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IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

CURTIS SCOTT,

Petitioner,

No. CIV-S-08-2227 GEB CKD P

vs.

RAUL LOPEZ,

Respondent.

FINDINGS AND RECOMMENDATIONS

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Petitioner is a California prisoner proceeding with counsel with a petition for writ of habeas corpus under 28 U.S.C. § 2254. Petitioner challenges convictions entered in the Superior Court of Amador County for: (1) battery on a non-confined person by a prisoner; and (2) resisting an executive officer in performance of their duties. Petitioner was convicted on June 1, 2006. On June 27, 2006, he was sentenced to consecutive 25-years-to-life terms of imprisonment under California’s “Three Strikes Law.” Those sentences were ordered to be served consecutive to the 25-years-to-life sentence petitioner was already serving. This action is proceeding on the second amended petition filed November 20, 2010.

I. Standard For Habeas Corpus Relief

An application for a writ of habeas corpus by a person in custody under a judgment of a state court can be granted only for violations of the Constitution or laws of the

1 United States. 28 U.S.C. § 2254(a). Also, federal habeas corpus relief is not available for any  
2 claim decided on the merits in state court proceedings unless the state court’s adjudication of the  
3 claim:

4 (1) resulted in a decision that was contrary to, or involved an  
5 unreasonable application of, clearly established federal law, as  
determined by the Supreme Court of the United States; or

6 (2) resulted in a decision that was based on an unreasonable  
7 determination of the facts in light of the evidence presented in the  
State court proceeding.

8 28 U.S.C. § 2254(d).<sup>1</sup> It is the habeas petitioner’s burden to show he is not precluded from  
9 obtaining relief by § 2254(d). See Woodford v. Visciotti, 537 U.S. 19, 25 (2002).

10 The “contrary to” and “unreasonable application” clauses of § 2254(d)(1) are  
11 different. As the Supreme Court has explained:

12 A federal habeas court may issue the writ under the “contrary to”  
13 clause if the state court applies a rule different from the governing  
14 law set forth in our cases, or if it decides a case differently than we  
15 have done on a set of materially indistinguishable facts. The court  
16 may grant relief under the “unreasonable application” clause if the  
17 state court correctly identifies the governing legal principle from  
18 our decisions but unreasonably applies it to the facts of the  
particular case. The focus of the latter inquiry is on whether the  
state court’s application of clearly established federal law is  
objectively unreasonable, and we stressed in Williams [v. Taylor],  
529 U.S. 362 (2000)] that an unreasonable application is different  
from an incorrect one.

19 Bell v. Cone, 535 U.S. 685, 694 (2002).

20 The court will look to the last reasoned state court decision in determining  
21 whether the law applied to a particular claim by the state courts was contrary to the law set forth  
22 in the cases of the United States Supreme Court or whether an unreasonable application of such  
23 law has occurred. Avila v. Galaza, 297 F.3d 911, 918 (9th Cir. 2002).

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25 <sup>1</sup> Title 28 U.S.C. § 2254(d) establishes a precondition to federal habeas relief, not  
26 grounds for entitlement to habeas relief. Fry v. Pliler, 551 U.S. 112, 119 (2007).

1 A state court does not apply a rule different from the law set forth in Supreme  
2 Court cases, or unreasonably apply such law, if the state court simply fails to cite or fails to  
3 indicate an awareness of federal law. Early v. Packer, 537 U.S. 3, 8 (2002).

4 “[W]hen a federal claim has been presented to a state court and the state court has  
5 denied relief, it may be presumed that the state court adjudicated the claim on the merits in the  
6 absence of any indication or state-law procedural principles to the contrary.” Harrington v.  
7 Richter, 131 S. Ct. 770, 784-85 (2011). “The presumption may be overcome when there is  
8 reason to think some other explanation for the state court’s decision is more likely.” Id. at 785.

9 Where the state court fails to give any reasoning whatsoever in support of the  
10 denial of a claim arising under Constitutional or federal law, the Ninth Circuit has held that this  
11 court must perform an independent review of the record to ascertain whether the state court  
12 decision was objectively unreasonable. Himes v. Thompson, 336 F.3d 848, 853 (9th Cir. 2003).  
13 As long as “‘fairminded jurists could disagree’ on the correctness of the state court’s decision,”  
14 habeas relief is precluded. Harrington, 131 S. Ct. 786.

