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IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

VICTOR M. GARCIA,

Petitioner,

No. CIV S-08-2592 MCE DAD P

vs.

MATTHEW CATE, et al.,

Respondents.

FINDINGS & RECOMMENDATIONS

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Petitioner is a state prisoner proceeding pro se with a petition for a writ of habeas corpus pursuant to 28 U.S.C. § 2254. Petitioner challenges a judgment of conviction entered against him on September 19, 2005 in the Sacramento County Superior Court on charges of one count of assault with a deadly weapon with malice aforethought by a prisoner serving a life sentence, and two counts of possession of a sharp instrument while confined in a penal institution. Petitioner seeks federal habeas relief on the following grounds: (1) there was insufficient evidence introduced at his trial of an intent to kill to support the jury’s finding of malice aforethought with respect to the charge of assault with a deadly weapon; (2) the trial court violated his rights to a jury trial, to due process, to confront the witnesses against him, and to present a defense when it failed to allow his trial counsel and the jury to take physical possession of the weapon used in the attack; and (3) jury instruction error violated his right to due process.

1 Upon careful consideration of the record and the applicable law, the undersigned will  
2 recommend that petitioner's application for habeas corpus relief be denied.

3 PROCEDURAL AND FACTUAL BACKGROUND

4 Petitioner appealed from his conviction to the California Court of Appeal for the  
5 Third Appellate District. On May 16, 2007, the Court of Appeal reversed petitioner's conviction  
6 for assault with a deadly weapon and stayed the concurrent sentence imposed on one count of  
7 possession of a sharp instrument, pursuant to Cal. Penal Code § 654. (Notice of Lodging  
8 Documents on March 20, 2009 (Doc. No. 16), Resp't's Lod. Doc. 4 (hereinafter Opinion)). In all  
9 other respects, petitioner's judgment of conviction was affirmed. (Id.) In its unpublished  
10 memorandum and opinion, the California Court of Appeal provided the following factual  
11 summary:

12 Three correctional officers testified that they saw Contreras and  
13 Garcia attack another inmate in the exercise yard at Sacramento  
14 State Prison. The attack was also captured on a surveillance  
15 camera in the yard.

16 Contreras and Garcia both stabbed the unarmed victim inmate with  
17 inmate-manufactured weapons. The attack involved direct thrusts  
18 from the side, from overhead, and from opposite angles on either  
19 side of the victim. During the attack, Contreras and Garcia each  
20 received a guard-fired, nonlethal rubber round to their backsides,  
21 but these two shots failed to end the assault. It took a tear-gas  
22 grenade to accomplish that. The attack lasted about 25 seconds.

23 The victim incurred at least 41 puncture wounds (23 to his back, 10  
24 to his chest, one to his lower abdomen, two to each arm, and three  
25 to his neck), and suffered two collapsed lungs.

26 (Opinion at 2-3.)

On June 28, 2007, petitioner filed a petition for review in the California Supreme  
Court. (Resp't's Lod. Doc. 5.) On August 8, 2007, the California Supreme Court summarily  
denied the petition for review. (Resp't's Lod. Doc. 6.)

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1 ANALYSIS

2 I. Standards of Review Applicable to Habeas Corpus Claims

3 A writ of habeas corpus is available under 28 U.S.C. § 2254 only on the basis of  
4 some transgression of federal law binding on the state courts. See Peltier v. Wright, 15 F.3d 860,  
5 861 (9th Cir. 1993); Middleton v. Cupp, 768 F.2d 1083, 1085 (9th Cir. 1985) (citing Engle v.  
6 Isaac, 456 U.S. 107, 119 (1982)). A federal writ is not available for alleged error in the  
7 interpretation or application of state law. See Estelle v. McGuire, 502 U.S. 62, 67-68 (1991);  
8 Park v. California, 202 F.3d 1146, 1149 (9th Cir. 2000); Middleton, 768 F.2d at 1085. Habeas  
9 corpus cannot be utilized to try state issues de novo. Milton v. Wainwright, 407 U.S. 371, 377  
10 (1972).

11 This action is governed by the Antiterrorism and Effective Death Penalty Act of  
12 1996 (“AEDPA”). See Lindh v. Murphy, 521 U.S. 320, 336 (1997); Clark v. Murphy, 331 F.3d  
13 1062, 1067 (9th Cir. 2003). Section 2254(d) sets forth the following standards for granting  
14 habeas corpus relief:

15 An application for a writ of habeas corpus on behalf of a  
16 person in custody pursuant to the judgment of a State court shall  
17 not be granted with respect to any claim that was adjudicated on  
the merits in State court proceedings unless the adjudication of the  
claim -

18 (1) resulted in a decision that was contrary to, or involved  
19 an unreasonable application of, clearly established Federal law, as  
determined by the Supreme Court of the United States; or

20 (2) resulted in a decision that was based on an unreasonable  
21 determination of the facts in light of the evidence presented in the  
State court proceeding.

