

1 **LEWIS BRISBOIS BISGAARD & SMITH LLP**  
 2 ROGER S. RAPHAEL, SB# 111946  
 3 Email: [Roger.Raphael@lewisbrisbois.com](mailto:Roger.Raphael@lewisbrisbois.com)  
 4 SHAHRAM NASSI, SB# 239812  
 5 Email: [Shahram.Nassi@lewisbrisbois.com](mailto:Shahram.Nassi@lewisbrisbois.com)  
 333 Bush Street, Suite 1100  
 San Francisco, California 94104-2872  
 Telephone: 415.362.2580  
 Facsimile: 415.434.0882

6 Attorneys for Defendant, FCA US. LLC

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8 UNITED STATES DISTRICT COURT  
 9 EASTERN DISTRICT OF CALIFORNIA, BAKERSFIELD DIVISION

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11 CONRADO HERRERA, an individual,  
 12 Plaintiff,  
 13 vs.  
 14 FCA US, LLC, A Delaware Limited Liability  
 Company, and DOES 1 through 20, inclusive,  
 15 Defendants.  
 16

Case No. 1:20-cv-01016-NONE-JLT

**STIPULATION AND PROTECTIVE  
 ORDER RE CONFIDENTIAL  
 DESIGNATION; ORDER**  
 (Doc. 16)

17 **IT IS HEREBY STIPULATED** by and between the Parties to *Conrado Herrera v. FCA US*  
 18 *LLC, et al.*, by and through their respective counsel of record, that in order to facilitate the exchange  
 19 of information and documents which may be subject to confidentiality limitations on disclosure due to  
 20 federal laws, state laws, and privacy rights, the Parties stipulate as follows:

- 21 1. In this Stipulation and Protective Order, the words set forth below shall have the  
 22 following meanings:
- 23 a. "Proceeding" means the above-entitled proceeding, United State District Court,  
 24 Eastern District of California, Bakersfield Division Case No. 1:20-cv-01016-NONE-  
 25 JLT.
  - 26 b. "Court" means any judge to which this Proceeding may be assigned, including Court  
 27 staff participating in such proceedings.
  - 28 c. "Confidential" means any information which is in the possession of a Designating

1 Party who believes in good faith that such information is entitled to confidential  
2 treatment under applicable law.

3 d. "Confidential Materials" means any Documents, Testimony or Information as defined  
4 below designated as "Confidential" pursuant to the provisions of this Stipulation and  
5 Protective Order.

6 e. "Designating Party" means the Party that designates Materials as "Confidential."

7 f. "Disclose" or "Disclosed" or "Disclosure" means to reveal, divulge, give, or make  
8 available Materials, or any part thereof, or any information contained therein.

9 g. "Documents" means (i) any "Writing," "Original," and "Duplicate" as those terms are  
10 defined by California Evidence Code Sections 250, 255, and 260, which have been  
11 produced in discovery in this Proceeding by any person, and (ii) any copies,  
12 reproductions, or summaries of all or any part of the foregoing

13 h. "Information" means the content of Documents or Testimony.

14 i. "Testimony" means all depositions, declarations or other testimony taken or used in  
15 this Proceeding.

16 2. The Designating Party shall have the right to designate as "Confidential" any  
17 Documents, Testimony or Information that the Designating Party in good faith believes to contain  
18 non-public information that is entitled to confidential treatment under applicable law.

19 3. The entry of this Stipulation and Protective Order does not alter, waive, modify, or  
20 abridge any right, privilege or protection otherwise available to any Party with respect to the discovery  
21 of matters, including but not limited to any Party's right to assert the attorney-client privilege, the  
22 attorney work product doctrine, or other privileges, or any Party's right to contest any such assertion.

23 4. Any Documents, Testimony or Information to be designated as "Confidential" must be  
24 clearly so designated before the Document, Testimony or Information is Disclosed or produced. The  
25 parties may agree that the case name and number are to be part of the "Confidential" designation.  
26 The "Confidential" designation should not obscure or interfere with the legibility of the designated  
27 Information.

28 a. For Documents (apart from transcripts of depositions or other pretrial or trial

1 proceedings), the Designating Party must affix the legend “Confidential” on each page  
2 of any Document containing such designated Confidential Material.