15 If the state court does not reach the merits of a particular claim, de novo review  
16 applies. Lewis v. Mayle, 391 F.3d 989, 996 (9th Cir. 2004).

## 17 II. Background

18 On direct appeal, the California Court of Appeal for the Third Appellate District  
19 (Court of Appeal) summarized the facts presented at trial as follows:

20 On December 31, 2002, defendant, an inmate at Mule Creek State  
21 Prison, was angered when Correctional Officer Gaylord Gonzales  
22 required him to comply with a prison rule concerning seating in the  
23 dining hall. He cursed at the officer. When the officer approached  
24 him and demanded his identification card defendant punched the  
25 officer in the face. As the officer fell to the floor defendant struck  
26 him repeatedly.

On August 8, 2003, Michael Cherry was working as a correctional  
sergeant at the prison. The prison was operating under a lockdown  
that day and the prisoners were denied access to the exercise yard.  
Another officer reported to Sergeant Cherry that defendant was  
very upset about not being able to go to the exercise yard. Sergeant

1 Cherry went to the door of defendant's cell and talked to him.

2 Defendant was very angry. He was screaming, yelling, kicking the  
3 door and pacing. He cursed at Sergeant Cherry and threatened to  
4 kill him. Defendant was demanding to be released for his yard  
5 time. Sergeant Cherry explained that the exercise yard was closed  
6 due to a security issue, and testified, "That's when [defendant]  
7 started threatening [him] some more."

8 Resp't's Lodged Doc. 1 at 2-3.

9 The claims raised in this action were presented to California courts either through  
10 direct review or state collateral actions and all claims were presented to the California Supreme  
11 Court. The court will identify procedural history with respect to each particular claim, to the  
12 extent necessary, below.

### 13 III. Arguments And Analysis

#### 14 A. Right Of Self-Representation

15 Petitioner's first claim is that the trial court denied him his Constitutional right to  
16 self-representation at trial. This claim was presented to California's courts on direct appeal. The  
17 Court of Appeal issued the last reasoned opinion with respect to this claim.

18 The Court of Appeal summarized the facts concerning petitioner's claim as  
19 follows:

20 On March 1, 2004, defendant came before the court for  
21 arraignment on the amended complaint. He told the court that he  
22 wanted to represent himself and that he had filed a *Faretta* motion.  
23 (*Faretta v. California* (1975) 422 U.S. 806 [45 L. Ed .2d 562].)  
24 The court advised him that self-representation would be ill-advised  
25 and suggested that he should allow his new attorney to represent  
26 him. After talking with counsel, he acquiesced.

On November 17, 2004, defendant came before the court on a  
*Pitchess*<sup>2</sup> motion. His counsel said defendant wanted to make a  
*Marsden* motion<sup>3</sup> and a *Faretta* motion. Defendant was concerned  
that some of his records were missing. In the course of the

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<sup>2</sup> *Pitchess v. Superior Court* (1974) 11 Cal.3d 531.

<sup>3</sup> *People v. Marsden* (1970) 2. Cal.3d 118.

1           *Marsden* hearing defendant stated he had the unblockable right to  
2 proceed under *Faretta* at any time. The court acknowledged this  
3 and invited him to file a *Faretta* motion for consideration at the  
4 next hearing.

5           On December 8, 2004, defendant came before the court for a status  
6 hearing. After the conclusion of the discussion of various pending  
7 matters defense counsel said that defendant would like to address  
8 the court. Defendant asserted that he had psychiatric problems and  
9 wanted to inform the court that it was hard to follow the  
10 proceeding and that he was “not comprehending anything that’s  
11 going on.” The court noted he appeared to have been alert while in  
12 court. The prosecutor said he had just asked defense counsel  
13 whether he was concerned about defendant’s mental competency.  
14 Defendant interjected with a disorganized protest about not being  
15 allowed to assist in his defense and an assertion that he had 11  
16 motions to file. The court reminded him that he was represented  
17 by counsel and asked if there was anything further. The following  
18 ensued.

19           “[DEFENDANT]: You are saying I don’t have a right to represent  
20 myself on *Faretta*?”

21           “THE COURT: You may be able to do that, but you ought to file a  
22 motion before that can be heard. I’ll have to have the District  
23 Attorney’s response to it.

24           I completed the matters on calender. You are remanded to the  
25 custody of the Department of Corrections [and Rehabilitation].