22 See also Penry v. Johnson, 532 U.S. 782, 792-93 (2001); Williams v. Taylor, 529 U.S. 362  
23 (2000); Lockhart v. Terhune, 250 F.3d 1223, 1229 (9th Cir. 2001). If the state court’s decision  
24 does not meet the criteria set forth in § 2254(d), a reviewing court must conduct a de novo review  
25 of a habeas petitioner’s claims. Delgadillo v. Woodford, 527 F.3d 919, 925 (9th Cir. 2008). See  
26 also Frantz v. Hazey, 513 F.3d 1002, 1013 (9th Cir. 2008) (en banc) (“[I]t is now clear both that

1 we may not grant habeas relief simply because of § 2254(d)(1) error and that, if there is such  
2 error, we must decide the habeas petition by considering de novo the constitutional issues  
3 raised.”).

4           The court looks to the last reasoned state court decision as the basis for the state  
5 court judgment. Robinson v. Ignacio, 360 F.3d 1044, 1055 (9th Cir. 2004). If the last reasoned  
6 state court decision adopts or substantially incorporates the reasoning from a previous state court  
7 decision, this court may consider both decisions to ascertain the reasoning of the last decision.  
8 Edwards v. Lamarque, 475 F.3d 1121, 1126 (9th Cir. 2007) (en banc). Where the state court  
9 reaches a decision on the merits but provides no reasoning to support its conclusion, a federal  
10 habeas court independently reviews the record to determine whether habeas corpus relief is  
11 available under § 2254(d). Himes v. Thompson, 336 F.3d 848, 853 (9th Cir. 2003); Pirtle v.  
12 Morgan, 313 F.3d 1160, 1167 (9th Cir. 2002). When it is clear that a state court has not reached  
13 the merits of a petitioner’s claim, or has denied the claim on procedural grounds, the AEDPA’s  
14 deferential standard does not apply and a federal habeas court must review the claim de novo.  
15 Nulph v. Cook, 333 F.3d 1052, 1056 (9th Cir. 2003).

## 16 II. Petitioner’s Claims

### 17 A. Sufficiency of the Evidence

18           Petitioner’s first claim is that “there was no substantial evidence of intent to kill  
19 and therefore malice because the alleged attack in the present case was ‘wild and unaimed,’ did  
20 not target any vital organs and involved no attack calculated to cause death.” (Pet. at 8.)<sup>1</sup> The  
21 California Court of Appeal rejected these arguments, reasoning as follows:

22           Garcia contends there is insufficient evidence to support a finding  
23           on count one (§ 4500) that the assault was done with malice  
24           aforethought. We disagree.

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26 <sup>1</sup> This court will refer to page numbers of the parties’ pleadings by using the automated  
numbers assigned by the court’s electronic filing system.

1 Preliminarily, we note that defendants caught a break when the trial  
2 court instructed on the malice aforethought element exclusively in  
3 terms of express malice – an intent to kill. The element of malice  
4 aforethought, in the section 4500 offense, also includes implied  
5 malice – an intentional and dangerous act done with conscious  
6 disregard for human life. (People v. St. Martin (1970) 1 Cal.3d  
7 524, 537 [“[t]he words malice aforethought in section 4500 have  
8 the same meaning as in sections 187 [murder] and 188 [malice  
9 definition (, which specifies express and implied) ],” quoting  
10 People v. Chacon (1968) 69 Cal.2d 765, 781.)

11 Garcia contends the evidence is insufficient to show an intent to  
12 kill. In assessing the sufficiency of evidence in a criminal appeal,  
13 we review the entire record in the light most favorable to the  
14 judgment and ask whether a reasonable trier of fact could have  
15 found the challenged element beyond a reasonable doubt. (People  
16 v. Johnson (1980) 26 Cal.3d 557, 576.)

17 Here, Contreras and Garcia acted in concert to stab the victim at  
18 least 41 times, including 10 times to the chest, 23 to the back, and  
19 three to the neck. The two defendants positioned themselves to  
20 direct thrusts from the side, from overhead, and from opposite  
21 angles. The two would not be deterred and continued on for about  
22 25 seconds. Besides being punctured in vital areas, the victim was  
23 drenched from head to toe in blood and suffered two collapsed  
24 lungs. We conclude there is sufficient evidence of an intent to kill.

