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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

LEONARD PAUL RUBIO,

Petitioner,

No. CIV S-05-0638 LKK DAD P

vs.

JEANNE S. WOODFORD,

Respondent.

FINDINGS AND RECOMMENDATIONS

_____/

Petitioner is a state prisoner proceeding pro se with an application for a writ of habeas corpus pursuant to 28 U.S.C. § 2254. Petitioner alleges that the decision of the California Board of Parole Hearings (hereinafter “Board”) to deny him parole at his second parole consideration hearing on February 26, 2002, violated his rights to due process and equal protection, his right to effective assistance of counsel, and the Americans with Disabilities Act (ADA). Upon careful consideration of the record and the applicable law, the undersigned will recommend that petitioner’s application for habeas corpus relief be denied.

PROCEDURAL BACKGROUND

Petitioner is confined pursuant to a judgment of conviction entered in the Solano County Superior Court in 1988. (Pet. at 2.) A jury found petitioner guilty of one count of second

1 degree murder, and it was determined that he used a firearm in the commission of the crime.
2 (Id.) On May 12, 1988, petitioner was sentenced to state prison for a term of fifteen years to life
3 with the possibility of parole. (Id.) The Superior Court stayed the two-year sentencing
4 enhancement for the use of a firearm. (Id.) Petitioner was also given credit for time served (603
5 days plus 302 days, per California Penal Code § 4019). (See Answer, Ex.1 at 2.)

6 Petitioner's initial parole consideration hearing, held on June 1, 1999, resulted in a
7 denial of parole. (Pet., Attach. D.) A subsequent parole consideration hearing was held on
8 February 26, 2002. (Pet., Attach. A - entitled "Hearing Transcripts.") On that date, a two-
9 member panel of the Board found petitioner not suitable and denied parole for two years. (Id. at
10 49-53.) Petitioner challenges that latter decision in this habeas action.

11 Petitioner filed an administrative appeal from the February 26, 2002, Board
12 decision on May 3, 2002. (Pet., Attach. D, entitled "Administrative Appeal (CDC 1040) and
13 Decision.") The Board's Office of Policy and Appeals denied petitioner's requests for relief,
14 except one. In this regard, it agreed with petitioner that "the panel had insufficient evidence to
15 conclude that he needs therapy." (Id. at 5.) In this regard, the Office of Policy and Appeals
16 stated that,

17 [a] review of the prisoner's psychiatric/psychological reports reveal
18 that the prisoner has no mental health disorder and there is no
19 recommendations [sic] for any form of therapy. Therefore, the
reference made regarding 'therapy' on page 52 of the Decision will
be deleted.

20 (Id.)

21 On February 27, 2004, petitioner filed a habeas petition challenging the 2002
22 parole denial in the Solano County Superior Court. (Pet., at unnumbered 5.) By order filed
23 March 4, 2004, the Solano County Superior Court denied the petition. (Pet., Attach. entitled
24 "Previous Court Orders.") Petitioner next challenged the 2002 parole denial by submitting a
25 habeas petition to the California Court of Appeal for the First Appellate District. (Id.) The state
26 appellate court summarily denied that petition on March 24, 2004. (Id.) Petitioner then filed a

1 habeas petition with the California Supreme Court that was summarily denied by order filed
2 March 16, 2005. (Id.)

3 Petitioner's federal habeas petition challenging the 2002 parole denial was
4 received for filing by this court on March 31, 2005.

5 ANALYSIS

6 I. Standards of Review Applicable to Habeas Corpus Claims

7 A writ of habeas corpus is available under 28 U.S.C. § 2254 only on the basis of
8 some transgression of federal law binding on the state courts. See Peltier v. Wright, 15 F.3d 860,
9 861 (9th Cir. 1993); Middleton v. Cupp, 768 F.2d 1083, 1085 (9th Cir. 1985) (citing Engle v.
10 Isaac, 456 U.S. 107, 119 (1982)). A federal writ is not available for alleged error in the
11 interpretation or application of state law. See Estelle v. McGuire, 502 U.S. 62, 67-68 (1991);
12 Park v. California, 202 F.3d 1146, 1149 (9th Cir. 2000); Middleton, 768 F.2d at 1085. Habeas
13 corpus cannot be utilized to try state issues de novo. Milton v. Wainwright, 407 U.S. 371, 377
14 (1972).

15 This action is governed by the Antiterrorism and Effective Death Penalty Act of
16 1996 ("AEDPA"). See Lindh v. Murphy, 521 U.S. 320, 336 (1997); Clark v. Murphy, 331 F.3d
17 1062, 1067 (9th Cir. 2003). Section 2254(d) sets forth the following standards for granting
18 habeas corpus relief:

19 An application for a writ of habeas corpus on behalf of a
20 person in custody pursuant to the judgment of a State court shall
21 not be granted with respect to any claim that was adjudicated on
22 the merits in State court proceedings unless the adjudication of the
23 claim

24 (1) resulted in a decision that was contrary to, or involved
25 an unreasonable application of, clearly established Federal law, as
26 determined by the Supreme Court of the United States; or

(2) resulted in a decision that was based on an unreasonable
determination of the facts in light of the evidence presented in the
State court proceeding.

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1 See also Penry v. Johnson, 532 U.S. 782, 792-93 (2001); Williams v. Taylor, 529 U.S. 362
2 (2000); Lockhart v. Terhune, 250 F.3d 1223, 1229 (9th Cir. 2001).

3 The court looks to the last reasoned state court decision as the basis for the state
4 court judgment. Robinson v. Ignacio, 360 F.3d 1044, 1055 (9th Cir. 2004). Where the state
5 court reaches a decision on the merits but provides no reasoning to support its conclusion, a
6 federal habeas court independently reviews the record to determine whether habeas corpus relief
7 is available under section 2254(d).¹ Himes v. Thompson, 336 F.3d 848, 853 (9th Cir. 2003);
8 Delgado v. Lewis, 223 F.3d 976, 982 (9th Cir. 2000). When it is clear that a state court has not
9 reached the merits of a petitioner’s claim, or has denied the claim on procedural grounds,
10 AEDPA’s deferential standard does not apply and a federal habeas court must review the claim
11 de novo. Nulph v. Cook, 333 F.3d 1052, 1056 (9th Cir. 2003); Pirtle v. Morgan, 313 F.3d 1160,
12 1167 (9th Cir. 2002).

13 II. Petitioner’s Claims

14 Petitioner challenges the Board’s 2002 finding of parole unsuitability on seven
15 grounds. Stated in the order presented in the petition, he claims an entitlement to habeas relief
16 because: (1) the Board’s failure to fix his term violates California Penal Code § 3041 and the
17 Due Process Clause of the U.S. Constitution; (2) the Board held petitioner’s exercise of his right
18 not to discuss his commitment offense against him; (3) the Board’s decision was arbitrary and
19 violated his right to due process because there was no evidence to support its finding that he was
20 an unreasonable risk of danger to society; (4) the Board has failed to establish and apply equal
21 standards of evaluation and that the denial of parole eligibility for two years violated his
22 constitutional rights to due process and equal protection; (5) he was denied effective assistance of

24 ¹ Such is the case here. The Solano County Superior Court’s two-page opinion denying
25 the petitioner’s request for habeas relief contained no reasoning beyond cursory approval of the
26 Board’s decision. (See Pet., Attach. entitled “Previous Court Orders.”) The California Court of
Appeals for the First Appellate District and the California Supreme Court summarily denied the
habeas petitions submitted by petitioner. (Id.)