26           “[DEFENDANT]: Refusing to let me address the Court on the  
*Faretta* matter?”

          “THE COURT: You may be seated. You may be seated.

          “[DEFENDANT]: I don’t have a right to proceed on *Faretta*? I  
have the written motion.

          “THE COURT: Take the defendant away.

          On June 20, 2005, a *Marsden* hearing was conducted. After the  
hearing, the *Marsden* motion was denied and the court said it was  
going to hear argument on the pending section 995 motion.  
Defendant objected that he was not ready for that because he  
wanted to supply evidence. The court and counsel told him the  
matter was to be resolved solely on the transcript of the preliminary  
examination.

          When the matter returned to open court defendant persisted in  
personally addressing the court, insisting that he wanted to make a  
record concerning some kind of double jeopardy claim. The court

1 said the time had come to proceed with the section 995 motion.  
2 The following exchange ensued:

3 “[DEFENDANT]: Excuse me, Your Honor.

4 “THE COURT: No, nothing further.

5 “[DEFENDANT]: I can’t represent myself?

6 “THE COURT: No. Let’s move forward.

7 “[DEFENDANT]: So you’re refusing me the right to represent  
8 myself, proceed pro. per.? You’re refusing me that right?

9 “THE COURT: You have a trial date next week.

10 “[DEFENSE COUNSEL]: Your Honor, it’s not next week. It’s  
11 three weeks.

12 “THE COURT: Three weeks away. And for purposes of today,  
13 you’re going to- -you can file your own motion to be represented  
14 by yourself, but I’m not going to hear it today. It’s 10 minutes to  
15 5:00 and I’m going to hear the [section] 995 so we can move  
16 forward today.

17 On October 12, 2005, after another *Marsden* motion was denied,  
18 the court offered to address the issue of self representation.  
19 Defendant did not immediately take up the offer. When the matter  
20 returned to open court his counsel said that defendant would like to  
21 set a date for further motions, including a *Faretta* motion. The  
22 court calendered the matter for November 16, 2005.

23 When the matter came on November 16, 2005, defendant made  
24 another *Marsden* motion. The court granted the motion and  
25 defendant accepted appointment of a new attorney.

26 On May 17, 2006, defendant made another *Marsden* motion. The  
gist of his grievance was that counsel refused to file motions that  
defendant wanted filed. Counsel explained why he believed the  
motions were inappropriate. The court denied the motion. When  
the matter returned to open court defendant asserted that he was  
being forced by counsel’s refusal to make the motions he wanted,  
into representing himself. He agreed that he wanted to make a  
*Faretta* motion but insisted, five times, that he was doing so under  
“duress.”

The court said defendant should fill out the court’s *Faretta* form.  
Defendant refused to do so. He asserted that he had his “own  
motion” and “[he] d[id]n’t have to agree to those stipulated terms  
because [he was] being forced.” The court assured him that using  
the form did not result in stipulated terms. Defendant again

1 refused to fill out the form. The court said that the form was  
2 designed to make sure that defendant understood the  
3 responsibilities of self-representation and that the court was  
4 required to ask the questions that were on the form. Defendant  
5 reiterated his refusal. The court said the motion for self-  
6 representation would be entertained at the next hearing.

7 At the next hearing on May 30, 2006, the court noted that  
8 defendant had refused to fill out the *Faretta* form and asked him to  
9 explain. Defendant said he refused because his self-representation  
10 was involuntary. In his view, he was being forced to choose  
11 between bad counsel and no counsel. The court replied that if  
12 defendant undertook self-representation pursuant to *Faretta*, he  
13 would be “stuck with the consequences” and could not claim that  
14 he was “represented by incompetent counsel.” Defendant insisted  
15 that he was being forced into self-representation for the reasons  
16 given in his *Marsden* motion for replacement of counsel. The  
17 court said this was not an acceptable basis for granting self-  
18 representation and denied the *Faretta* motion.

19 The court then conducted a *Marsden* hearing. After an extensive  
20 and patient inquiry the court denied the *Marsden* motion, finding  
21 that counsel was fully competent and that the cause of  
22 dissatisfaction was that defendant had his own view of the law and  
23 refused to listen to counsel concerning trial tactics.