25 Garcia disagrees. He turns this evidence on its head to argue that  
26 its extensiveness actually argues against an intent to kill because  
had there been such an intent, there would have been a killing. But  
under our standard of evidentiary sufficiency, reversal is  
unwarranted unless it clearly appears “that upon no hypothesis  
whatever is there sufficient substantial evidence to support [the  
challenged element].” (People v. Redmond (1969) 71 Cal.2d 745,  
755.) Garcia’s argument mistakenly inverts this standard, so that if  
there is any hypothesis of insufficient evidence, reversal is  
required.

(Opinion at 5-6.)

The Due Process Clause of the Fourteenth Amendment “protects the accused  
against conviction except upon proof beyond a reasonable doubt of every fact necessary to  
constitute the crime with which he is charged.” In re Winship, 397 U.S. 358, 364 (1970). There  
is sufficient evidence to support a conviction if, “after viewing the evidence in the light most  
favorable to the prosecution, any rational trier of fact could have found the essential elements of  
the crime beyond a reasonable doubt.” Jackson v. Virginia, 443 U.S. 307, 319 (1979). “[T]he

1 dispositive question under Jackson is ‘whether the record evidence could reasonably support a  
2 finding of guilt beyond a reasonable doubt.’” Chein v. Shumsky, 373 F.3d 978, 982 (9th Cir.  
3 2004) (quoting Jackson, 443 U.S. at 318). “A petitioner for a federal writ of habeas corpus faces  
4 a heavy burden when challenging the sufficiency of the evidence used to obtain a state conviction  
5 on federal due process grounds.” Juan H. v. Allen, 408 F.3d 1262, 1274 (9th Cir. 2005). In  
6 order to grant the writ, the federal habeas court must find that the decision of the state court  
7 reflected an objectively unreasonable application of Jackson and Winship to the facts of the case.  
8 Id. at 1275 & n.13.

9           Viewing the evidence in the light most favorable to the verdict, the undersigned  
10 concludes that there was sufficient evidence introduced at petitioner’s trial from which a rational  
11 trier of fact could have found beyond a reasonable doubt that petitioner harbored the intent to kill  
12 when he and his co-defendant attacked the victim. The evidence, as summarized by the trial  
13 court and set forth above, fully supports the jury’s finding that petitioner harbored express  
14 malice. The state courts’ denial of habeas relief with respect to petitioner’s insufficient evidence  
15 claim is not an objectively unreasonable application of Jackson and Winship to the facts of the  
16 case. Accordingly, petitioner is not entitled to federal habeas relief with respect to this claim.

17           B. Failure to Allow Jury and Trial Counsel to Examine Weapon

18           Petitioner’s next claim is that the trial court undermined the presentation of the  
19 defense theory that “there was no intent to kill in this case” when it refused to allow the jury to  
20 examine the weapon used by petitioner and his co-defendant. (Pet. at 8.) Petitioner also  
21 complains about “the trial court’s failure to allow counsel to examine the weapon allegedly used  
22 in this case so as to allow the jury to examine the weapon.” (Id.)

23           The state court record reflects that outside the presence of the jury, the following  
24 colloquy occurred:

25           [PETITIONER’S COUNSEL]: I want to open the Sharps tubes  
26           [which held the weapons used in the attack] as it were. There’s no  
                  biohazard after a year, especially not with rubber gloves in terms of

1 handling the weapon. I want to know if it's malleable or not  
2 malleable or relatively malleable. My understanding is that the  
3 weapon at all times was a hook weapon as opposed to an ice pick.  
4 It's not overly relevant, but it is relevant that my client didn't have  
5 access to the materials involved, etcetera, etcetera, as defense part,  
6 [sic] but simply as a matter – I don't know that – I mean, we're not  
7 going to give the weapon to the inmates. So that's not an issue.  
8 It's not particularly any sharper than the pen I have in my hand,  
9 which is in close proximity as far as the safety concern. I just want  
10 to see what the actual evidence is as opposed to have it, you know,  
11 once removed and people testifying about their opinion as it got  
12 bent in a fight or something like that.

13 THE COURT: You want to experiment on the evidence?

14 [PETITIONER'S COUNSEL]: No.

15 THE COURT: What do you want to do with it?

16 [PETITIONER'S COUNSEL]: Manipulate and touch it.

17 THE COURT: So you want to experiment with the evidence.

18 [PETITIONER'S COUNSEL]: I don't know that it would  
19 constitute any kind of experiment anymore than it would looking at  
20 a photograph or touching a .45 automatic if that were the weapon.

