1 2 3 4 5 6 7 IN THE UNITED STATES DISTRICT COURT 8 FOR THE EASTERN DISTRICT OF CALIFORNIA 9 10 IGNACIO CEJA, 11 No. 2:06-cy-01574-MMM 12 Petitioner, 13 14 VS. 15 16 KERN VALLEY STATE PRISON, Warden, et al., 17 **ORDER** 18 Respondent. 19 20 21 Ignacio Ceja ("Ceja"), a California prisoner, filed a Petition for Writ of 22 Habeas Corpus pursuant to 28 U.S.C. § 2254. He challenges his conviction for 23 voluntary manslaughter and assault with a deadly weapon, as well as his sentence. 24 Ceja claims that his federal constitutional rights were violated because: (1) the trial 25 court erred in presenting a jury instruction that lightened the prosecution's burden 26 of proof, in violation of Ceja's due process right to a fair trial, and (2) the trial 27 judge erred in relying on factors not presented to a jury when sentencing Ceja to an 28 upper term sentence, in violation of Blakely v. Washington, 542 U.S. 296 (2004). 29 Respondent, the Warden, filed an Answer to the Petition with an attached 30

Memorandum of Points and Authorities in Support, and lodged portions of the state court record. The Warden contends that habeas relief is unavailable because Ceja has not shown that the state court's adjudication of his claims was contrary to or an unreasonable application of clearly established federal law.

For the following reasons, the court finds that Ceja is not entitled to habeas relief as to any claim presented, and DENIES the petition.

STATE PROCEEDINGS

A jury found Ceja guilty of voluntary manslaughter, in violation of Cal. Penal Code § 192, and the personal use of a firearm in the commission of that offense, in violation of Cal. Penal Code §§ 1203.06(a)(1) and 12022(a)(1). The jury found Ceja not guilty of murder as charged, opting instead for the lesser-included offense of voluntary manslaughter. Ceja was also found guilty of assault with a firearm on a person, and the personal use of a firearm in the commission of that offense, in violation of Cal. Penal Code sections 1203.06(a)(1) and 12022.5(a)(1). The state court sentenced Ceja to an aggregate term of 23 years and 4 months.

Ceja appealed his convictions to the California Court of Appeal, Third

Appellate District, presenting his jury instruction claim. The appellate court, in an
unpublished opinion, affirmed the conviction. Ceja filed a petition for review in

1	the California Supreme Court, again presenting the jury instruction claim.	That
2	petition was denied by an order which stated in full: "Petition for review	
3	DENIED."	

Shortly before the California Supreme Court denied the petition for review, the United States Supreme Court filed its opinion in <u>Blakely v. Washington</u>, 542 U.S. 296 (2004). In response, and after the California Supreme Court denied his petition, Ceja filed with the court of appeal a Motion to Recall Remittur and Reinstate Appeal and Request for Leave to File Supplemental Brief on his <u>Blakely</u> claim. That motion was denied. Ceja then filed a habeas petition in the California Supreme Court, asserting that the trial court illegally imposed the aggravated terms in his sentencing, in violation of <u>Blakely</u>. On July 12, 2006, that petition was summarily denied without citation of authority or a statement of reasoning.

FACTUAL BACKGROUND

The California Court of Appeal summarized the facts of Ceja's case as follows:¹

Defendant [Ceja] and his wife, Mandy, had a volatile relationship, during which they had a number of altercations which also involved Mandy's brothers, Harold and Curtis.

In his Petition for Review to the California Supreme Court, Ceja adopted the statement of facts and statement of the case contained in the Court of Appeal's opinion.

On May 12, 2002, Mandy and defendant were gathered at defendant's parent's home for a mother's day celebration. Mandy got into an argument with defendant's family, and defendant asked her to leave. Mandy left and told her son, Ray, to call the police. Instead, Ray called Curtis. Defendant left with one of their children.

After the call, Harold showed up at Curtis's house, and they drove to defendant's family's home. When they arrived, a number of defendant's family members were in the front yard. Harold spoke with defendant's father and then tried to get Mandy's children into the car to leave.

About 10 minutes later, defendant returned and he and Mandy began to argue again. Mandy told defendant her brothers were there to "check" his brother, and defendant responded, "Fuck your brothers." Curtis responded in kind and threw a soda can at defendant and a fight between the two ensued. Defendant's family joined in the fracas and were hitting Curtis with bricks. Eventually, the fight was broken up and defendant left the front yard. Mandy had left during the fight to call the police.

