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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

DANA S. INGRAM,

Plaintiff,

CIV S-08-2829 GGH

vs.

MICHAEL J. ASTRUE,
Commissioner of Social Security,

ORDER

Defendant.

Introduction and Summary

Plaintiff filed for Supplemental Security Benefits (SSI, Title XVI) on December 6, 2005. This was her *seventh* application, all of the preceding applications having been denied. Therefore, a presumption of non-disability applied in this case, and plaintiff must show changed circumstances indicating the presence of a disability. See Chavez v. Bowen, 844 F.2d 691 (9th Cir. 1998). The Administrative Law Judge (ALJ) denied plaintiff's claim on June 23, 2008; review was denied by the Appeals Council on September 18, 2008. A timely complaint was filed in this action. Both sides have moved for summary judgment.

For the reasons set forth below, plaintiff's motion is denied; the Commissioner's cross-motion is granted.

1 ALJ Decision

2 Review having been denied by the Appeals Council, the ALJ's decision was the
3 final decision of the Commissioner. The ALJ's formal findings were as follows:

- 4 1. The claimant filed her current application for
5 supplemental security income benefits on December
6 6, 2005.
- 7 2. The claimant has not engaged in any substantial
8 gainful activity since January 1, 2001, the alleged
9 onset date of disability.
- 10 3. The medical evidence establishes that the claimant
11 suffers from hand injuries, memory problems and a
12 substance addiction disorder in remission that are
13 "non-severe." The medical evidence establishes
14 that the claimant suffers from a seizure disorder,
15 asthma, depression that are "severe."
- 16 4. The medically established disorders are not attended
17 by clinical and laboratory findings that meet or
18 equal the criteria of any section of the Listing of
19 Impairments at 20 C.F.R., Part 404, Subpart P,
20 Appendix 1 for the requisite period.
- 21 5. There are medical disorders to account for the type
22 of subjective complaints, but the alleged intensity,
23 persistence and functionally limiting effects of the
24 symptoms are not found fully credible for the
25 reasons discussed in the body of this decision.
- 26 6. The claimant retains the residual functional capacity
to perform light of sedentary work involving simple,
repetitive tasks. The claimant is further precluded
from work at heights, around moving machinery or
driving and in environments of dusts, gases, fumes,
odors or poor ventilation.
7. The claimant does not retain the residual functional
capacity to perform her past relevant work.
8. The claimant is a younger individual at age 41
years.
9. The claimant has a high school education.
10. There is no issue of transferable skills.
11. There are jobs existing in significant numbers in the

1 national economy that the claimant can perform
2 considering her vocational profile and residual
3 functional capacity, based upon the testimony of a
4 qualified vocational expert and in light of the record
5 as a whole.

- 6 12. The claimant is not under a disability, as defined in
7 the Social Security Act, beginning at any time on or
8 before the date of this decision.

9 Issues

10 Plaintiff has raised the following issues:

- 11 1. By failing to include prior medical evidence, the ALJ failed to properly develop the record;
12 2. Improper rejection of treating physician’s opinions;
13 3. Failure to properly credit plaintiff’s testimony as to the extent of her limitations;
14 4. Failure to properly assess the residual functional capacity (RFC) and failure to credit the
15 vocational expert’s testimony with respect to a specific hypothetical;
16 5. The jobs available within plaintiff’s RFC identified by the vocational expert were not
17 consistent with the Dictionary of Occupational Titles.

18 The court will review these issues, but will start out with a discussion of
19 administrative res judicata.

20 Discussion

21 A. Proper Standard of Review Under Chavez

22 Plaintiff does not dispute that Chavez, i.e., administrative res judicata, applies to
23 this case. A good explication of res judicata principles in Social Security cases appears in the
24 case of Cha Yang v. Astrue, 2010 WL 2768122 (E.D. Cal. 2010):

25 The principles of res judicata apply to administrative proceedings.
26 Lyle v. Sec. Health & Human Serv., 700 F.2d 566, 568, n. 2 (9th
Cir.1983). A previous finding that a claimant is not disabled
creates a presumption of continuing nondisability. Miller v.
Heckler, 770 F.2d 845, 848 (9th Cir.1985). An ALJ's finding of
nondisability creates “a presumption that [the claimant] continued
to be able to work after that date.” Id. To overcome this
presumption, the claimant must prove “changed circumstances”
indicating a greater disability. Chavez v. Bowen, 844 F.3d 691, 693

1 (9th Cir.1988); Acquiescence Ruling (“Ruling”) 97-4(9). For
2 example, a change in age status after the first determination is a
3 changed circumstance sufficient to rebut the presumption of
4 continuing nondisability. Chavez, 844 F.3d at 693. Changed
5 circumstances also include an increase in the severity of the
6 claimant's impairment, the alleged existence of a new impairment,
7 or a change in the criteria for determining disability. Ruling
8 97-4(9).