1 counsel; (6) the Board violated his rights under the Americans with Disabilities Act; and (7) he
2 has been denied “complete and meaningful review of his petition” in state court, in violation of
3 the Fourteenth Amendment.

4 A. The Board’s decision and the applicable law

5 The Board commenced its decision denying petitioner parole by stating that the
6 panel had reviewed “all information received at the hearing and relied on the following
7 circumstances in concluding that the prisoner is not yet suitable for parole and would pose an
8 unreasonable risk of danger to society or a threat to public safety if released from prison.” (Pet.,
9 Attachment A, entitled “2002 Parole Hearing Transcript,” p. 49.) The phrases “unreasonable risk
10 of danger to society” and “a threat to public safety” are derived from § 3041(b) of the California
11 Penal Code and § 2281(a) of Title 15 of the California Code of Regulations. Pursuant to the
12 Penal Code provision,

13 [t]he panel or board shall set a release date unless it determines that
14 the gravity of the current convicted offense or offenses, or the
15 timing and gravity of current or past convicted offense or offenses,
16 is such that consideration of the public safety requires a more
lengthy period of incarceration for this individual, and that a parole
date, therefore, cannot be fixed at this meeting.

17 Cal. Penal Code § 3041(b).

18 The state regulation governing parole suitability findings for life prisoners states
19 as follows with regard to the statutory requirement of California Penal Code § 3041(b):

20 Regardless of the length of time served, a life prisoner shall be
21 found unsuitable for and denied parole if in the judgment of the
22 panel the prisoner will pose an unreasonable risk of danger to
society if released from prison.

23 Cal. Code Regs. tit. 15, § 2281(a). The same regulation requires the Board to consider all
24 relevant, reliable information available regarding,

25 the circumstances of the prisoner’s social history; past and present
26 mental state; past criminal history, including involvement in other
criminal misconduct which is reliably documented; the base and

1 other commitment offenses, including behavior before, during and
2 after the crime; past and present attitude toward the crime; any
3 conditions of treatment or control, including the use of special
4 conditions under which the prisoner may safely be released to the
5 community; and any other information which bears on the
6 prisoner's suitability for release.

7 Cal. Code Regs. tit. 15, § 2281(b).

8 The regulation identifies circumstances that tend to show suitability or
9 unsuitability for release. Id., § 2281(c) & (d). The following circumstances tend to show that a
10 prisoner is suitable for release: the prisoner has no juvenile record of assaulting others or
11 committing crimes with a potential of personal harm to victims; the prisoner has experienced
12 reasonably stable relationships with others; the prisoner has performed acts that tend to indicate
13 the presence of remorse or has given indications that he understands the nature and magnitude of
14 his offense; the prisoner committed his crime as the result of significant stress in his life; the
15 prisoner's criminal behavior resulted from having been victimized by battered women syndrome;
16 the prisoner lacks a significant history of violent crime; the prisoner's present age reduces the
17 probability of recidivism; the prisoner has made realistic plans for release or has developed
18 marketable skills that can be put to use upon release; institutional activities indicate an enhanced
19 ability to function within the law upon release. Id., § 2281(d).

20 The following circumstances tend to indicate unsuitability for release: the prisoner
21 committed the offense in an especially heinous, atrocious, or cruel manner; the prisoner had a
22 previous record of violence; the prisoner has an unstable social history; the prisoner's crime was
23 a sadistic sexual offense; the prisoner had a lengthy history of severe mental problems related to
24 the offense; the prisoner has engaged in serious misconduct in prison. Id., § 2281(c). Factors to
25 consider in deciding whether the prisoner's offense was committed in an especially heinous,
26 atrocious, or cruel manner include: multiple victims were attacked, injured, or killed in the same
or separate incidents; the offense was carried out in a dispassionate and calculated manner, such
as an execution-style murder; the victim was abused, defiled or mutilated during or after the

1 offense; the offense was carried out in a manner that demonstrated an exceptionally callous
2 disregard for human suffering; the motive for the crime is inexplicable or very trivial in relation
3 to the offense. Cal. Code Regs., tit. 15, § 2281(c)(1)(A) - (E).

4 Under current California law, as recently clarified by the State Supreme Court,
5 the determination whether an inmate poses a current danger is not
6 dependent upon whether his or her commitment offense is more or
7 less egregious than other, similar crimes. (Dannenberg, supra, 34
8 Cal. 4th at pp 1083-84 [parallel citations omitted].) Nor is it
9 dependent solely upon whether the circumstances of the offense
10 exhibit viciousness above the minimum elements required for
11 conviction of that offense. Rather, the relevant inquiry is whether
12 the circumstances of the commitment offense, when considered in
13 light of other facts in the record, are such that they continue to be
14 predictive of current dangerousness many years after commission
15 of the offense. This inquiry is, by necessity and by statutory
16 mandate, an individualized one, and cannot be undertaken simply
17 by examining the circumstances of the crime in isolation, without
18 consideration of the passage of time or the attendant changes in the
19 inmate's psychological or mental attitude. [citations omitted].

20 In re Lawrence, 44 Cal. 4th 1181, 1221 (2008).

21 In addressing the factors it considered in reaching its 2002 decision that petitioner
22 was unsuitable for parole, the Board in this case stated as follows:

23 PRESIDING COMMISSIONER HEPBURN:

24 . . . Mr. Rubio, we did deny your parole for a two year period. Let
25 me read the decision to you. The Panel reviewed all of the
26 information received at the hearing and relied on the following
circumstances in concluding that the prisoner is not suitable for
parole and would pose an unreasonable risk of danger to society or
a threat to public safety if released from prison. Number one
reason, of course, was the timing and gravity of the commitment
offense itself, which was carried out in a very callous manner, and
for reasons which are very trivial in relation to the offense. These
conclusions were drawn from the Statement of Facts wherein the
prisoner had an ongoing relationship with the victim in this case,
Heather Dunn, and the fact that he abused her physically over a
period of time. That's in the record. And was jealous. He thought
that she was dating other people. He armed himself with a gun and
went to the front of her school where he shot her and killed her.
He had no previous record. He had been a student finishing up
high school getting ready to go to college prior to his incarceration.
He did have a problem with substance abuse and drinking, as he
has previously indicated, consuming large amounts of alcohol with

1 his football buddies, and things of that nature. His institutional
2 behavior, on the other hand, has been very good. He has – hasn't
3 had a disciplinary since 1993. He has improved himself
4 educationally, taking college courses. He's participated in self-
5 help programs including theology classes, AA and Spirituality
6 Class, among others. He's done well in his work assignments.
7 He's upgraded himself vocationally. Psychological evaluation
8 completed by Dr. Duprey on 4/27/99 is fairly supportive of release.
9 CC1 completed his report. Mr. Rubio did indicate that he does
10 disagree with the conclusions by CC1 Curzon, C-U-R-Z-O-N, in
11 his report, but there is an interesting comment Mr. Curzon makes
12 in his report. He writes that:

13 “Rubio has prepared himself educationally and vocationally and
14 has been, basically, a model prisoner. However, there seems to be
15 a degree of uncertainty of his emotional maturity should he have
16 another relationship if he were released at this time. With this
17 uncertainty comes a moderate degree of threat to the public if he
18 were released at this time.”

