 947; Staton, 327 F.3d at 952; Six (6) 14 Mexican Workers, 904 F.2d at 1311. Plaintiffs' counsel asserts that even under the lodestar 15 approach, \$112,500 is appropriate because it is less than the lodestar amount. (Doc. No. 23-2 16 at \P 30.) The court notes that the settlement agreement includes a "clear sailing" provision, in 17 which defendants agree not to contest the class counsel's award of attorneys' fees or costs. (Doc. 18 No. 23-1 at 20.) Although the "very existence of a clear sailing provision increases the likelihood 19 that class counsel will have bargained away something of value to the class," the existence of a 20 clear sailing provision is not necessarily fatal to final approval. *Bluetooth*, 654 F.3d at 948 21 (citations omitted). Rather, "when confronted with a clear sailing provision, the district court has 22 a heightened duty to peer into the provision and scrutinize closely the relationship between 23 attorneys' fees and benefit to the class." Id. (citing Staton, 327 F.3d at 954). Because the 24 requested amount meets this circuit's 25 percent benchmark and counsel has represented that this 25 amount also falls below the lodestar amount, the court is satisfied, for purposes of preliminary 26 approval, that the award is reasonable and does not betray the class's interests. See Eichen, 229 27 F.3d at 1256–57 (noting that an explanation is necessary when the district court departs from the 28 25 percent benchmark). In connection with final approval, however, the court will again examine 17

the award of attorneys' fees, and plaintiffs' counsel is directed to provide the court with the
 necessary records for it to conduct a lodestar cross-check.

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c. Incentive Payment

4 While incentive awards are "fairly typical in class action cases," they are discretionary 5 sums awarded by the court "to compensate class representatives for work done on behalf of the 6 class, to make up for financial or reputational risk undertaken in bringing the action, and, 7 sometimes, to recognize their willingness to act as a private attorney general." West Publ'g 8 Corp., 563 F.3d at 958–59; Staton, 327 F.3d at 977 ("[N]amed plaintiffs... are eligible for 9 reasonable incentive payments."). Such payments are to be evaluated individually, and the court 10 should look to factors such as "the actions the plaintiff has taken to protect the interests of the 11 class, the degree to which the class has benefitted from those actions, . . . the amount of time and 12 effort the plaintiff expended in pursuing the litigation ... and reasonabl[e] fear[s of] workplace 13 retaliation." Staton, 327 F.3d at 977 (quoting Cook v. Niedert, 142 F.3d 1004, 1016 (7th Cir. 14 1998)).

15 Here, plaintiffs have requested incentive payments of \$7,500 for each named plaintiff. 16 (Doc. No. 23-2 at \P 24.) Counsel represents that the average payment of class members will be 17 \$2,186, although the actual amount will vary depending on each class member's days and hours 18 worked, and hourly rate of pay. (Id. at $\P 21$.) The estimated maximum payment will be 19 approximately \$10,866 and the lowest payment will be approximately \$4. (Id.) Thus, an 20 incentive award of \$7,500 is not substantially higher than the average—and in fact may be lower 21 than the maximum—amount a class member could expect to receive under the proposed 22 settlement in this action. (Doc. No. 23-1 at 18, 22.) Courts in this circuit have previously 23 approved incentive awards in this range, and the court finds that for purposes of preliminary 24 approval the award appears to be "not outside the realm of what has been approved as reasonable 25 by other courts." Aguilar v. Wawona Frozen Foods, No. 1:15-cv-00093-DAD-EPG, 2017 WL 2214936, at *8 (E.D. Cal. May 19, 2017) (approving an incentive award of \$7,500 to each class 26 27 representative where average class recovery was approximately \$500); see also Davis v. Brown 28 /////

1	Shoe Co., No. 1:13-cv-01211-LJO-BAM, 2015 WL 6697929, at *12 (E.D. Cal. Nov. 3, 2015)	
2	(approving \$7,000 incentive award where average class recovery was approximately \$400).	
3	Although the proposed incentive payments comprise 13 percent of the total recovery,	
4	plaintiffs argued at the September 17, 2019 hearing on the pending motion that the average	
5	recovery of the class members is more illuminating than the percentage of the total recovery.	
6	Plaintiffs' counsel also noted that a class of 112 members is relatively small, and the eight named	
7	plaintiffs therefore account for approximately 7 percent of the settlement class. Additionally,	
8	plaintiffs have submitted declarations stating that they have faced risks serving as class	
9	representatives, including retaliation from defendants and being blacklisted from future jobs, lack	
10	of support from other employees, and liability for costs if defendants prevailed in litigation.	
11	(Doc. No. 23-1 at 22.) They also aver that they spent approximately 60 hours working on this	
12	case, which included participating in numerous strategy meetings, assisting counsel in	
13	investigating the case, speaking with other workers about the case, and taking uncompensated	
14	time off to attend the two mediations. (Id.) Considering these facts, the court is persuaded that	
15	\$7,500 is an appropriate incentive payment for the named plaintiffs. Thus, the requested	
16	incentive payment will be approved on a preliminary basis.	
17	d. Release of Claims	
18	As part of the settlement, the released claims are limited to all claims, whether known or	
19	unknown, during the relevant time period (December 7, 2013 to May 3, 2019) that were alleged	
20	in the complaint based on the facts alleged in the complaint. (Id. at 23.) All settlement class	

