	Case 1:21-cv-00648-AWI-SAB Doc	ument 5	Filed 07/20/21	Page 1 of 17	
1					
2					
3					
4 5					
6					
7					
8					
9	UNITED STATES DISTRICT COURT				
10	EASTERN DISTRICT OF CALIFORNIA				
11	PRINCE PAUL RAYMOND WILLIAMS,	Case N	o. 1:21-cv-00648	-AWI-SAB	
12	Plaintiff,		FINDINGS AND RECOMMENDATIONS		
13	V.	COMP	RECOMMENDING THAT PLAINTIFF'S COMPLAINT BE DISMISSED FOR FAILURE TO STATE A CLAIM AND THIS MATTER BE DISMISSED FOR FAILURE		
14	COUNTY OF FRESNO, et al.,	MATT			
15	Defendants.		TO COMPLY WITH MAY 27, 2021 COURT ORDER AND FAILURE TO PROSECUTE		
16		(ECF N	Jos. 1, 4)		
17		OBJEC DAYS	CTIONS DUE WI	THIN THIRTY	
18					
19	Prince Paul Raymond Williams ("Plaintiff"), proceeding pro se and in forma pauperis,				
20	filed this civil rights action pursuant to 42 U.S.C. § 1983. The matter was referred to a United				
21	States magistrate judge pursuant to 28 U.S.C. § 636(b)(1)(B) and Local Rule 302.				
22	Plaintiff's complaint was screened and on May 27, 2021, a screening order issued finding				
23	that Plaintiff had failed to state any cognizable claims in this action and granting him thirty days				
24	in which to file an amended complaint. More than thirty days have passed and Plaintiff has				
25	neither filed an amended complaint nor otherwise responded to the May 27, 2021 order. For the				
26	reasons discussed herein, it is recommended that the complaint be dismissed for failure to state a				
27	cognizable claim and this action be dismissed for failure to comply with a court order and failure				
28	to prosecute.				

I.

1

2

SCREENING REQUIREMENT

3 Notwithstanding any filing fee, the court shall dismiss a case if at any time the Court 4 determines that the complaint "(i) is frivolous or malicious; (ii) fails to state a claim on which 5 relief may be granted; or (iii) seeks monetary relief against a defendant who is immune from such relief." 28 U.S.C. § 1915(e)(2); see Lopez v. Smith, 203 F.3d 1122, 1129 (9th Cir. 2000) 6 7 (section 1915(e) applies to all in forma pauperis complaints, not just those filed by prisoners); 8 Calhoun v. Stahl, 254 F.3d 845 (9th Cir. 2001) (dismissal required of in forma pauperis 9 proceedings which seek monetary relief from immune defendants); Cato v. United States, 70 F.3d 1103, 1106 (9th Cir. 1995) (district court has discretion to dismiss in forma pauperis 10 complaint under 28 U.S.C. § 1915(e)); Barren v. Harrington, 152 F.3d 1193 (9th Cir. 1998) 11 12 (affirming sua sponte dismissal for failure to state a claim). The Court exercises its discretion to screen the plaintiff's complaint in this action to determine if it "(i) is frivolous or malicious; (ii) 13 14 fails to state a claim on which relief may be granted; or (iii) seeks monetary relief against a 15 defendant who is immune from such relief." 28 U.S.C. § 1915(e)(2).

In determining whether a complaint fails to state a claim, the Court uses the same
pleading standard used under Federal Rule of Civil Procedure 8(a). A complaint must contain "a
short and plain statement of the claim showing that the pleader is entitled to relief. . . ." Fed. R.
Civ. P. 8(a)(2). Detailed factual allegations are not required, but "[t]hreadbare recitals of the
elements of a cause of action, supported by mere conclusory statements, do not suffice."
<u>Ashcroft v. Iqbal</u>, 556 U.S. 662, 678 (2009) (citing <u>Bell Atlantic Corp. v. Twombly</u>, 550 U.S.
544, 555 (2007)).

In reviewing the pro se complaint, the Court is to liberally construe the pleadings and accept as true all factual allegations contained in the complaint. <u>Erickson v. Pardus</u>, 551 U.S. 89, 94 (2007). Although a court must accept as true all factual allegations contained in a complaint, a court need not accept a plaintiff's legal conclusions as true. <u>Iqbal</u>, 556 U.S. at 678. "[A] complaint [that] pleads facts that are 'merely consistent with' a defendant's liability . . . 'stops short of the line between possibility and plausibility of entitlement to relief.'" <u>Id.</u> (quoting <u>Twombly</u>, 550 U.S. at 557). Therefore, the complaint must contain sufficient factual content for
 the court to draw the reasonable conclusion that the defendant is liable for the misconduct
 alleged. <u>Iqbal</u>, 556 U.S. at 678.

II.

COMPLAINT ALLEGATIONS

Plaintiff brings this action against the County of Fresno and Judge Amy Guerra on the
basis of federal question and diversity of citizenship. (Compl. 2, 3,¹ ECF No. 1.) Plaintiff
contends that the defendants falsely accused him of kidnapping his minor child and relocated the
child out of the state . (Id. at 4.) The child's mother provided the court with false address
information. (Id.) Defendant Guerra and Ms. Browns, court appointed counsel for the child, do
not know the child's address. (Id.) The child's mother made verbal threats of harm against
Plaintiff in the presence of the child. (Id.) Plaintiff is seeking monetary damages. (Id.)

