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 8 **UNITED STATES DISTRICT COURT**  
 9 **EASTERN DISTRICT OF CALIFORNIA**  
 10 **SACRAMENTO**

11 SECURITIES AND EXCHANGE  
 COMMISSION,

12 *Plaintiff*

13 v.

14 ANTHONY VASSALLO, et al,

15 *Defendants.*

Case No. CV 09-00665 LKK-DAD

16 **ORDER DIRECTING SUBFUND  
 MANAGERS TO PRODUCE  
 DOCUMENTS AND INFORMATION**

Judge Lawrence K. Karlton

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 18 On April 30, 2009, this Court filed the Order Appointing Temporary Receiver for Equity  
 19 Investment Management and Trading, Inc. ("EIMT") and Order to Show Cause for Appointment  
 20 of Permanent Receiver ("OAR"). Pursuant to the OAR, for good cause shown, and in order to  
 21 empower and authorize the Receiver to carry out his duties and obligations,

22 **THE COURT HEREBY FINDS AND ORDERS AS FOLLOWS:**

23 The Receiver has learned that EIMT transferred investor funds to various subfunds and  
 24 various subfunds transferred funds to EIMT. To date, Receiver has identified approximately  
 25 thirty-one current and/or former EIMT subfunds. Receiver is endeavoring to track investor  
 26 money transferred to/from EIMT via the thirty-one subfunds in order to disgorge any ill-gotten  
 27 gains and ultimately to make a distribution to EIMT investors. In order to do this, Receiver needs

1 documents and other information from the subfund managers sufficient to provide a complete  
2 accounting for each EIMT subfund.

3 To facilitate Receiver's investigation and to prevent the further transfer of and/or  
4 dissipation of EIMT assets, the following EIMT subfunds by and through each subfund's present  
5 and/or former managers, shareholders, directors, officers, agents, employees, consultants,  
6 attorneys, successors and/or assigns, are hereby directed to provide Receiver with copies of all  
7 information and documentation concerning any and all EIMT accounts, including, but not limited to  
8 statements and other documentation reflecting receipts by and disbursements from such accounts.  
9 Furthermore, the following EIMT subfunds by and through each subfund's present and/or former  
10 managers, shareholders, directors, officers, agents, employees, consultants, attorneys, successors  
11 and/or assigns, are hereby directed to provide Receiver with copies of all information and  
12 documentation detailing the amount of money each subfund manager received as compensation  
13 including, but not limited to management fees, referral fees and/or any other payments received  
14 from EIMT.

- 15 • Apex Financial Development, LLC
- 16 • Black Card I, LLC
- 17 • Black Card Group, Inc.
- 18 • BT Management & Development Group, LLC
- 19 • BT Partners, LLC
- 20 • BV Skyline Corporation
- 21 • ECBC1, LLC
- 22 • EIG I
- 23 • EIG II
- 24 • Equity Constellation, LLC
- 25 • Equity Group Management, Inc.
- 26 • Exclusive Financial Partners, LLC
- 27 • iFund Investment Group, LLC
- 28 • iFund Management Group, LLC

- 1 • Income Management Group, LLC
- 2 • J Capital Partners, LLC
- 3 • J Capital Management, Inc.
- 4 • J Capital Fund, LLC
- 5 • KVV Investments, LLC
- 6 • Lancaster Platinum Growth, LP
- 7 • LCJ Capital, LLC
- 8 • Legacy Family Fund, LLC
- 9 • Matrix Investment Group, LLC
- 10 • Strategic Capital Management, LLC
- 11 • Vastman Investment Partners, LLC
- 12 • Veritas Fund, LLC
- 13 • Veritas Fund II, LLC
- 14 • West Coast Trading, LLC
- 15 • Z Investments, LLC
- 16 • Z Investment Group LLC
- 17 • Z Management, LLC

18 All of the above-listed subfunds by and through each subfund's present and/or former  
19 managers, shareholders, directors, officers, agents, employees, consultants, attorneys, successors  
20 and/or assigns, are hereby further directed to cooperate fully with Receiver in his investigation  
21 and inquiries on behalf of this Court.

22 **IT IS SO ORDERED.**

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24 Dated: August 10, 2009

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LAWRENCE K. KARLTON  
SENIOR JUDGE  
UNITED STATES DISTRICT COURT