Defendant's father got a crowbar and tried to start another fight with Curtis, swinging the crowbar at Curtis's head. Defendant came back to the front yard with a gun. Defendant waved the gun and shot Curtis in the leg. Harold ducked down by the side of the car and defendant walked over to him and shot him four or five times while Harold was on the ground. He did not stop shooting until he was out of bullets. Harold's fiancée drove him to the hospital where he died in surgery. Harold had been shot five times, two of which were lethal shots.

When Mandy returned to the scene, defendant was not there and she did not see him until he turned himself in a few days later. Mandy's son, Ray, saw defendant leave the area in a car. Another of Mandy's sons, Cassell, also noted defendant left the scene after the shooting.

Upon arriving at the scene, officers attempted to locate defendant, but could not. The following day, officers asked defendant's sister where he was but she said she did not know. Officers made several efforts to locate defendant. He was identified to the media as a suspect, a team of special investigators were sent out to try to find him and they sought the assistance of defendant's family. Defendant turned himself

in on May 15, 2002. At that time, they took photographs of his body, noting only a few scrapes on his neck and knee and some scratches on his back.

Defendant claimed he was acting in self-defense in shooting Curtis and Harold, or that he was acting in the heat of passion or in imperfect self-defense.

DISCUSSION

I. Standard of Review

This court may entertain a petition for writ of habeas corpus "in behalf of a person in custody pursuant to the judgment of a State court only on the ground that he is in custody in violation of the Constitution or laws or treaties of the United States." 28 U.S.C. § 2254(a).

The writ may not be granted with respect to any claim that was adjudicated on the merits in state court unless the state court's adjudication of the claim: "resulted in a decision that was contrary to, or involved an unreasonable application of, clearly established Federal law, as determined by the Supreme Court of the United States; or (2) resulted in a decision that was based on an unreasonable determination of the facts in light of the evidence presented in the State court proceeding." 28 U.S.C. § 2254(d).

"Under the 'contrary to' clause, a federal habeas court may grant the writ if the state court arrives at a conclusion opposite to that reached by [the Supreme] Court has on a set of materially indistinguishable facts." Williams v. Taylor, 529
U.S. 362, 412-13 (2000). "Under the 'reasonable application clause,' a federal

Court on a question of law or if the state court decides a case differently than [the]

legal principle from [the] Court's decisions but unreasonably applies that principle

habeas court may grant the writ if the state court identifies the correct governing

to the facts of the prisoner's case." Id. at 413.

"A federal habeas court may not issue the writ simply because the court concludes in its independent judgment that the relevant state-court decision applied clearly established federal law erroneously or incorrectly. Rather, that application must also be unreasonable." <u>Id.</u> at 411. A federal habeas court making the "unreasonable application" inquiry should ask whether the state court's application of clearly established federal law was "objectively unreasonable." <u>Id.</u> at 409.

The only definitive source of clearly established federal law under 28 U.S.C. § 2254(d) is in the holdings of the Supreme Court as of the time of the state court decision. Id. at 412. While circuit law may be "persuasive authority" for purposes of determining whether a state court decision is an unreasonable application of Supreme Court precedent, only the Supreme Court's holdings are binding on the state courts and only those holdings need be "reasonably" applied. Id.

When a federal court is presented with a state court decision that is

unaccompanied by a rationale for its conclusions, the federal court has no basis other than the record "for knowing whether the state court correctly identified the governing legal principle or was extending the principle into a new context." Delgado v. Lewis, 223 F.3d 976, 981-82 (9th Cir. 2000). In such situations, federal courts must conduct an independent review of the record to determine whether the state court decision is objectively unreasonable. Id. While federal courts "are not required to defer to a state court's decision when that court gives [them] nothing to defer to, [they] must still focus primarily on Supreme Court cases in deciding whether the state court's resolution of the case constituted an unreasonable application of clearly established federal law." Greene v. Lambert, 288 F.3d 1081, 1089 (9th Cir. 2002) (quoting Fisher v. Roe, 263 F.3d 906, 914 (9th Cir. 2001)). Independent review of the record is not de novo review of the constitutional issue, but rather the only way a federal court can determine whether a silent state court decision is objectively unreasonable. Himes v. Thompson, 336 F.3d 848, 853 (9th Cir. 2003).