9 However, even where the claimant is able to overcome the
10 presumption of disability, certain prior findings are entitled to
11 some res judicata consideration. Prior determinations of RFC,
12 education and work experience are entitled to res judicata absent
13 new and material evidence on the issue. Chavez, 844 F.2d at 694.
14 “Adjudicators must adopt such a finding from the final decision on
15 the prior claim in determining whether the claimant is disabled
16 with respect to the unadjudicated period unless there is new and
17 material evidence relating to such a finding or there has been a
18 change in the law, regulations or rulings affecting the finding or the
19 method for arriving at the finding.” Ruling 97-4(9).

20 In this case, the ALJ found that the time in which to assess plaintiff’s past
21 employment for purposes of this case had expired, and hence that was a “changed circumstance.”
22 However, the ALJ found the presumption of non-disability to be insufficiently rebutted.
23 Previous factual findings are therefore binding on the undersigned unless the present evidence
24 indicates a game changer, i.e., an overall “greater disability” or significant change to the basis for
25 certain findings.

26 B. Development of the Record

Plaintiff argues, rather technically, that the ALJ did not fully develop the record
because evidence he relied on from the past proceedings were not made officially part of the
record. The undersigned is puzzled by this argument. Obviously, the ALJ had the previous
records and did rely on medical records from past proceedings because he expressly referenced
them. Tr. 11 *passim*. Those records did not lose their “record” status, simply because they were
not officially made part of this ALJ’s decision. As is normally the case, judicial notice may be
taken of previous filings in administrative and court filings. See Dunn . Castro, __ F.3d __, 2010
WL 3547637 *8 (fn.6). Moreover, the ALJ expressly incorporated the most recent previous

1 administrative decision whose findings are entitled to limited res judicata respect. Tr. 11. Not
2 having contested Chavez's applicability to this case, plaintiff would not be entitled to re-interpret
3 those records. In any event, the Commissioner rectified any such technical error by making those
4 prior records part of this proceeding's records. See Docket Numbers 15, 16, 27. The
5 undersigned has the previous medical records referenced by the ALJ.¹

6 The first claim is rejected.

7 C. Rejection (Or Less Consideration) of Plaintiff's Treating Physician – Dr. Rafanov

8 This is a case involving a serious ailment. No doubt exists concerning plaintiff's
9 longstanding seizure disorder; the problem is assessing its frequency and ability to be controlled.
10 The 2004 decision essentially found that plaintiff's recounting of the number of seizures was not
11 credible, and that plaintiff's seizures, although reoccurring, were sufficiently controlled by
12 medication. The record demonstrates that plaintiff was most likely to suffer a recurrence if she
13 was under atypical stress or had stopped her medication for whatever reason. Since the time of
14 the 2004 decision, plaintiff essentially had two treating physicians pertinent to her seizure
15 disorder, Dr. Varady and Dr. Rafanov.

16 A condition which can be controlled or corrected by medication is not disabling.
17 See Montijo v. Secretary of HHS, 729 F.2d 599, 600 (9th Cir.1984). Again, the debate here is
18 whether the treating physician's opinion concerning the "controlability" was improperly rejected.
19 The weight given to medical opinions depends in part on whether they are proffered by treating,
20 examining, or non-examining professionals. Holohan v. Massanari, 246 F.3d 1195, 1201 (9th
21 Cir. 2001); Lester v. Chater, 81 F.3d 821, 830 (9th Cir. 1995).² Ordinarily, more weight is given

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23 ¹ The Commissioner discusses the accidental removal of part of one physician's record – Dr.
24 Ritu Mukerji-Metzger, Tr. 213-215. However, plaintiff does not assert that she does not have this
25 evaluation. Plaintiff has had the opportunity to correct the record, but apparently has chosen not to
do so. She may not be heard now to quibble with an accidental record transmission error. Finally,
for the reasons set forth by the Commissioner, any such error was harmless.