3 b. For Testimony given in depositions the Designating Party may either:

4 i. Identify on the record, before the close of the deposition, all “Confidential”  
5 Testimony, by specifying all portions of the Testimony that qualify as  
6 “Confidential;” or

7 ii. Designate the entirety of the Testimony at the deposition as “Confidential”  
8 (before the deposition is concluded) with the right to identify more specific  
9 portions of the Testimony as to which protection is sought within 30 days  
10 following receipt of the deposition transcript. In circumstances where portions  
11 of the deposition Testimony are designated for protection, the transcript pages  
12 containing “Confidential” Information may be separately bound by the court  
13 reporter, who must affix to the top of each page the legend “Confidential,” as  
14 instructed by the Designating Party.

15 c. For Information produced in some form other than Documents, and for any other  
16 tangible items, including, without limitation, compact discs or DVDs, the Designating  
17 Party must affix in a prominent place on the exterior of the container or containers in  
18 which the Information or item is stored the legend “Confidential.” If only portions of  
19 the Information or item warrant protection, the Designating Party, to the extent  
20 practicable, shall identify the “Confidential” portions.

21 5. The inadvertent production by any of the undersigned Parties or non-Parties to the  
22 Proceedings of any Document, Testimony or Information during discovery in this Proceeding without  
23 a “Confidential” designation, shall be without prejudice to any claim that such item is “Confidential”  
24 and such Party shall not be held to have waived any rights by such inadvertent production. In the event  
25 that any Document, Testimony or Information that is subject to a “Confidential” designation is  
26 inadvertently produced without such designation, the Party that inadvertently produced the document  
27 shall give written notice of such inadvertent production within twenty (20) days of discovery of the  
28 inadvertent production, together with a further copy of the subject Document, Testimony or

1 Information designated as “Confidential” (the “Inadvertent Production Notice”). Upon receipt of such  
2 Inadvertent Production Notice, the Party that received the inadvertently produced Document,  
3 Testimony or Information shall promptly destroy the inadvertently produced Document, Testimony or  
4 Information and all copies thereof, or, at the expense of the producing Party, return such together with  
5 all copies of such Document, Testimony or Information to counsel for the producing Party and shall  
6 retain only the “Confidential” designated Materials. Should the receiving Party choose to destroy such  
7 inadvertently produced Document, Testimony or Information, the receiving Party shall notify the  
8 producing Party in writing of such destruction within ten (10) days of receipt of written notice of the  
9 inadvertent production. This provision is not intended to apply to any inadvertent production of any  
10 Information protected by attorney-client or work product privileges. In the event that this provision  
11 conflicts with any applicable law regarding waiver of confidentiality through the inadvertent  
12 production of Documents, Testimony or Information, such law shall govern.

13           6.       In the event that counsel for a Party receiving Documents, Testimony or Information in  
14 discovery designated as “Confidential” objects to such designation with respect to any or all of such  
15 items, said counsel shall advise counsel for the Designating Party, in writing, of such objections, the  
16 specific Documents, Testimony or Information to which each objection pertains, and the specific  
17 reasons and support for such objections (the “Designation Objections”). Counsel for the Designating  
18 Party shall have thirty (30) days from receipt of the written Designation Objections to either (a) agree  
19 in writing to de-designate Documents, Testimony or Information pursuant to any or all of the  
20 Designation Objections and/or (b) file a motion with the Court seeking to uphold any or all  
21 designations on Documents, Testimony or Information addressed by the Designation Objections (the  
22 “Designation Motion”). Pending a resolution of the Designation Motion by the Court, any and all  
23 existing designations on the Documents, Testimony or Information at issue in such Motion shall  
24 remain in place. The Designating Party shall have the burden on any Designation Motion of  
25 establishing the applicability of its “Confidential” designation. In the event that the Designation  
26 Objections are neither timely agreed to nor timely addressed in the Designation Motion, then such  
27 Documents, Testimony or Information shall be de-designated in accordance with the Designation  
28 Objection applicable to such material.

