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UNITED STATI	ES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA (FRESNO)	
) Corr No. 1.19 CV 0075(DAD EDC
GEA FARM TECHNOLOGIES, INC., a Delaware corporation,) Case No.: 1:18–CV–00756–DAD–EPG)
Disintifi) STIPULATED PROTECTIVE ORDER
Plaintiff,)) (ECF No. 20)
VS.)
STEVEN PEEPLES, an individual; and)
DOES 1 through 10, inclusive,)
Defendants.)
	_)
	-1-
STIPULATED F	PROTECTIVE ORDER
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1. <u>PURPOSES, LIMITATIONS, AND COMPLIANCE WITH EASTERN DISTRICT</u> LOCAL RULE 141.1.

3 Disclosure and discovery activity in this action are likely to involve production of confidential, proprietary, or private information, or information which constitutes trade secrets, 4 5 for which special protection from public disclosure and from use for any purpose other than 6 prosecuting this litigation may be warranted. Accordingly, the parties hereby stipulate to and 7 petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this Order does not confer blanket protections on all disclosures or responses to discovery 8 9 and that the protection it affords from public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under the applicable legal 10 principles. The parties further acknowledge, as set forth in Section 12.3, below, that this 11 12 Stipulated Protective Order does not entitle them to file confidential information under seal; Eastern District Local Rule 141.1 sets forth the procedures that must be followed and the 13 standards that will be applied when a party seeks permission from the court to file material under 14 15 seal.

1.1 Types of Information Eligible for Protection. Pursuant to Local Rule 141.1(c)(1), 16 the types of information eligible for protection include a party's trade secret, confidential, 17 18 competitive, or proprietary information pertaining to the party's business, which the party takes 19 appropriate efforts to keep confidential, or information which the party is otherwise required to 20 keep confidential by agreement or law, including the following: financial information; research, development, and technical information and specifications; customer information; security 21 features of the party's products or property; and information that, if disclosed, would seriously 22 23 undermine the party's ability to investigate the infringement of its products or property.

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12 The Need for Protection. Pursuant to Local Rule 141.1(c)(2), there is a need to 1 2 protect this type of evidence. A party's trade secret, confidential, competitive, or proprietary 3 information could be abused if its use were not limited to this lawsuit. For example, third party competitors could exploit the following types of confidential information to their advantage were 4 5 it made public: (1) a party's financial information; (2) research, development, and technical 6 information and specifications; and (3) customer information. In some cases, disclosure of this 7 type of evidence could breach confidentiality agreements or violate privacy or consumer protection laws. Further, disclosure of information related to the security features of a party's 8 9 products or property, or that would seriously undermine the party's ability to investigate the infringement of its products or property, would facilitate infringement. 10

1.3 <u>The Need for Protection by this Court</u>. Pursuant to Local Rule 141.1(c)(3), the
parties seek a Protective Order, as opposed to entering into a private agreement, because the
proposed Order provides mechanisms for the resolution of disputes and the handling of
designated evidence that involve the Court. Moreover, both the California statute and federal
trade secrets statute expressly contemplate the entry of such orders in a trade secrets case.
CUTSA, Cal Civ. Code § 3426.5; DTSA, 18 USC § 1835(a).

2. **DEFINITIONS**

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18 2.1 <u>Challenging Party</u>: A Party or Non-Party that challenges the designation of
19 information or items under this Order.

20 2.2 <u>"CONFIDENTIAL" Information or Items</u>: information (regardless of how it is
21 generated, stored or maintained) or tangible things that qualify for protection under Federal Rule
22 of Civil Procedure 26(c).

23 2.3 <u>"The Sealed Information:"</u> the documents and information ordered to be filed
24 under seal pursuant to this Court's Order on June 7, 2018 (Doc. No. 8).

STIPULATED PROTECTIVE ORDER

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2.4 <u>Counsel (without qualifier)</u>: Outside Counsel of Record and House Counsel (as
 well as their support staff).

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2.5 <u>Designating Party</u>: a Party or Non-Party that designates information or items that it produces in disclosures or in responses to discovery as "CONFIDENTIAL" or "The Sealed Information."

2.6 <u>Disclosure or Discovery Material</u>: all items or information, regardless of the
medium or manner in which it is generated, stored, or maintained (including, among other things,
testimony, transcripts, and tangible things), that are produced or generated in disclosures or
responses to discovery in this matter.