26 ² The regulations differentiate between opinions from "acceptable medical sources" and
"other sources." See 20 C.F.R. §§ 404.1513 (a),(e); 416.913 (a), (e). For example, licensed

1 to the opinion of a treating professional, who has a greater opportunity to know and observe the
2 patient as an individual. Id.; Smolen v. Chater, 80 F.3d 1273, 1285 (9th Cir. 1996).

3 To evaluate whether an ALJ properly rejected a medical opinion, in addition to
4 considering its source, the court considers whether (1) contradictory opinions are in the record;
5 and (2) clinical findings support the opinions. An ALJ may reject an *uncontradicted* opinion of a
6 treating or examining medical professional only for “*clear and convincing*” reasons. Lester , 81
7 F.3d at 831. In contrast, a *contradicted* opinion of a treating or examining professional may be
8 rejected for “*specific and legitimate*” reasons. Lester, 81 F.3d at 830. While a treating
9 professional’s opinion generally is accorded superior weight, if it is contradicted by a supported
10 examining professional’s opinion (supported by different independent clinical findings), the ALJ
11 may resolve the conflict. Andrews v. Shalala, 53 F.3d 1035, 1041 (9th Cir. 1995) (citing
12 Magallanes v. Bowen, 881 F.2d 747, 751 (9th Cir. 1989)). The regulations require the ALJ to
13 weigh the contradicted treating physician opinion, Edlund v. Massanari, 253 F.3d 1152 (9th Cir.
14 2001),³ except that the ALJ in any event need not give it any weight if it is conclusory and
15 supported by minimal clinical findings. Meanel v. Apfel, 172 F.3d 1111, 1113 (9th Cir.1999)
16 (treating physician’s conclusory, minimally supported opinion rejected); see also Magallanes,
17 881 F.2d at 751. The opinion of a non-examining professional, without other evidence, is
18 insufficient to reject the opinion of a treating or examining professional. Lester, 81 F.3d at 831.

19 Dr. Varady diagnosed intractable seizure disorder (difficult to control) in 2006 and
20 2007. Tr. 15. Dr. Rafanov started to treat plaintiff in May of 2007. The key opinion given by

21 _____
22 psychologists are considered “acceptable medical sources,” and social workers are considered “other
23 sources.” Id. Medical opinions from “acceptable medical sources,” have the same status when
24 assessing weight. See 20 C.F.R. §§ 404.1527 (a)(2), (d); 416.927 (a)(2), (d). No specific regulations
25 exist for weighing opinions from “other sources.” Opinions from “other sources” accordingly are
26 given less weight than opinions from “acceptable medical sources.”

³ The factors include: (1) length of the treatment relationship; (2) frequency of examination;
(3) nature and extent of the treatment relationship; (4) supportability of diagnosis; (5) consistency;
(6) specialization. 20 C.F.R. § 404.1527

1 Dr. Rafanov concerns his belief that plaintiff's condition would cause her to miss work
2 approximately four times per month. The ALJ's analysis regarding the treating physician is set
3 forth at length:

4 I find no appreciable change in the frequency or severity of the
5 seizure disorder as noted in the July 26, 2004 hearing decision.
6 There are extended periods when the claimant did not seek medical
7 attention for uncontrolled seizures. Dr. Mitchell also noted good
8 control of the seizures with medication. Dr. Varady's progress
9 notes show continued monitoring of the seizure disorder with good
10 control except for intermittent seizure activity when she was
11 without her medication or when her medications were being
12 adjusted. There are also documented episodes of breakthrough
13 seizures but these episodes are not frequent and continuously
14 uncontrolled with medication. With only intermittent
15 breakthrough seizures and otherwise extended periods when the
16 seizures are controlled, I find that the claimant does have a severe
17 seizure disorder but is able to perform light or sedentary functions
18 with appropriate seizure precautions. The seizures and, as
19 evaluated below, the claimant's asthma disorder, might be
20 exacerbated on lifting and carrying more than light or sedentary
21 weights, and there are precautionary preclusions against work at
22 heights and around dangerous, moving machinery or driving.