II. Claims

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A. Jury Instruction Error

Ceja argues that the trial court violated his Fifth and Fourteenth Amendment right to due process by giving the jury a flight instruction. In his trial, Ceja

- explains, the "only contested issue was the mental state of the petitioner when he
- 2 killed a family member during a physical altercation. Prosecution used evidence of
- 3 his flight from the scene to argue he had the mental state for premeditated first
- degree murder. The defense argued self defense, but the prosecution argued that if
- 5 he killed in self defense, he would not have fled." The jury convicted Ceja of
- 6 voluntary manslaughter, not first degree murder.

The California Court of Appeal rejected Ceja's claim that the instruction on flight lowered the prosecution's burden of proof:

Defendant contends the flight instruction as given, and as argued by the prosecutor, was an improper pinpoint instruction and denied him a fair trial. We are not persuaded. The evidence of flight from the scene did not factor into the prosecution's closing argument. Defense counsel's closing argument focused on defendant's claim of self-defense. In making this claim, he alluded to defendant's flight from the scene as an explanation of why defendant's injuries following the fight were relatively minimal. He also argued, in the alternative, that viewed in the context of their violent relationship and the size differences between defendant and Harold and Curtis, either a claim of heat of passion or imperfect self-defense should reduce the charge from murder to voluntary manslaughter.

In refuting this claim of self-defense, heat of passion, and imperfect self-defense, the prosecution argued on rebuttal, again without objection, "The law is going to tell you this. When a guy flees from the scene of a crime, it's insufficient by itself to prove someone guilty of murder, but it certainly shows a consciousness of guilt, and that shows that he didn't act reasonably. If you honestly thought in your heart of hearts that what you thought was reasonable and right, which is one of the voluntary manslaughters, you'd stick around and you'd say, hey, the guy was going to kill me, I had to shoot him. The law says when a guy

jumps in the car and says, 'Get me out of here,' and Michael says 'No, get out of my car,' and he flees the scene before the witnesses can get back from the store, that shows a clear consciousness of guilty. That's not me telling you that, that's the law."

The jury was instructed, without objection, that "The flight of a person immediately after the commission of a crime or after he is accused of a crime, is not sufficient in itself to establish his guilt, but is a fact which, if proved, may be considered by you in the light of all other proved facts in deciding whether a defendant is guilty or not guilty. The weight to . . . which this circumstance is entitled is a matter for you to decide." (CALJIC No. 2.52)

Defendant does not challenge the sufficiency of the evidence supporting the giving of this instruction. Rather, he challenges the instruction itself as improper, arguing that "pinpointing flight evidence as evidence of guilty is improper and lightened the prosecution's burden of proof." This same claim has been rejected by our Supreme Court. "We also reject defendant's argument that the flight instruction is an improper pinpoint instruction. The instruction informs the jury that it may consider flight in connection with all other proven facts, giving the fact of flight the weight the jury deems appropriate. The instruction is not argumentative; it does not impermissibly direct the jury to make only one inference. Finally, defendant contends that instruction unconstitutionally lessens the prosecution's burden of proof. It does not." (People v. Mendoza (2000) 24 Cal. 4th 130, 180; see also People v. Boyette (2002) 29 Cal.4th 381, 438-439).

The California Court of Appeal's rejection of the jury instruction claim was neither contrary to, nor involved an unreasonable application of, clearly established Supreme Court precedent. 28 U.S.C. § 2254(d). Nor did it involve an unreasonable determination of the facts. <u>Id.</u> As the state court noted, the flight instruction informed the jury that it *may* consider flight, but the instruction did not

direct the jury to make only one inference. The instruction explicitly stated that the "flight of a person immediately after the commission of a crime or after he is accused of a crime is not sufficient in itself to establish his guilt." Thus, the instruction itself does not provide a basis to conclude that there is a reasonable likelihood that the jury applied the flight instruction in a manner that violates the federal Constitution. See Estelle v. McGuire, 502 U.S. 62, 72 (1991) (holding that a jury instruction that is ambiguous will violate due process only when a reasonable likelihood exists that the jury has applied the challenged instruction in a manner that violates the federal Constitution). To obtain federal habeas relief based on an ambiguous jury instruction, a petitioner must show that the error "so infected the entire trial that the resulting conviction violates due process." Id. (quoting Cupp v. Naughten, 414 U.S. 141, 147 (1973)).