21 (Reporter's Transcript on Appeal (RT) at 76-77.) The trial court denied trial counsel's request to  
22 handle the evidence, ruling as follows:

23 I'm going to disallow any spoliation of the evidence in this case. It  
24 is not analogous to looking at a photograph. What you're asking  
25 this Court to permit you to do, Mr. Lippsmeyer, is to change what  
26 is the evidence in this case or at least attempt to change it, and I'm  
not going to permit that. I don't think that's allowable under the  
law. I think in the exercise of my discretion, under [Cal. Evid.  
Code §] 352 it would be substantially more confusing to this jury  
than would be probative on any unarticulated issue, and so I'm  
going to disallow it, the experimentation, on that exhibit.

(Id. at 78.) Petitioner's counsel then made the following request: "There's a secondary motion  
that is that the jury not be allowed to manipulate the [Sharps] tubes then." (Id. at 78-79.) The  
trial court responded that the jury was "not going to open up the tubes. They're not going to  
touch the instrument." (Id. at 79.) Defense counsel then requested that the trial court "not allow  
them to have it. The tubes, unfortunately, manipulate." (Id.) The court responded that the

1 matter could be discussed “at the end of the case.” (Id.) No further discussion of this topic  
2 appears in the state court record. However, the trial court did instruct the jury that:

3           You must not independently investigate the facts or the law or  
4           consider or discuss facts as to which there is no evidence. This  
              means, for example, that you must not . . . conduct experiments.

5 (Clerk’s Transcript on Appeal (CT) at 200.)

6           The California Court of Appeal rejected petitioner’s argument that the trial court  
7 erred in not allowing the jury to take physical possession of the weapon used in the attack. The  
8 court reasoned as follows:

9           Garcia contends the trial court unconstitutionally deprived him of  
10          his defense rights by refusing to allow the jurors to examine the  
              weapon he allegedly used. The weapon was produced at trial and  
              shown to the jurors. We disagree with Garcia’s contention.

11          The premise underlying this contention is that a curved weapon is  
12          less lethal than a straight one and therefore less likely to show an  
              intent to kill. One of the correctional officer witnesses testified  
13          that Garcia’s weapon was straight during the attack and that Garcia  
              threw it to the ground just prior to being apprehended. The  
14          recovered weapon was bent.

15          Garcia argues that “[s]imple logic, and the law as well, suggest that  
16          a person intending to kill is more likely to be successful using a  
              straight weapon [‘ice pick’) as opposed to a hook or curved or bent  
              weapon.”

17          Outside the jury’s presence, Garcia’s counsel asked to  
18          “[m]anipulate and touch” the weapon to ascertain its malleability.  
              The trial court denied this request to “attempt to change” the  
19          evidence, and also ruled this experimentation would be  
              substantially more confusing than probative under Evidence Code  
20          section 352.

21          We conclude the trial court did not abuse its discretion or deny  
22          Garcia any constitutional defense rights.

23          We do not share Garcia’s claim of “[s]imple logic.” It is not  
24          illogical to conclude that repeatedly stabbing someone with a  
              hooked or curved sharp weapon may cause more damage than a  
              straight ice pick.

25          Nor does the law support Garcia. For this “point,” Garcia looks to  
26          People v. Hughes (2002) 27 Cal.4th 287. Hughes involved an  
              evidentiary issue in a death penalty trial concerning an aggravating



1 factor involving the defendant's possession there of a sharpened  
2 instrument. At most for Garcia's purposes, the Hughes court  
3 concluded that a "long" (four-inch) "slightly bent but straightened,  
4 hard, sharp object," "sharp-pointed pin" was not inherently a  
5 deadly weapon. (Hughes, supra, 27 Cal.4th at pp. 381-383.) It  
6 depended upon how it was used. As we have seen, Garcia sure  
7 used his weapon as a weapon, which was also about four inches in  
8 length.

9 Finally, Garcia's counsel essentially asked the court to allow him,  
10 as well as the jurors, to experiment with the weapon. The jury was  
11 shown the weapon. Such experimentation would have been  
12 confusing and likely inaccurate in the context here of repetitive  
13 stabbing.

14 (Opinion at 6-8.)