23 Dr. Rafanov, the claimant's treating neurologist since May 2007,
24 reported on July 9, 2007 that the claimant had experienced seizures
25 on June 23, 2007, July 6, 2007 and August 7, 2007. In Dr.
26 Rafanov's opinion, the claimant suffered grand mal epilepsy with
loss of consciousness occurring twice monthly and with postictal
confusion, exhaustion, and muscle strain that lasted about three
days. Dr. Rafanov noted that the claimant was compliant with her
medical regiment that included Keppra, Lamictal, Depakote and
Dilantin but with incomplete control. Nausea was also noted due
to the seizures. In Dr. Rafanov's opinion, the claimant was
precluded from work at heights, around machinery, involving
driving or using public transportation. Dr. Rafanov also described
problems of social isolation and poor self esteem but believed the
claimant was capable of low-stress work. Dr. Rafanov further
estimated that the claimant would be absent from work about four
times per month (Exhibit 12F).

I have considered but given less weight to this opinion, in light of
the record as a whole. Dr. Rafanov's assessment is based on only a
few months of treatment, and the seizures, as noted by the claimant
in her report to Dr. Varady, appear to have occurred because her
medications were being adjusted. Dr. Rafanov's progress notes
from May 2007 through October 2007 include a reported complaint
by the claimant on July 9, 2007 of a nocturnal grand mal seizure,
September 17, 2007 complaint of more than one nocturnal seizure

1 in two months and nocturnal seizure in October 2007 due to sleep
2 deprivation. Examinations were unremarkable. The claimant also
3 underwent an MRI of the brain that failed to disclose any
4 significantly abnormal medical findings. By October 2007, Dr.
5 Rafanov noted that examination showed the claimant was stable.
6 At that time, no changes were made to her medical regiment
7 (Exhibit 14F). The progress reports including complaints of
8 nocturnal seizure activity but, by October 2007, the claimant was
9 reportedly stable and she was advised to continue her medical
10 regiment. This is inconsistent with an expectation that the
11 claimant, even with a long history of seizures, would be absent
12 from work four times per month. The MRI of the brain also failed
13 to show abnormal medical signs consistent with active and
14 uncontrolled seizures. Dr. Mitchell and Dr. Varady's progress
15 notes do not support Dr. Rafanov's opinion. Nor is there evidence
16 of significant change for the worse to explain Dr. Rafanov's
17 opinion. Thus, I have considered but give less weight to Dr.
18 Rafanov's opinion (Social Security Ruling 96-2p).

19 Dr. Rafanov's opinion was not contradicted by another physician; therefore, the
20 ALJ had to be clear and convincing in his rejection of the opinion. The reasons given are
21 certainly clear and no further analysis will be made on this point.

22 The undersigned also finds them convincing, *looking at the medical evidence*
23 *alone*, although plaintiff's argument warranted serious consideration. The key issue here
24 involved the ALJ's assessment that Dr. Rafanov did not have enough treatment experience with
25 plaintiff to assess that for twelve months or longer, plaintiff would consistently miss nearly a
26 week's worth of work per month, i.e., have two or more seizures per month. Taking this lack of
treatment experience along with the fact that plaintiff's seizure occurrence in the summer of 2007
did appear to revolve around changes in medication, followed by relative stability, the
undersigned finds this rationale convincing. The previous record also is consistent with the only
sporadic flare-up in seizure activity, brought about mostly by momentary lack of medication or
assault/trauma.⁴ The ALJ is correct in his assessment that nothing in Dr. Rafanov's medical

⁴ The undersigned does not place any weight on the ALJ's statement that the MRI apparently showed little or no brain damage which would account for the seizures. The MRI is mostly probative concerning a possible cause for the seizures, or ruling out certain causes, not whether the undisputed fact of seizure occurrence would be more or less frequent. At the very least, the ALJ is

1 records, outside of medication changes, indicted a reason why plaintiff's seizures would depart
2 from their previous history of relatively infrequent occurrence. Moreover, even Dr. Rafanov's
3 own records over the entire span of treatment did not indicate the frequency of seizures of two
4 per month as he reported on the RFC questionnaire (Tr. 275).