Further, considering the flight instruction "in the context of the instructions as a whole and the trial record," <u>see id.</u>, the state court decision denying Ceja's claim is reasonable. The trial court adequately instructed the jury regarding the prosecution's burden of proving guilt beyond a reasonable doubt. <u>See</u> Tr. Trans. 914-915, instructing the jury that: "A defendant in a criminal action is presumed to be innocent until the contrary is proven, and in case of a reasonable doubt whether his guilt is satisfactorily shown, he's entitled to a verdict of not guilty. This

presumption places upon the People the burden of proving him guilty beyond a reasonable doubt." Considering the trial court's clear instruction concerning the prosecution's burden of proof, the state court properly determined that the flight instruction did not "so infect[] the entire trial that the resulting conviction violates due process." McGuire, 502 U.S. at 72.

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Even if the jury instruction on flight amounted to constitutional error, it cannot be said that the error had a substantial or injurious effect on the jury's verdict. See Calderon v. Coleman, 525 U.S. 141, 146-47 (1990). The instruction specifically cautioned the jury not to infer Ceja's guilt from flight alone. The jury necessarily had to rely on other evidence to find Ceja guilty. The uncontested facts constitute overwhelming evidence—Ceja shot Harold four or five times until he was out of bullets. And, considering that the jury convicted Ceja of voluntary manslaughter, as opposed to murder, the jury surely did not accept the prosecution's theory that if the defendant had "honestly thought in [his] heart of hearts that what [he] thought was reasonable and right, which is one of the voluntary manslaughters, [he]'d stick around and [he]'d say, hey, the guy was going to kill me, I had to shoot him." The jury knew Ceja fled the scene, but nevertheless found him guilty of voluntary manslaughter, not murder. The jury did not accept the prosecution's interpretation of the significance of fleeing the scene.

In light of the evidence and the jury's verdict, Ceja cannot sustain a claim of prejudice. See id. Accordingly, habeas relief is DENIED on the jury instruction claim.

B. Sentencing Claim

Ceja explains that the trial court sentenced him to an upper term sentence on count one and its enhancement, "relying on factors not presented to his jury." Ceja asserts that this procedure is a violation of the Supreme Court's decision in <u>Blakely</u> and his rights under the Fifth and Sixth Amendments. Because the California Supreme Court denied Ceja's claim on the merits without explanation or citation to authority, the court reviews this claim under the <u>Delgado</u> standard and conducts an independent review of the record to determine whether the state court decision is objectively unreasonable. 223 F.3d at 982.

In <u>Apprendi v. New Jersey</u>, 530 U.S. 466, 490 (2000), the Court held that the Fourteenth Amendment right to due process and the Sixth Amendment right to trial by jury incorporated therein, require that "[o]ther than the fact of a prior conviction, any fact that increases the penalty for a crime beyond the prescribed statutory maximum must be submitted to a jury, and proved beyond a reasonable doubt." <u>Id.</u> at 476-77, 490. In <u>Blakely v. Washington</u>, 542 U.S. 296 (2004), the Court defined the term "statutory maximum" as used in <u>Apprendi</u> to mean "the

maximum sentence a judge may impose solely on the basis of the facts reflected in the jury verdict or admitted by the defendant." <u>Blakely</u>, 542 U.S at 303. Several years later, the Court held that the "statutory maximum" in the California scheme was the middle term, not the upper term. <u>Cunningham v. California</u>, 549 U.S. 270 (2007). The Court went on to hold that California's sentencing scheme, as it existed at the time Ceja was sentenced, violated the Sixth Amendment to the extent that a state court judge was permitted to impose upper terms based on facts not found by a jury beyond a reasonable doubt or admitted to by a defendant. <u>Id.</u> at 868.

The Ninth Circuit has held that in applying <u>Cunningham</u>, a federal habeas court must first determine whether any of the aggravating factors relied on by the sentencing judge to impose the upper term satisfies the Sixth Amendment requirements, either because they were actually found by a jury beyond a reasonable doubt or because they fit within the <u>Apprendi</u> prior conviction exception. <u>Butler v. Curry</u>, 528 F.3d 624, 641 (9th Cir. 2008). If a Sixth Amendment violation occurred as a result of the imposition of an upper term sentence, a federal habeas court must then apply the harmless error standard of <u>Brecht v. Abrahamson</u>, 507 U.S. 619 (1993). <u>Butler</u>, 528 F.3d at 648.