- 1           7.       Access to and/or Disclosure of Confidential Materials designated as “Confidential”  
2 shall be permitted only to the following persons:
- 3           a.       The Court;
  - 4           b.       (1) Attorneys of record in the Proceedings and their affiliated attorneys, paralegals,  
5           clerical and secretarial staff employed by such attorneys who are actively involved in  
6           the Proceedings and are not employees of any Party. (2) In-house counsel to the  
7           undersigned Parties and the paralegal, clerical and secretarial staff employed by such  
8           counsel. Provided, however, that each non-lawyer given access to Confidential  
9           Materials shall be advised that such Materials are being Disclosed pursuant to, and are  
10          subject to, the terms of this Stipulation and Protective Order and that they may not be  
11          Disclosed other than pursuant to its terms;
  - 12          c.       Those officers, directors, partners, members, employees and agents of all non-  
13          designating Parties that counsel for such Parties deems necessary to aid counsel in the  
14          prosecution and defense of this Proceeding; provided, however, that prior to the  
15          Disclosure of Confidential Materials to any such officer, director, partner, member,  
16          employee or agent, counsel for the Party making the Disclosure shall deliver a copy of  
17          this Stipulation and Protective Order to such person, shall explain that such person is  
18          bound to follow the terms of such Order, and shall secure the signature of such person  
19          on a statement in the form attached hereto as Exhibit A;
  - 20          d.       Court reporters in this Proceeding (whether at depositions, hearings, or any other  
21          proceeding);
  - 22          e.       Any deposition, trial or hearing witness in the Proceeding who previously has had  
23          access to the Confidential Materials, or who is currently or was previously an officer,  
24          director, partner, member, employee or agent of an entity that has had access to the  
25          Confidential Materials;
  - 26          f.       Any deposition or non-trial hearing witness in the Proceeding who previously did not  
27          have access to the Confidential Materials; provided, however, that each such witness  
28          given access to Confidential Materials shall be advised that such Materials are being

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Disclosed pursuant to, and are subject to, the terms of this Stipulation and Protective Order and that they may not be Disclosed other than pursuant to its terms;

g. Mock jury participants, provided, however, that prior to the Disclosure of Confidential Materials to any such mock jury participant, counsel for the Party making the Disclosure shall deliver a copy of this Stipulation and Protective Order to such person, shall explain that such person is bound to follow the terms of such Order, and shall secure the signature of such person on a statement in the form attached hereto as **Exhibit A.**

h. Outside experts or expert consultants consulted by the undersigned Parties or their counsel in connection with the Proceeding, whether or not retained to testify at any oral hearing; provided, however, that prior to the Disclosure of Confidential Materials to any such expert or expert consultant, counsel for the Party making the Disclosure shall deliver a copy of this Stipulation and Protective Order to such person, shall explain its terms to such person, and shall secure the signature of such person on a statement in the form attached hereto as Exhibit A. It shall be the obligation of counsel, upon learning of any breach or threatened breach of this Stipulation and Protective Order by any such expert or expert consultant, to promptly notify counsel for the Designating Party of such breach or threatened breach; and

i. Any other person that the Designating Party agrees to in writing.

8. Confidential Materials shall be used by the persons receiving them only for the purposes of preparing for, conducting, participating in the conduct of, and/or prosecuting and/or defending the Proceeding, and not for any business or other purpose whatsoever.

9. Any Party to the Proceeding (or other person subject to the terms of this Stipulation and Protective Order) may ask the Court, after appropriate notice to the other Parties to the Proceeding, to modify or grant relief from any provision of this Stipulation and Protective Order.

10. Entering into, agreeing to, and/or complying with the terms of this Stipulation and Protective Order shall not:

a. Operate as an admission by any person that any particular Document, Testimony or



1 Information marked “Confidential” contains or reflects trade secrets, proprietary,  
2 confidential or competitively sensitive business, commercial, financial or personal  
3 information; or

4 b. Prejudice in any way the right of any Party (or any other person subject to the terms of  
5 this Stipulation and Protective Order):

6 i. To seek a determination by the Court of whether any particular Confidential  
7 Material should be subject to protection as “Confidential” under the terms of  
8 this Stipulation and Protective Order; or

9 ii. To seek relief from the Court on appropriate notice to all other Parties to the  
10 Proceeding from any provision(s) of this Stipulation and Protective Order,  
11 either generally or as to any particular Document, Material or Information.

12 11. Any Party to the Proceeding who has not executed this Stipulation and Protective Order  
13 as of the time it is presented to the Court for signature may thereafter become a Party to this  
14 Stipulation and Protective Order by its counsel’s signing and dating a copy thereof and filing the same  
15 with the Court, and serving copies of such signed and dated copy upon the other Parties to this  
16 Stipulation and Protective Order.