5 Therefore, the undersigned initially finds that the ALJ did not improperly reject
6 Dr. Rafanov's opinion; however, this finding is subject to further scrutiny after assessing
7 plaintiff's credibility below.

8 D. Plaintiff's Credibility Regarding the Frequency of Her Seizure and Mental Limitations

9 The ALJ rejected, in part, plaintiff's testimony that she suffered frequent seizures
10 and had mental impairments which significantly affected her ability to do work. As set forth by
11 plaintiff:

12 With respect to seizures, Ms. Ingram testified that her seizure
13 disorder was the primary reason she could not work. Tr. 29-30.
14 She reported that she was currently having 3-4 seizures per month.
15 She testified that in the past they were more frequent but less
16 severe. Tr. 32-33. She also reported that after a seizure she had to
17 sleep for three to four days. Tr. 141.

18 Summary Judgment Brief at 16.

19 The ALJ determines whether a disability applicant is credible, and the court defers
20 to the ALJ who used the proper process and provided proper reasons. See, e.g., Saelee v. Chater,
21 94 F.3d 520, 522 (9th Cir. 1995). If credibility is critical, the ALJ must make an explicit
22 credibility finding. Albalos v. Sullivan, 907 F.2d 871, 873-74 (9th Cir. 1990); Rashad v.
23 Sullivan, 903 F.2d 1229, 1231 (9th Cir. 1990) (requiring explicit credibility finding to be
24 supported by "a specific, cogent reason for the disbelief").

25 In evaluating whether subjective complaints are credible, the ALJ should first
26 consider objective medical evidence and then consider other factors. Vasquez v. Astrue, 572

in no more position than the undersigned to interpret the meaning of the MRI, and there is no
medical opinion supporting either lay opinion.

1 F.3d 586, 591 (9th Cir. July 8, 2009); Bunnell v. Sullivan, 947 F.2d 341, 344 (9th Cir.1991) (en
2 banc). The ALJ may not find subjective complaints incredible solely because objective medical
3 evidence does not quantify them. Bunnell at 345-46. If the record contains objective medical
4 evidence of an impairment reasonably expected to cause pain, the ALJ then considers the nature
5 of the alleged symptoms, including aggravating factors, medication, treatment, and functional
6 restrictions. See Vasquez, 572 F.3d at 591. The ALJ also may consider the applicant's: (1)
7 reputation for truthfulness or prior inconsistent statements; (2) unexplained or inadequately
8 explained failure to seek treatment or to follow a prescribed course of treatment; and (3) daily
9 activities.⁵ Smolen v. Chater, 80 F.3d 1273, 1284 (9th Cir. 1996); see generally SSR 96-7P, 61
10 FR 34483-01; SSR 95-5P, 60 FR 55406-01; SSR 88-13. Work records, physician and third party
11 testimony about nature, severity, and effect of symptoms, and inconsistencies between testimony
12 and conduct, may also be relevant. Light v. Social Security Administration, 119 F.3d 789, 792
13 (9th Cir. 1997). The ALJ may rely, in part, on his or her own observations, see Quang Van Han
14 v. Bowen, 882 F.2d 1453, 1458 (9th Cir. 1989), which cannot substitute for medical diagnosis.
15 Marcia v. Sullivan, 900 F.2d 172, 177, n.6 (9th Cir. 1990). Plaintiff is required to show only that
16 her impairment "could reasonably have caused some degree of the symptom." Vasquez, 572
17 F.3d at 591, quoting Lingenfelter v. Astrue, 504 F.3d 1028, 1036 (9th Cir. 2007), Smolen, 80 F.3d
18 at 1282. Absent affirmative evidence demonstrating malingering, the reasons for rejecting
19 applicant testimony must be specific, clear and convincing. Vasquez, 572 F.3d at 591.

20 In this case, the ALJ found plaintiff partially credible, exaggerating the frequency
21 of her seizures and her symptoms. In the part applicable to seizures, he stated:

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24 ⁵ Daily activities which consume a substantial part of an applicants day are relevant. "This
25 court has repeatedly asserted that the mere fact that a plaintiff has carried on certain daily activities,
26 such as grocery shopping, driving a car, or limited walking for exercise, does not in any way detract
from her credibility as to her overall disability. One does not need to be utterly incapacitated in order
to be disabled." Vertigan v. Halter, 260 F.3d 1044, 1049 (9th Cir. 2001) (quotation and citation
omitted).