10 2.7 <u>Expert</u>: a person with specialized knowledge or experience in a matter pertinent
11 to the litigation who has been retained by a Party or its counsel to serve as an expert witness or as
12 a consultant in this action.

13 2.8 <u>House Counsel</u>: attorneys who are employees of a party to this action. House
14 Counsel does not include Outside Counsel of Record or any other outside counsel.

15 2.9 <u>Non-Party</u>: any natural person, partnership, corporation, association, or other
16 legal entity not named as a Party to this action.

17 2.10 <u>Outside Counsel of Record</u>: attorneys who are not employees of a party to this
18 action but are retained to represent or advise a party to this action and have appeared in this
19 action on behalf of that party or are affiliated with a law firm which has appeared on behalf of
20 that party.

21 2.11 <u>Party</u>: any party to this action, including all of its officers, directors, employees,
22 consultants, retained experts, and Outside Counsel of Record (and their support staffs).

23 2.12 <u>Producing Party</u>: a Party or Non-Party that produces Disclosure or Discovery
24 Material in this action.

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213 Professional Vendors: persons or entities that provide litigation support services (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing, storing, or retrieving data in any form or medium) and their employees and subcontractors. 4

5 2.14 Protected Material: any Disclosure or Discovery Material that is designated as CONFIDENTIAL" or "The Sealed Information." 6

7 2.15 Receiving Party: a Party that receives Disclosure or Discovery Material from a Producing Party. 8

3. SCOPE

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10 The protections conferred by this Stipulation and Order cover not only Protected Material (as defined above), but also (1) any information copied or extracted from Protected Material; (2) 11 12 all copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony, conversations, or presentations by Parties or their Counsel that might reveal Protected Material. 13 14 However, the protections conferred by this Stipulation and Order do not cover the following information: (a) any information that is in the public domain at the time of disclosure to a 15 Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as 16 17 a result of publication not involving a violation of this Order, including becoming part of the 18 public record through trial or otherwise; and (b) any information known to the Receiving Party 19 prior to the disclosure or obtained by the Receiving Party after the disclosure from a source who 20 obtained the information lawfully and under no obligation of confidentiality to the Designating Party. Any use of Protected Material at trial shall be governed by a separate agreement or order. 21 22 4. DURATION

23 Even after final disposition of this litigation, the confidentiality obligations imposed by 24 this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court

order otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all
 claims and defenses in this action, with or without prejudice; and (2) final judgment herein after
 the completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this
 action, including the time limits for filing any motions or applications for extension of time
 pursuant to applicable law.

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DESIGNATING PROTECTED MATERIAL

5.1 <u>Exercise of Restraint and Care in Designating Material for Protection</u>. Each Party or Non-Party that designates information or items for protection under this Order must take care to limit any such designation to specific material that qualifies under the appropriate standards. The Designating Party must designate for protection only those parts of material, documents, items, or oral or written communications that qualify – so that other portions of the material, documents, items, or communications for which protection is not warranted are not swept unjustifiably within the ambit of this Order.

Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily encumber or retard the case development process or to impose unnecessary expenses and burdens on other parties) expose the Designating Party to sanctions. If it comes to a Designating Party's attention that information or items that it designated for protection do not qualify for protection, that Designating Party must promptly notify all other Parties that it is withdrawing the mistaken designation.

5.2 <u>Manner and Timing of Designations</u>. Except as otherwise provided in this Order
(see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered,
Disclosure or Discovery Material that qualifies for protection under this Order must be clearly so
designated before the material is disclosed or produced.

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Designation in conformity with this Order requires:

(a) for information in documentary form (e.g., paper or electronic documents, but excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing Party affix the legend "CONFIDENTIAL and/or "The Sealed Information" to each page that contains protected material. If only a portion or portions of the material on a page qualifies for protection, the Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate markings in the margins).

A Party or Non-Party that makes original documents or materials available for inspection need not designate them for protection until after the inspecting Party has indicated which material it would like copied and produced. During the inspection and before the designation, all of the material made available for inspection shall be deemed "CONFIDENTIAL" and/or "The Sealed Information." After the inspecting Party has identified the documents it wants copied and produced, the Producing Party must determine which documents, or portions thereof, qualify for protection under this Order. Then, before producing the specified documents, the Producing Party must affix the "CONFIDENTIAL" and/or "The Sealed Information." legend to each page that contains Protected Material. If only a portion or portions of the material on a page qualifies for protection, the Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate markings in the margins).