On February 4, 2019, Judge Tharpe granted Plaintiff sole legal and physical custody of his minor child, Khiren Williams. (Id. at ¶ 8.) On September 17, 2020, Defendant Guerra granted the child's mother sole legal and physical custody. (Id. at ¶ 9.) The custody ordered provided that "the child shall reside with the father as mutually agreed upon between the parties" and "Neither parent shall remove the child from the State of California, County of Fresno for the purpose of changing the child's residence." (Id.) Defendant Guerra knew that the residency of Khiren's mother was Las Vegas, Nevada. (Id.)

On October 2, 2020, Defendant Guerra ordered that Plaintiff could have supervised visits
in Las Vegas, Nevada. (<u>Id.</u> at ¶ 10.) The order provided that Plaintiff would be responsible for
100% of the cost of visitation. (<u>Id.</u>)

On October 26, 2020, Plaintiff and the mother were ordered to report to the family court
on November 16, 2020, at 8:25 a.m. (Id. at ¶ 11.) On November 16, 2020, Defendant Guerra
appointed Cheryl Browns as counsel for Khiren. (Id. at ¶ 13.) The court ordered that the parents
would have joint legal custody with the father having sole physical custody and the mother's

27

4

 ¹ All references to pagination of specific documents pertain to those as indicated on the upper right corners via the CM/ECF electronic court docketing system.

Case 1:21-cv-00648-AWI-SAB Document 5 Filed 07/20/21 Page 4 of 17

contact with Khiren was limited to participating in supervised visits unless otherwise agreed
 upon by the parents. (<u>Id.</u> at ¶ 12.) In November 2020, Plaintiff informed Browns that he did not
 want her to represent Khiren. (<u>Id.</u> at ¶ 14.)

On December 4, 2020, the court ordered sole and physical custody to the mother with no
visitation to Plaintiff claiming a risk of abduction pursuant to FCS 3048(b)(1). (<u>Id.</u> at ¶ 15.)
Defendant Guerra ordered that Plaintiff could not remove Khiren from the county, state, or
country. (<u>Id.</u>)

8 In February 2021, Ms. Browns coordinated Zoom meetings between Plaintiff and Khiren. 9 (Id. at ¶ 16.) In March of 2021, Ms. Browns coordinated a spring break visit between Plaintiff and Khiren. (Id. at ¶ 17.) On March 30, 2021, Plaintiff and Khiren met with Ms. Browns at her 10 11 office for the purpose of Ms. Browns personally meeting Khiren and to discuss custody and 12 visitation, including spring break and summer break child exchanges between the parents. (Id. at 13 (18.) Ms. Browns spoke with Khiren, acknowledging Khiren's desire to return to Plaintiff's 14 home permanently. (Id.) Ms. Browns emphasized to Plaintiff the need to respect the court's 15 authority. (Id.)

Ms. Browns made the following recommendations. At the conclusion of spring break, 16 Plaintiff would travel to Las Vegas to return Khiren to his mother. (Id. at ¶ 19.) At the start of 17 18 summer, Khiren would return to Plaintiff for a period of three to four weeks, return to the mother 19 for three to four weeks, and then return to Plaintiff for the remainder of summer break. (Id.) Ms. 20 Browns explained to Plaintiff that the exchanges were a test of the parties ability to exchange 21 Khiren in a manner in the child's best interest. (Id.) Ms. Browns informed Plaintiff that she 22 would recommend giving custody to Plaintiff as it was Khiren's wish. (Id.) Plaintiff agreed with 23 the recommendations. (Id. at \P 20.)

On April 4, 2021, Plaintiff traveled to Las Vegas to return Khiren to his mother. (<u>Id.</u> at ¶
21.) Plaintiff notified the mother by email of the estimated arrival time but she did not respond.
(<u>Id.</u> at ¶ 22.) When they arrived in Las Vegas, Plaintiff intended to return Khiren to the address
on file with the court, but Khiren informed him that they actually lived at a different location.
(<u>Id.</u> at ¶ 23.) Plaintiff took Khiren to the address he provided. (<u>Id.</u> at ¶ 24.)

Case 1:21-cv-00648-AWI-SAB Document 5 Filed 07/20/21 Page 5 of 17

When they went to the door of the apartment, Khiren's mother expressed anger and frustration and grabbed Khiren by the arm telling him to come inside. (Id. at II 25, 26.) Plaintiff attempted to give Khiren his belongings and say his goodbyes, but the mother grabbed Khiren telling him to come inside and called out for the cohabitant of the apartment to get up. (Id. at III 5 27, 28.) As Plaintiff was walking away, in the presence of Khiren, the mother stated, "You're lucky my brother isn't here because he'd. . . ." (Id. at II 29.) The mother recorded Plaintiff walking to his car and driving away. (Id. at II 30.)

8 A short time later, Plaintiff received a reply to the email that stated, "Location." (<u>Id.</u> at ¶
9 31.) Plaintiff called Ms. Browns leaving multiple voice mail messages regarding the exchange.
10 (<u>Id.</u> at ¶ 32.)