1 She complained of postictal⁶ fatigue, injuries sustained because of
2 the seizures. There are medical disorders to account for the type of
3 subjective complaints, but, based upon the weight of the evidence,
4 I find the severity of the symptoms alleged is not entirely credible.
5 The medical evidence documents abnormal clinical signs but the
6 seizure episodes are not reported to be of the frequency claimed. It
7 appears from the medical evidence that there has been good control
8 of the seizures with break-through episodes that do not occur with
9 such frequency as to preclude work activity. Although Dr. Varady
10 noted postictal fatigue and somnolence, the episodes of seizures are
11 not so frequent as to result in the postictal residuals at the level
12 claimed. Similarly, the injuries sustained due to the convulsions
13 are not documented to occur with such frequency as to support the
14 claimant's allegation....

15 The claimant reported minimal daily activities. She indicated that
16 she receives assistance from her father and a friend to complete
17 daily activities. The claimant lives alone, completes household
18 chores, shopping, cooking and laundry, albeit with assistance. At
19 the hearing, she testified that she walks her dog, visits her sister
20 for lunch and works on her house. When considering the evidence
21 as a whole, I am not persuaded that the alleged intensity,
22 persistence and functionally limiting effects of the subjective
23 complaints are fully credible.

Tr. 17-18.

24 The undersigned has some difficulty with this reasoning. First, no explanation is
25 given why the frequency of seizures would affect the symptom intensity post-seizure. Without
26 this explanation, the conclusion regarding "apples" (degree of symptoms) would initially seem to
have little to do with the facts about "oranges" (frequency of seizures). The post-2004 medical
evidence supports plaintiff's testimony insofar that the fatigue and confusion last for about two
days. See Tr. 276, referencing the time parameters for postictal symptoms. See also Varady
facts above. Finally, the accepted report of "minimal daily activities" is somewhat inconsistent
with the later found general conclusion that the evidence as a whole did not support plaintiff's
allegations.

⁶ This is the period, usually measured in no more than hours, and often quite less, in which
the brain is recovering from the seizure. During such a period, sometimes described as an improving
state of consciousness or awareness, persons may suffer from short term memory loss, confusion,
weakness, fatigue and more. www.epilepsy.com/101/ep101_symptom. However, some sources
report "[F]ollowing a seizure it is common to experience feelings of exhaustion, both mental and
physical, that can last for a day or two." *Postictal State*, www.wikipedia.org/wiki/Postictal_state.

1 In the final analysis, any harm in failing to separate the degree of symptoms
2 alleged from their frequency is harmless. The found frequency of seizures is important to the
3 claim that plaintiff would be precluded from work because of excessive absenteeism. Crediting
4 plaintiff's testimony in part about the severity of her post-seizure symptoms, most importantly
5 her days long recovery from each seizure, the record does not show that plaintiff suffers enough
6 seizures to constitute four or more days off per month because of seizure recovery. At best, the
7 record does not even show an average in the pertinent period of even one seizure per month.
8 And, plaintiff did not testify that she would fail to report actual seizures to her physician.
9 Finally, in the previous administrative appeal, plaintiff conceded that she no longer tracked her
10 seizures. Tr. 56. In light of plaintiff's emphasized memory problems (and she does have some
11 although the degree is subject to dispute), plaintiff proffers no reason why her memory of
12 multiple seizures per month, up to four, should be accepted at face value.⁷ There existed clear
13 and convincing evidence that plaintiff's seizure problem would not cause excessive absenteeism.

14 Plaintiff references no testimony regarding her impaired memory or lack of
15 cognitive skills which was disregarded, and a review of the testimony demonstrates that, in fact,
16 no evidence was elicited on that subject. Plaintiff references no other statements of herself in the
17 record where she describes the effect of any mental impairments.⁸ Plaintiff's discussion concerns
18 the ALJ's partial rejection of the argument based on the medical evidence that plaintiff's mental
19 impairments affect her RFC, but this is a substantial evidence or rejection of medical opinion
20 argument applicable to the next issue, not one concerning rejection of plaintiff's credibility. In
21 all fairness, there was nothing substantial to accept or reject about a memory problem, at least

22
23 ⁷ No medical records in the current, relevant time period or in the past periods would support
24 plaintiff's testimony of 3-4 seizures a month.

