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8 **UNITED STATES DISTRICT COURT**
 9 **EASTERN DISTRICT OF CALIFORNIA**
 10 **SACRAMENTO**

11 SECURITIES AND EXCHANGE
 COMMISSION,

12 *Plaintiff*

13 v.

14 ANTHONY VASSALLO, et al,

15 *Defendants.*
 16

Case No. CV 09-000665 LKK-DAD

**ORDER REGARDING DISPOSITION
 OF \$76,552.79 FROM NEVADA
 SECURITIES ARBITRATION
 SERVICES, INC. ACCOUNT ON OR
 ABOUT NOVEMBER 13, 2007 TO
 ASSIST RECEIVER TO TRACE EIMT
 FUNDS TRANSFERRED TO JEFF
 DENNIS FERENTZ AND/OR JEFF
 DENNIS FERENTZ, INC.**

Judge Lawrence K. Karlton

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 19 On April 30, 2009, this Court filed the Order Appointing Temporary Receiver for Equity
 20 Investment Management and Trading, Inc. (“EIMT”) and Order to Show Cause for Appointment
 21 of Permanent Receiver (“OAR”) and on July 31, 2009, the Court filed an Order permanently
 22 appointing Receiver. Pursuant to the OAR, for good cause shown, and in order to empower and
 23 authorize the Receiver to carry out his duties and obligations,

24 **THE COURT HEREBY FINDS AND ORDERS AS FOLLOWS:**

25 On November 7, 2007, and November 8, 2007, \$2,000,000 of EIMT investor funds were
 26 transferred to Jeff Dennis Ferentz (a California attorney) and/or Jeff Dennis Ferentz, Inc.
 27 (collectively “Ferentz”), in connection with a “bank sanctioned high yield project funding
 28 program.”

1 This Court previously issued orders authorizing banks and financial institutions to assist
2 Receiver in tracing the EIMT investor funds transferred to Ferentz including funds transferred
3 from Jeffrey Dennis Ferentz, Inc. to entities controlled by Mr. Ferentz, including “Nevada
4 Securities Arbitration” (Doc. Nos. 149 and 219), which remain in full force and effect.

5 Of the \$2,000,000 in investor funds transferred by EIMT to Ferentz, \$100,000 was
6 transferred to “Nevada Securities Arbitration Services, Inc.” on November 13, 2007 “for
7 Vassallo.” The same day, check No. 2283 was made payable from that entity to Wells Fargo
8 Bank in the amount of \$76,552.79 with the “for” notation of “Land Rover Newport Beach.”

9 Receiver would like to determine if EIMT investor funds were utilized to purchase a Land
10 Rover vehicle or a vehicle from a Land Rover dealership on or about November 13, 2007, or
11 otherwise determine the disposition of the \$75,552.79 from check No. 2283 from the account of
12 “Nevada Securities Arbitration Services, Inc.” (the “Land Rover Check”).

13 The Court hereby directs the recipient of the Land Rover Check to provide Receiver with
14 information about the disposition of that check, including but not limited to producing documents
15 and information concerning any vehicle purchased with the Land Rover Check. Such
16 documentation and information shall include but is not limited to:

- 17 • Purchase information;
- 18 • Sales contracts;
- 19 • Vehicle Registration; and
- 20 • Proof and form of payment.

21 The recipient of the Land Rover Check is hereby further directed to cooperate fully with
22 Receiver in his investigation into the disposition of that check.

23 **IT IS SO ORDERED.**

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25 Dated: April 14, 2010

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LAWRENCE K. KARLTON
SENIOR JUDGE
UNITED STATES DISTRICT COURT