11 On April 5, 2021, Plaintiff emailed Khiren's mother that he was going to file for emergency custody of the child. (Id. at ¶ 33.) Plaintiff subsequently called Ms. Browns to 12 13 inform her of the events that had occurred during the exchange and that he did not want to 14 proceed with the proposed terms of visitation. (Id.) Ms. Browns told Plaintiff that they had an 15 agreement and he questioned her on why she did not know Khiren's address. (Id.) Ms. Browns 16 told him she was not aware of Khiren's address and Plaintiff stated that he was going to file an 17 ex parte motion with the court. (Id.) Ms. Browns told Plaintiff to file his motion and they would 18 see what the judge says. (Id.)

On this same date, Khiren's mother emailed Plaintiff asking him to help pay for extra
activity, dental care, therapy, a tutor, or to plan a summer trip with Khiren so he could benefit
and help develop their son. (Id. at ¶ 35.) She also stated that that is not what interests Plaintiff
and that was clear to everyone. (Id.)

The court has not provided a remedy for Plaintiff; he has not had contact with Khiren's mother, Ms. Browns, or Khiren; and the mother has made financial demands by email stating, "Another payment coming to you that I would like to use for Kiren's Therapy. Can you pay the therapist directly with the payment you will be getting this Friday?" (Id. at ¶¶ 36, 37, 40.) Ms. Browns had sent a letter in November 2020 requesting the therapist's contact information if counseling had been ordered for Khiren. (Id. at ¶ 40.) The mother has not provided proof of a

Case 1:21-cv-00648-AWI-SAB Document 5 Filed 07/20/21 Page 6 of 17

1 therapist or counselor to the court, Ms. Browns, or Plaintiff. (Id.)

Plaintiff asserts claims of violation of oath of office pursuant to 5 U.S.C. § 3331 and 28
U.S.C. § 544, discrimination because he and Khiren are African American and state law claims
of negligence, intentional affliction of emotional distress, and breach of contract. (<u>Id.</u>, pp. 1720.)

6 For the reasons discussed below, Plaintiff has failed to state a claim for a violation of his7 federal rights.

III.

DISCUSSION

Plaintiff's complaint is replete with citations to constitutional amendments, statutory citations, and case law but fails to specifically bring any federal claim other than violation of oath of office under 5 U.S.C. § 3331 and 28 U.S.C. § 544 and discrimination. Plaintiff may also be attempting to bring the claims against Ms. Browns although she is not specifically named as a defendant in the complaint. The Court will not address every citation in the complaint but only those statutory or constitutional citations that appear applicable to the facts alleged.

16

8

9

A. Jurisdiction

17 Plaintiff states that one of the basis of jurisdiction in this action is diversity of citizenship. 18 (Compl., p. 3.) Federal courts are courts of limited jurisdiction and their power to adjudicate is 19 limited to that granted by Congress. U.S. v. Sumner, 226 F.3d 1005, 1009 (9th Cir. 2000). 20 District courts have original jurisdiction of all civil actions between citizens of different States in 21 which "the matter in controversy exceeds the sum or value of \$75,000, exclusive of interest and 22 costs." 28 U.S.C. § 1332(a). This requires complete diversity of citizenship and the presence 23 "of a single plaintiff from the same State as a single defendant deprives the district court of 24 original diversity jurisdiction over the entire action." Abrego Abrego v. The Dow Chemical Co., 25 443 F.3d 676, 679 (9th Cir. 2006) (citations omitted).

Here, Plaintiff alleges that he is a citizen of California and he is bringing this action against Defendant Guerra who is a judge for the Superior Court of California, Fresno County. An individual's domicile is determined by "physical presence at a given location and an intent to

remain there indefinitely." Lew v. Moss, 797 F.2d 747, 752 (9th Cir. 1986). Defendant Guerra 1 2 would reasonably be a citizen of California.

3

Plaintiff also names the County of Fresno as a defendant in this action. For the purposes 4 of diversity, the County of Fresno is a citizen of the State of California. Moor v. Alameda Cty., 5 411 U.S. 693, 717 (1973) see also Lewis v. AT&T, Inc., No. 2:20-CV-461-KJM-EFB PS, 2020 WL 3642360, at *2 (E.D. Cal. July 6, 2020) (county agencies are citizens of State for purpose of 6 7 diversity of citizenship); 147 A.L.R. 786 (originally published in 1943) ("Counties have been 8 recognized as corporations, and as such citizens, for the purpose of suits based on diverse 9 citizenship in the Federal court.")

10 Since Plaintiff and the named defendants are all citizens of California, diversity of 11 citizenship does not exist in this action.