24 Resp’t’s Lodged Doc. 1 at 3-7. The Court of Appeal then addressed petitioner’s right of self-  
25 representation claim as follows:

26 Defendant contends that the trial court erred in denying his  
requests to represent himself. He argues that he was repeatedly  
denied his constitutional right under *Faretta* to represent himself.  
The argument is unpersuasive and the contention of error is not  
meritorious.

Defendant asserts that his request for self-representation was  
consistently made over the course of pretrial proceedings, and was  
unequivocal, particularly at the proceedings related in our  
procedural background of his *Faretta* claim. He fails to make a  
particularized argument concerning error at any one of the  
proceedings, treating them as an aggregate. We address his claim  
on that basis, noting that in many instances defendant’s request  
was not denied; rather he withdrew it or resolution was continued  
until completion of the scheduled business presently before the  
court or because the scheduled matters were complete.

Defendant acknowledges that a *Faretta* request must be  
unequivocal. “If a request for self-representation is unequivocally  
asserted within a reasonable time before commencement of the  
trial, and if the assertion is voluntarily made with an appreciation

1 of the risks involved, the trial court has no discretion to deny it.”  
2 (*People v. Bloom* (1989) 48 Cal.3d 1194, 1219 (*Bloom*).)  
3 Defendant argues that his requests must be deemed unequivocal  
because he made them repeatedly. However, repeated equivocal  
requests do not become unequivocal because of repetition.

4 “To protect the right to counsel, a trial court faced with a motion  
5 for self-representation should determine whether the defendant  
6 truly desires self-representation. The court may consider the  
7 defendant’s conduct and other words, including any expression of  
8 ambivalence, in deciding the motion. ‘A motion for self-  
9 representation made in passing anger or frustration, an ambivalent  
10 motion, or one made for the purpose of delay or to frustrate the  
11 orderly administration of justice may be denied.’ ([*People v.*  
12 *Marshall* (1997) 15 Cal.4th 1,] 23.)” (Witkin, Cal. Criminal Law  
13 (3d ed. 2000) Criminal Trial, § 254, subd. (b), p. 391 (Witkin).)

14 In this case there was never an unambivalent request for self-  
15 representation. Even when defendant made an actual motion at an  
16 appropriate juncture he expressly announced that his request was  
17 involuntary and made only because of his dissatisfaction with  
18 appointed counsel and his refractory insistence that the trial court  
19 erred in the denial of his many *Marsden* motions. In this respect  
20 the case is similar to *People v. Scott* (2001) 91 Cal.App.4th 1197  
21 (*Scott I*), which upheld denial of such a *Faretta* request as  
equivocal.

22 “More importantly, the motion was not unequivocal. [The  
23 defendant] made his *Faretta* motion immediately after the trial  
24 court denied his *Marsden* motion, and [the defendant’s] subsequent  
25 comments suggest he made the *Faretta* motion only because he  
26 wanted to rid himself of appointed counsel. When the trial court  
gave [the defendant] a waiver of rights form in response to his  
*Faretta* request, the court said: ‘For the record, let me repeat it.  
[A]re you sure you want to represent yourself?’ [The defendant]  
replied, ‘Yes. I do judge. I don’t want [appointed defense counsel]  
to represent me.’ [The defendant] also said: ‘[I]f I can’t get a  
[new] state appointed attorney, then I represent myself,’ and , ‘For  
the record, I don’t want this attorney representing me. You the  
court is coercing me.’” (*Scott I, supra*, 91 Cal.App.4th at p. 1205,  
fn. omitted.)

27 We agree with *Scott I*. Such an assertion is not one “voluntarily  
28 made with an appreciation of the risks involved.” (*Bloom, supra*,  
29 Cal.3d at p. 1219.) Here defendant refused to comply with the  
30 reasonable direction that he use the court’s *Faretta* form so that the  
31 court could determine that he understood the responsibilities of  
32 self-representation. He implicitly refused to accept the possibility  
33 that the court would be upheld in the rulings on his *Marsden*  
34 motions. In insisting that he was improperly being forced to  
35 represent himself, he manifested denial as to the risks of self-



1 representation. The trial court could reasonably conclude that in  
2 defendant's view, he was not subject to those risks: He would not  
3 be "stuck with the consequences" because he was acting under  
"coercion." In these circumstances the trial court acted within its  
discretion in denying his equivocal request.

