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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

EDGARDO MUNOZ,

Petitioner,

No. 2:08-cv-2289 WBS JFM HC

vs.

MIKE MCDONALD, Warden,¹

Respondent.

FINDINGS AND RECOMMENDATIONS

_____/

Petitioner is a state prisoner proceeding in propria persona with an application for a writ of habeas corpus pursuant to 28 U.S.C. § 2254. Petitioner challenges his 2006 conviction on charges of attempted murder, in violation of Cal. Penal Code § 664/187(a)², with an allegation of personal use of a firearm, in violation of § 12022.5(a), and the determinate sentence of nineteen years in prison imposed thereon on August 22, 2006. Petitioner raises one claim in his petition, filed September 29, 2008, that his prison sentence violates the Constitution.

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¹ Respondent's request to substitute Mike McDonald, current acting Warden of High Desert State Prison, is granted.

² All statutory references will be to the California Penal Code unless noted otherwise.

1 Masters told officers that [petitioner] had pulled up behind him and started
2 shooting at his car while driving at high speed. [Petitioner] rammed the back of
3 Masters's car causing Masters to lose control, go down the embankment and flip
4 over. [Petitioner] then fled the scene. Witnesses reported hearing gunshots and
5 saw [petitioner's] car leave the scene. Masters admitted that he was a Sureño
6 criminal street gang member when he was younger.

7 Masters was flown to the hospital via Enloe Flight Care, where he was
8 treated for a fractured arm and multiple bruises to his head and upper torso. His
9 arm will require further surgery to repair the damage. Masters's two minor
10 passengers were also treated at the hospital. One was treated for head and spinal
11 pain, and pain to his finger. The other was treated for a contusion to his head and
12 for spinal pain. [Petitioner] had a .22-caliber revolver when he was arrested. At
13 the time of his arrest, he told officers he had engaged in a high-speed chase
14 because Masters had flashed gang signs at him and such "disrespect" upset him.
15 During the chase, Masters slammed on his brakes, which caused [petitioner] to
16 slam into the back of Masters's car. [Petitioner] fled the scene because "there
17 were three of them." [Petitioner] admitted being a Norteño criminal street gang
18 member and was wearing a red belt and had a red bandana in his pocket.

19 [Petitioner], however, later denied gang membership or gang involvement,
20 although he admitted that he and Masters have had ongoing problems since they
21 were young. In his later explanation, he claimed he got angry and chased Masters
22 at high speed after Masters flipped him off. During the chase, he heard gunshots
23 and realized his friend was driving behind them, shooting a gun. [Petitioner]
24 claimed Masters slammed on his brakes, which caused Masters to go off the road
25 and flip over. In another version, [petitioner] claimed that after Masters slammed
26 on his brakes, Masters sped up and came toward [petitioner].

In this version, both cars collided, spun in circles, and Masters's car went
off the cliff. [Petitioner] also claimed he was high on crystal methamphetamine at
the time of the incident and later arrest.

(People v. Munoz, slip op. at 162-64.)

PROCEDURAL HISTORY

On December 2, 2005, petitioner was charged with one felony count of assault
with a deadly weapon, one count of felony vandalism, three counts of attempted murder, and one
count of shooting at an occupied motor vehicle. (Lodgment 1 at 32-36.) The information further
alleged enhancements that petitioner personally inflicted great bodily injury upon the victim, that
petitioner personally and intentionally discharged a firearm, and that petitioner committed the
offenses for the benefit of, and at the direction of, and in association with, a criminal street gang
with the specific intent to promote, further and assist in criminal conduct by gang members. (Id.)

1 ////

2 Under section 2254(d)(1), a state court decision is “contrary to” clearly
3 established United States Supreme Court precedents if it applies a rule that contradicts the
4 governing law set forth in Supreme Court cases, or if it confronts a set of facts that are materially
5 indistinguishable from a decision of the Supreme Court and nevertheless arrives at different
6 result. Early v. Packer, 537 U.S. 3, 7 (2002) (citing Williams v. Taylor, 529 U.S. 362, 405-406
7 (2000)).