19 His parole plans appear to be in order. He's got significant family
20 support, certainly has vocational skills, job offers, things of that
21 nature, which will assist him when he is released on parole. In
22 response to 3042 notices, we had a representative from the
23 prosecuting agency, the District Attorney's Office in Solano,
24 County, who was present at the hearing and voiced opposition to
25 parole. As I indicated, this is a two year denial. In a separate
26 decision, the Hearing Panel finds that the prisoner has been
convicted of murder and it's not reasonable to expect that parole
would be granted at a hearing during the next two years. Specific
reasons for this finding are as follows. Timing and gravity of the
commitment offense, which was carried out in a rather cruel
manner for reasons which were trivial in relation to the gravity of
the offense, which I have previously described. Also, he hasn't
completed necessary programming, which is essential to his
adjustment. And that would be in the form of any self-help
programs that might assist him in dealing with the causative factors
of the commitment offense itself and the underlying issues. So, the
Panel's recommendation is going to be that he remain disciplinary
free, to the extent that it's available, participate in self-help and
therapy programs. And that completes the reading of the decision.
Commissioner Thompson, do you have any comments you'd like
to make?

27 DEPUTY COMMISSIONER THOMPSON:

28 No, thank you.

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1 PRESIDING COMMISSIONER HEPBURN:

2 Mr. Rubio, the gravity of the crime (inaudible). I'm also
3 concerned with your version of the offense here. I would like to
4 have talked to you. It's certainly your right to not talk about the
5 crime, but the version I'm left with is what it says in the file here,
6 and it doesn't – the physical evidence and the circumstances don't
7 make sense to me with your – with your version of what occurred,
8 and I'd like – would have liked to explore that with you. And you
9 might consider doing that sometime because I'm sure future Panels
10 are going to have the same questions that I have. And that causes
11 me concern for your level of remorse, because if you're not
12 accepting responsibility for what you actually did, then remorse is
13 meaningless. If you have remorse for what you actually did, then
14 that's true remorse. I can't determine that from what we have on
15 file here. That's my comment. And that will complete this hearing
16 at 12:32 p.m. And good luck to you, and here's a copy of the
17 decision.

18 (Pet., Attachment A, at 49-53)

19 B. Due Process

20 Petitioner's principal claim is that his right to due process was violated by the
21 Board's denial of parole. The petitioner presents this due process claim in several different
22 grounds for relief in the petition. Thus, he alleges that: (1) § 3041(b) of the California Penal
23 Code creates a liberty interest and a due process right to a presumptive parole release date and the
24 Board's failure to fix his term violates that right (Ground 1); (2) the Board held the petitioner's
25 exercise of his right not to discuss his commitment offense against him (Ground 2); (3) the denial
26 of parole was arbitrary because there was no evidence to support it (Ground 3); and (4)
"petitioner has been denied his right to a complete and meaningful review of his petition" in state
court (Ground 7). (See Pet. at 1-23.) The court addresses the merits of each of these versions of
petitioner's core due process claim.²

² Petitioner also presents several state law claims. For instance, he alleges that the Board must ensure that prison terms be "uniform." (Pet., Ground 1 at 3.) Petitioner also claims that California Penal Code § 3041 requires the Board to grant him a parole date because of its direction that one year prior to an inmate's minimum eligible parole date, a Board panel shall meet with the inmate and "shall normally" set a parole release date. He claims that under this provision "the Board was required to fix his term at his initial hearing and that their continuous

1 The Due Process Clause of the Fourteenth Amendment prohibits state action that
2 deprives a person of life, liberty, or property without due process of law. One alleging a due
3 process violation must first demonstrate that he was deprived of a liberty or property interest
4 protected by the Due Process Clause and then show that the procedures attendant upon the
5 deprivation were not constitutionally sufficient. Kentucky Dep't of Corrections v. Thompson,
6 490 U.S. 454, 459-60 (1989); McQuillion v. Duncan, 306 F.3d 895, 900 (9th Cir. 2002).

7 A protected liberty interest may arise from either the Due Process Clause of the
8 United States Constitution or state laws. Board of Pardons v. Allen, 482 U.S. 369, 373 (1987).
9 The United States Constitution does not, of its own force, create a protected liberty interest in a
10 parole date, even one that has been set. Jago v. Van Curen, 454 U.S. 14, 17-21 (1981).
11 However, “a state’s statutory scheme, if it uses mandatory language, ‘creates a presumption that
12 parole release will be granted’ when or unless certain designated findings are made, and thereby
13 gives rise to a constitutional liberty interest.” McQuillion, 306 F.3d at 901 (quoting Greenholtz
14 v. Inmates of Nebraska Penal, 442 U.S. 1, 12 (1979)). California’s parole scheme gives rise to a
15 cognizable liberty interest in release on parole, even for prisoners who have not already been
16 granted a parole date. Sass v. Cal. Bd. of Prison Terms, 461 F.3d 1123, 1128 (9th Cir. 2006);
17 Biggs v. Terhune, 334 F.3d 910, 914 (9th Cir. 2003); McQuillion, 306 F.3d at 903; see also In re
18 Lawrence, 44 Cal. 4th at 1204, 1210, 1221. Accordingly, this court must examine whether the
19

20 failure to set his term has, by de facto, set his term at maximum life.” (Id. at p.1) In addition,
21 petitioner argues that the Board’s failure to find him suitable for parole violated various
22 provisions of state law. Many of petitioner’s arguments based on state law have been rejected by
23 the California Supreme Court which has held, for example, that the Board is not required to refer
24 to sentencing matrices or to compare other crimes of the same type in deciding whether a
25 prisoner is suitable for parole. In re Dannenberg, 34 Cal. 4th 1061, 1084, 1098 (2005); see also
26 In re Lawrence, 44 Cal. 4th 1181, 1217, 1221 (2008). More importantly for purposes of this
federal habeas corpus action, petitioner has cited no federal authority for the proposition that the
Due Process Clause requires a state parole board to either set a parole date where it believes a
prisoner poses an unreasonable risk of danger to society or to engage in a comparative analysis
before denying parole suitability. In short, petitioner’s arguments that the Board or the state
courts erred in applying state law in determining his release date are not cognizable in this federal
habeas corpus proceeding. Estelle, 502 U.S. at 67-68.

1 deprivation of petitioner’s liberty interest in this case lacked adequate procedural protections and
2 therefore violated due process.

3 Because “parole-related decisions are not part of the criminal prosecution, the full
4 panoply of rights due a defendant in such a proceeding is not constitutionally mandated.”
5 Jancsek v. Oregon Bd. of Parole, 833 F.2d 1389, 1390 (9th Cir. 1987) (internal quotations and
6 citation omitted). Where, as here, parole statutes give rise to a protected liberty interest, due
7 process is satisfied in the context of a parole hearing where a prisoner is afforded notice of the
8 hearing, an opportunity to be heard and, if parole is denied, a statement of the reasons for the
9 denial. Id. at 1390 (quoting Greenholtz, 442 U.S. at 16). See also Morrissey v. Brewer, 408 U.S.
10 471, 481 (1972) (describing the procedural process due in cases involving parole issues).
11 Violation of state mandated procedures will constitute a due process violation only if the
12 violation causes a fundamentally unfair result. Estelle, 502 U.S. at 65.