15 Criminal defendants have a constitutional right, implicit in the Sixth Amendment,  
16 to present a defense; this right is "a fundamental element of due process of law." Washington v.  
17 Texas, 388 U.S. 14, 19 (1967). See also Crane v. Kentucky, 476 U.S. 683, 687, 690 (1986);  
18 California v. Trombetta, 467 U.S. 479, 485 (1984); Webb v. Texas, 409 U.S. 95, 98 (1972);  
19 Moses v. Payne, 555 F.3d 742, 757 (9th Cir. 2009). However, the constitutional right to present  
20 a defense is not absolute. Alcala v. Woodford, 334 F.3d 862, 877 (9th Cir. 2003). "Even  
21 relevant and reliable evidence can be excluded when the state interest is strong." Perry v.  
22 Rushen, 713 F.2d 1447, 1450 (9th Cir. 1983). A state court's evidentiary ruling, even if  
23 erroneous, is grounds for federal habeas relief only if it renders the state proceedings so  
24 fundamentally unfair as to violate due process. Drayden v. White, 232 F.3d 704, 710 (9th Cir.  
25 2000); Spivey v. Rocha, 194 F.3d 971, 977-78 (9th Cir. 1999); Jammal v. Van de Kamp, 926  
26 F.2d 918, 919 (9th Cir. 1991). A state law justification for exclusion of evidence does not  
abridge a criminal defendant's right to present a defense unless it is "arbitrary or  
disproportionate" and "infringe[s] upon a weighty interest of the accused." United States v.  
Scheffer, 523 U.S. 303, 308 (1998). See also Crane, 476 U.S. at 689-91 (discussion of the  
tension between the discretion of state courts to exclude evidence at trial and the federal  
constitutional right to "present a complete defense"); Greene v. Lambert, 288 F.3d 1081, 1090

1 (9th Cir. 2002). Further, a criminal defendant “does not have an unfettered right to offer  
2 [evidence] that is incompetent, privileged, or otherwise inadmissible under standard rules of  
3 evidence.” Montana v. Egelhoff, 518 U.S. 37, 42 (1996) (quoting Taylor v. Illinois, 484 U.S.  
4 400, 410 (1988)). “A habeas petitioner bears a heavy burden in showing a due process violation  
5 based on an evidentiary decision.” Boyde v. Brown, 404 F.3d 1159, 1172 (9th Cir. 2005).

6           Petitioner is claiming, in essence, that the trial court violated his right to due  
7 process by not allowing the weapon used in the attack to be physically manipulated by the jury  
8 during deliberations. He also appears to be claiming the trial court violated his right to present a  
9 defense when it precluded his trial counsel and the jury from examining the evidence, because  
10 such an examination might have demonstrated that the weapon had a curved point even before  
11 the attack, thereby supporting the defense argument that petitioner did not harbor the intent to  
12 kill. Petitioner is not entitled to federal habeas relief on the basis of these claims.

13           There is no evidence that examination of the weapon by either petitioner’s trial  
14 counsel or the jury would have supported petitioner’s theory that he lacked the intent to kill, even  
15 if the weapon was bent at the end or did not appear to be malleable. As noted by respondent, the  
16 shape of the weapon could have changed during the attack itself. Further, petitioner’s argument  
17 that argument of his use of a sharp weapon with a curved end would have convinced the jury he  
18 did not intend to kill the victim is highly dubious, given the evidence that he and his co-  
19 defendant stabbed the victim 41 times and refused to stop even after being shot with a “rubber  
20 round” by prison guards. Petitioner has failed to show that the trial court’s rulings denying his  
21 counsel and the jury the opportunity to take possession of the evidence, and possibly manipulate  
22 the weapon contained in the Sharps tube, rendered his trial so fundamentally unfair as to violate  
23 due process. He has also failed to show that the state court decision rejecting these evidentiary  
24 claims was contrary to or an unreasonable application of federal law. Accordingly, petitioner is  
25 not entitled to federal habeas relief in this regard.

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1           C. Jury Instruction Error

2           Petitioner claims that the trial court violated his right to due process when it  
3 instructed the jury with CALJIC No. 17.20. (Pet. at 8.) He argues,

4           The trial court’s charge allowed the jury to substitute a knowledge  
5 finding for a finding that petitioner directly personally performed  
6 the act causing the victim’s injuries. Thus, nothing in the  
7 offending charge required the jury to assess petitioner’s  
8 contribution to the multiple blows for the purpose of determining  
9 whether it was more that [sic] a trivial or insubstantial factor in  
10 producing the victim’s injury.

11 (Id.) In other words, petitioner argues that the giving of CALJIC No. 17.20 allowed the jury to  
12 find him guilty of inflicting great bodily injury based on his knowledge of the conduct of others,  
13 rather than on his own use of force.