For proposed settlements under Rule 23, "the court must direct notice in a reasonable
manner to all class members who would be bound by the proposal." Fed. R. Civ. P. 23(e)(1); *see also Hanlon*, 150 F.3d at 1025 ("Adequate notice is critical to court approval of a class settlement

member who do not opt out will be deemed to have released defendants from the released claims.

affirmatively opt in. (Id.) The release therefore appropriately tracks the claims at issue in this

(Id.) For members of the FLSA class, FLSA claims will only be released for those who

D. Proposed Class Notice and Administration

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case.

1	under Rule 23(e)."). For a class certified under Federal Rule of Civil Procedure 23(b)(3), the	
2	notice must contain, in plain and clear language, (1) the nature of the action; (2) the definition of	
3	the class certified; (3) the class claims, issues, or defenses; (4) that a class member may appear	
4	through an attorney if desired; (5) that the court will exclude members who seek exclusion; (6)	
5	the time and manner for requesting an exclusion; and (7) the binding effect of a class judgment on	
6	members of the class. Fed. R. Civ. P. 23(c)(2)(B). A class action settlement notice "is	
7	satisfactory if it generally describes the terms of the settlement in sufficient detail to alert those	
8	with adverse viewpoints to investigate and to come forward and be heard." Churchill Vill., LLC	
9	v. Gen. Elec., 361 F.3d 566, 575 (9th Cir. 2004) (internal quotation marks and citations omitted).	
10	Additionally, for proposed settlements under the FLSA, "the court [must] provide	
11	potential plaintiffs 'accurate and timely notice concerning the pendency of the collective action,	
12	so that they can make informed decisions about whether or not to participate." Adams v. Inter-	
13	Con Sec. Sys., 242 F.R.D. 530, 539 (N.D. Cal. 2007) (quoting Hoffmann–La Roche v. Sperling,	
14	493 U.S. 165, 170 (1989)); see generally 29 U.S.C. § 216(b) ("No employee shall be a party	
15	plaintiff to any such action unless he gives his consent in writing to become such a party and such	
16	consent is filed in the court in which such action is brought.").	
17	Here, the proposed settlement provides that the claims administrator will receive a list of	
18	class member names and last known contact information within five days of preliminary	
19	approval. (Doc. No. 23-1 at 24.) The claims administrator will subsequently send notice to those	
20	class members in both Spanish and English. (Id.). The claims administrator will make	
21	reasonable efforts to locate a correct address and resend notice for each envelope returned as	
22	undeliverable within 45 days. (Id.) Plaintiffs assert that the class notice's contents meet the	
23	requirements of Rule 23 and provide additional information, including:	
24	(1) the option to do nothing to receive a settlement payment, to	
25	dispute the information on which the settlement payment is based, and/or to object to the settlement; (2) deadlines to mail an objection	
26	and the Dispute form; (3) the approximate timeline for receiving settlement check; and (4) the deductions from the settlement fund,	
27	including for the incentive payments for the class representatives, and attorneys fees and costs.	
28	(<i>Id.</i>)	
	20	

1	Additionally, plaintiffs propose a FLSA notice separate from the class notice, although the		
2	class and FLSA documents will be sent in one envelope. (Id. at 25.) Plaintiffs believe separate		
3	notices will prevent confusion and emphasize the difference between the class and FLSA		
4	collective actions. (Id.) The proposed members of the FLSA collection action will receive a		
5	consent to join in/opt-in form. (Id.) The court finds that plaintiffs' proposed notices provide		
6	sufficient information to allow the putative class and collective members to make an informed		
7	decision about whether to opt-out of the class or opt-in to the collective. However, pursuant to		
8	discussion between the court and counsel at the September 17, 2019 hearing on this topic, the		
9	court will instruct the parties to revise plaintiffs' proposed notices to further ensure that putative		
10	class and collective members understand the difference between the class and collective actions.		
11	Revisions should include more prominent headings at the beginning of each notice that clearly		
12	identify whether the notice form concerns the class action or the FLSA collective action.		
13	Plaintiffs also propose the following implementation schedule:		
_	r funding also propose the following hip	lementation schedule:	
14	Date		
		Event	
14	Date	Event Defendants' counsel will provide the claims administrator and class counsel with the name	
14 15	Date No later than five (5) days of the date of entry	Event Defendants' counsel will provide the claims	
14 15 16	Date No later than five (5) days of the date of entry of this order No later than fourteen (14) days from the date	Event Defendants' counsel will provide the claims administrator and class counsel with the name and contact information for each member of the settlement class. The claims administrator will mail to all	
14 15 16 17	Date No later than five (5) days of the date of entry of this order	Event Defendants' counsel will provide the claims administrator and class counsel with the name and contact information for each member of the settlement class. The claims administrator will mail to all settlement class members: (1) the class notice; (2) the dispute form; and (3) where applicable,	
14 15 16 17 18	Date No later than five (5) days of the date of entry of this order No later than fourteen (14) days from the date	Event Defendants' counsel will provide the claims administrator and class counsel with the name and contact information for each member of the settlement class. The claims administrator will mail to all settlement class members: (1) the class notice;	