17 12. Any Information that may be produced by a non-Party witness in discovery in the  
18 Proceeding pursuant to subpoena or otherwise may be designated by such non-Party as “Confidential”  
19 under the terms of this Stipulation and Protective Order, and any such designation by a non-Party shall  
20 have the same force and effect, and create the same duties and obligations, as if made by one of the  
21 undersigned Parties hereto. Any such designation shall also function as a consent by such producing  
22 Party to the authority of the Court in the Proceeding to resolve and conclusively determine any motion  
23 or other application made by any person or Party with respect to such designation, or any other matter  
24 otherwise arising under this Stipulation and Protective Order.

25 13. If any person subject to this Stipulation and Protective Order who has custody of any  
26 Confidential Materials receives a subpoena or other process (“Subpoena”) from any government or  
27 other person or entity demanding production of Confidential Materials, the recipient of the Subpoena  
28 shall promptly give notice of the same by electronic mail transmission, followed by either express

1 mail or overnight delivery to counsel of record for the Designating Party, and shall furnish such  
2 counsel with a copy of the Subpoena. Upon receipt of this notice, the Designating Party may, in its  
3 sole discretion and at its own cost, move to quash or limit the Subpoena, otherwise oppose production  
4 of the Confidential Materials, and/or seek to obtain confidential treatment of such Confidential  
5 Materials from the subpoenaing person or entity to the fullest extent available under law. The recipient  
6 of the Subpoena may not produce any Documents, Testimony or Information pursuant to the  
7 Subpoena prior to the date specified for production on the Subpoena.

8 14. Nothing in this Stipulation and Protective Order shall be construed to preclude either  
9 Party from asserting in good faith that certain Confidential Materials require additional protection.  
10 The Parties shall meet and confer to agree upon the terms of such additional protection.

11 15. If, after execution of this Stipulation and Protective Order, any Confidential Materials  
12 submitted by a Designating Party under the terms of this Stipulation and Protective Order is Disclosed  
13 by a non-Designating Party to any person other than in the manner authorized by this Stipulation and  
14 Protective Order, the non-Designating Party responsible for the Disclosure shall bring all pertinent  
15 facts relating to the Disclosure of such Confidential Materials to the immediate attention of the  
16 Designating Party.

17 16. This Stipulation and Protective Order is entered into without prejudice to the right of  
18 any Party to knowingly waive the applicability of this Stipulation and Protective Order to any  
19 Confidential Materials designated by that Party. If the Designating Party uses Confidential Materials  
20 in a non-Confidential manner, then the Designating Party shall advise that the designation no longer  
21 applies.

22 17. Without written permission from the Designating Party or a court order secured after  
23 appropriate notice to all interested persons, a Party may not file in the public record in this action any  
24 Confidential Material. Confidential Material may only be filed under seal pursuant to a court order  
25 authorizing the sealing of the specific Confidential Material at issue. However, the designation of  
26 material as confidential, without more, is insufficient to obtain a sealing order. Any party that seeks to  
27 file Confidential Material under seal must comply with Local Rule 141, which governs motions for a  
28 sealing order. As provided in Local Rule 141, a sealing order will issue only upon a request



1 establishing that the Confidential Material at issue is privileged, protectable as a trade secret, or  
2 otherwise entitled to protection under the law. Further, the briefing on the motion for a sealing order  
3 shall address U.S. Supreme Court and Ninth Circuit standards for whether the material may be filed  
4 under seal. Regardless of which party files the motion for a sealing order, the party that designated the  
5 material as confidential shall file a brief addressing those standards, and shall have the burden of  
6 establishing that the Confidential Material should be filed but not made publicly available.

7 18. The Parties shall meet and confer regarding the procedures for use of Confidential  
8 Materials at trial and shall move the Court for entry of an appropriate order.

9 19. Nothing in this Stipulation and Protective Order shall affect the admissibility into  
10 evidence of Confidential Materials or abridge the rights of any person to seek judicial review or to  
11 pursue other appropriate judicial action with respect to any ruling made by the Court concerning the  
12 issue of the status of Protected Material.

13 20. This Stipulation and Protective Order shall continue to be binding after the conclusion  
14 of this Proceeding and all subsequent proceedings arising from this Proceeding, except that a Party  
15 may seek the written permission of the Designating Party or may move the Court for relief from the  
16 provisions of this Stipulation and Protective Order. To the extent permitted by law, the Court shall  
17 retain jurisdiction to enforce, modify, or reconsider this Stipulation and Protective Order, even after  
18 the Proceeding is terminated.