14           Petitioner was charged with violating California Penal Code § 12022.7(a), which  
15 imposes an additional consecutive three year prison term on a person who “personally inflicts  
16 great bodily injury on any person other than an accomplice in the commission or attempted  
17 commission of a felony.” (CT at 175.) Petitioner’s jury was instructed on this enhancement  
18 allegation with CALJIC No. 17.20, which provides, in relevant part, as follows:

19           When a person participates in a group assault with a deadly  
20 weapon and it is not possible to determine which assailant inflicted  
21 a particular injury, he may be found to have personally inflicted  
22 great bodily injury upon the victim if 1) the application of unlawful  
23 physical force upon the victim was of such a nature that, by itself,  
24 it could have caused the great bodily injury suffered by the victim;  
25 or 2) that at the time the defendant personally applied unlawful  
26 physical force to the victim, the defendant knew that other persons,  
as part of the same incident, had applied, were applying, or would  
apply unlawful physical force upon the victim and the defendant  
then knew, or reasonably should have known, that the cumulative  
effect of all the unlawful physical force would result in great bodily  
injury to the victim.

27 (Id. at 326.) Petitioner appears to be arguing that the first part of CALJIC No. 17.20 improperly  
28 allowed the jury to find him guilty of assault on the victim even if he did not personally inflict  
29 any injury. He also challenges the second part of the instruction, to the extent that it allowed the  
30 jury to convict him based only on his knowledge of the action of others and not on his own

1 actions, on the grounds that it violated his federal constitutional rights.

2 On appeal, petitioner’s co-defendant argued that “the second alternative of  
3 CALJIC No. 17.20 (which sanctions a finding of personal infliction of great bodily injury in the  
4 group assault – cumulative injury context) is legally improper.” (Opinion at 2.) The California  
5 Court of Appeal rejected this argument, reasoning as follows:

6 The second alternative of CALJIC No. 17.20 provides that a person  
7 who participates in a group assault with a deadly weapon may be  
8 found to have personally inflicted great bodily injury if he  
9 personally applied physical force and knew, or reasonably should  
10 have known, that the cumulative effect of all the physical force  
11 would result in great bodily injury. (CALJIC No. 17.20.)

12 The state Supreme Court, in Modiri, recently approved this  
13 instruction, because it “makes clear that the physical force  
14 personally applied by the defendant must have been sufficient to  
15 produce great bodily injury either (1) by itself, or (2) in  
16 combination with other assailants.” (Modiri, *supra*, 39 Cal.4th at  
17 p. 494, see also p. 486.)

18 Consequently, under Modiri, the second alternative of CALJIC No.  
19 17.20 was properly given here, and Contreras acknowledges that in  
20 his reply brief.

21 (Id. at 3-4.).

22 In the case relied upon by the state appellate court in reaching this conclusion, the  
23 California Supreme Court upheld the constitutionality of CALJIC No. 17.20, reasoning as  
24 follows:

25 Defendant starts with the instruction’s first group beating theory.  
26 As noted, it involves “the application of unlawful physical force  
upon the victim” that “*could have caused*” great bodily injury “by  
itself.” (CALJIC No. 17.20, italics added.) Defendant claims the  
italicized phrase invited speculation as to whether he personally  
inflicted harm, and permitted a section 1192.7(c)(8) finding based  
solely on injuries caused by other assailants in the group.

Read in context, however, the challenged language “is not  
reasonably susceptible” to this interpretation. (People v. Jackson  
(1996) 13 Cal.4th 1164, 1221-1222, fn. 11, 56 Cal. Rptr.2d 49, 920  
P.2d 1254 [instruction on personal firearm use adequately advised  
jury to rely on defendant's own acts].) In deciding whether the  
section 1192.7(c)(8) allegation is true beyond a reasonable doubt,  
the jury is told that the defendant must have (1) participated in the

1 group beating and (2) applied physical force directly to the victim,  
2 who (3) suffered great bodily injury as a result. As we have seen,  
3 the reference to grievous harm that the defendant “could have  
4 caused” on his own simply embraces the scenarios in which it is  
5 impossible to know which assailant caused a particular injury. By  
6 implication, this part of the instruction preserves the necessary  
7 causal link between the defendant’s use of force and the victim’s  
8 injuries by foreclosing a section 1192.7(c)(8) finding where the  
9 defendant could not have personally inflicted the requisite harm or  
10 contributed to it at all. No reasonable juror would have viewed the  
11 challenged language in the manner defendant suggests. (footnote  
12 omitted.)