13 In California, the setting of a parole date for a state prisoner is conditioned on a
14 finding of suitability. Cal. Penal Code § 3041; Cal. Code Regs. tit. 15, §§ 2401 & 2402. The
15 requirements of due process in the parole suitability setting are satisfied “if some evidence
16 supports the decision.” McQuillion, 306 F.3d at 904 (citing Superintendent v. Hill, 472 U.S.
17 445, 456 (1985)). See also Powell v. Gomez, 33 F.3d 39, 40 (9th Cir. 1994) (citing Perverler v.
18 Estelle, 974 F.2d 1132, 1134 (9th Cir. 1992)). For purposes of AEDPA, Hill’s “some evidence”
19 standard is “clearly established” federal law. Sass, 461 F.3d at 1129 (citing Hill, 472 U.S. at
20 456). “The ‘some evidence’ standard is minimally stringent,” and a decision will be upheld if
21 there is any evidence in the record that could support the conclusion reached by the factfinder.
22 Powell, 33 F.3d at 40 (citing Cato v. Rushen, 824 F.2d 703, 705 (9th Cir. 1987)); Toussaint v.
23 McCarthy, 801 F.2d 1080, 1105 (9th Cir. 1986). However, “the evidence underlying the board’s
24 decision must have some indicia of reliability.” Jancsek, 833 F.2d at 1390. See also Perverler,
25 974 F.2d at 1134. Determining whether the “some evidence” standard is satisfied does not
26 require examination of the entire record, independent assessment of the credibility of witnesses,

1 or the weighing of evidence. Toussaint, 801 F.2d at 1105. The question is whether there is any
2 reliable evidence in the record that could support the conclusion reached. Id.

3 When a federal court assesses whether a state parole board's suitability
4 determination was supported by "some evidence" in a habeas case, the analysis "is framed by the
5 statutes and regulations governing parole suitability determinations in the relevant state." Irons
6 v. Carey, 505 F.3d 846, 851 (9th Cir. 2007). Accordingly, this court must

7 look to California law to determine the findings that are necessary
8 to deem a prisoner unsuitable for parole, and then must review the
9 record in order to determine whether the state court decision
10 holding that these findings were supported by "some evidence" in
11 [petitioner's] case constituted an unreasonable application of the
12 "some evidence" principle articulated in Hill.

13 Id. In California, the overriding concern in determining parole suitability is public safety. In re
14 Dannenberg, 34 Cal. 4th at 1086. This "core determination of 'public safety' . . . involves an
15 assessment of an inmate's current dangerousness." In re Lawrence, 44 Cal. 4th at 1205
16 (emphasis in original). Accordingly, in California

17 when a court reviews a decision of the Board or the Governor, the
18 relevant inquiry is whether some evidence supports the decision of
19 the Board or the Governor that the inmate constitutes a current
20 threat to public safety, and not merely whether some evidence
21 confirms the existence of certain factual findings.

22 In re Lawrence, 44 Cal. 4th at 1212 (citing In re Rosenkrantz, 29 Cal. 4th 616, 658 (2002); In re
23 Dannenberg, 34 Cal. 4th at 1071; and In re Lee, 143 Cal. App. 4th 1400, 1408 (2006)).³

24 In recent years the Ninth Circuit Court of Appeals has been called upon to address
25 the issues raised by petitions such as that now pending before this court in three significant cases,
26 each of which will be discussed below. First, in Biggs, the Ninth Circuit Court of Appeals

27 ³ Under California law, the Board is not to engage in a comparative analysis of the period
28 of confinement being served by other inmates for similar crimes. In re Lawrence, 44 Cal. 4th at
29 1217; In re Dannenberg, 34 Cal. 4th at 1070-71. Rather, the suitability determination is to be
30 individualized and is to focus upon the public safety risk currently posed by the particular
31 offender. (Id.)

1 recognized that a continued reliance on an unchanging factor such as the circumstances of the
2 offense could at some point result in a due process violation.⁴ While the court in Biggs rejected
3 several of the reasons given by the Board for finding the petitioner in that case unsuitable for
4 parole, it upheld three: (1) petitioner’s commitment offense involved the murder of a witness;
5 (2) the murder was carried out in a manner exhibiting a callous disregard for the life and
6 suffering of another; and (3) petitioner could benefit from therapy. Biggs, 334 F.3d at 913.
7 However, the court in Biggs cautioned that continued reliance solely upon the gravity of the
8 offense of conviction and petitioner’s conduct prior to committing that offense in denying parole
9 could, at some point, violate due process. In this regard, the court observed:

10 As in the present instance, the parole board’s sole supportable
11 reliance on the gravity of the offense and conduct prior to
12 imprisonment to justify denial of parole can be initially justified as
13 fulfilling the requirements set forth by state law. Over time,
14 however, should Biggs continue to demonstrate exemplary
 behavior and evidence of rehabilitation, denying him a parole date
 simply because of the nature of Biggs’ offense and prior conduct
 would raise serious questions involving his liberty interest in
 parole.

15 Id. at 916. The court in Biggs also stated that “[a] continued reliance in the future on an
16 unchanging factor, the circumstance of the offense and conduct prior to imprisonment, runs
17 contrary to the rehabilitative goals espoused by the prison system and could result in a due
18 process violation.” Id. at 917.

19 In Sass, the Board found the petitioner unsuitable for parole at his third suitability
20 hearing based on the gravity of his offenses of conviction in combination with his prior offenses.
21 461 F.3d at 1126. Citing Biggs, the petitioner in Sass contended that reliance on these
22 unchanging factors violated due process. The court disagreed, concluding that these factors

23 //

25 ⁴ That holding has been acknowledged as representing the law of the circuit. Irons v.
26 Carey, 505 F.3d 846, 853 (9th Cir. 2007); Sass v. Cal. Bd. of Prison Terms, 461 F.3d 1123, 1129
(9th Cir. 2006).

1 amounted to “some evidence” to support the Board's determination. Id. at 1129. The court
2 provided the following explanation for its holding:

3 While upholding an unsuitability determination based on these
4 same factors, we previously acknowledged that “continued reliance
5 in the future on an unchanging factor, the circumstance of the
6 offense and conduct prior to imprisonment, runs contrary to the
7 rehabilitative goals espoused by the prison system and *could* result
8 in a due process violation.” Biggs, 334 F.3d at 917 (emphasis
9 added). Under AEDPA it is not our function to speculate about
10 how future parole hearings could proceed. Cf. id. The evidence of
11 Sass' prior offenses and the gravity of his convicted offenses
12 constitute some evidence to support the Board's decision.
13 Consequently, the state court decisions upholding the denials were
14 neither contrary to, nor did they involve an unreasonable
15 application of, clearly established Federal law as determined by the
16 Supreme Court of the United States. 28 U.S.C. § 2254(d).

17 Id.

18 In Irons the Ninth Circuit sought to harmonize the holdings in Biggs and Sass,
19 stating as follows:

20 Because the murder Sass committed was less callous and cruel than
21 the one committed by Irons, and because Sass was likewise denied
22 parole in spite of exemplary conduct in prison and evidence of
23 rehabilitation, our decision in Sass precludes us from accepting
24 Iron's due process argument or otherwise affirming the district
25 court's grant of relief.

26 We note that in all the cases in which we have held that a parole
board's decision to deem a prisoner unsuitable for parole solely on
the basis of his commitment offense comports with due process,
the decision was made before the inmate had served the minimum
number of years required by his sentence. Specifically, in Biggs,
Sass, and here, the petitioners had not served the minimum number
of years to which they had been sentenced at the time of the
challenged parole denial by the Board. Biggs, 334 F.3d at 912;
Sass, 461 F.3d at 1125. All we held in those cases and all we hold
today, therefore, is that, given the particular circumstances of the
offenses in these cases, due process was not violated when these
prisoners were deemed unsuitable for parole prior to the expiration
of their minimum terms.