(b) for testimony given in deposition or in other pretrial or trial proceedings, thatthe Designating Party identify on the record, before the close of the deposition, hearing,or other proceeding, all protected testimony.

(c) for information produced in some form other than documentary and for any other tangible items, that the Producing Party affix in a prominent place on the exterior of

the container or containers in which the information or item is stored the legend "CONFIDENTIAL" and/or "The Sealed Information." If only a portion or portions of the information or item warrant protection, the Producing Party, to the extent practicable, shall identify the protected portion(s).

5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to designate qualified information or items does not, standing alone, waive the Designating Party's right to secure protection under this Order for such material. Upon timely correction of a designation, the Receiving Party must make reasonable efforts to assure that the material is treated in accordance with the provisions of this Order.

6.

CHALLENGING CONFIDENTIALITY DESIGNATIONS

6.1 Timing of Challenges. Any Party or Non-Party may challenge a designation of confidentiality and/or trade secrets at any time.

6.2 Meet and Confer. The Challenging Party shall initiate the dispute resolution process by providing written notice of each designation it is challenging and describing the basis for each challenge. To avoid ambiguity as to whether a challenge has been made, the written notice must recite that the challenge to confidentiality is being made in accordance with this specific paragraph of the Protective Order. The parties shall attempt to resolve each challenge in good faith and must begin the process by conferring directly (in voice to voice dialogue; other forms of communication are not sufficient) within 14 days of the date of service of notice. In conferring, the Challenging Party must explain the basis for its belief that the confidentiality designation was not proper and must give the Designating Party an opportunity to review the designated material, to reconsider the circumstances, and, if no change in designation is offered, to explain the basis for the chosen designation. A Challenging Party may proceed to the next 24 stage of the challenge process only if it has engaged in this meet and confer process first or

establishes that the Designating Party is unwilling to participate in the meet and confer process in
 a timely manner.

6.3 3 Judicial Intervention. If the Parties cannot resolve a challenge without court intervention, the Designating Party shall file and serve a motion to retain confidentiality within 4 5 21 days of the initial notice of challenge or within 14 days of the parties agreeing that the meet 6 and confer process will not resolve their dispute, whichever is earlier. Each such motion must be 7 accompanied by a competent declaration affirming that the movant has complied with the meet and confer requirements imposed in the preceding paragraph. Failure by the Designating Party to 8 9 make such a motion including the required declaration within 21 days (or 14 days, if applicable) shall automatically waive the confidentiality designation for each challenged designation. In 10 addition, the Challenging Party may file a motion challenging a confidentiality designation at 11 12 any time if there is good cause for doing so, including a challenge to the designation of a deposition transcript or any portions thereof. Any motion brought pursuant to this provision must 13 14 be accompanied by a competent declaration affirming that the movant has complied with the meet and confer requirements imposed by the preceding paragraph. 15

The burden of persuasion in any such challenge proceeding shall be on the Designating Party. Frivolous challenges, and those made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions. Unless the Designating Party has waived the confidentiality designation by failing to file a motion to retain confidentiality as described above, all parties shall continue to afford the material in question the level of protection to which it is entitled under the Producing Party's designation until the court rules on the challenge.

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- ACCESS TO AND USE OF PROTECTED MATERIAL
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- 7.1 <u>Basic Principles</u>. A Receiving Party may use Protected Material that is disclosed -9-

or produced by another Party or by a Non-Party in connection with this case only for
 prosecuting, defending, or attempting to settle this litigation. Such Protected Material may be
 disclosed only to the categories of persons and under the conditions described in this Order.
 When the litigation has been terminated, a Receiving Party must comply with the provisions of
 section 13 below (FINAL DISPOSITION).

Protected Material must be stored and maintained by a Receiving Party at a location and in a secure manner that ensures that access is limited to the persons authorized under this Order.