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Sixty (60) days from Notice Date

Sixty (60) days from Notice Date

form.

based.

Deadline to mail dispute form to dispute information listed on the form, on which the

estimated California settlement payment and,

if applicable, FLSA settlement payment are

Deadline to mail written objections.

1	Sixty (60) days from Notice Date	Deadline to mail request for exclusion.	
2	D 1 17 2010 + 0.20		
3 4	December 17, 2019 at 9:30 a.m.	Final fairness hearing and hearing on motion for final approval, award of attorneys' fees and costs and class representative incentive payments.	
5	November 1, 2019	Defendants will deposit \$75,000 to the	
6 7		qualified settlement fund ("QSF") established by the claims administrator.	
7	The first day of every month beginning on	Defendants will deposit \$15,000 to the QSF	
8	December 1, 2019, for 25 months	established by the claims administrator.	
9 10	Within thirty (30) days from receipt of the 1st payment (i.e., by December 1, 2019)	The claims administrator will (1) mail a check to the LWDA for the full PAGA payment of \$7,500; (2) mail a check to class counsel for all attorneys' costs up to \$8,900 (not fees); and	
11		(3) reimburse itself for the pro rata costs of	
12		claims administration.	
13	Within thirty days from receipt of the 12th monthly payment (i.e., by November 1, 2020)	The claims administrator will (1) mail to each settlement class member a check in the amount	
14		of his or her pro rata settlement share; and (2) reimburse itself for the pro rata costs of claims administration.	
15	Within thirty (30) days from receipt of the 25th	The claims administrator will (1) mail to each	
16	monthly payment (i.e., by December 1, 2021)	settlement class member a check for payment	
17		of the remaining settlement awards; (2) reimburse itself for the remaining pro rata	
18		costs of claims administration; (3) mail a check to the class representatives in the	
19		amount of incentive payments approved by the	
20		court; and (4) mail a check to class counsel in the amount of attorneys' fees approved by the	
21		court.	
22	(<i>Id.</i> at 8–9.)		
23	The court finds that the notice and the manner of notice proposed by plaintiffs meet the		
24	requirements of Federal Civil Procedure Rule 23(c)(2)(B) and 29 U.S.C. § 216(b), and that the		
25	proposed mail delivery is also appropriate under these circumstances.		
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1	CONCLUSION		
2	For the reasons stated above,		
3	1.	Plaintiffs' motion for preliminary approval of class action settlement (Doc. No. 23)	
4		is granted;	
5	2.	Plaintiffs' counsel, Enrique Martínez and John Hill, are appointed as class counsel;	
6	3.	The named plaintiffs, Mario Cortez, Maria Cisneros, Antonio Toscano, Francisco	
7		Javier Gonzalez, Jesus Rodriguez, Cecilia Garcia, Jose Luis Raygoza, and Jose	
8		Guzman, are appointed as class representatives;	
9	4.	The proposed notice and claim form conform with Federal Rule of Civil Procedure	
10		23 and 29 U.S.C. § 216(b) and are approved;	
11	5.	CPT Group Class Action Administrators is approved as claims administrator;	
12	6.	The proposed settlement detailed herein is approved on a preliminary basis as fair	
13		and adequate;	
14	7.	The hearing for final approval of the proposed settlement is set for December 17,	
15		2019 at 9:30 a.m. before the undersigned in Courtroom 5, with the motion for final	
16		approval of class action settlement to be filed twenty-eight (28) days in advance of	
17		the final approval hearing, in accordance with Local Rule 230; and	
18	8.	Plaintiffs' proposed settlement implementation schedule is adopted.	
19	IT IS SO ORDERED.		
20	Dated:	September 20, 2019 Jale A. Dryd	
21		UNITED STATES DISTRICT JUDGE	
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23			
24			
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27			
28		23	