4 Resp't's Lodged Doc. 1 at 10-13.

5 In Faretta v. California, 422 U.S. 806, 807 (1975), the United States Supreme  
6 Court held that an accused has a right to conduct his own defense, provided only that he  
7 voluntarily and intelligently waives his right to counsel. The holding of Faretta is based on "the  
8 long-standing recognition of a right of self-representation in federal and most state courts, and on  
9 the language, structure, and spirit of the Sixth Amendment." McKaskle v. Wiggins, 465 U.S.  
10 168, 174 (1984).

11 The Court of Appeal essentially identified the standard established by the  
12 Supreme Court in Faretta for judging claims concerning the denial of the right to self  
13 representation with its citation to "*People v. Bloom* (1989) 48 Cal.3d 1194, 1219." The Court of  
14 Appeal found that petitioner never made what could be construed as a voluntary and intelligent  
15 waiver of the right to counsel because petitioner refused to acknowledge awareness of what  
16 exactly would be relinquished if petitioner were to proceed pro se nor otherwise demonstrated  
17 awareness. Petitioner fails to point to anything suggesting the Court of Appeal's finding amounts  
18 to an unreasonable application of Faretta. Furthermore, petitioner fails to point to anything  
19 suggesting the rejection of his right to self-representation claim is based on an unreasonable  
20 determination of the facts. Because petitioner is precluded from obtaining relief under 28 U.S.C.  
21 § 2254(d), his first claim should be rejected.

22 B. Denial Of Right To Be Present At Trial And Right To Confrontation

23 Petitioner asserts comments made by the trial court shortly before trial forced  
24 petitioner to remove himself from the trial which, in turn, resulted in a violation of his  
25 Constitutional rights to be present at trial and to confront his accusers. This claim was presented  
26 to California courts on direct appeal, but it does not appear any court issued a reasoned decision

1 with respect to the claim.<sup>4</sup>

2 The California Court of Appeal described the facts underlying petitioner's second  
3 claim as follows:

4 When the matter first come on before the jury panel the court noted  
5 that defendant was in prison garb and asked the jurors not to be  
6 prejudiced or biased against him. "It has nothing to do with this  
7 trial. He can be dressed as a clown, that wouldn't make him a  
8 funny guy. So I want all of you to assure me that the way that  
9 defendant is dressed will not affect your decision in this case." The  
10 court then described the charges. As the court was explaining the  
11 role of the jurors and their duty to follow the law it was interrupted,  
12 presumably by some nonverbal conduct of defendant. The  
13 following interchange ensued:

14 "THE COURT: [T]here may be interruptions by defendant too, I  
15 don't want you to-

16 "[DEFENDANT]: I'm not going to.

17 "THE COURT: I don't want you to be prejudiced against him  
18 because of his interruptions, basically hasn't been cooperative, but  
19 has got nothing to do with whether he is guilty or not guilty of  
20 these crimes that are charged against him. I want you to  
21 understand that. If he jumps around and raises hell-

22 "[DEFENDANT]: Can I leave please, I don't want to participate  
23 in this fiasco. I want to leave. My constitutional rights are not  
24 being respected. I do not want to participate in this-

25 "THE COURT: He's right. He doesn't have to be here either.

26 "[DEFENDANT]: Right about that.

"THE COURT: I told him if he disrupts-

"[DEFENDANT]: I want to lay on my bunk.

"THE COURT: I hope that you are listening to me and not to him.  
If he disrupts these proceedings so I can't function anymore, then I  
will have to remove him from the courtroom.

"[DEFENDANT]: You don't have to remove-I want to go.

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<sup>4</sup> On direct appeal, the California Court of Appeal only considered whether the comments identified below constitute judicial misconduct. Resp't's Lodged Doc. 1 at 16-17. The Court of Appeal did not address whether the comments resulted in a violation of petitioner's Constitutional rights to be present at trial and to confront his accusers.

1           “THE COURT: With the admonition that as soon as he decides  
2           not to be disruptive anymore he can come back if he decides not to  
3           be disruptive anymore he can come back if he decides. If he’s  
4           requested that he leave, allow him to do that. Mr Scott, if you  
5           change your mind let the officers know and you can come right  
6           back.

7           “[DEFENDANT]: Yes, sir. When you say come right back up  
8           here, yeah, my clown garb, everything.

9           “THE COURT: See you on appeal.