13 Defendant also parses the second group beating theory. It allows  
14 the jury to sustain a section 1192.7(c)(8) allegation if the defendant  
15 “personally applied unlawful physical force” to the victim while he  
16 “knew” others were applying similar force at the same time, and  
17 while he “knew, or reasonably should have known, that the  
18 cumulative effect of all [such] force would result in great bodily  
19 injury.” (CALJIC No. 17.20, italics added.) According to  
20 defendant, the italicized language should not have appeared in the  
21 instruction, because it substituted his knowledge of the force  
22 applied by others for the injury that he was personally required to  
23 inflict. He claims this approach allowed “vicarious” liability under  
24 section 1192.7(c)(8) in violation of controlling law.

25 The asserted error did not occur. We have seen that section  
26 1192.7(c)(8) requires the defendant to personally inflict, or  
contribute to the infliction of, great bodily harm while participating  
in a group attack. (See Dominick, *supra*, 182 Cal. App.3d 1174,  
1210-1211, 227 Cal. Rptr. 849.) The second group beating theory  
in CALJIC No. 17.20 follows this principle by requiring the  
defendant to apply physical force directly to the victim to such a  
significant degree that he adds to the “cumulative” injurious effect.  
Contrary to what defendant claims, this language does not define  
the defendant’s personal infliction of great bodily harm primarily  
or solely in terms of the harmful acts that others in the group  
commit.

Moreover, we have said that section 1192.7(c)(8) simply requires  
an intent to do the act the statute proscribes. (People v. Sargent  
(1999) 19 Cal.4th 1206, 1222, 81 Cal. Rptr.2d 835, 970 P.2d 409  
[describing § 1192.7(c)(8) as “general intent” statute], citing  
People v. Gonzales, *supra*, 29 Cal. App.4th 1684, 1695-1698, 35  
Cal. Rptr.2d 450.) (footnote omitted.) Instead of supplanting the  
personal-infliction requirement, the reference to what the defendant  
knew or should have known during the attack arguably imposes an  
additional evidentiary burden on the prosecution. We see no basis  
on which defendant can complain.

People v. Modiri, 39 Cal.4th 481, 500-01 (2006).

1 A state court's determination of whether a requested instruction is allowed under  
2 state law cannot form the basis for federal habeas relief. Estelle, 502 U.S. at 67-68. See also  
3 Menendez v. Terhune, 422 F.3d 1012, 1029 (9th Cir. 2005). Nevertheless, a "claim of error  
4 based upon a right not specifically guaranteed by the Constitution may nonetheless form a ground  
5 for federal habeas corpus relief where its impact so infects the entire trial that the resulting  
6 conviction violates the defendant's right to due process." Hines v. Enomoto, 658 F.2d 667, 672  
7 (9th Cir. 1981) (quoting Quigg v. Crist, 616 F.2d 1107 (9th Cir. 1980)). See also Prantil v.  
8 California, 843 F.2d 314, 317 (9th Cir. 1988) (To prevail on such a claim petitioner must  
9 demonstrate that an erroneous instruction "so infected the entire trial that the resulting conviction  
10 violates due process"). The analysis for determining whether a trial is "so infected with  
11 unfairness" as to rise to the level of a due process violation is similar to the analysis used in  
12 determining, under Brecht v. Abrahamson, 507 U.S. 619, 623 (1993), whether an error had "a  
13 substantial and injurious effect" on the outcome. See Leavitt v. Arave, 383 F.3d 809, 834 (9th  
14 Cir. 2004); Thomas v. Hubbard, 273 F.3d 1164, 1179 (9th Cir. 2001), overruled on other grounds  
15 by Payton v. Woodford, 299 F.3d 815, 828 n.11 (9th Cir. 2002).

16 Petitioner has failed to show that the giving of CALJIC No. 17.20, under the  
17 circumstances of this case, rendered his trial fundamentally unfair or that the state courts'  
18 rejection of his argument under California law was incorrect or improper. See Bradshaw v.  
19 Richey, 546 U.S. 74, 76 (2005) ("[A] state court's interpretation of state law, including one  
20 announced on direct appeal of the challenged conviction, binds a federal court sitting in habeas  
21 corpus"); Estelle, 502 U.S. at 67-68 (a federal writ is not available for alleged error in the  
22 interpretation or application of state law); Aponte v. Gomez, 993 F.2d 705, 707 (9th Cir. 1993)  
23 (federal courts are "bound by a state court's construction of its own penal statutes"). There is no  
24 dispute here that petitioner attacked the victim himself. Therefore, there is no possibility the jury  
25 found him guilty of assault on the victim in the absence of any personal involvement on his part  
26 in the attack. Finally, similar challenges to the use of CALJIC No. 17.20 have been rejected by

1 numerous district courts. See Robledo v. Kirkland, NO. CV 05-3651-CJC (CW), 2010 WL  
2 960137, at \*\*15-16 (C.D. Cal. Feb. 8, 2010); Solis v. Felker, No. CV 06-6787-AHM (AGR),  
3 2009 WL 4282030, at \*\*4-5 (C.D. Cal. Nov. 25, 2009); Large v. Scribner, No. CIV-S-05-1093  
4 JAM KJM P, 2008 WL 4218486, at \*5 (E.D. C al. Sept. 5, 2008); Perez v. Butler, No. C 02-2097  
5 JSW, 2005 WL 2437036, at \*\*6-7 (N.D. Cal. Oct. 03, 2005). Accordingly, petitioner is not  
6 entitled to relief with respect to this jury instruction error claim.