 Furthermore, we note that in Sass and in the case before us there
was substantial evidence in the record demonstrating rehabilitation.
In both cases, the California Board of Prison Terms appeared to
give little or no weight to this evidence in reaching its conclusion

1 that Sass and Irons presently constituted a danger to society and
2 thus were unsuitable for parole. We hope that the Board will come
3 to recognize that in some cases, indefinite detention based solely
4 on an inmate's commitment offense, regardless of the extent of his
rehabilitation, will at some point violate due process, given the
liberty interest in parole that flows from the relevant California
statutes. Biggs, 334 F.3d at 917.

5 Irons, 505 F.3d at 853-54.⁵

6 After taking into consideration the Ninth Circuit decisions in Biggs, Sass, and
7 Irons, and for the reasons set forth below, this court concludes that petitioner is not entitled to
8 federal habeas relief with respect to his due process challenge to the Board's February 26, 2002,
9 decision denying him parole.

10 1. Whether "some evidence" supports the Board's decision

11 When the Board read its decision to deny parole into the record, it began with its
12 "[n]umber one reason... the timing and gravity of the conviction offense itself, which was carried
13 out in a very callous manner, and for reasons which were trivial in relation to the gravity of the
14 offense." (Pet., Attach. A at 49.) The Board summarized the facts of the offense, then listed
15 positive and negative factors of the petitioner's pre-conviction life. (Id. at 49.) It noted that
16 petitioner had no previous criminal record and was preparing to go to college at the time of the
17 crime, but he also had physically abused the victim prior to the offense and had "a problem with
18 substance abuse and drinking."⁶ (Id. at 49-50.) The Board noted numerous post-conviction
19 factors that leaned in the petitioner's favor, including his vocational, educational and disciplinary
20 record. (Id. at 50.) The Board summarized these favorable factors by stating that "[h]is
21 institutional behavior... has been very good." (Id.) It noted his participation in self-help programs
22

23 ⁵ The California Supreme Court has also acknowledged that the aggravated nature of the
24 commitment offense, over time, may fail to provide some evidence that the inmate remains a
current threat to public safety. In re Lawrence, 44 Cal. 4th at 1218-20 & n.20.

25 ⁶ While the record does reflect that petitioner admitted to and addressed a pre-conviction
26 drinking problem, nowhere is there any evidence of his abusing any other chemical substance
before or after the crime of conviction.

1 “including theology classes, AA and Spirituality Class, among others.” (Id.) It noted that he has
2 “significant” family and vocational support outside of prison “which will assist him when he is
3 released on parole.” (Id. at 51.) It also acknowledged a favorable psychological evaluation from
4 1999 and report prepared by a correctional counselor (CC-I) that praised the petitioner as a
5 “model prisoner.” (Id. at 50.) However, the Board focused on the correctional counselor’s
6 statement in his report that “there seems to be a degree of uncertainty of [the petitioner’s]
7 emotional maturity should he have another relationship if he were released at this time.” (Id. at
8 50-51.)⁷ This concern expressed by petitioner’s correctional counselor was the primary negative
9 post-conviction evidence that appeared relevant to the Board.

10 The court begins with the Board’s “[n]umber one reason” for denying parole, i.e.,
11 “the timing and gravity of the commitment offense itself, which was carried out in a very callous
12 manner, and for reasons which are very trivial in relation to the offense.” (Id. at 49.) In his due
13 process claim, petitioner argues that this was an arbitrary reason derived from a
14 mischaracterization of the commitment offense (see Pet., Ground 1 at 3; Ground 3 at 10); that the
15 record shows that he was not at the time of the hearing a danger to society (see Pet., Ground 3 at
16 12); and that the Board has improperly continued to rely on the nature of the offense and pre-
17 conviction factors to justify its refusal to grant parole, in the face of “an impeccable prison
18 record.” (Id., Ground 7 at 22-23). In analyzing such claims, the question is whether there is
19 “some evidence” to sustain the Board’s decision. In addition, “the evidence underlying the
20 board’s decision must have some indicia of reliability.” Jancsek, 833 F.2d at 1390.

21 At the time of the parole hearing in question, petitioner had served more than
22 fifteen-years in prison, the minimum term of the sentence imposed for the second-degree murder
23

24 ⁷ The Board also noted the fact that both the Solano County District Attorney and the
25 Benicia Police Department opposed a finding of parole suitability. However, under Hill, district
26 attorneys’ and law enforcement officers’ opinions, without more, cannot be considered “some
evidence” supporting the Board’s decision. Rosenkrantz v. Marshall, 444 F. Supp. 2d 1063,
1080 n.14 (C.D. Cal. 2006).

1 in his case.⁸ As noted above, this is of significance because the Ninth Circuit has suggested that
2 in cases where the prisoner has not served the minimum term for a conviction of murder at the
3 time of a parole hearing, the “particular circumstances” of the offense, which naturally and
4 statutorily informs the sentence given the prisoner, may by itself constitute “some evidence”
5 sufficient to deny parole without violating a petitioner’s right to due process. See Irons, 505 F.3d
6 at 853-54. That is not to say that after the minimum term has been served that the aggravating
7 circumstances surrounding a conviction offense are irrelevant or that they cannot be considered
8 in denying parole. As the California Supreme Court recently explained,

9 the statutory and regulatory mandate to normally grant parole to
10 life prisoners who have committed murder means that, particularly
11 after these prisoners have served their suggested base terms, the
12 underlying circumstances of the commitment offense alone rarely
13 will provide a valid basis for denying parole when there is strong
14 evidence of rehabilitation and no other evidence of current
15 dangerousness.

16 * * *

17 [T]he aggravated nature of the crime does not in and of itself
18 provide some evidence of current dangerousness to the public
19 unless the record also establishes that something in the prisoner’s
20 pre- or post-conviction history, or his or her current demeanor and
21 mental state, indicates that the implications regarding the
22 prisoner’s dangerousness that derive from his or her commission of
23 the commitment offense remain probative to the statutory
24 determination of a continuing threat to public safety.

25 In re Lawrence, 44 Cal. 4th at 1211-14 (emphasis in original).

26 Nonetheless, because at the time of the February 26, 2002 hearing petitioner had
served more time in prison than the fifteen-year minimum term to which he was sentenced, the
gravity of his commitment offense alone cannot automatically suffice as “some evidence”

⁸ The court’s calculation that petitioner had served in excess of the minimum term of fifteen years includes the credit he received for actual time served prior to his sentencing on May 13, 1988 but does not include any so-called “good time” credits. The credit for time actually served by petitioner is reflected in the abstract of judgment attached as Exhibit 1 to respondent’s answer.

1 justifying the denial of parole. On the other hand, if there is in the petitioner's post-conviction
2 record some evidence of current dangerousness to complement the Board's finding that the
3 petitioner's reason for second-degree murder (jealousy)⁹ was trivial in relation to the gravity of
4 the offense, then the due process concerns that would be raised by reliance solely on the nature of
5 the commitment offense or on any other pre-conviction factors would be adequately addressed.

6 Id.

7 a. Post-conviction evidence

8 It is undisputed that there is strong evidence of rehabilitation in this case. The
9 Board appears to have concluded that, despite that record of self-improvement, petitioner's
10 psychological and mental development since his incarceration warranted denying parole. Thus,
11 the Board stated in conclusion that,

12 [petitioner] hasn't completed necessary programming, which is
13 essential to his adjustment. And that would be in the form of any
14 self-help programs that might assist him in dealing with the
causative factors of the commitment offense itself and the
underlying issues.