8 Under the “unreasonable application” clause of section 2254(d)(1), a federal
9 habeas court may grant the writ if the state court identifies the correct governing legal principle
10 from the Supreme Court’s decisions, but unreasonably applies that principle to the facts of the
11 prisoner’s case. Williams, 529 U.S. at 413. A federal habeas court “may not issue the writ
12 simply because that court concludes in its independent judgment that the relevant state-court
13 decision applied clearly established federal law erroneously or incorrectly. Rather, that
14 application must also be unreasonable.” Id. at 412; see also Lockyer v. Andrade, 538 U.S. 63, 75
15 (2003) (it is “not enough that a federal habeas court, in its independent review of the legal
16 question, is left with a ‘firm conviction’ that the state court was ‘erroneous.’”) The court looks
17 to the last reasoned state court decision as the basis for the state court judgment. Avila v.
18 Galaza, 297 F.3d 911, 918 (9th Cir. 2002).

19 II. Petitioner’s Claim

20 As his only claim, petitioner asserts that the California Supreme Court erred in
21 denying review of this matter, “thus leaving an important question of law unanswered.” (Pet. at
22 5.) This argument lacks merit. Upon review of the petition in its entirety, including his attached
23 unsuccessful petition for review to the California Supreme Court, it is apparent that petitioner is
24 challenging the trial court’s imposition of aggravated terms on both the attempted murder charge
25 and the gun use enhancement. Petitioner argues that the trial court based those aggravated terms
26 on facts not proved beyond a reasonable doubt to a finder of fact, in violation of Blakely v.

1 Washington, 542 U.S. 296 (2004).

2 The last reasoned rejection of this claim is the decision of the California Court of
3 Appeal for the Third Appellate District on petitioner's direct appeal. The state court rejected this
4 claim on the ground that:

5 [Petitioner] contends that imposition of the upper term violated his right to
6 a jury trial and proof beyond a reasonable doubt as interpreted in Apprendi v.
7 New Jersey (2000) 530 U.S. 466, 120 S.Ct. 2348, 147 L.Ed.2d 435 (Apprendi),
8 Blakely v. Washington (2004) 542 U.S. 296, 124 S.Ct. 2531, 159 L.Ed.2d 403
9 (Blakely), and Cunningham v. California (2007) 549 U.S. 270, 127 S.Ct. 856, 166
10 L.Ed.2d 856 (Cunningham).

11 Apprendi held that other than the fact of a prior conviction, any fact that
12 increases the penalty for a crime beyond the statutory maximum must be tried to a
13 jury and proved beyond a reasonable doubt. (Apprendi, supra, 530 U.S. at p. 490,
14 120 S.Ct. at p. 2363, 147 L.Ed.2d at p. 455.) For this purpose, the statutory
15 maximum is the maximum sentence a court could impose based solely on facts
16 reflected by a jury's verdict or admitted by the defendant; thus, when a court's
17 authority to impose an enhanced sentence depends upon additional factfindings,
18 there is a right to a jury trial and proof beyond a reasonable doubt on the
19 additional facts. (Blakely, supra, 542 U.S. at pp. 303-305, 124 S.Ct. at pp.
20 2537-2538, 159 L.Ed.2d at pp. 413-414.)

21 In Cunningham, supra, 549 U.S. at p. ----, 127 S.Ct. at p. 860, 166 L.Ed.2d
22 at p. 864, the United States Supreme Court held that by "assign[ing] to the trial
23 judge, not to the jury, authority to find the facts that expose a defendant to an
24 elevated 'upper term' sentence," California's determinate sentencing law "violates
25 a defendant's right to trial by jury safeguarded by the Sixth and Fourteenth
26 Amendments." (Ibid., overruling People v. Black (2005) 35 Cal.4th 1238, 29
Cal.Rptr.3d 740, 113 P.3d 534 on this point, vacated in Black v. California (2007)
--- U.S. ----, 127 S.Ct. 1210, 167 L.Ed.2d 36.)