12 Jurisdiction in this action must therefore be based on a federal question. Pursuant to 28 U.S. C. § 1331, federal courts have original jurisdiction over "all civil actions arising under the 13 14 Constitution, laws, or treaties of the United States. "A case 'arises under' federal law either 15 where federal law creates the cause of action or where the vindication of a right under state law necessarily turns on some construction of federal law." Republican Party of Guam v. Gutierrez, 16 17 277 F.3d 1086, 1088 (9th Cir. 2002) (internal punctuation omitted) (quoting Franchise Tax Bd. v. Construction Laborers Vacation Trust, 463 U.S. 1, 8–9 (1983) (citations omitted)). "[T]he 18 19 presence or absence of federal-question jurisdiction is governed by the 'well-pleaded complaint 20 rule,' which provides that federal jurisdiction exists only when a federal question is presented on 21 the face of the plaintiff's properly pleaded complaint." Republican Party of Guam, 277 F.3d at 22 1089 (citations omitted).

23

B. Section 1983

24 Section 1983 provides a cause of action for the violation of a plaintiff's constitutional or 25 other federal rights by persons acting under color of state law. Nurre v. Whitehead, 580 F.3d 1087, 1092 (9th Cir 2009); Long v. County of Los Angeles, 442 F.3d 1178, 1185 (9th Cir. 2006); 26 27 Jones v. Williams, 297 F.3d 930, 934 (9th Cir. 2002). To state a claim under section 1983, a 28 plaintiff is required to show that (1) each defendant acted under color of state law and (2) each

Case 1:21-cv-00648-AWI-SAB Document 5 Filed 07/20/21 Page 8 of 17

defendant deprived him of rights secured by the Constitution or federal law. Long, 442 F.3d at
 1185. To state a claim, Plaintiff must demonstrate that each defendant personally participated in
 the deprivation of his rights. Jones, 297 F.3d at 934.

4

1.

Ms. Browns is not acting under color of state law

5 To the extent that Plaintiff seeks to bring this action against his child's court appointed counsel, she is not acting under color of state law in representing Khiren. It is well established 6 7 that court appointed attorneys are not acting under color of state law for § 1983 purposes but 8 rather act as an advocate for their client. Polk v. Dodson, 454 U.S. 312, 325 (1981) (a court 9 appointed attorney representing an indigent client does not act under color of state law when performing the traditional functions of a lawyer); Miranda v. Clark County of Nevada, 319 F.3d 10 11 465, 468 (9th Cir. 2003) (upholding dismissal of complaint on basis that public defender was not 12 acting on behalf of county for purposes of § 1983 in representing plaintiff's interests); Walters v. Mason, No. 215CV0822KJMCMKP, 2017 WL 6344319, at *2-3 (E.D. Cal. Dec. 12, 2017); 13 14 Forte v. Merced Cty., No. 1:15-CV-0147 KJM-BAM, 2016 WL 159217, at *12-13 (E.D. Cal. 15 Jan. 13, 2016), report and recommendation adopted, No. 1:15-CV-0147-KJM-BAM, 2016 WL 16 739798 (E.D. Cal. Feb. 25, 2016); Torres v. Saba, No. 16-CV-06607-SI, 2017 WL 86020, at *3-17 4 (N.D. Cal. Jan. 10, 2017) ("A public defender does not act under color of state law, an essential 18 element of a claim under § 1983, when performing a lawyer's traditional functions, such as 19 entering pleas, making motions, objecting at trial, cross-examining witnesses, and making 20 closing arguments."); Hall v. Quillen, 631 F.2d 1154, 1156 (4th Cir. 1980) (court appointed 21 attorney representing plaintiff in involuntary commitment proceedings is not a state actor); 22 Harkins v. Eldredge, 505 F.2d 802, 805 (8th Cir. 1974) (the conduct of an attorney, whether 23 retained or appointed, does not constitute action under color of state law).

Here, the actions complained of are clearly related to Ms. Browns actions on behalf of her client in her capacity of representing him in the state court action. Ms. Browns is not a state actor and Plaintiff cannot state a claim against her under section 1983.

27

28

2. <u>Equal Protection</u>

Plaintiff brings a discrimination claim based on the fact that he and the minor are both

Case 1:21-cv-00648-AWI-SAB Document 5 Filed 07/20/21 Page 9 of 17

African America. The Court construes this as an equal protection claim. There are two ways for 1 2 a plaintiff to state an equal protection claim. A plaintiff can state a claim for violation of the 3 Equal Protection Clause, by showing "that the defendant acted with an intent or purpose to 4 discriminate against him based upon his membership in a protected class." Serrano v. Francis, 5 345 F.3d 1071, 1082 (9th Cir. 2003). Intentional in this context means that the defendant acted, at least in part, because of the plaintiff's membership in a protected class. Serrano, 345 F.3d at 6 7 1082. Alternately, the plaintiff can state a claim by alleging that he was intentionally treated 8 differently than similarly situated individuals and there was no rational basis for the difference in 9 treatment. Thornton v. City of St. Helens, 425 F.3d 1158, 1167 (2005); Village of Willowbrook v. Olech, 528 U.S. 562, 564 (2000). 10

11 Here, Plaintiff alleges that he and Khiren are African American, a protected status, and that the acts of Defendant Guerra and Browns are due to intentional discrimination. However, 12 13 there are no facts alleged to indicate intentional discrimination but the acts complained appear to 14 be an attempt by the state court and appointed counsel to address the custody of the minor given 15 the contentious relationship between Plaintiff and the child's mother. Plaintiff's conclusory 16 allegations of discrimination are not entitled to a presumption of truth and there are no facts 17 alleged in the complaint by which the Court can reasonably infer discriminatory intent by any 18 named defendant. Iqbal, 556 U.S. at 678.