19 21. Upon written request made within thirty (30) days after the settlement or other  
20 termination of the Proceeding, the undersigned Parties shall have thirty (30) days to either (a)  
21 promptly return to counsel for each Designating Party all Confidential Materials and all copies thereof  
22 (except that counsel for each Party may maintain in its files, in continuing compliance with the terms  
23 of this Stipulation and Protective Order, all work product, one copy of each pleading filed with the  
24 Court, and one copy of each deposition together with the exhibits marked at the deposition – which  
25 shall be treated as confidential per the terms of the Protective Order), (b) agree with counsel for the  
26 Designating Party upon appropriate methods and certification of destruction or other disposition of  
27 such Confidential Materials, or (c) as to any Documents, Testimony or other Information not  
28 addressed by sub-paragraphs (a) and (b), file a motion seeking a Court order regarding proper

1 preservation of such Materials. To the extent permitted by law the Court shall retain continuing  
2 jurisdiction to review and rule upon the motion referred to in sub-paragraph (c) herein.

3 22. After this Stipulation and Protective Order has been signed by counsel for all Parties, it  
4 shall be presented to the Court for entry. Counsel agree to be bound by the terms set forth herein with  
5 regard to any Confidential Materials that have been produced before the Court signs this Stipulation  
6 and Protective Order.

7 23. The Parties and all signatories to the Certification attached hereto as Exhibit A agree to  
8 be bound by this Stipulation and Protective Order pending its approval and entry by the Court. In the  
9 event that the Court modifies this Stipulation and Protective Order, or in the event that the Court  
10 enters a different Protective Order, the Parties agree to be bound by this Stipulation and Protective  
11 Order until such time as the Court may enter such a different Order. It is the Parties' intent to be  
12 bound by the terms of this Stipulation and Protective Order pending its entry so as to allow for  
13 immediate production of Confidential Materials under the terms herein.

14 This Stipulation and Protective Order may be executed in counterparts.

15  
16 DATED: October 7, 2020

THE BARRY LAW FIRM

17  
18 By: /s/ Elizabeth Quinn

19 DAVID N. BARRY, ESQ.  
20 ELIZABETH QUINN, ESQ.  
Attorney for Plaintiff,  
Conrado Herrera

21 DATED:

LEWIS BRISBOIS BISGAARD & SMITH LLP

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23 By: /s/ Shahram Nassi

24 Shahram Nassi  
25 John W. Faulconer  
26 Attorneys for Defendant, FCA US, LLC  
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**ORDER**

GOOD CAUSE APPEARING, the Court hereby approves this Stipulation and Protective Order.

**IT IS SO ORDERED.**

Dated: October 7, 2020

  
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JUDGE OF THE DISTRICT COURT

**CERTIFICATION RE CONFIDENTIAL DISCOVERY MATERIALS**

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I hereby acknowledge that I, \_\_\_\_\_ [NAME],

\_\_\_\_\_ [POSITION AND EMPLOYER], am about

to receive Confidential Materials supplied in connection with the Proceeding, (United State District Court, Eastern District of California, Bakersfield Division Case No. 1:20-cv-01016-NONE-JLT). I certify that I understand that the Confidential Materials are provided to me subject to the terms and restrictions of the Stipulation and Protective Order filed in this Proceeding. I have been given a copy of the Stipulation and Protective Order; I have read it, and I agree to be bound by its terms.

I understand that Confidential Materials, as defined in the Stipulation and Protective Order, including any notes or other records that may be made regarding any such materials, shall not be Disclosed to anyone except as expressly permitted by the Stipulation and Protective Order. I will not copy or use, except solely for the purposes of this Proceeding, any Confidential Materials obtained pursuant to this Protective Order, except as provided therein or otherwise ordered by the Court in the Proceeding.

I further understand that I am to retain all copies of all Confidential Materials provided to me in the Proceeding in a secure manner, and that all copies of such Materials are to remain in my personal custody until termination of my participation in this Proceeding, whereupon the copies of such Materials will be returned to counsel who provided me with such Materials.

I declare under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct. Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, at \_\_\_\_\_.

Dated: \_\_\_\_\_

By: \_\_\_\_\_

Signature

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City, State, Zip

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Telephone Number