 Of course, as the Supreme Court expressly recognized in Blakely, a
defendant entering into a plea agreement may waive his right to a jury trial on
additional facts used to impose an enhanced sentence. "When a defendant pleads
guilty, the State is free to seek judicial sentence enhancements so long as the
defendant either stipulates to the relevant facts or consents to judicial factfinding.
[Citations.]" (Blakely, supra, 542 U.S. at p. 310, 124 S.Ct. at p. 2541, 159
L.Ed.2d at pp. 417-418.)

 In this case, [petitioner] pled no contest and entered a Harvey waiver. In
People v. Harvey (1979), 25 Cal.3d 754, 159 Cal.Rptr. 696, 602 P.2d 396, the
Supreme Court held that, in imposing sentence under a plea bargain, the court
may not consider evidence of any crime as to which charges were dismissed as a
"circumstance in aggravation" supporting the upper term on the remaining counts.
(Harvey, supra, at p. 758, 159 Cal.Rptr. 696, 602 P.2d 396.) The court deemed it
"improper and unfair" to permit the sentencing court to consider any of the facts
underlying dismissed counts because, absent an agreement to the contrary, a plea

1 bargain implicitly includes the understanding that the [petitioner] will suffer no
2 adverse sentencing consequences by reason of the facts underlying, and solely
3 pertaining to, dismissed counts. (Ibid.)

4 To avoid the Harvey restriction, prosecutors often "condition[] their plea
5 bargains upon the defendant agreeing that the sentencing court may consider the
6 facts underlying the not-proved or dismissed counts when sentencing on the
7 remainder." (People v. Myers (1984) 157 Cal.App.3d 1162, 1167, 204 Cal.Rptr.
8 91.) Defendants may accept this relatively minor potential consequence in order
9 to avoid other convictions or sentencing enhancement terms. (Ibid.) This
10 agreement is known as a "Harvey waiver." (Ibid.) A Harvey waiver permits the
11 sentencing court to consider the facts underlying dismissed counts and
12 enhancements when determining the appropriate disposition for the offense or
13 offenses of which the defendant stands convicted. (People v. Moser (1996) 50
14 Cal.App.4th 130, 132-133, 57 Cal.Rptr.2d 647.)

15 In the "SENTENCING" section of the plea form, [petitioner] initialed the
16 following provisions:

17 "28. I DO UNDERSTAND THAT THE MATTER OF
18 PROBATION AND SENTENCE IS TO BE DETERMINED
19 SOLELY BY THE SUPERIOR COURT JUDGE.

20 "29. (HARVEY WAIVER) I STIPULATE THE SENTENCING
21 JUDGE MAY CONSIDER MY PRIOR CRIMINAL HISTORY
22 AND THE ENTIRE FACTUAL BACKGROUND OF THE
23 CASE, INCLUDING ANY UNFILED, DISMISSED OR
24 STRICKEN CHARGES OR ALLEGATIONS OR CASES WHEN
25 GRANTING PROBATION, ORDERING RESTITUTION OR
26 IMPOSING SENTENCE."

Both [petitioner] and his trial counsel stated that these terms were read
verbatim to [petitioner] and [petitioner] understood them. Pursuant to the
agreement, the additional counts, enhancements, and two additional cases were
dismissed, with the court specifically noting it was with a Harvey waiver.

The Harvey waiver that [petitioner] entered in this case was explained in
extremely broad terms. The waiver encompassed not only the entire factual
background of the case, including any unfiled, dismissed or stricken allegations,
charges, or cases, but also encompassed [petitioner's] criminal history, which
included several additional instances of violence charged in separate cases. (See
Harvey, supra, 25 Cal.3d 754, 159 Cal.Rptr. 696, 602 P.2d 396.) Further,
[petitioner] specifically understood the judge alone, not a jury, would determine
his sentence.