19

C. Section 1986

Section 1985 prohibits private individuals from conspiring to deprive another person of their civil rights. <u>Griffin v. Breckenridge</u>, 403 U.S. 88, 96 (1971). The section applicable here would be 1985(3) which protects against conspiracies to deprive a person from equal protection of the law. 42 U.S.C. § 1985(3). "The elements of a § 1985(3) claim are: (1) the existence of a conspiracy to deprive the plaintiff of the equal protection of the laws; (2) an act in furtherance of the conspiracy and (3) a resulting injury." <u>Addisu v. Fred Meyer, Inc.</u>, 198 F.3d 1130, 1141 (9th Cir. 2000).

To state a claim under section 1985(3), a plaintiff allege sufficient facts to show (deprivation of a right motived by 'some racial, or perhaps otherwise class-based, invidiously

Case 1:21-cv-00648-AWI-SAB Document 5 Filed 07/20/21 Page 10 of 17

discriminatory animus behind the conspirators' actions."" RK Ventures, Inc. v. City of Seattle, 1 2 307 F.3d 1045, 1056 (9th Cir. 2002) (quoting Sever v. Alaska Pulp Corp., 978 F.2d 1529, 1536 3 (9th Cir. 1992)). The Ninth Circuit requires "either that the courts have designated the class in 4 question a suspect or quasi-suspect classification requiring more exacting scrutiny or that 5 Congress has indicated through legislation that the class required special protection." Sever, 978 F.2d at 1536. "The conspiracy . . . must aim at a deprivation of the equal enjoyment of rights 6 secured by the law to all." Orin v. Barclay, 272 F.3d 1207, 1217 (9th Cir. 2001) (quoting 7 8 Griffin, 403 U.S. at 102) (emphasis omitted). Section 42 U.S.C. § 1986 provides a cause of 9 action for damages for violation of section 1985. I.H. by & through Hunter v. Oakland Sch. for Arts, 234 F.Supp.3d 987, 994 (N.D. Cal. 2017); Trerice v. Pedersen, 769 F.2d 1398, 1403 (9th 10 11 Cir. 1985).

There are no facts alleged in the complaint that would implicate the existence of a conspiracy to violate Plaintiff's federal rights. Further, there are no facts alleged to demonstrate any racial or other class based discriminatory animus. Rather the acts alleged in the complaint demonstrate that the claims here are based on a dispute over the custody of Plaintiff's minor son and the court's adjudication of the issue. Plaintiff has failed to state a claim under section 1985.

17

D. There is No Private Right of Action for a Violation of Oath of Office

Plaintiff argues that Defendant Guerra and Ms. Browns took an oath to uphold and honor the United States Constitution and execute their duties faithfully and have not done so because they are not acting in the child's best interest, gathering evidence that bears on the child's best interest, and presenting the child's wishes to the court. (Compl. at ¶ 44.) Plaintiff contends that Defendant Guerra and Ms. Browns violated 5 U.S.C. § 3331 and 28 U.S.C. § 544 by failing to act in the child's best interest and knew that Khiren wishes to return home to Plaintiff and failed to take immediate and appropriate corrective measures. (Id. at ¶ 95.)

Pursuant to 28 U.S.C. § 544, "[e]ach United States attorney, assistant United States
attorney, and attorney appointed under section 543 of this title, before taking office, shall take an
oath to execute faithfully his duties. However, based on the allegations in the complaint, section
544 is inapplicable in this action. Neither Defendant Guerra nor the minor's counsel Ms.

Case 1:21-cv-00648-AWI-SAB Document 5 Filed 07/20/21 Page 11 of 17

Browns would reasonably be held to be a United States attorney, assistant United States attorney
 or a special attorney appointed by the Attorney General. Rather, Defendant Guerra is a state
 court judge and Ms. Browns is an attorney representing litigants in state court. The term attorney
 for the government in the federal rules does not include attorneys for state and local
 governments. Definition of Terms, 1 Fed. Prac. & Proc. Crim. § 23 (4th ed.).

6

7

8

9

10

The oath of office is set forth at 5 U.S.C. § 3331.

An individual, except the President, elected or appointed to an office of honor or profit in the civil service or uniformed services, shall take the following oath: "I, AB, do solemnly swear (or affirm) that I will support and defend the Constitution of the United States against all enemies, foreign and domestic; that I will bear true faith and allegiance to the same; that I take this obligation freely, without any mental reservation or purpose of evasion; and that I will well and faithfully discharge the duties of the office on which I am about to enter. So help me God."

11 Courts have found that there is no private right of action for plaintiff to enforce an alleged 12 of violation of the oath of office. Eleson v. Lizarraga, No. 2:19-CV-0112 KJN P, 2019 WL 4166799, at *5 (E.D. Cal. Sept. 3, 2019), appeal dismissed, No. 19-17435, 2019 WL 8128252 13 14 (9th Cir. Dec. 17, 2019); Smith v. United States, 2013 WL 2154004, at *1 (D. Mass. May 15, 15 2013) (finding that 5 U.S.C. §§ 3331 and 3332 do not "give rise to a private right of action in a 16 civil context"); Gudgel v. Cty. of Okanogan, No. CV-12-108-RHW, 2012 WL 3637431, at *4 17 (E.D. Wash. Aug. 22, 2012) (recognizing that no private right of action exists under 5 U.S.C. § 18 3331). Plaintiff cannot bring a claim for violation of the oath of office.