In selecting the upper term, the trial judge made the following statement:

And as punishment for the crime of voluntary manslaughter, the victim being Harold Jones, the defendant is sentenced to state prison for the upper term of eleven years.

The Court selects the upper term because, as opposed to a situation where one could argue that this was a fluke or a weird circumstance that caused the victim's death, such as a ricochet or one shot fired at the victim at an extremely long distance that, unbelievably, happens to hit the victim in a vital location, here the defendant walks up to the criminal, who is unarmed and is not attacking the defendant—although with the understanding that there's some conflict to that—and shoots—well, at the time he shot, there was no attack, and shoots the victim at close range five times.

In other words, the upper term is appropriate because the killing involved a high degree of viciousness and callousness, if not premeditation and deliberation.

The defendant has a relatively—also the defendant has a relatively long criminal history for a twenty-six-year old. And his behavior, while incarcerated on this case, has been less than exemplary, that is, he's had several write-ups for misbehavior.

The Court also will follow the recommendation of the probation department that the upper term of ten years be imposed on the defendant for Penal Code Section 12022.5, use of firearm allegation. Here the defendant was on formal probation when the crime was committed, felony probation for Penal Code Section 69 conviction.

It should be noted that for the use of a firearm, there are uses and then there are uses. And what I mean by that is that you can commit the offense 12022.5, use of a firearm, by simply as little as brandishing a weapon, by showing it in a threatening manner. That can be a use. It can't be inadvertent, but as long as it's purposefully showing that weapon, that is sufficient for use of a firearm.

Here the defendant shot the victim. The use consisted of shooting the victim five times at point blank range. This is the other extreme end of the scale. The victim was particularly vulnerable in the sense that he was unarmed in a nonthreatening mode.

This shooting, although it did not demonstrate sophistication or professionalism, there is some evidence of planning in that the defendant had obtained the weapon some weeks earlier, and on this date decided to go back into the house to get his weapon, come back outside, and kill the victim.

It should also be noted the defendant has suffered a conviction for Penal Code section 12025, illegal possession of a firearm, in 1996.

Tr. Trans., 976-978.²

Considering the trial judge's explanation, the court must determine whether any one of the factors relied on by the trial judge for each upper term sentence was either found by the jury beyond a reasonable doubt or fit within the narrow Apprendi exception. The obvious starting point is to examine the factors relied on by the trial judge that relate to Ceja's recidivism.

In selecting the upper term sentence for the voluntary manslaughter conviction, the trial judge noted that "the defendant has a relatively long criminal history for a twenty-six-year old." Tr. Trans. at 977. Ceja's probation report, which was before the judge at the time of sentencing, listed a number of juvenile adjudications and adult convictions. Supplemental Clerk's Tr. at 256-62. This factor fits within the "narrow" Apprendi exception for prior convictions. 530 U.S. at 490. Because this factor survives Sixth Amendment scrutiny, and because "only one aggravating factor is necessary to set the upper term" under California law, no Sixth Amendment violation occurred in sentencing Ceja on the conviction for

² For Count Two, the trial court sentenced Ceja to "one-third the mid term." That mid-term sentence is not at issue in this petition.

2	In selecting the upper term sentence for the use of a firearm conviction in
3	connection with Ceja's voluntary manslaughter conviction, the trial court "noted
4	the defendant has suffered a conviction for Penal Code Section 12025, illegal
5	possession of a firearm, in 1996." Tr. Trans. at 978. Ceja's conviction for the
6	previous firearm offense was detailed in the probation report that was before the
7	court at the time of sentencing. Supplemental Clerk's Tr. at 259. This factor also
8	fits within the "narrow" Apprendi exception for prior convictions. 530 U.S. at 490.
9	Because this factor survives Sixth Amendment scrutiny, and because "only one
10	aggravating factor is necessary to set the upper term" under California law, no
11	Sixth Amendment violation occurred in sentencing Ceja for the firearm
12	enhancement to the voluntary manslaughter conviction. See Butler, 528 F.3d at
13	648-49.
14	Accordingly, habeas relief is DENIED on the sentencing claim.
15	CONCLUSION
16	The petition for writ of habeas corpus is DENIED.
17 18 19 20 21	Dated: August 12, 2009 Mon. M. MARGARET MCKEOWN UNITED STATES CIRCUIT JUDGE Sitting by Designation

voluntary manslaughter. See Butler, 528 F.3d at 648-49.