10          Resp’t’s Lodged Doc. 1 at 8-10.

11                 There is nothing in the record suggesting that the trial court forced petitioner’s  
12          removal from any portion of his trial. Petitioner chose to leave on his own. Therefore, there is  
13          no violation of petitioner’s right to be present at his trial nor his right to confront his accusers.  
14          The trial court’s “clown” analogy and suggestion that petitioner might “raise hell,” in retrospect,  
15          may not have been the best choice of words. But, it cannot reasonably be suggested that the  
16          choice of those words forced petitioner from the courtroom, particularly given that the trial court  
17          was trying to mitigate the potential damage being caused by the petitioner’s behavior and  
18          outbursts.

19                 Furthermore, petitioner has failed to show that rejection of this claim by  
20          California courts is contrary to, or involves an unreasonable application of clearly established  
21          federal law as determined by the Supreme Court. Likewise, he has not shown the rejection of his  
22          claim is based on an unreasonable determination of the facts in light of the evidence presented at  
23          trial. Therefore, petitioner is precluded from obtaining relief on his second claim by 28 U.S.C. §  
24          2254(d).

25                 C. Shackling

26                 Next, petitioner asserts that his being forced to wear leg restraints during trial  
                amounted to a violation of his right to due process under the Fourteenth Amendment. This claim  
                was presented to California courts on direct appeal. The California Court of Appeal, the last  
                court to issue a reasoned opinion with respect to petitioner’s claim, declined to reach the merits

1 of the claim because petitioner failed to object to the use of leg restraints at trial.<sup>5</sup> Resp't's  
2 Lodged Doc. 1 at 14-15.

3 Respondent argues that petitioner's shackling claim is procedurally defaulted.  
4 Federal courts will not review claims rejected by state courts if the decision of the state court  
5 resulting in a denial of the federal claim rests on a state law that is independent of the federal  
6 question and adequate to support judgment. Coleman v. Thompson, 501 U.S. 722, 729 (1991).  
7 The denial of petitioner's "shackling" claim was based on California's "contemporaneous  
8 objection" rule which, in this case, is independent of any issue of federal law. Also, the Ninth  
9 Circuit Court of Appeals has found that a violation of the California's contemporaneous  
10 objection rule is "adequate to support judgment" for purposes of determining whether a claim has  
11 been procedurally defaulted. See Melendez v. Piler, 288 F.3d 1120, 1125 (2002).

12 In light of the above, and because petitioner has not attempted to establish cause  
13 for his failure to object to his being shackled at trial, and prejudice accruing therefrom, see  
14 Wainwright v. Sykes, 433 U.S. 72, 90-91 (1971), petitioner's shackling claim is procedurally  
15 defaulted.

#### 16 D. Jury Instruction Regarding Shackling

17 In his fourth claim, petitioner asserts that the trial court's failure to sua sponte  
18 inform jurors to disregard the fact that petitioner was shackled violated his right to due process  
19 under the Fourteenth Amendment.

20 Claims of error in state jury instructions are generally a matter of state law and do  
21 not invoke a Constitutional question unless they amount to a deprivation of due process. Hayes  
22 v. Woodford , 301 F.3d 1054, 1086 (9th Cir. 2002). A violation of due process occurs if a trial is  
23 fundamentally unfair. Estelle v. McGuire, 502 U.S. 62, 72-73 (1991). Because the omission of  
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25 <sup>5</sup> The trial court ordered that petitioner be restrained with a "leg brace" during trial. RT  
26 391. However, petitioner insisted that he be restrained with visible chains around his ankles. RT  
394-96.

1 an instruction is less likely to be prejudicial than a misstatement of the law, a habeas petitioner  
2 whose claim involves a failure to give a particular instruction bears an especially heavy burden.  
3 Henderson v. Kibbe, 431 U.S. 145, 155 (1977). Petitioner’s claim of jury instruction error was  
4 presented to California’s courts on direct appeal. The California Court of Appeal issued the last  
5 reasoned opinion with respect to the claim.

6           The Court of Appeal assumed that failure to instruct jurors that they should  
7 disregard the fact that petitioner appeared in court wearing physical restraints was error under  
8 California law. However, the court found any error to be harmless:

9           The trial court did instruct that the jury was to disregard  
10 defendant’s prison garb and his disruptive conduct. Implicit in this  
11 was that the derivative need to restrain defendant, which the jury  
12 would likely attribute to defendant’s inmate status and disruptive  
13 conduct, was also to be disregarded. Defendant did not testify, the  
14 case in our view was not closely contested as to guilt, and the error,  
15 if any, in failing to instruct on restraints was not accompanied by  
16 other error. In these circumstances any error was harmless.