7 D. Evidentiary Hearing

8 Petitioner requests an evidentiary hearing on “all claims in the petition.”  
9 (Traverse at 2.) Pursuant to 28 U.S.C. § 2254(e)(2), an evidentiary hearing is appropriate under  
10 the following circumstances:

11 (e)(2) If the applicant has failed to develop the factual basis of a  
12 claim in State court proceedings, the court shall not hold an  
evidentiary hearing on the claim unless the applicant shows that-

13 (A) the claim relies on-

14 (I) a new rule of constitutional law, made retroactive to cases on  
15 collateral review by the Supreme Court, that was previously  
unavailable; or

16 (ii) a factual predicate that could not have been previously  
17 discovered through the exercise of due diligence; and

18 (B) the facts underlying the claim would be sufficient to establish  
19 by clear and convincing evidence that but for constitutional error,  
no reasonable fact finder would have found the applicant guilty of  
the underlying offense;

20 28 U.S.C. § 2254(e)(2).

21 Under this statutory scheme, a district court presented with a request for an  
22 evidentiary hearing must first determine whether a factual basis exists in the record to support a  
23 petitioner’s claims and, if not, whether an evidentiary hearing “might be appropriate.” Baja v.  
24 Ducharme, 187 F.3d 1075, 1078 (9th Cir. 1999). See also Earp v. Ornoski, 431 F.3d 1158, 1166  
25 (9th Cir. 2005); Insyxiengmay v. Morgan, 403 F.3d 657, 669-70 (9th Cir. 2005). A federal court  
26 must take into account the AEDPA’s deferential standard of review in deciding whether an

1 evidentiary hearing is appropriate in a given case. Schriro v. Landrigan, 550 U.S. 465, 474  
2 (2007). A petitioner must also “allege[] facts that, if proved, would entitle him to relief.” West  
3 v. Ryan, \_\_\_ F.3d \_\_\_, \_\_\_, 2010 WL 2303337, at \*6 (9th Cir. June 10, 2010). See also Schell v.  
4 Witek, 218 F.3d 1017, 1028 (9th Cir. 2000).

5           The court concludes that no additional factual supplementation is necessary and  
6 that an evidentiary hearing is not appropriate with respect to the claims raised in the instant  
7 petition. Petitioner has failed to raise factual disputes that, if decided in his favor, would present  
8 a colorable claim for federal habeas relief. West, 2010 WL 2303337, at \*7. In addition, for the  
9 reasons described above, petitioner has failed to demonstrate that in rejecting his claims the state  
10 courts made an unreasonable determination of the facts under § 2254(d)(2). See Schriro, 550  
11 U.S. at 481. Accordingly, an evidentiary hearing is not necessary or appropriate in this case.

#### 12 CONCLUSION

13           Accordingly, IT IS HEREBY RECOMMENDED that petitioner’s application for a writ  
14 of habeas corpus be denied.

15           These findings and recommendations are submitted to the United States District  
16 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty-  
17 one days after being served with these findings and recommendations, any party may file written  
18 objections with the court and serve a copy on all parties. Such a document should be captioned  
19 “Objections to Magistrate Judge’s Findings and Recommendations.” Any reply to the objections  
20 shall be served and filed within fourteen days after service of the objections. Failure to file  
21 objections within the specified time may waive the right to appeal the District Court’s order.  
22 Turner v. Duncan, 158 F.3d 449, 455 (9th Cir. 1998); Martinez v. Ylst, 951 F.2d 1153 (9th Cir.  
23 1991). In his objections petitioner may address whether a certificate of appealability should issue  
24 in the event he files an appeal of the judgment in this case. See Rule 11, Federal Rules

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1 Governing Section 2254 Cases (the district court must issue or deny a certificate of appealability  
2 when it enters a final order adverse to the applicant).

3 DATED: July 16, 2010.

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7 DALE A. DROZD  
8 UNITED STATES MAGISTRATE JUDGE

7 DAD:8  
8 garcia2592.hc

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