The trial court imposed the upper term because the crime involved great
violence and great bodily injury, and because [petitioner] has a history of violence
and is increasingly dangerous. (See Cal. Rules of Court, rule 4.421(a)(1) and
(b)(1).) [Petitioner] argues that none of these facts were found by a jury to be true
beyond a reasonable doubt and, indeed, it is unclear that a jury presented with the

1 question would have found them to be true, because they were based on dismissed
2 and disputed charges. However, all of these aggravating factors cited by the trial
3 court were encompassed in [petitioner's] waiver, which permitted the trial court
4 to consider the entire background of the case, the dismissed or stricken charges or
5 allegations and [petitioner's] criminal history when imposing sentence.

6 [Petitioner] had engaged in acts of domestic violence, as well as
7 gang-related violence. He admitted to the probation officer that he had
8 head-butted his girlfriend because she wanted to break up with him. Although he
9 denied holding his girlfriend hostage or threatening her with a knife, he admitted
10 something had occurred that evening when he put in his statement in mitigation
11 that his sister had "witnessed the incident" and "told law enforcement that
12 although [petitioner] had a pocketknife in his hand, it wasn't open during the
13 incident."

14 Despite [petitioner's] eventual claim to the probation officer that he was
15 not involved with a gang, in light of the facts, his attorney understandably
16 admitted that the other instances of violence involved gang activity. [Petitioner]
17 admitted to chasing the victim twice in his car, at least once at high speed,
18 "confronting" the victim the first time when the victim's car was disabled and
19 hitting him with a weapon, and running him off the road the second time. He
20 justified this behavior by explaining he was angry because the victim "threw up
21 hand signals." No one disputed that [petitioner's] actions resulted in great bodily
22 injury.

23 Thus, throughout the sentencing proceedings, [petitioner] effectively
24 "stipulate[d] to the relevant facts" necessary to impose the upper term, thereby
25 waiving his right to have a jury trial and proof beyond a reasonable doubt on
26 those facts. (Blakely, supra, 542 U.S. at p. 310, 124 S.Ct. at p. 2541, 159 L.Ed.2d
at pp. 417-418.) As part of a plea bargain wherein three separate cases involving
numerous violent charges and enhancements were dismissed with a Harvey
waiver and having specifically agreed that the trial court could consider those
facts for purposes of aggravating his sentence, [petitioner] cannot now complain
of error under Blakely and Appendi. (Ibid.)

(People v. Munoz, slip op. at 165-68.)

19 A criminal defendant is entitled to a trial by jury and to have every element
20 necessary to sustain his conviction proven by the state beyond a reasonable doubt. U. S. Const.
21 amends. V, VI, XIV. In Appendi v. New Jersey, 530 U.S. 466, 490 (2000), the United States
22 Supreme Court held that the Due Process Clause of the Fourteenth Amendment requires any fact
23 other than a prior conviction that "increases the penalty for a crime beyond the prescribed
24 statutory maximum" to be "submitted to a jury and proved beyond a reasonable doubt." In
25 Blakely, the United States Supreme Court decided that a defendant in a criminal case is entitled
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1 to have a jury determine beyond a reasonable doubt any fact that increases the statutory
2 maximum sentence, unless the fact was admitted by the defendant or was based on a prior
3 conviction. Id., 542 U.S. at 303-04. The Supreme Court also clarified the definition of
4 “statutory maximum” for purposes of the constitutional rule: “the relevant ‘statutory maximum’
5 is not the maximum sentence a judge may impose after finding additional facts, but the
6 maximum he may impose *without* any additional facts.” Id. at 2537. In United States v. Booker,
7 543 U.S. 220 (2005), the United States Supreme Court applied Blakely to the Federal Sentencing
8 Guidelines. The court clarified that “‘the statutory maximum’ for Apprendi purposes is the
9 maximum sentence a judge may impose solely on the basis of the facts reflected in the jury
10 verdict or admitted by the defendant.” Id. at 232. In Cunningham v. California, 549 U.S. 270
11 (2007), the Supreme Court, citing Apprendi and Blakely, held that California’s Determinate
12 Sentencing Law violates a defendant’s right to a jury trial to the extent it permits a trial court to
13 impose an upper term based on facts found by the court rather than by a jury. The court
14 determined that “the middle term prescribed in California’s statutes, not the upper term, is the
15 relevant statutory maximum.” Id. at 288. The Ninth Circuit subsequently held that Cunningham
16 may be applied retroactively on collateral review.” Butler v. Curry, 528 F.3d 624, 639 (9th Cir.
17 2008).