17 Resp’t’s Lodged Doc. 1 at 15-16.

18           After reviewing the record, the court finds that the California Court of Appeal’s  
19 decision that failure to specifically instruct jurors not to consider the fact that petitioner wore  
20 physical restraints during trial was harmless is not contrary to, nor does it involve an  
21 unreasonable application of clearly established federal law as determined by the Supreme Court  
22 of the United States. Furthermore the decision is not based on an unreasonable determination of  
23 the facts. Accordingly, petitioner’s claim that his jury was not adequately instructed must be  
24 rejected.

25           E. Sentence

26           In his fifth claim, petitioner asserts his right to due process under the Fourteenth  
Amendment was violated when the trial court found that two convictions from Arizona for  
robbery could be deemed “strikes” under California’s “Three Strikes Law” resulting in an  
increase of petitioner’s sentence. No California court issued a reasoned opinion with respect to

1 this claim.

2           Generally speaking, under California’s “three strikes law,” crimes committed in  
3 other jurisdictions can constitute “strikes”: “[a] defendant whose prior conviction was suffered  
4 in another jurisdiction is . . . subject to the same punishment as a person previously convicted of  
5 an offense involving the same conduct in California.” People v. Myers, 858 P.2d 301, 306 (Cal.  
6 1993). Petitioner asserts an Arizona robbery cannot constitute a “strike” because the elements of  
7 robbery in Arizona and California are different.

8           Whether the trial court determined correctly that petitioner’s Arizona robberies  
9 constitute “strikes” under California law is, generally speaking, a question of California law.  
10 “[I]t is not the province of a federal habeas court to reexamine state-court determinations on  
11 state-law questions.” Estelle v. McGuire, 502 U.S. 62, 68 (1991). The trial court relied on  
12 People v. Mumm, 120 Cal. Rptr. 2d 18 (4th Dist. 2002) in finding that Arizona robberies could  
13 be “strikes” for purposes of California’s “three strikes law.” Neither the determination by the  
14 trial court that, under California law, the Arizona robberies could be “strikes,” nor the same  
15 decision by the California Court of Appeal in Mumm, can be reviewed here.

16           Whether a finding that Arizona robberies constitute “strikes” in California  
17 violates a provision of federal law is an appropriate subject for habeas review. In order to  
18 establish a federal claim, petitioner points only to Jackson v. Virginia, 443 U.S. 307, 319 (1929)  
19 which stands for the proposition that a conviction cannot stand if, after viewing the evidence in  
20 the light most favorable to the prosecution, the court finds that no rational trier of fact could have  
21 found all of the elements of the crime beyond a reasonable doubt. It is not clear how this  
22 proposition applies here as petitioner does not allege that any finding of fact made by his jury  
23 was not supported by adequate evidence. Rather, he challenges what is essentially a  
24 determination as to what constitutes a “strike” under California law. In any case, petitioner has  
25 not met his burden of demonstrating the California Supreme Court’s rejection of petitioner’s  
26 “due process” claim concerning his sentence is contrary to, or involves an unreasonable

1 application of clearly established federal law as determined by the Supreme Court of the United  
2 States or is based on an unreasonable determination of the facts in light of the evidence presented  
3 at trial.

4 Also, petitioner asserts his Arizona convictions cannot be used to enhance his  
5 current sentence because a term of his plea agreement with respect to the Arizona convictions  
6 was that those convictions “could not be used for any purpose.” Petitioner’s assertion that the  
7 convictions “could not be used for any purpose” does not make sense and petitioner fails to point  
8 to a copy of the Arizona plea agreement. Accordingly, nothing before the court suggests  
9 petitioner’s plea agreement acts as a bar to the Arizona robbery convictions being used as  
10 “strikes” in California.