19

E. Judicial Immunity

20 Plaintiff brings this claim against Defendant Guerra who is a state court judge presiding 21 over his case in family court. Absolute judicial immunity is afforded to judges for acts 22 performed by the judge that relate to the judicial process. In re Castillo, 297 F.3d 940, 947 (9th 23 Cir. 2002), as amended (Sept. 6, 2002). "This immunity reflects the long-standing 'general 24 principle of the highest importance to the proper administration of justice that a judicial officer, in exercising the authority vested in him, shall be free to act upon his own convictions, without 25 apprehension of personal consequences to himself." <u>Olsen v. Idaho State Bd. of Med.</u>, 363 26 F.3d 916, 922 (9th Cir. 2004) (quoting Bradley v. Fisher, 13 Wall. 335, 347 (1871)). This 27 judicial immunity insulates judges from suits brought under section 1983. Olsen, 363 F.3d at 28

1 923.

2 Absolute judicial immunity insulates the judge from actions for damages due to judicial 3 acts taken within the jurisdiction of the judge's court. Ashelman v. Pope, 793 F.2d 1072, 1075 4 (9th Cir. 1986). "Judicial immunity applies 'however erroneous the act may have been, and 5 however injurious in its consequences it may have proved to the plaintiff." Id. (quoting Cleavinger v. Saxner, 474 U.S. 193 (1985)). However, a judge is not immune where he acts in 6 the clear absence of jurisdiction or for acts that are not judicial in nature. Ashelman, 793 F.2d at 7 8 1075. Judicial conduct falls within "clear absence of all jurisdiction," where the judge "acted 9 with clear lack of all subject matter jurisdiction." Stone v. Baum, 409 F.Supp.2d 1164, 1174 (D. Ariz. 2005). 10

To determine if an act is judicial in nature, the court considers whether (1) the precise act
is a normal judicial function; (2) the events occurred in the judge's chambers; (3) the controversy
centered around a case then pending before the judge; and (4) the events at issue arose directly
and immediately out of a confrontation with the judge in his or her official capacity. <u>Duvall v.</u>
<u>Cty. of Kitsap</u>, 260 F.3d 1124, 1133 (9th Cir. 2001), as amended on denial of reh'g (Oct. 11,
2001) (quoting <u>Meek v. County of Riverside</u>, 183 F.3d 962, 967 (9th Cir. 1999)).

Here, Plaintiff is clearly bringing suit against Defendant Guerra for actions taken in her
judicial capacity over which she has jurisdiction. Plaintiff is challenging custody determinations
made by Defendant Guerra and disagrees that the custody findings have been in the best interest
of the minor. Defendant Guerra is entitled to absolute immunity for these clearly judicial actions
taken during the course of the state action. Plaintiff cannot bring a claim against Defendant
Guerra based on her handling of, or rulings made in, the state court proceeding.

23

F. There is No Private Right of Action Under Title 18

To the extent that Plaintiff also alleges violation of 18 U.S.C. §§ 242, 245, 1918, "the fact that a federal statute has been violated and some person harmed does not automatically give rise to a private cause of action in favor of that person." <u>Touche Ross & Co. v. Redington</u>, 442 U.S. 560, 568 (1979) (quoting <u>Cannon v. University of Chicago</u>, 441 U.S. 677, 688 (1979). Rather, the court is to consider if Congress intended to create the private right of action in the statute and

Case 1:21-cv-00648-AWI-SAB Document 5 Filed 07/20/21 Page 13 of 17

begins with the language of the statute itself. <u>Touche Ross & Co.</u>, 442 U.S. at 568. "Civil
 causes of action ... do not generally lie under the criminal statutes contained in Title 18 of the
 United States Code." <u>Del Elmer; Zachay v. Metzger</u>, 967 F. Supp. 398, 403 (S.D. Cal. 1997).

Here, the sections cited under Title 18 provide for fines and incarceration for criminal
offenses and do not set forth a private cause of action nor is there any language that would imply
that a cause of action exists to allow Plaintiff to seek a remedy for these criminal statutes in this
action.²

8

G. Municipal Liability

9 Plaintiff also brings this action against the County of Fresno. A local government unit may not be held responsible for the acts of its employees under a *respondeat superior* theory of 10 liability. Monell v. Department of Social Services, 436 U.S. 658, 691 (1978). Rather, a local 11 12 government unit may only be held liable if it inflicts the injury complained of through a policy or custom. Waggy v. Spokane County Washington, 594 F.3d 707, 713 (9th Cir. 2010). A 13 14 municipality can only be held liable for injuries caused by the execution of its policy or custom 15 or by those whose edicts or acts may fairly be said to represent official policy. Monell, 436 U.S. at 694. 16