15 (Pet., Attach. A at 52.)¹⁰ The Board had two pieces of evidence before it from which to draw this
16 conclusion. First, the Board reviewed the opinion of correctional counselor, Mr. Curzon,

18 ⁹ Contrary to the position taken by petitioner, the Board's finding that the reason for the
19 crime was trivial was not an unreasonable one. The court does note that the Board may have de-
20 emphasized other factors that also may have contributed to the petitioner's decision making on
21 the day of the crime in question. Those factors included having an abusive father at home and
22 living in a difficult domestic environment in the days preceding petitioner's commission of the
23 murder. (See Pet., Attach. A at 15-19.) As noted above, one of the factors favoring a finding of
24 suitability is that the prisoner committed his crime as the result of significant stress in his life.
Cal. Code Regs. tit. 15, § 2281(d). It is undisputed that significant stress at least partially
contributed to petitioner's lack of judgment when he committed the murder. However, it is also
undisputed that petitioner's suspicion that the victim was interested in other boys provided his
immediate motivation for the crime. As the Board found, that was a trivial reason in relation to
the gravity of the offense.

25 ¹⁰ The Board reached this conclusion in denying parole for two years. Petitioner
26 challenges that decision in Ground 4 of his petition, addressed below. For purposes of reviewing
the decision to deny parole, the recommendation regarding continued self-help is closely
associated with the evidence of the petitioner's emotional maturation in prison.

1 regarding petitioner's vocational and educational preparation and his success with self-help
2 programming. (See Pet., Attach. A at 23.) Second, the Board also reviewed petitioner's most
3 recent psychological evaluation prepared in April 1999, nearly three years before the hearing.
4 (See id. at 24-25.)¹¹

5 As noted above, the correctional counselor's report stated as follows:

6 Rubio has prepared himself educationally and vocationally and has
7 been, basically, a model prisoner. However, there seems to be a
8 degree of uncertainty of his emotional maturity should he have
9 another relationship if he were released at this time. With this
uncertainty comes a moderate degree of threat to the public if he
were released at this time.

10 (Id. at 23-24, 50-51.)¹² In announcing its decision the Board relied in part on the correctional
11 counselor's assessment of petitioner's emotional maturity. (Id. at 50.) The Board also described
12 the 1999 psychological evaluation as "fairly supportive of release." (Id. at 50.)¹³

13
14 ¹¹ Neither of these documents has been included in the attachments and exhibits
15 presented by the parties to this court. Accordingly, the court's review of these reports in
16 determining whether there was "some evidence" supporting the Board's decision to deny parole
is limited to the excerpts from the reports that were read into the record at petitioner's parole
suitability hearing.

17 ¹² At the outset of the hearing, petitioner's attorney informed the Board that petitioner had
18 received the correctional counselor's report only five days earlier and that, according to
19 petitioner, it "contains numerous errors and is factually incorrect and does not incorporate the
20 information that is available to any interviewer within the C-File." (Id. at 3.) Petitioner asked for
21 a 90-day continuance of the hearing so that he could formulate a written response to the report.
(Id. at 8.) That request was denied. (Id.) Later in the hearing, the Board gave the petitioner an
opportunity to "point out to us items in this report that you disagree with." (Id. at 8, 24.)
However, petitioner restricted his response to expressing his disagreement without further
explanation. (Id. at 24.)

22 ¹³ Earlier, the Board had read the following excerpt of petitioner's 1999 psychological
23 evaluation into the record:

24 Inmate Rubio does not suffer from a major mental disorder.
25 Violence potential is judged to be below average for this
26 population of inmates. Prognosis is favorable. However, the threat
that the inmate poses on society if paroled would need to be made
on other grounds than psychiatric or psychological. It is
recommended that the inmate continue his vocational training and
self-help and otherwise upgrading (inaudible) academically, which

1 There is some incongruity between the opinions expressed in these two reports
2 that deserves discussion. First, while both evaluations are professional opinions, one is an expert
3 opinion while the other is lay. The psychologist’s expert opinion supported release, as the Board
4 itself recognized. However, that psychological evaluation also concluded that the assessment of
5 “the threat that the inmate poses on society if paroled would need to be made on other grounds
6 than psychiatric or psychological.” (*Id.* at 25.) The implication of the psychologist’s statement
7 is that while some other grounds may have existed for concluding that petitioner was not suitable
8 for release in 1999, there were no psychological or psychiatric grounds upon which to rely in
9 reaching that conclusion.

10 Pointing to the 1999 psychological report and his model behavior during the years
11 following it, petitioner challenges the correctional counselor’s opinion regarding his emotional
12 maturity. Specifically, he argues that “[s]taff counselors are not authorized to make such an
13 assessment.” (Pet., Ground 5 at 17.)¹⁴ Petitioner cites to the CDCR’s Operations Manual, which
14 states that the clinical psychological evaluations required for every parole hearing must be
15 prepared by a qualified psychologist or a qualified CC-II counselor. See CDCR Operations
16 Manual §§ 62090.14 and 62090.14.1. Petitioner argues that Mr. Curzon was only a level CC-I
17 counselor at the time of the challenged parole hearing, making him unqualified as a matter of
18

19 are all thought to be helpful in moving toward a proper re-
20 socialization and internalization of emotional, social values.

21 (See Pet., Attach. A at 24-25.)

22 ¹⁴ Petitioner puts his argument that the correctional counselor lacked the qualifications to
23 assess his state of mind in the context of a claim that he received ineffective assistance of
24 counsel. (See Pet., Ground 5.) He argues that his lawyer performed deficiently when he did not
25 object to the inclusion of the counselor’s opinion in the record. (See Pet., Ground 5 at 17.) The
26 Sixth Amendment’s guarantee of effective assistance of counsel does not extend to parole
hearings and, therefore, petitioner is not entitled to relief on his ineffective assistance of counsel
claim. Dorado v. Kerr, 454 F.2d 892, 897 (9th Cir. 1972). To the extent that some points made
by petitioner in support of that claim inform the question of whether “some evidence” existed to
support the Board’s decision, the court addresses those points in the context of the petitioner’s
core due process claim.

1 prison policy to render an opinion on petitioner’s psychological state. According to petitioner,
2 this lack of qualification calls into question the reliability of the counselor’s “emotional maturity”
3 comment as “some evidence” that the petitioner still posed a threat sufficient to deny him parole
4 in 2002. Petitioner contends that if there is no “indicia of reliability” in the counselor’s
5 statement, then the only post-conviction evidence that the Board relied upon cannot stand as
6 “some evidence” supporting its decision.

7 Because Mr. Curzon was only a level CC-I counselor at the time of the hearing,
8 the court agrees that he was not qualified to submit the required psychological evaluation to the
9 Board. However, that is not what Mr. Curzon did here. Moreover, the Board’s consideration of
10 his opinion as petitioner’s correctional counselor did not result in a due process violation.
11 Petitioner’s argument that Curzon’s expression of concern regarding petitioner’s “emotional
12 maturity,” “overrules” the psychologist’s 1999 evaluation is unpersuasive.¹⁵ A close look at the
13 psychologist’s opinion reflects a distinction between petitioner’s psychological state and his
14 “emotional maturity” which helps to reconcile the apparent contradiction between these two
15 pieces of evidence. After stating that the petitioner “does not suffer from a major mental
16 disorder” and recommending that an assessment of the threat posed by his release be made on
17 other grounds, the psychologist also recommended that petitioner continue his vocational,
18 educational and self-help programming, “which are all thought to be helpful in moving toward a
19 proper re-socialization and internalization of emotional, social values.” (Pet., Attach. A at 24-25)
20 (emphasis added). This observation by the psychologist suggests that while no major
21 psychological deficiencies were present, the petitioner was still, in the psychologist’s opinion,
22 maturing emotionally in 1999 but had not reached such a level of maturity that would justify
23 ending his rehabilitation in prison. In that context, the correctional counselor’s concern

24
25 ¹⁵ Nor is a petition for writ of habeas corpus the proper vehicle to request, as the
26 petitioner does in his application, an order from the court requiring the Board “to stop accepting
Counselor evaluations as expert witness testimony that overrules Psychiatric Evaluation, expert
testimony.” (Pet., Ground 5 at 17.)