18 Under California law, the existence of a single aggravating circumstance is
19 legally sufficient to make the defendant eligible for the upper term. Butler v. Curry, 528 F.3d
20 624, 642 (9th Cir. 2008); People v. Black, 41 Cal.4th 799, 813 (2007); People v. Osband, 13
21 Cal.4th 622, 728 (1996). That is, only one aggravating factor is necessary to set the upper term
22 as the “statutory maximum” for Apprendi and Blakely purposes as long as it is established in
23 accordance with the constitutional requirements set forth in Blakely. Black, 41 Cal.4th at 81.
24 While the court may make factual findings with respect to additional aggravating circumstances,
25 these findings, themselves, do not further raise the authorized sentence beyond the upper term.
26 Id. Furthermore, with respect to a Sixth Amendment sentencing violation, “the relevant question

1 is not what the trial court would have done, but what it legally could have done.” Butler, 528
2 F.3d at 648. After validly finding one aggravating factor, a trial court legally could impose an
3 upper term sentence. That the judge might not have imposed an upper term sentence in the
4 absence of additional aggravating factors does not implicate the Sixth Amendment. Butler, 528
5 F.3d at 649. Accordingly, petitioner’s upper term sentence is not unconstitutional if at least one
6 of the aggravating factors that the judge relied upon in sentencing petitioner was established in a
7 manner consistent with the Sixth Amendment.

8 During sentencing, the trial court relied on the probation report and sentenced him
9 based thereon:

10 The Court finds there is a factual basis for the plea and the admission of
11 the enhancement, and it is the judgment of this Court the defendant is guilty of
12 that offense and that the enhancement is true. [¶]

13 It is the Court’s intention to impose the upper term as the base term, both
14 as to the attempted murder and as to the enhancement. The Court finds that to be
15 the appropriate base term, because the circumstances in aggravation outweigh the
16 circumstances in mitigation. . . .

17 The Court would note in terms of circumstances in mitigation, that the
18 defendant has an insignificant prior record.

19 Circumstances in aggravation, however, can be summarized as the crime
20 involved great violence and great bodily injury, and the defendant has a history of
21 violence, and it is increasingly dangerous. Therefore, the defendant is sentenced
22 to the Department of Corrections for a period of nine years on the attempted
23 murder and ten years for the 12022.5 enhancement. Those are to run consecutive,
24 for a total of 19 years.

25 (Lodgment 2 at 65-66.)

26 The probation report reveals petitioner’s involvement in two domestic violence
incidents involving his ex-girlfriend. (Lodgment 1 at 97-98.) During the first incident on
September 6, 2004, petitioner allegedly head-butted the victim causing swelling of
approximately 1.5" wide on her forehead. (Id. at 98.) During the second incident on May 29,
2005, petitioner pulled a knife and threatened to kill the victim, holding her against her will in a
bedroom for approximately fifteen minutes. (Id. at 97.) When the victim attempted to telephone

1 for help, petitioner disabled the phone and attempted to slash her in the stomach area. (Id. at 97-
2 98.) The victim did not sustain any injuries as a result of this offense. (Id. at 98.) Charges
3 against petitioner in both of these domestic violence cases were dismissed in light of the
4 petitioner's plea in the offense underlying the instant petition of writ of habeas corpus.