17 Generally, to establish municipal liability, the plaintiff must show that a constitutional right was violated, the municipality had a policy, that policy was deliberately indifferent to 18 19 plaintiff's constitutional rights, and the policy was "the moving force" behind the constitutional 20 violation. Bd. of Cty. Comm'rs of Bryan Cty., Okl. v. Brown, 520 U.S. 397, 400 (1997); Burke 21 v. County of Alameda, 586 F.3d 725, 734 (9th Cir. 2009); Gibson v. County of Washoe, Nev., 290 F.3d 1175, 1185-86 (9th Cir. 2002). "The custom or policy must be a 'deliberate choice to 22 23 follow a course of action . . . made from among various alternatives by the official or officials 24 responsible for establishing final policy with respect to the subject matter in question." Castro 25 v. Cty. of Los Angeles, 833 F.3d 1060, 1075 (9th Cir. 2016) (quoting Pembaur v. City of

 ² Plaintiff also alleges a violation of 18 U.S.C. § 3524 which provides that the Attorney General cannot relocate any child in connection with any person under witness protection. However, this section is inapplicable in the instant case. Similarly, Plaintiff cites to 25 C.F.R. § 11.448 which applies to areas of Indian country and is inapplicable here.

<u>Cincinnati</u>, 475 U.S. 469, 483 (1986)). The deliberate indifference standard for municipalities is
 an objective inquiry. <u>Castro</u>, 833 F.3d at 1076.

"A plaintiff may . . . establish municipal liability by demonstrating that (1) the
constitutional tort was the result of a 'longstanding practice or custom which constitutes the
standard operating procedure of the local government entity;' (2) the tortfeasor was an official
whose acts fairly represent official policy such that the challenged action constituted official
policy; or (3) an official with final policy-making authority 'delegated that authority to, or
ratified the decision of, a subordinate.' <u>Price v. Sery</u>, 513 F.3d 962, 966 (9th Cir. 2008)
(quoting <u>Ulrich v. City & County of San Francisco</u>, 308 F.3d 968, 984–85 (9th Cir. 2002)).

10 A plaintiff seeking to impose liability upon a municipality is required to identify the 11 policy or custom that caused the constitutional injury. Bd. of Cty. Comm'rs of Bryan Cty., Okl., 12 520 U.S. at 403. A municipality may only be held liable for those deprivations that result "from the decisions of its duly constituted legislative body or of those officials whose acts may fairly be 13 14 said to be those of the municipality." Id. at 403-04. "Similarly, an act performed pursuant to a 15 'custom' that has not been formally approved by an appropriate decisionmaker may fairly 16 subject a municipality to liability on the theory that the relevant practice is so widespread as to 17 have the force of law." Id. at 404.

The complaint is devoid of any factual allegations to demonstrate a policy or custom that violated Plaintiff's federal rights. Plaintiff has not set forth any policy or custom nor has he stated a claim for a violation of his federal rights. Rather, here, Plaintiff is seeking to bring suit against the judge handling his child's custody and support issues. Plaintiff has failed to state a claim against Fresno County.

23

H. State Law Claims

Plaintiff also alleges violations of California law. The California Government Claims
Act requires that a tort claim against a public entity or its employees be presented to the
California Victim Compensation and Government Claims Board no more than six months after
the cause of action accrues. Cal. Gov't Code §§ 905.2, 910, 911.2, 945.4, 950-950.2.
Presentation of a written claim, and action on or rejection of the claim are conditions precedent

Case 1:21-cv-00648-AWI-SAB Document 5 Filed 07/20/21 Page 15 of 17

to suit. <u>State v. Superior Court of Kings County (Bodde)</u>, 32 Cal.4th 1234, 1239 (Cal. 2004);
<u>Shirk v. Vista Unified School District</u>, 42 Cal.4th 201, 209 (2007). To state a tort claim against a
public employee, a plaintiff must allege compliance with the California Tort Claims Act. Cal.
Gov't Code § 950.6; <u>Bodde</u>, 32 Cal.4th at 1244. "[F]ailure to allege facts demonstrating or
excusing compliance with the requirement subjects a compliant to general demurrer for failure to
state a cause of action." <u>Bodde</u>, 32 Cal.4th at 1239.

7 As Plaintiff has not alleged compliance with the Government Claims Act, he has failed to8 state a claim under California law.

IV.

10

9

DISMISSAL FOR FAILURE TO COMPLY AND FAILURE TO PROSECUTE

11 Plaintiff has failed to comply with the May 27, 2021 order granting him leave to file an 12 amended complaint. A court may dismiss an action based on a party's failure to prosecute an action, failure to obey a court order, or failure to comply with local rules. See, e.g. Ghazali v. 13 14 Moran, 46 F.3d 52, 53-54 (9th Cir. 1995) (dismissal for noncompliance with local rule); Ferdik 15 v. Bonzelet, 963 F.2d 1258, 1260-61 (9th Cir. 1992) (dismissal for failure to comply with an order to file an amended complaint); Carey v. King, 856 F.2d 1439, 1440-41 (9th Cir. 1988) 16 17 (dismissal for failure to comply with local rule requiring pro se plaintiffs to keep court apprised of address); Malone v. United States Postal Serv., 833 F.2d 128, 130 (9th Cir. 1987) (dismissal 18 19 for failure to comply with court order); <u>Henderson v. Duncan</u>, 779 F.2d 1421, 1424 (9th Cir. 20 1986) (dismissal for lack of prosecution and failure to comply with local rules).