1 regarding petitioner’s emotional maturity in 2002 directly addresses the non-psychological
2 factors (arguably including “emotional maturity”) suggested in the psychologist’s 1999
3 assessment. In this way the counselor’s observation can be seen to follow the psychologist’s
4 evaluation rather than to overrule it. The psychologist’s evaluation supports, at least tacitly, the
5 counselor’s opinion regarding some risk posed to the public by petitioner’s release on parole
6 because of the “uncertainty of his emotional maturity should he have another relationship if he
7 were released to parole.”

8 The counselor’s expressed concerns also hearken back to petitioner’s commitment
9 offense and helps explain the Board’s recommendation that the petitioner continue “dealing with
10 the causative factors of the commitment offense itself and the underlying issues.” (Id. at 52.)
11 The commitment offense resulted from an eighteen-year-old’s tragically immature reaction
12 against the victim when he suspected her of being interested in other boys. The counselor’s
13 concern thus informed and supported the Board’s “number one reason” for its decision, which
14 was the gravity of the crime and the triviality of the petitioner’s reason for committing it. The
15 correctional counselor’s report aided the Board in the inquiry as to “whether the circumstances of
16 the commitment offense, when considered in light of other facts in the record, are such that they
17 continue to be predictive of current dangerousness many years after commission of the offense.”
18 In re Lawrence, 44 Cal. 4th at 1221.¹⁶

19 Petitioner also presents a separate due process argument, alleging the denial of “a
20 complete and meaningful review of his petition.” (Pet., Ground 7 at 19.) This argument focuses
21 on the Solano County Superior Court’s statement that “the nature of the offense, alone, can
22 constitute a sufficient basis for denying parole.” (Pet., Attach. entitled “Previous Court Orders,”
23

24 ¹⁶ Obviously a first-level correctional counselor’s opinion regarding a petitioner’s
25 emotional state is not as reliable, or desirable, as an updated evaluation by a qualified
26 psychologist – something from which the Board, the petitioner and this court would have
profited. But in this case it is some post-conviction evidence that the petitioner still posed a risk
to the public if released in February of 2002, when viewed as a part of the whole record and in
light of the offense of conviction.

1 Solano Superior Court Order at 2.)¹⁷ Petitioner contends that the record “does not contain any
2 evidence that could support a finding that the nature of the Petitioner’s offense alone renders
3 hi[m] unsuitable for parole.” (Pet., Ground 7 at 20.) This court agrees that because petitioner
4 had served in excess of his minimum term of imprisonment, reliance solely on the nature of the
5 commitment offense to deny him parole would raise serious questions involving his liberty
6 interest in parole. See Irons, 505 F.3d at 854; Biggs, 334 F.3d at 917; see also In re Lawrence,
7 44 Cal. 4th at 1218-20 & n.20.¹⁸ However, because there was “some evidence” other than the
8 nature of the crime to justify a conclusion that the petitioner was unsuitable for parole at his
9 second subsequent hearing, the argument advanced by petitioner here does not state a ground on
10 which habeas relief can be granted at this time.¹⁹

11 The Board’s 2002 decision to deny petitioner parole was supported by some
12 evidence with an indicia of reliability. Accordingly, petitioner is not entitled to relief on his
13 claim that the Board’s finding of unsuitability his right to due process.

14 2. Whether the Board held petitioner’s silence against him

15 The petitioner exercised his right not to discuss the facts of the conviction offense
16 at the 2002 hearing. He argues that the Board held his silence against him “on the pretext of
17 _____

18 ¹⁷ This conclusion reached by the Solano County Superior Court is inconsistent with
19 more recent pronouncements of the Ninth Circuit and even those of the California Supreme
20 Court.

21 ¹⁸ Even more recently a panel of the Ninth Circuit in Hayward v. Marshall, 512 F.3d 536,
22 546-47 (9th Cir. 2008), determined that under the “unusual circumstances” of that case the
23 unchanging factor of the gravity of the petitioner’s commitment offense did not constitute “some
24 evidence” supporting the governor’s decision to reverse a parole grant on the basis that the
25 petitioner would pose a continuing danger to society. However, on May 16, 2008, the Ninth
26 Circuit Court of Appeals decided to rehear that case en banc. Hayward v. Marshall, 527 F.3d
797 (9th Cir. 2008). Therefore, the panel decision in Hayward is no longer citable precedent.

¹⁹ Petitioner also argues that relying on the nature of the crime of conviction as a basis for
denying parole “is double jeopardy.” (Pet., Attach. A at 21.) Since parole is part of the original
sentence, adverse decisions concerning parole do not constitute an additional punishment for the
original crime. See United States v. Brown, 59 F.3d 102, 104-05 (9th Cir. 1995). Therefore,
petitioner is not entitled to relief with respect to any claim alleging a violation of his right to be
free from twice being placed in jeopardy for the same offense conduct.

1 determining ‘true remorse.’” (Pet., Ground 2 at 8.) Petitioner claims the Board’s action
2 implicates California Penal Code § 5011(b), which states that the Board “shall not require, when
3 setting parole dates, an admission of guilt for which any inmate was committed.”

4 The petitioner first argued this point in his administrative appeal from the denial
5 of parole. The Board of Prison Terms’ Office of Policy and Appeals rejected the argument,
6 observing that “there is nothing in the panel’s decision that references the prisoner’s reluctance to
7 speak to the life offense.” (Pet., Attach. D at 3.) However, this observation ignores the Board’s
8 clear reference to the petitioner’s silence at the conclusion of the parole hearing:

9 PRESIDING COMMISSIONER HEPBURN:

10 Mr. Rubio, the gravity of the crime (inaudible). I’m also concerned
11 with your version of the offense here. I would like to have talked
12 to you. It’s certainly your right to not talk about the crime, but the
13 version I’m left with is what it says in the file here, and it doesn’t –
14 the physical evidence and the circumstances don’t make sense to
15 me with your – with your version of what occurred, and I’d like –
16 would have liked to explore that with you. And you might
17 consider doing that sometime because I’m sure future Panels are
18 going to have the same questions that I have. And that causes me
19 concern for your level of remorse, because if you’re not accepting
20 responsibility for what you actually did, then remorse is
21 meaningless. If you have remorse for you actually did, then that’s
22 true remorse. I can’t determine that from what we have on file
23 here. That’s my comment.

24 (Pet., Attach. A at 52-53.) This statement by the presiding commissioner at petitioner’s parole
25 hearing is also contrary to evidence contained elsewhere in the record that petitioner had, in fact,
26 expressed remorse for the crime.