5 In statements made to the probation officer, petitioner denied holding his
6 girlfriend hostage or threatening her with a knife, but he did admit head-butting her. (Lodgment
7 1 at 111-13.) Petitioner also admitted following Masters twice while in a vehicle, one time at a
8 high speed, and that at least one of the car chases involved gang activity. (Id.) Petitioner further
9 admitted that due to the second car chase, Masters's car drove off of a cliff. (Id. at 113.) As
10 correctly noted by the appellate court, these admissions would have been sufficient as
11 stipulations necessary to impose an upper term as they demonstrated increasing dangerousness
12 and behavior that resulted in great bodily injury. See Butler, 528 F.3d at 649.

13 Petitioner's claim is further foreclosed by People v. Harvey, 25 Cal.3d 754, 758
14 (1979). A Harvey waiver permits a sentencing court to consider counts that were dismissed
15 under a plea bargain, as well as facts obtained from the probation report. The state appellate
16 court was correct in noting that, exclusive of petitioner's admissions, the facts relied on by the
17 trial judge could not have gone before a jury because they concerned dismissed charges. The
18 trial judge's findings, however, were properly based on information and statements contained in
19 the probation report and within the purview of Harvey. See, e.g., Lodgment 1 at 99-101, 111-14;
20 Harvey, 25 Cal.3d at 758-59.

21 By signing the plea agreement, petitioner expressly articulated his understanding
22 of the Harvey waiver. (See Lodgment 1 at 56-60; 2 at 33-35.) Petitioner indicated that he
23 understood that there existed a factual basis for his plea and admissions, and that the court may
24 take facts from the probation reports, police reports or other sources to establish the factual basis.
25 (Lodgment 1 at 56.) Petitioner also indicated his understanding that the matter of probation and
26 sentencing would be determined solely by the state court judge. (Id. at 59.) Finally, petitioner's

1 initials demonstrate his understanding that “(HARVEY WAIVER) THE SENTENCING JUDGE
2 MAY CONSIDER MY PRIOR CRIMINAL HISTORY AND THE ENTIRE FACTUAL
3 BACKGROUND OF THE CASE, INCLUDING ANY UNFILED, DISMISSED OR
4 STRICKEN CHARGES OR ALLEGATIONS OR CASES WHEN GRANTING PROBATION,
5 ORDERING RESTITUTION OR IMPOSING SENTENCE.” (Id.)

6 There is no dispute that petitioner comprehended the terms of the plea bargain,
7 including the Harvey waiver. Petitioner may not now contest the trial court’s findings based on
8 precisely those facts encompassed by the waiver. Accordingly, for the foregoing reasons,
9 petitioner is not entitled to relief on his claim of sentencing error.

10 The state court’s rejection of petitioner’s claim for relief was neither contrary to,
11 nor an unreasonable application of, controlling principles of United States Supreme Court
12 precedent. Petitioner’s claim for relief should be denied.

13 Pursuant to Rule 11 of the Rules Governing Section 2254 Cases in the United
14 States District Courts, “[t]he district court must issue or a deny a certificate of appealability
15 when it enters a final order adverse to the applicant.” Rule 11, 28 U.S.C. foll. § 2254. A
16 certificate of appealability may issue under 28 U.S.C. § 2253 “only if the applicant has made a
17 substantial showing of the denial of a constitutional right.” 28 U.S.C. § 2253(c)(2). The court
18 must either issue a certificate of appealability indicating which issues satisfy the required
19 showing or must state the reasons why such a certificate should not issue. Fed. R. App. P. 22(b).
20 For the reasons set forth in these findings and recommendations, petitioner has not made a
21 substantial showing of the denial of a constitutional right. Accordingly, no certificate of
22 appealability should issue.

23 For the foregoing reason, IT IS HEREBY RECOMMENDED that petitioner's
24 application for a writ of habeas corpus be denied.

25 These findings and recommendations are submitted to the United States District
26 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty

1 days after being served with these findings and recommendations, any party may file written
2 objections with the court and serve a copy on all parties. Such a document should be captioned
3 “Objections to Magistrate Judge’s Findings and Recommendations.” The parties are advised
4 that failure to file objections within the specified time may waive the right to appeal the District
5 Court’s order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

6 DATED: October 14, 2010.

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10 UNITED STATES MAGISTRATE JUDGE

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