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7.2 <u>Disclosure of</u> "CONFIDENTIAL" and/or "The Sealed Information" <u>Information</u> <u>or Items</u>. Subject to the provisions of Section 7.3, and unless otherwise ordered by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or item designated "CONFIDENTIAL" and/or "The Sealed Information" only to:

(a) a Receiving Party, the Receiving Party's Outside Counsel of Record in this
 action, as well as employees of said Outside Counsel of Record to whom it is reasonably
 necessary to disclose the information for this litigation and who have signed the
 "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A;

(b) the officers, directors, and employees (including House Counsel) of theReceiving Party to whom disclosure is reasonably necessary for this litigation and whohave signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);

(c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);

(d) the court and its personnel;

(e) court reporters and their staff, professional jury or trial consultants, mock jurors, and Professional Vendors to whom disclosure is reasonably necessary for this -10-

litigation and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);

(f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A), unless otherwise agreed by the Designating Party or ordered by the court. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order.

(g) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

7.3 Additional Protections for The Sealed Information. Notwithstanding the provisions of Section 7.2, The Sealed Information will be produced to Outside Counsel of Record for Defendant Steven Peeples ("Peeples' Counsel"). Peeples' Counsel may disclose the contents of The Sealed Information to Mr. Peeples to the extent necessary to provide legal advice to him, but Peeples' Counsel will not transmit, by electronic or any other means, The Sealed Information to Mr. Peeples or otherwise give Mr. Peeples possession of any of The Sealed Information. Nor will he allow Mr. Peeples to copy or record the information in any way, including by photographic or written means such as typed or handwritten notes

8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION

If a Party is served with a subpoena or a court order issued in other litigation that compels disclosure of any information or items designated in this action as "CONFIDENTIAL" and/or 'The Sealed Information" that Party must:

(a) promptly notify in writing the Designating Party. Such notification shall -11include a copy of the subpoena or court order;

(b) promptly notify in writing the party who caused the subpoena or order to issue in the other litigation that some or all of the material covered by the subpoena or order is subject to this Protective Order. Such notification shall include a copy of this Stipulated Protective Order; and

(c) cooperate with respect to all reasonable procedures sought to be pursued by the Designating Party whose Protected Material may be affected.

If the Designating Party timely seeks a protective order, the Party served with the subpoena or court order shall not produce any information designated in this action as "CONFIDENTIAL" and/or "The Sealed Information" before a determination by the court from which the subpoena or order issued, unless the Party has obtained the Designating Party's permission. The Designating Party shall bear the burden and expense of seeking protection in that court of its confidential material – and nothing in these provisions should be construed as authorizing or encouraging a Receiving Party in this action to disobey a lawful directive from another court.

9. <u>A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS</u> <u>LITIGATION</u>

(a) The terms of this Order are applicable to information produced by a Non-Party in this action and designated as ""CONFIDENTIAL" and/or "The Sealed Information." Such information produced by Non-Parties in connection with this litigation is protected by the remedies and relief provided by this Order. Nothing in these provisions should be construed as prohibiting a Non-Party from seeking additional protections.

(b) In the event that a Party is required, by a valid discovery request, to produce a

Non-Party's confidential information in its possession, and the Party is subject to an agreement with the Non-Party not to produce the Non-Party's confidential information, then the Party shall:

(1) promptly notify in writing the Requesting Party and the Non-Party that some or all of the information requested is subject to a confidentiality agreement with a Non-Party;

(2) promptly provide the Non-Party with a copy of the Stipulated Protective Order in this litigation, the relevant discovery request(s), and a reasonably specific description of the information requested; and

(3) make the information requested available for inspection by the Non-Party.

(c) If the Non-Party fails to object or seek a protective order from this court within 14 days of receiving the notice and accompanying information, the Receiving Party may produce the Non-Party's confidential information responsive to the discovery request. If the Non-Party timely seeks a protective order, the Receiving Party shall not produce any information in its possession or control that is subject to the confidentiality agreement with the Non-Party before a determination by the court. Absent a court order to the contrary, the Non-Party shall bear the burden and expense of seeking protection in this court of its Protected Material.

10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected Material to any person or in any circumstance not authorized under this Stipulated Protective Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the

Protected Material, (c) inform the person or persons to whom unauthorized disclosures were
 made of all the terms of this Order, and (d) request such person or persons to execute the
 "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A.

11. <u>INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED</u> MATERIAL

6 When a Producing Party gives notice to Receiving Parties that certain inadvertently 7 produced material is subject to a claim of privilege or other protection, the obligations of the Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This 8 9 provision is not intended to modify whatever procedure may be established in an e-discovery order that provides for production without prior privilege review. Pursuant to Federal Rule of 10 Evidence 502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a 11 12 communication or information covered by the attorney-client privilege or work product protection, the parties may incorporate their agreement in the stipulated protective order 13 submitted to the court. 14

12. <u>MISCELLANEOUS</u>

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16 12.1 Right to Further Relief. Nothing in this Order abridges the right of any person to
17 seek its modification by the court in the future.