21 "In determining whether to dismiss an action for lack of prosecution, the district court is 22 required to consider several factors: '(1) the public's interest in expeditious resolution of 23 litigation; (2) the court's need to manage its docket; (3) the risk of prejudice to the defendants; 24 (4) the public policy favoring disposition of cases on their merits; and (5) the availability of less drastic sanctions.' " Carey, 856 F.2d at 1440 (quoting Henderson v. Duncan, 779 F.2d 1421, 25 26 1423 (9th Cir. 1986)). These factors guide a court in deciding what to do, and are not conditions 27 that must be met in order for a court to take action. In re Phenylpropanolamine (PPA) Products 28 Liability Litigation, 460 F.3d 1217, 1226 (9th Cir. 2006) (citation omitted).

Case 1:21-cv-00648-AWI-SAB Document 5 Filed 07/20/21 Page 16 of 17

In this instance, the public's interest in expeditious resolution of the litigation and the
 Court's need to manage its docket weigh in favor of dismissal. <u>In re Phenylpropanolamine</u>
 (PPA) Products Liability Litigation, 460 F.3d at 1226. Plaintiff was ordered to file an amended
 complaint within thirty days of May 27, 2021. Plaintiff has neither filed an amended complaint
 nor otherwise responded to the Court's order.

Plaintiff's failure to comply with the orders of the Court hinders the Court's ability to
move this action towards disposition, and indicates that Plaintiff does not intend to diligently
litigate this action.

9 Since it appears that Plaintiff does not intend to litigate this action diligently there arises a
10 rebuttable presumption of prejudice to the defendants in this action. <u>In re Eisen</u>, 31 F.3d 1447,
11 1452-53 (9th Cir. 1994). This risk of prejudice may be rebutted if Plaintiff offers an excuse for
12 the delay. <u>In re Eisen</u>, 31 F.3d at 1453. Plaintiff has not responded to the May 27, 2021 order so
13 the risk of prejudice to the defendants also weighs in favor of dismissal.

The public policy in favor of deciding cases on their merits is greatly outweighed by the factors in favor of dismissal. It is Plaintiff's responsibility to move this action forward. This action can proceed no further without Plaintiff's cooperation and compliance with the order at issue. There is no operative pleading that states a claim in this matter and the action cannot simply remain idle on the Court's docket, unprosecuted. In this instance, the fourth factor does not outweigh Plaintiff's failure to comply with the Court's orders.

20 Finally, monetary sanctions are not available to induce compliance because Plaintiff is proceeding in forma pauperis in this action. Additionally, a court's warning to a party that their 21 22 failure to obey the court's order will result in dismissal satisfies the "consideration of 23 alternatives" requirement. Ferdik, 963 F.2d at 1262; Malone, 833 F.2d at 132-33; Henderson, 24 779 F.2d at 1424. The Court's May 27, 2021 order requiring Plaintiff to file an amended complaint expressly stated: "If Plaintiff fails to file an amended complaint in compliance with 25 26 this order, the Court will recommend to the district judge that this action be dismissed consistent 27 with the reasons stated in this order" (ECF No. 4 at 15:18-20.) Thus, Plaintiff had adequate warning that dismissal would result from his noncompliance with the Court's order. 28

1 The Court finds that the balance of the factors weighs in favor of dismissing this action 2 for Plaintiff's failure to comply with the May 27, 2021 order and failure to prosecute.

V.

CONCLUSION AND RECOMMENDATIONS

5 Plaintiff has failed to state a cognizable claim for a violation of his federal rights in this action. Further, considering the factors to be evaluated in determining whether to dismiss this 6 action for the failure to comply and failure to prosecute, the Court finds that the factors weigh in favor of dismissal of this action 8

Based on the foregoing, it is HEREBY RECOMMENDED that:

- 1. Plaintiff's complaint, filed April 19, 2021 be DISMISSED for failure to state a 10 cognizable claim; and
- 12 2. This matter be dismissed for Plaintiff's failure to comply with the May 27, 2021 13 order and failure to prosecute.

14 This findings and recommendations is submitted to the district judge assigned to this 15 action, pursuant to 28 U.S.C. § 636(b)(1)(B) and this Court's Local Rule 304. Within thirty (30) 16 **days** of service of this recommendation, Plaintiff may file written objections to this findings and recommendations with the court. Such a document should be captioned "Objections to 17 Magistrate Judge's Findings and Recommendations." The district judge will review the 18 19 magistrate judge's findings and recommendations pursuant to 28 U.S.C. § 636(b)(1)(C). 20 Plaintiff is advised that failure to file objections within the specified time may result in the 21 waiver of rights on appeal. Wilkerson v. Wheeler, 772 F.3d 834, 839 (9th Cir. 2014) (citing Baxter v. Sullivan, 923 F.2d 1391, 1394 (9th Cir. 1991)). 22

23

24

26

27

28

3

4

7

9

11

IT IS SO ORDERED.

Dated: July 19, 2021 25

A.B

UNITED STATES MAGISTRATE JUDGE