25 ⁸ The closest thing to specification of the memory problem appeared in plaintiff's written
26 submission with her claim, Tr. 136. Plaintiff said that when she walked into a room, she would
forget why she was there. This ability to be distracted is nothing out of the ordinary experience for
all human beings and does not denote a serious memory problem.

1 from plaintiff's non-description of it.

2 The court finds plaintiff's attack on her credibility assessment to be non-
3 actionable.

4 E. Residual Functional Capacity and the Opinion of the Medical & Psychological Experts

5 The ALJ assessed plaintiff's residual functional capacity as light or sedentary
6 work with the caveat that she be limited to "work involving simple, repetitive tasks." The
7 hypothetical to the vocational expert reflected this limitation. Plaintiff believes even this fairly
8 restrictive limitation to be in excess of the credible evidence involving plaintiff's mental
9 capacities, i.e., plaintiff can perform little, if any, work.

10 Plaintiff first attacks the RFC finding by arguing that Dr. Rafanov's opinion that
11 plaintiff's seizures would cause her to lose four work days per month was not included within the
12 RFC assessment and resultant hypothetical to the vocational expert. The undersigned has already
13 determined that the rejection of "four days per month" was not erroneous.

14 The second attack revolves around plaintiff's cognitive skills. April Young was a
15 psychologist who examined plaintiff for the purpose of a disability assessment. Tr. 206-211. In
16 plaintiff's history, she recounted that plaintiff had completed 11 years of school, had acquired her
17 GED, and had received Bs and Cs for grades while in school. In testing, despite her ability to
18 perform satisfactorily in school, plaintiff scored a combined IQ of 68 – borderline mildly
19 mentally retarded. See DSM IV Mental Retardation. A person with this score would typically
20 perform at the sixth grade level at age 18. Id. at § 317. In conversation, plaintiff's memory "for
21 immediate, recent and remote events appeared to be grossly intact." However, plaintiff scored in
22 the "extremely low range" in memory tests. Combining all observations, Dr. Young opined:

23 Ms. Ingram's ability to relate to others, including coworkers,
24 supervisory personnel, and the general public in an appropriate
manner is essentially unimpaired.

25 Ms. Ingram's ability to understand and follow instructions is
26 unimpaired. Her ability to maintain the appropriate level of
concentration, pace and persistence necessary to perform a one or

1 two-step simple repetitive task is mildly impaired. However, her
2 performance would be affected by her seizures and memory. Her
3 ability to perform detailed, multi-step and complex tasks is
4 impaired based upon her cognitive functioning.

5 Tr. 210.

6 The ALJ in this case did not reject any mental limitation. Rather, plaintiff was
7 found to be significantly limited to simple, repetitive tasks. This limitation is very consistent
8 with the limitations given by Dr. Young. Moreover, this conclusion was not at all different from
9 that which would be entitled to res judicata. “Dr. McCray stated that claimant was able to
10 maintain attention and concentration for simple one and two step tasks and was able to relate to
11 others appropriately.” Tr. 54 (2004 decision). Without explanation as to why plaintiff’s mental
12 condition would have worsened after 2004, plaintiff seeks to take bits and pieces of evidence to
13 demonstrate that plaintiff’s mental condition was worse than the expert stated it to be. The
14 undersigned cannot find that this is so.⁹

15 Thus, the phrasing of the hypothetical was consistent with the evidence and the
16 res judicata constraints on this court.

17 F. The DOT and the Hypothetical

18 The Dictionary of Occupational Titles contains descriptions of the physical and
19 mental attributes required for the performance of a myriad of different, specific jobs. Plaintiff
20 asserts that the vocational expert’s listing of two DOT jobs that plaintiff could perform under the
21 hypothetical was inconsistent with the RFC hypothetical with respect to mental limitations.
22 Plaintiff is correct for one job, but incorrect for another, and therefore this argument fails.