18 12.2 Right to Assert Other Objections. By stipulating to the entry of this Protective
19 Order no Party waives any right it otherwise would have to object to disclosing or producing any
20 information or item on any ground not addressed in this Stipulated Protective Order. Similarly,
21 no Party waives any right to object on any ground to use in evidence of any of the material
22 covered by this Protective Order.

12.3 Filing Protected Material. Without written permission from the Designating Party
or a court order secured after appropriate notice to all interested persons, a Party may not file in

the public record in this action any Protected Material. A Party that seeks to file under seal any 1 2 Protected Material must comply with Easter District Local Rule 141.1. Protected Material may 3 only be filed under seal pursuant to a court order authorizing the sealing of the specific Protected Material at issue. 4

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FINAL DISPOSITION

6 Within 60 days after the final disposition of this action, as defined in paragraph 4, each 7 Receiving Party must return all Protected Material to the Producing Party or destroy such material. As used in this subdivision, "all Protected Material" includes all copies, abstracts, 8 9 compilations, summaries, and any other format reproducing or capturing any of the Protected Material. Whether the Protected Material is returned or destroyed, the Receiving Party must 10 submit a written certification to the Producing Party (and, if not the same person or entity, to the 11 12 Designating Party) by the 60 day deadline that (1) identifies (by category, where appropriate) all the Protected Material that was returned or destroyed and (2) affirms that the Receiving Party has 13 not retained any copies, abstracts, compilations, summaries or any other format reproducing or capturing any of the Protected Material. Notwithstanding this provision, Counsel are entitled to retain an archival copy of all pleadings, motion papers, trial, deposition, and hearing transcripts, legal memoranda, correspondence, deposition and trial exhibits, expert reports, attorney work product, and consultant and expert work product, even if such materials contain Protected Material. Any such archival copies that contain or constitute Protected Material remain subject to this Protective Order as set forth in Section 4 (DURATION).

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1	IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.		
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3	Dated: June 12, 2018	<u>/s/ John E. McCann, Jr.</u> MILES & STOCKBRIDGE P.C.	
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5		<u>/s/ Andrew J. Jaramillo</u> ALTUS LAW FIRM	
6		Attorneys for Plaintiff GEA FARM TECHNOLOGIES, INC.	
7	Datad: Juna12, 2018	/s/ Howard A Sagagar	
8 9	Dated: June12, 2018	<u>/s/ Howard A. Sagaser</u> SAGASER, WATKINS & WIELAND PC	
9 10		Attorneys for Defendant STEVEN PEEPLES	
10		STEVENTEELES	
11	PURSUANT TO STIPULATION, IT IS SO ORDERED.		
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14	Detech June 12 2019	15/ Encir P. Grosp	
15	Dated: June 12, 2018	UNITED STATES MAGISTRATE JUDGE	
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	STIPULATED PROTECTIVE ORDER		

1	EXHIBIT A			
2	ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND			
3	I, [print or type full name], of			
4	[print or type full address],			
5	declare under penalty of perjury that I have read in its entirety and understand the Stipulated			
6	Protective Order that was issued by the United States District Court for the Eastern District of			
7	California on [date] in the case of <i>GEA Farm Technologies, Inc. v.</i>			
8	Steven Peeples, Case No. 1:18-CV-00756-DAD-EPG. I agree to comply with and to be bound			
9	by all the terms of this Stipulated Protective Order and I understand and acknowledge that failure			
10	to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly			
11	promise that I will not disclose in any manner any information or item that is subject to this			
12	Stipulated Protective Order to any person or entity except in strict compliance with the			
13	provisions of this Order.			
14	I further agree to submit to the jurisdiction of the United States District Court for the Eastern			
15	District of California for the purpose of enforcing the terms of this Stipulated Protective Order,			
16	even if such enforcement proceedings occur after termination of this action.			
17	I hereby appoint [print or type full name] of			
18	[print or type full address and telephone			
19	number] as my California agent for service of process in connection with this action or any			
20	proceedings related to enforcement of this Stipulated Protective Order.			
21	Date:			
22	City and State where sworn and signed:			
23	Printed name:			
24	Signature:			
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	STIPULATED PROTECTIVE ORDER			