11 For all of these reasons petitioner’s fifth claim should be rejected.

12 F. Ineffective Assistance of Counsel

13 Petitioner asserts that he was deprived of his Sixth Amendment right to effective  
14 assistance of counsel. No California court issued a reasoned decision with respect to this claim.  
15 The standard for judging ineffective assistance of counsel claims is set forth in Strickland v.  
16 Washington, 466 U.S. 668 (1984). First, a defendant must show that, considering all the  
17 circumstances, counsel’s performance fell below an objective standard of reasonableness.  
18 Strickland, 466 U.S. at 688. To this end, the defendant must identify the acts or omissions that  
19 are alleged not to have been the result of reasonable professional judgment. Id. at 690. The court  
20 must then determine whether in light of all the circumstances, the identified acts or omissions  
21 were outside the wide range of professional competent assistance. Id. Second, a defendant must  
22 affirmatively prove prejudice. Id. at 693. Prejudice is found where “there is a reasonable  
23 probability that, but for counsel’s unprofessional errors, the result of the proceeding would have  
24 been different.” Id. at 694. A reasonable probability is “a probability sufficient to undermine  
25 confidence in the outcome.” Id.

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1           Essentially, petitioner asserts that none of the attorneys that represented him  
2 conducted any pretrial investigation. Defense counsel has a “duty to make reasonable  
3 investigations or to make a reasonable decision that makes particular investigations  
4 unnecessary.” Strickland, 466 U.S. at 691. Here, petitioner fails to point to any evidence  
5 indicating that his attorneys failed to conduct any pretrial investigation.<sup>6</sup> More importantly, he  
6 fails to point to anything specific that any of his attorneys should have and failed to investigate.

7           Petitioner asserts that there were a number of witnesses who observed “the”  
8 altercation and could have testified on behalf of petitioner. However, petitioner fails to indicate  
9 whether he is referring to the incident with Officer Gonzales or Cherry. Additionally, petitioner  
10 fails to point to the names of such witnesses or the content of their testimony. Therefore, he has  
11 not established prejudice by their not being called to testify.

12           Because petitioner has failed to demonstrate the actions of his trial counsel  
13 prejudiced his case in anyway, or that trial counsel’s performance was even objectively  
14 unreasonable, his ineffective assistance of counsel claim must be rejected. Furthermore, the  
15 California Supreme Court’s rejection of petitioner’s ineffective assistance of counsel claim is not  
16 contrary to, nor it does not involve an unreasonable application of clearly established federal law  
17 as determined by the Supreme Court of the United States. Finally, the decision is not based on  
18 an unreasonable determination of the facts. Therefore, as with most of petitioner’s claims, relief  
19 is barred by 28 U.S.C. § 2254(a).

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22           <sup>6</sup> Petitioner points to two pieces of evidence in support of his assertion that his attorneys  
23 failed to conduct any pretrial investigation. First, petitioner points to a hearing, RT 202-205, in  
24 which trial counsel at that time admitted that the investigation up until that point had been  
25 lacking. But, this does not provide support for the assertion that no investigation was done.  
26 Second, petitioner points to his own “Exhibit A–Declaration of Curtis Scott.” “Exhibit A” is  
comprised of statements made by petitioner and other potential exhibits including reports from  
officers present during petitioner’s altercation with Officer Gonzales. Petitioner does not  
specifically explain how “Exhibit A” supports his claim that trial counsel failed to conduct  
adequate investigation and such is not otherwise apparent after review of the exhibit.



1 IV. Conclusion

2 For all of the foregoing reasons, the court will recommend that petitioner's  
3 application for writ of habeas corpus be denied.

4 In accordance with the above, IT IS HEREBY RECOMMENDED that  
5 petitioner's application for a writ of habeas corpus be denied.

6 These findings and recommendations are submitted to the United States District  
7 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty-  
8 one days after being served with these findings and recommendations, any party may file written  
9 objections with the court and serve a copy on all parties. Such a document should be captioned  
10 "Objections to Magistrate Judge's Findings and Recommendations." In his objections petitioner  
11 may address whether a certificate of appealability should issue in the event he files an appeal of  
12 the judgment in this case. See Rule 11, Federal Rules Governing Section 2254 Cases (the district  
13 court must issue or deny a certificate of appealability when it enters a final order adverse to the  
14 applicant). Any reply to the objections shall be served and filed within fourteen days after  
15 service of the objections. The parties are advised that failure to file objections within the  
16 specified time may waive the right to appeal the District Court's order. Martinez v. Ylst, 951  
17 F.2d 1153 (9th Cir. 1991).

18 Dated: February 15, 2012

19   
20 CAROLYN K. DELANEY  
21 UNITED STATES MAGISTRATE JUDGE

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