

1 FUTTERMAN DUPREE DODD CROLEY MAIER LLP
 JAMIE L. DUPREE (158105)
 2 JAIME G. TOUCHSTONE (233187)
 180 Sansome Street, 17th Floor
 3 San Francisco, CA 94104
 Telephone: (415) 399-3840
 4 Facsimile: (415) 399-3838
jdupree@fddcm.com
 5 jtouchstone@fddcm.com

6 *Attorneys for Receiver*
 Stephen E. Anderson

7
 8 **UNITED STATES DISTRICT COURT**
 9 **EASTERN DISTRICT OF CALIFORNIA**
 10 **SACRAMENTO**

11 SECURITIES AND EXCHANGE
 COMMISSION,

12 *Plaintiff*

13 v.

14 ANTHONY VASSALLO, et al,

15 *Defendants.*

Case No. CV 09-000665 LKK-DAD

**ORDER DIRECTING HALE, LANE,
 PEEK, DENNISON AND HOWARD TO
 PROVIDE DOCUMENTS AND
 INFORMATION PERMITTING
 RECEIVER TO TRACE \$800,000 FROM
 JD CENTURIES, LLC ACCOUNT ON
 OR ABOUT NOVEMBER 8, 2007**

Judge Lawrence K. Karlton

17
 18 On April 30, 2009, this Court filed the Order Appointing Temporary Receiver for Equity
 19 Investment Management and Trading, Inc. (“EIMT”) and Order to Show Cause for Appointment
 20 of Permanent Receiver (“OAR”) and on July 31, 2009, the Court filed an Order permanently
 21 appointing Receiver. Pursuant to the OAR, for good cause shown, and in order to empower and
 22 authorize the Receiver to carry out his duties and obligations,

23 **THE COURT HEREBY FINDS AND ORDERS AS FOLLOWS:**

24 On November 7, 2007, and November 8, 2007, \$2,000,000 of EIMT investor funds were
 25 transferred to Jeff Dennis Ferentz (a California attorney) and/or Jeff Dennis Ferentz, Inc.
 26 (collectively “Ferentz”), in connection with a “bank sanctioned high yield project funding
 27 program.” The funds were deposited into the Jeff Dennis Ferentz, Inc. Client Trust Account (the
 28 “Ferentz Account”). Receiver’s investigation has revealed that another investor “invested”

1 \$900,000 with Ferentz in connection with the same transaction on November 7, 2007, the same
2 time as EIMT transferred its \$2,000,000 to Ferentz.

3 This Court previously issued orders authorizing banks and financial institutions to assist
4 Receiver in tracing the EIMT investor funds transferred to Ferentz including funds transferred
5 from Jeffrey Dennis Ferentz, Inc. to entities controlled by Mr. Ferentz, including “Centuries
6 Worldwide, LLC” and “JD Centuries, LLC.” (Doc. Nos. 149 and 219), which remain in full force
7 and effect.

8 Receiver’s investigation has revealed that the balance of the Ferentz Account at the
9 beginning of November 2007 was \$1,111.49 before the \$2,900,000 was deposited on
10 November 7 and 8, 2007; at the end of November 2007, the balance was \$96,476.49. In short,
11 the vast majority of the funds deposited in the Ferentz Account in November 2007 was
12 transferred out of that account shortly after deposit.

13 Receiver has determined that \$1,830,000 was transferred from the Ferentz Account to a
14 Wells Fargo checking account in the name of “Centuries Worldwide, LLC” on November 7,
15 2007. The same day, \$1,650,000 of those funds was transferred from “Centuries Worldwide,
16 LLC” to a Wells Fargo checking account in the name of “JD Centuries, LLC.” On November 8,
17 2007, \$800,000 of the funds transferred to “JD Centuries, LLC” was wired to the U.S. Bank
18 client trust account of Nevada law firm Hale, Lane, Peek, Dennison and Howard (“Hale Lane”).

19 To further assist Receiver’s investigation in tracing the EIMT funds transferred Ferentz,
20 the Court directs Hale Lane to provide information and documentation concerning the November
21 8, 2007 wire from JD Centuries, LLC to the Hale Lane client trust account for \$800,000,
22 sufficient to permit Receiver to trace those funds, including but not limited to account statements
23 related to that wire transfer and/or reflecting receipt and disbursement of the \$800,000, any
24 correspondence or other documentation concerning the disbursement of the \$800,000, the name
25 and contact information of any Hale Lane client for whom Hale Lane received the \$800,000, and
26 other information and documentation facilitating Receiver’s tracing of these funds

27
28

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

Hale Lane is further directed to cooperate fully with Receiver in Receiver's activities,
investigation and inquiries on behalf of this Court.

IT IS SO ORDERED.

Dated: April 20, 2010


LAWRENCE K. KARLTON
SENIOR JUDGE
UNITED STATES DISTRICT COURT