 Nonetheless, petitioner has provided this court with no authority in support of the
proposition that the statutory mandate under state law comprises a federal right to due process on
which habeas relief could be granted. Moreover, the California Supreme Court has found it
unnecessary to “consider the technical validity” of a similar argument, brought as a state law
claim, where an inmate claimed that the Board violated § 5011(b) by denying parole on the basis
that he did not accept full responsibility for the crime. In re Dannenberg, 34 Cal. 4th at 1098-99

1 (observing that the Board’s finding was merely “peripheral to its decision and did not affect the
2 outcome”). The same is true here. Because there was, as discussed above, “some evidence”
3 supporting the Board’s decision to deny parole in 2002, the court cannot say that the presiding
4 commissioner’s comment regarding petitioner’s silence was determinative of the Board’s
5 decision. Accordingly, this is not a ground on which federal habeas relief can be granted.

6 C. The two-year denial of parole

7 Petitioner claims that “[a] one-year denial is the maximum permitted under Penal
8 Code § 3041.5(b)(2) and the Board violated Rubio’s rights to due process and equal protection
9 under the law, when it denied parole for two years.” (Pet., Ground 4 at 14.) As stated above,
10 violation of state mandated procedures will constitute a due process violation only if the violation
11 causes a fundamentally unfair result. Estelle, 502 U.S. at 65. Here, petitioner’s claim does not
12 even allege a violation of a state mandated procedure and actually misstates the statutory
13 provision at issue. At the time of the Board’s decision, California Penal Code § 3041.5(b)(2)(A)
14 stated that the Board could set a two-year denial “after any hearing at which parole is denied if
15 the board finds that it is not reasonable to expect that parole would be granted at a hearing during
16 the following year and states the bases for the finding.” Here, the Board did give the following
17 “specific reasons” for its decision to deny petitioner parole for two years: (1) the “timing and
18 gravity of the conviction offense” and (2) the finding that petitioner “hasn’t completed necessary
19 programming, which is essential to his adjustment. And that would be in the form of any self-
20 help programs that might assist him in dealing with the causative factors of the commitment
21 offense itself and the underlying issues.” (Pet., Attach. A at 51-52.) Because the Board
22 followed the state statutory procedure, there can be no due process violation.

23 There is also no federal equal protection guarantee implicated by petitioner’s
24 claim. One alleging an equal protection violation in the parole context must demonstrate that he
25 was treated differently from other similarly situated prisoners and that the Board lacked a rational
26 basis for its decision. McGinnis v. Royster, 410 U.S. 263, 269-70 (1973); McQueary v. Blodgett,

1 924 F.2d 829, 835 (9th Cir. 1991). Petitioner has failed to show that any other inmate who was
2 similarly situated to him was denied parole for only one year. He also has failed to show that the
3 reasons stated by the Board did not provide a rational basis for the two-year denial.
4 Accordingly, petitioner is not entitled to relief on his claim that his equal protection rights were
5 violated by the Board's conclusion that he was not suitable for parole for two years.

6 D. The Americans with Disabilities Act

7 Next, petitioner claims that the Board violated the Americans with Disabilities
8 Act (ADA) because "it relied in part on petitioner's admitted alcohol abuse history to deny him
9 parole."²⁰ (Pet. at 18.) Claims brought pursuant to the ADA are cognizable in habeas corpus
10 actions. Bogovich v. Sandoval, 189 F.3d 999, 1004 (9th Cir. 1999). On the other hand, "[t]he
11 parole board... undeniably does have legitimate penological interests in considering [parole
12 candidates'] substance abuse backgrounds during the individualized inquiry for parole
13 suitability." Thompson v. Davis, 295 F.3d 890, 898 n.4 (9th Cir. 2002). Here, while the Board
14 did mention petitioner's history of alcohol abuse in its decision, there is no indication in the
15 record that the petitioner's pre-conviction history of alcohol abuse was a determinative factor in
16 the Board's decision to deny parole. Moreover, there was other evidence concerning the
17 petitioner's lack of emotional maturity that supported the decision to deny parole in 2002. Thus,
18 the Board did not rely solely on petitioner's pre-conviction alcohol abuse as a basis for denying
19 him parole. Therefore petitioner's ADA claim does not state a basis on which relief could be
20 granted.

21 ////

22 ////

23
24 ²⁰ Petitioner presents a similar argument in his third claim where he alleges "[t]he Board
25 decision partially rests on the conclusion that Rubio's pre-commitment history is peppered with
26 unstable social history, substance abuse and drinking." (Pet., Ground 5 at 9.) Even accepting
that characterization of the Board's decision as accurate, petitioner is not entitled to habeas relief.
As discussed above, there was some evidence in petitioner's post-conviction record supporting
that decision and the mere mention of petitioner's pre-conviction history is not a basis for relief.

1 E. Claims alleged in the traverse

2 Petitioner's traverse has a section headed "Further Allegations" centering on the
3 grant of parole he received in his third subsequent hearing on November 28, 2005, and the
4 reversal of that decision by the full Board on February 22, 2006. (See Traverse, Attach. B.) The
5 stated reason for that reversal was the full Board's finding that the victim's next of kin had not
6 been notified of the hearing, a procedural deficiency that the full Board construed as "an error of
7 law which could result in a different decision upon rehearing." (Id.)

8 Petitioner argues that the reversal of the decision to grant parole by the full Board
9 shows: (1) that he was treated differently from prisoners whose victims' next of kin do not
10 appear at a hearing after being notified; and (2) that "the executive's implementation of the
11 parole system in California" has "arbitrarily established a policy of almost never permitting
12 parole." (Traverse, ¶ 9.) He characterizes the allegedly arbitrary executive policy as an
13 impermissible ex post facto application of policy against him.

14 Petitioner's challenges to this third parole decision, first set forth in his traverse,
15 have no bearing on the merits of the petition now before the court. Claims raised for the first
16 time in a traverse are not cognizable bases for relief. See Cacoperdo v. Demosthenes, 37 F.3d
17 504, 507 (9th Cir. 1994) (a traverse is not the proper pleading to raise additional grounds for
18 relief). The court may address only the petition before it, which challenges the denial of parole at
19 petitioner's hearing of February 26, 2002. The full Board's reversal of the grant of parole in
20 2005 can only be challenged in this court by a separate, timely filed federal petition for writ of
21 habeas corpus.²¹

22
23 ²¹ This is a close case with respect to the Board's 2002 decision to deny parole. The
24 counselor's concern about the risk posed by petitioner's release due to uncertainty with respect to
25 his emotional maturity should he have another relationship, even when coupled with the
26 psychologist's recommendation that petitioner continue programming in order to move toward
re-socialization and internalization of emotional and social values, is a relatively thin reed upon
which to deny parole in light of petitioner's pre-offense history and model conduct while in
prison. It suffices only under the minimally stringent "some evidence" standard applicable here.
If that record remained intact and the full Board in 2006 reversed a panel decision to grant

1 For all of the reasons set forth above, the petition now before the court states no
2 grounds upon which petitioner is entitled to relief at this time. Therefore it will be recommended
3 that the petition be denied.

4 CONCLUSION

5 Accordingly, IT IS HEREBY RECOMMENDED that petitioner's application for
6 a writ of habeas corpus be denied.

7 These findings and recommendations are submitted to the United States District
8 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty
9 days after being served with these findings and recommendations, any party may file written
10 objections with the court and serve a copy on all parties. Such a document should be captioned
11 "Objections to Magistrate Judge's Findings and Recommendations." Any reply to the objections
12 shall be served and filed within ten days after service of the objections. The parties are advised
13 that failure to file objections within the specified time may waive the right to appeal the District
14 Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

15 DATED: February 10, 2009.

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DALE A. DROZD
UNITED STATES MAGISTRATE JUDGE

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26 petitioner parole based on a procedural deficiency caused by the Board's failure to notify the
victim's next of kin of the hearing, an even more serious due process issue would be presented.