23 Plaintiff correctly identifies the mail clerk job as requiring a reasoning level of “3”

24 ⁹ Plaintiff relies on the State Agency (non-examining) physician’s opinion that plaintiff was
25 “moderately” impaired when it came to concentration persistence or pace. Tr. 232. Indeed, this
26 opinion is inconsistent with the *same doctor’s* opinion just a few pages later that plaintiff was “not
significantly limited” when it came to maintaining attention and concentration for extended periods,
Tr. 235, or in the area of sustained concentration and persistence. Tr. 236. Even accepting the first
opinion as the one which should be considered, the non-examining physician’s deviation from the
opinion of the examining physicians, both old and new, is not an opinion that the ALJ was bound
to accept. It also does not find support in the record.

1 and the bench small parts assembly as a “2.” A level “1” , “2” and “3” denotes respectively:

2 Apply common sense understanding to carry out simple one or two step
3 instructions. Deal with standardized situations with occasional or no variables in
or from these situations encountered on the job.

4 Apply common sense understanding to carry out detailed but uninvolved written
5 or oral instructions. Deal with problems involving a few concrete variables in or
from standardized situations.

6 Apply commonsense understanding to carry out instructions furnished in written,
7 oral, or diagrammatic form. Deal with problems involving several concrete
variables in or from standardized situations.

8 DICOT, App. C.

9 It is tempting to just substitute the language in the hypothetical with the precise
10 language in the DOT, but fashioning a decision on simplicity alone here would turn out to be
11 wrong. Rather than adhere to a strict construction of what this limitation equates to in terms of
12 reasoning level, this court prefers to follow the well developed reasoning of the Central District
13 in Meissl v. Barnhart, 403 F. Supp.2d 981 (C.D. Cal. 2005). There, the plaintiff was found to be
14 limited to “simple tasks performed at a routine or repetitive pace.” Id. at 982. The court
15 explained that although the Social Security Regulations contained only two categories of abilities
16 in regard to understanding and remembering instructions, either “short and simple” and
17 “detailed” or “complex,” the DOT had many more gradations for measuring this ability, and
18 there were six gradations altogether. Id. at 984. For example, level 2 requires application of
19 “commonsense understanding to carry out detailed but uninvolved written or oral instructions.
20 Deal with problems involving a few concrete variables in or from standardized situations.”

21 DICOT, App. C. The court continued:

22 To equate the Social Security regulations use of the term “simple”
23 with its use in the DOT would necessarily mean that all jobs with a
reasoning level of two or higher are encapsulated within the
24 regulations’ use of the word “detail.” Such a “blunderbuss”
approach is not in keeping with the finely calibrated nature in
25 which the DOT measures a job’s simplicity.

26 Meissl, 403 F. Supp.2d at 984.

1 Furthermore, the use of the term “uninvolved” along with the term “detailed” in
2 the DOT qualifies it and refutes any attempt to equate the Social Security regulations’ use of the
3 term “detailed” with the DOT’s use of that term. Id. The court found that the plaintiff’s RFC
4 must be compared with the DOT’s reasoning scale. A reasoning level of one requires slightly
5 less than simple tasks that are in some sense repetitive. For example, they include the job of
6 counting cows as they come off a truck. A reasoning level of two would encompass an RFC of
7 being able to do “simple and repetitive work tasks.” Id. Taking Meissl to the next level would
8 lead to the conclusion that a reasoning level of three would therefore include the ability to do
9 more than simple and routine work tasks, such as the “simple and less than complex tasks” which
10 have been assigned to plaintiff.

11 In this case, it is clear that plaintiff’s mental limitations are not of the sort limited
12 to counting cows that come off trucks, i.e., the job requirement of *less than* simple, repetitive
13 abilities. Rather, plaintiff can act in simple situations with repetitive patterns. Thus, the parts
14 assembler is within plaintiff’s abilities, but the mail clerk job would be in excess of plaintiff’s
15 limitations. Because plaintiff can perform one of the jobs attributed to her by the vocational
16 expert, there is no cause to find in plaintiff’s favor.

17 Conclusion

18 Plaintiff’s motion for summary judgment (Docket # 23) is denied; the
19 Commissioner’s cross-motion for summary judgment (Docket # 30) is granted. Judgment is to
20 be entered for the Commissioner.

21 Dated: 10/28/10

/s/ Gregory G. Hollows

UNITED STATES MAGISTRATE JUDGE

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